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Bioeconomic Modelling:  
An Application to Fisheries

By  
Gilles Reinhardt

Master's of Science thesis  
Systems Science programme

University of Ottawa



Gilles Reinhardt, Ottawa, Canada, 1990



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## Abstract

This thesis presents a bioeconomic model of a commercial fishery. Emphasis is on the decision making processes of the harvesting sector. A model of dynamic decision making by fishermen is developed to study the biological and economic impact of the commercial exploitation of the Georges Bank scallops fishery (*Placopecten Magellanicus*). Stock biomass abundance dynamics are modelled using Deriso's age structured population model. Growth rate, mortality rates and recruitment are included as part of the biological component of the model.

Decision making by fishermen is modelled using two discrete decision algorithms, *myopic* and *adaptive*. Fishermen's decision alternatives before each trip to the fishing grounds consist of deciding on which particular fishing areas to search for fish. Each area has an associated fishing cost and an associated catch level conditional on the level of abundance of the resource in that area.

Before each fishing trip, the myopic model provides the fisherman with the area yielding the highest immediate expected return on the basis of cost, expected catch and the current measure of biomass abundance. The selected area is found using a decision tree. The objective of the adaptive model is to maximize the expected return over all trips to the fishing grounds for the entire season. A trip by trip fishing policy is developed for every season. This policy consists, for each fishing trip, of a subset of the accessible fishing areas. At each stage (fishing trip), the fisherman updates his information about the abundance of the resource and selects an area from this subset.

A computer model simulates possible outcomes over a finite number of fishing seasons. The program simulates the application of both the myopic and adaptive algorithms by a single fisherman as well as by a fleet of fishermen. In the later case, each fisherman is assumed to be initially identical and independent of the others in the fleet with respect to landings and catch information compiled. Vessel performance is measured in terms of total catch, total costs, landed values and net incomes.

The results provide insight into the dynamic evolution of the commercial fishery with respect to stock abundance levels, fishermen's incomes and investment potential.

# Acknowledgements

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# Chapter 1

## Introduction

### 1.1 Background to the thesis

Canada's ocean fisheries resources have historically been significant to this country's economic development. The extensive coastal shore lines as well as high abundance and variety of harvestable species contribute to realizing the significant economic potential of this resource. The fisheries now support major industries and several thousand jobs ranging from biological research and development to harvesting, processing and manufacturing.

Initially, marine resources were freely exploited by fishermen as 'common property' resources. However, as the exploitation potential grew so did the need for regulations on season length, gear size, capacity restrictions, area restrictions, allowable catch quotas and so on. Strategies for both biological and economical exploitation are being developed and implemented on an on-going basis. Stock protection and harvest limitation policies constitute the main focus of fisheries management.

Stock control involves biological considerations such as survival, mortality, recruitment, size and many other components. For example, numerous stock-recruitment models have been developed to describe the wide range of possible dynamic stock biomass behaviour. Stock management involves strategic management decisions. Harvest rates are one of many various elements in a biologically-based system.

With respect to harvest management, the economic and social impacts of management policies on commercial fishermen are important. Harvest management deals with the implementation and determination of harvest rates and seasonal catch quotas called TAC's (Total Allowable Catches) for individual commercial fisheries. Whereas stock management deals more with strategic implications, harvest management deals more with the *operational* aspects of fisheries including policy implications on fishermen's earnings, fleet management, capi-

tal investment, quota distribution within different fleets of vessels and fishing season regulations with respect to the season's length and available grounds for exploitation.

Over the past twenty years, concepts such as biological or economical sustainable yields derived from resource growth dynamics and population level equilibria contributed to the dual modelling of biology and economics now referred to as **bioeconomics**. It deals with the key issue of maximizing returns to fishermen while respecting seasonal and global sustainable yields for required survival levels of future biomasses.

Bioeconomics combines the main components of stock-recruitment models and economic decision making models. This includes survival, natural and fishing mortality rates, biomass growth measures, previous stock and recruitment abundance, stock distribution by age, costs of fishing, market price behaviour, relationships between stock abundance and probable catch results, and so on. The purpose of bioeconomic modelling is to examine strategic and operational policy implications while respecting biological objectives, towards improved returns from the fisheries.

The model developed in this thesis is applied to the scallop fishery in the Canadian portion of Georges Bank. The scallop biomass is spatially distributed over numerous distinct beds within the Bank. The beds have more or less independent growth characteristics. The migration between the beds is negligible. The scallop fishery is regulated by the  $F_{0.1}$  fishing mortality rate that determines the seasonal TAC. ( $F_{0.1}$  is a Department of Fisheries and Oceans target harvest rate based on a model of stock yield by weight per recruit to the fishery.) An average of fifty dredges (scallop fishing boats) are active during any one season. They make a maximum of fifteen fishing trips over the fishing season lasting from April to October. The season may be shortened if the TAC is exceeded. Presently the TAC is divided among the seven fishing companies who operate dredges on the Bank. The company quotas are known as Entreprise Allocations (EAs).

## 1.2 Focus of the thesis

This thesis presents a bioeconomic model that encompasses the economic decision making dynamics of harvestors and the biological behaviour of a single biomass. The decision making dynamics component consists of the construction of decision policies that depend on the unobservable state of the system (scallop biomass abundance). The stock dynamics component consists of a biological growth model and a stock-recruitment model.

The model output includes a set of economic and biological performance indicators computed through simulations of actual fishing seasons. The model

reveals reactions and trends of both biomass and fishermen's decisions to different model parameters.

The results produced by the model include intra-seasonal fleet dynamics (simulated movement and distribution of the fleet during a season over the fishing areas), seasonal economic performance dynamics (net income, total catch, total cost and landed value), impact of various regulation schemes including quota adjustments, changes in the size of the active fleet and intra-seasonal price fluctuations. The performance indicators are also studied on a 'per trip' basis to allow for an enhanced look at intra-seasonal dynamics.

The stock dynamics of the biomass system is described by a model that includes aspects of aggregate surplus production and time-metered stock recruitment methods. Deriso's model represents the biomass dynamics of populations by a delay-difference equation that closely resembles a surplus production model with parameters which are usually referred to in dynamic pool models. The model assumes that all stock above a fixed age are equally vulnerable to harvest at a given proportional rate  $h$  ('knife-edge' selection) and that given the survival rate, the recruitment equation can be written in terms of the previous years' stock size.

The regulatory control of the stock abundance is imposed in the model by setting the maximum seasonal fishing mortality. As mentioned, most commercial TAC quota regulations are based on the  $F_{0.1}$  fishing mortality. Once computed, this rate is transformed into a seasonal harvesting quota for the harvesting sector. It can be viewed as a *stopping* condition since the fishing season ends when the harvesting quota is reached or surpassed.

Two different approaches were designed to construct harvesting decision models. The first technique consists in a 'per trip' decision tree model that incorporates fishing costs, discretized catch levels dependent on biomass abundance, fixed number of fishing areas and intra-seasonal price behaviour function. Because each decision is considered independently of all future decisions, the technique will be referred to as the 'myopic' algorithm. The second method, the 'adaptive' algorithm, consists in a dynamic programming algorithm that incorporates all trips in a season via an intra-seasonal Bayesian updating scheme. This model creates a decision policy with expected reward distributions for each fishing trip. Given a probability measure on the discretized state of abundance, expected returns from fishing by area are computed and the area yielding the highest expected return is selected. Both models use the same biological and harvesting elements (actual catch conditional on the actual state of abundance, growth and survival rates, costs of fishing, size of catches per area).

The model can accommodate various regulation scenarios such as a variable harvesting quota, periodical closure of some fishing areas, differences in the landed value functions and a fleet size dependent on the current stock. In this

way, experimenting with the model provides a strategic view toward regulatory impacts on the biological and economic performance of a fishery.

### 1.3 Plan of the thesis

The remainder of the thesis is comprised of the following chapters:

Chapter 2 presents a survey of the literature relevant to the thesis. The review is concentrated in three specific areas, namely bioeconomic modelling, decision making dynamics, and the application to Georges Bank scallop fishery.

Chapter 3 presents the methodology for the bioeconomic model, including model definition, development and implementation. The bioeconomic components are described and their representative values relevant to the application computed and justified. The two decision models (myopic and adaptive) are formally presented.

Detailed results of the model simulations and analysis are presented in Chapter 4. This includes a sample experimental design and associated model results.

Chapter 5 closes out the thesis with a summary of results and a discussion of possible extensions to the models and experiments derived from them.

## Chapter 2

# Literature Review

Since fisheries management requires a singular mixture of zoological, administration, and mathematical ideas, there are many sources of relevant published literature. The following survey groups published works relevant to this thesis in three categories, namely bioeconomic modelling, decision dynamics and applications to scallop fisheries.

### 2.1 Bioeconomics

A basic and essential textbook in bioeconomics is Clark's *Mathematical Bioeconomics* [16]. It presents the elements of deterministic bioeconomic modelling by dealing with elementary dynamics, economic models and optimal control theory. Also included are analyses of discrete time models, growth and aging and multispecies fisheries problems.

Clark's *Bioeconomic Modelling and Fisheries Management* [15] is a follow-up work that deals with stochastic models for fisheries. It presents concepts of fishing effort, fishing in a stochastic environment, discrete-time and age-structured models. Several types of models ranging from fishery regulations to fluctuations and uncertainty are presented in the subsequent chapters.

Walters [84] proposes a new perspective with the study of bioeconomic models for actively adaptive management methods. They consist of constructing decision policies given the decision maker's limited awareness of the uncertainty in resource stocks. Once a policy is finalized (through extensive backward recursion as in the dynamic programming algorithm), it is then applied in a 'forward' motion with decisions adapted from all past information by Bayesian updating.

A widely used model for describing the biological growth of a single stock faced with commercial harvesting is that of Deriso [20]. The model combines the aggregate surplus production models with components of age-structured analysis and stock-recruitment. The biomass is determined by the product of the number

of stock units and their average body weight at a given age. New recruitment to the fishery may be included using a variety of functions.

An important work in bioeconomic modelling is Schnute's [79] work on the analysis of catch and effort data. It presents parameters present in many well-known stock-recruitment models (Ricker, Beverton-Holt, Schaefer-logistic or Deriso) and explains these variables in their most appropriate context. A valuable generalization of the above models is also provided.

Gulland [28] cites that 'the science of stock assessment is concerned with the provision of this [state of fish stock] advice'. His book describes the basic concepts such as the unit stock (distribution of fishing, spawning areas, general population parameters) and the catch per unit effort (catchability, standardization, gear specifications). The elementary production models and methods of parameter estimation are also presented. These parameters include growth rates, mortality rates, selection age and recruitment numbers. The work is complemented by an extensive description of the process for computing yield per recruit functions. Finally, a discussion on sensitivity analysis of changes to values and estimates on policies results is provided.

With respect to uncertainty in harvesting, Mangel and Clark [56] give an excellent introduction by considering 'the problem of modelling uncertainty regarding the location of fish concentration, and the effect of search by fishing vessels in reducing such uncertainty'. This paper examined uncertainty in bed locations, a most important problem for fisherman. Prior probabilities are assumed with respect to abundance in the fishing grounds and Bayesian updating of these priors takes place following each fishing period. The authors find that 'sampling' the stock increases the expected catches. By using the Bayesian updating method, expected revenues were also found to be higher than those not using search information.

In a recent fisheries economics paper Lane [46] deals with the  $F_{0.1}$  fishing mortality regulation policies. This paper defines the  $F_{0.1}$  figure by first giving its historical context and then justifying the computation specifications. An alternative model framework is provided in which three key components are detailed: stock mortality, growth and recruitment; decision making behaviour of fisherman; economic performance measures. It is noted that more conservative estimates ( $F_{0.1}$  vs  $F_{max}$ ) are not necessarily the optimal choice over all fisheries since stock-recruitment relationships and 'economic underpinnings of the level of fishing mortality' should also be given major considerations in determining the TAC levels.

Hannesson and Steinshamn [30] compare two strategies for the establishment of catch quotas. These are (1) fixed catch quotas and (2) the resulting catches from fixing the fishing effort at a constant level. The paper gives a general theory on each strategy's profitability and then simulates a multi-year class fish stock

focusing on fluctuations in the annual recruitment. Some remarks on validation and applicability of the model to current issues in fisheries management conclude the paper.

Hutchinson and Fischer [39] present already existing (and popular) stock growth models such as Ricker, Beverton-Holt and Schaefer-logistic. Their article focuses on recognizing the perturbation of the biomass systems (and outlining their importance). Control methods (deterministic and stochastic) are also displayed. A generalized stochastic fisheries model is presented and a specific example to Atlantic sea scallop is simulated. The major conclusion to be drawn is the fact that stochastic control leads to policies that produce 'a much larger increase in expected profit when compared to the open-loop deterministic control approach'.

## 2.2 Decision Dynamics

Hilborn and Walters [34] introduce dynamics in spatially heterogeneous fisheries using the Deriso model. Their paper presents a simulation model of multispecies or multistock fisheries that are spatially distributed and includes the dynamics of fishing fleets.

Lane [50] presents an intra-seasonal model of fishermen's decision making dynamics. It consists of a partially observable Markov decision process (POMDP) for the decisions of fishing vessel operators. The abundance as well as the catch levels are discretized in order to allow for dynamic probability measures. Before each trip within a season, fishermen decide on the area which will yield the highest expected return. The catch result depends on the actual state of abundance level and the state probability vector  $\pi$  is updated according to observed catch information.

On a more theoretical basis, Smallwood and Sondik [83] examine 'The Optimal Control of Partially Observable Markov Processes over a Finite Horizon'. This paper underlines the inherent shortcomings of deterministic observation methods (infeasible for underwater biomasses). Instead, a discretization procedure is applied to the unknown state and a probability measure on the system is introduced over  $N$  discrete states of the system. This measure is described by a  $\pi = (\pi_1, \pi_2, \dots, \pi_N)$  probability distribution vector. A probability transition matrix is constructed with the  $p_{ij}$  elements defining the probability that the system will transit to state  $j$  from state  $i$  over the next period. The paper also highlights some shortcomings of 'discretizing' (conversion of a continuous state Markov process into a finite state Markov process) including the 'curse of dimensionality' and the long processing time for convergence of the algorithm on a mainframe computer.

## 2.3 Application to Scallops

Caddy [6] explores the spatial distribution of biomass and effort over a fishing ground for Georges Bank sea scallops. This study quantifies and differentiates the accessible scallop fishing areas within Georges Bank. It gives estimates of 'virgin biomass per 10 minute unit area of latitude and longitude in metric tons'. The paper also provides information on the Georges Bank scallop resource such as dynamic pool yield, gear selection, unexploited biomass, and total and fishing mortality estimates.

In a 1987 manuscript, Mohn *et al.* [64] look at yield per recruit analysis as well as stock projections in order to set the TAC for the Georges Bank scallop stocks. It focuses biological information on the problem of defining fishing strategies and target exploitation rates. The  $F_{0.1}$  fishing mortality level is found to be a conservative basis for determining the TAC, even though when noting that 'an  $F$  based on a yield per recruit model is being used to address failing recruitment'. The paper is critical of policies to treat the oldest age group as a 'plus group'. This means that the resource holds an infinite potential lifespan. With respect to scallop catches, the proportion of animals over age 11 (where the plus group begins) is very low ( $< 1\%$ ).

The '1988 Offshore scallop Fishery Mangement Plan' [9] provides a good source of the major objectives and advice features of actual annual management policy. Developed by the Offshore Scallop Advisory Committee (OSAC), the plan underlines the dual goal of conserving a limited and valuable resource while providing 'reasonable access' to Georges Bank for the licensed (enterprise allocation) scallop fishery vessel operators. The major objectives call for increased stability of the biomass (from the recent instabilities in the annual landings). It also requires increased biological input in order to coordinate better the TAC and EA quotas. Finally, as a major objective, the committee calls for 'reduction in harvesting capacity to improve economic viability' by continued encouragement for the use of the EA program.

# Chapter 3

## Methodology

The major aspects of the bioeconomic model include the resource's behaviour through stock-recruitment dynamics and the exploitation of the resource through harvesting decision dynamics. This chapter presents the design and development of appropriate models to address these two aspects.

### 3.1 Stock Dynamics

A stock dynamics model that provides a suitable, parsimonious biomass representation would be one that incorporates age structure, growth effects and stock-recruitment. In a detailed presentation of the Deriso model [20], Hilborn and Walters [34] highlight its simplicity and efficiency by comparing it to a surplus production model that includes current and past biomasses and recruitment as well as considerations for fishing and natural mortality rates. To briefly introduce stock abundance dynamics, we begin by describing the necessary elements of the actual process.

#### 3.1.1 Stock-recruitment Process

Consider a stock-recruitment model in which the response of the population to certain external forces (e.g. harvesting) is not instantaneous, and a measurable amount of 'time of reaction' is to be considered. When this occurs, the population may be modelled using discrete-time. Clark [16] provides a 'general, time-metered stock-recruitment model' which illustrates the sensitivity of a discretely responsive system by initially defining the current ( $t$ th) generation's parent stock,  $X_t$ , and the resulting recruits,  $R_t$ . These recruits will either be harvested,  $H_t$ , or become part of the next generation's parent stock  $X_{t+1}$ . Figure 3.1 gives a graphic overview of the above process. It is assumed that the estimated stock at the beginning of the season will vary only within its initial class-mark, and on-going

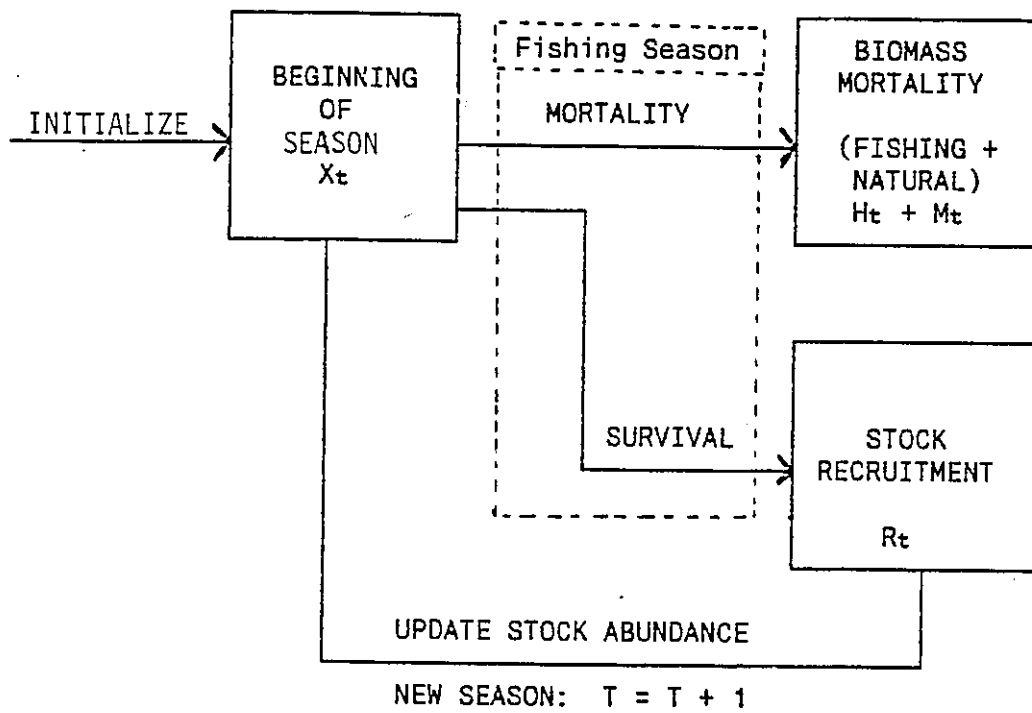


Figure 3.1: Harvestable stock reproduction process

adjustments in stock for natural mortality, harvesting mortality and recruitment will be compiled and included in the stock estimates at the end of the current season. Moreover, the beginning of season aggregate stock level is assumed to be distributed in an unchanging manner throughout all relevant fishing areas. Thus each area provides information about the overall state of scallop abundance.

### 3.1.2 Deriso's Recruitment Model

Let the current population biomass,  $X_t$ , be defined in terms of:  $N_{at}$  the number of animals at age  $a$  in time  $t$  and  $W_{at}$ , the unit weight at age  $a$  and in time  $t$ . The single stock population size by weight can be expressed as (Walters [84])

$$X_t = \sum_{a=k}^{\infty} N_{at} W_{at} \quad (3.1)$$

where  $k$  is the fixed age of recruitment to the fishery (an animal is harvestable when  $a \geq k$ ). Assume that each animal is equally likely to be harvested at a rate  $h_t$  in time  $t$  ('knife-edge selection') and that the biomass is characterized by a natural survival rate of  $s$ . The total survival rate can be represented as  $l_t = (1 - h_t)s$ . Equation (3.1) can now be written as

$$X_t = l_{t-1} \sum_{a=k+1}^{\infty} N_{a-1,t-1} W_{at} + N_{kt} W_{kt} \quad (3.2)$$

Supposing now that the body growth rate  $\rho$  is decelerating, i.e.  $\rho < 1$ . The Brody equation (Schnute [79]) characterizes this rate by

$$W_{at} = W_k + \rho W_{a-1,t-1} \quad (a \geq k) \quad (3.3)$$

where  $W_k$  and  $\rho$  are given growth parameters. Substituting into (3.2) yields

$$X_t = l_{t-1} \rho X_{t-1} + l_{t-1} W_k \sum_{a=k+1}^{\infty} N_{a-1,t-1} + N_{kt} W_{kt} \quad (3.4)$$

since from (3.1),

$$X_{t-1} = \sum_{a=k+1}^{\infty} N_{a-1,t-1} W_{a-1,t-1} \quad (3.5)$$

By rewriting the  $t - 1$  terms and by shifting back by 1 time unit we get an intermediate result

$$l_{t-2} W_k N_{t-2} = X_{t-1} - l_{t-2} \rho X_{t-2} - N_{k,t-1} W_k \quad (3.6)$$

Using this result in (3.4), the basic Deriso stock-recruitment equation is obtained:

$$X_{t+1} = (1 + \rho)l_t X_t - \rho l_t l_{t-1} X_{t-1} + W_k N_{k,t+1} \quad (3.7)$$

where the first term is the growth and survival of the current biomass, the second term is the correction applied for age-structure and growth fluctuations and the third term is the biomass of new recruits ( $R_{t+1} = W_k N_{k,t+1}$ )

Now assuming a fixed harvest rate ( $F_t = F$  for all  $t$ ), and a Brody growth coefficient  $\rho$  computed through a *Walford* plot, i.e.,  $X_t$  vs  $X_{t+1}$  (Schnute [79], see also Appendix A) and a model for recruitment (Ricker [73], Walters [84]), the Deriso model can be simplified in the following way:

$$X_{t+1} = (1 + \rho)S X_t - r S^2 X_{t-1} + R_t - r S R_{t-1} \quad (3.8)$$

$$R_t = \alpha X_t e^{\nu \epsilon} \quad (3.9)$$

Where:

- $X_t$  ..... Biomass (metric tons) at time period  $t$
- $R_t$  ..... Recruitment (metric tons) observed at time period  $t$
- $S = (1 - \frac{F}{F+M} \times (1 - e^{-F-M}))$  ..... Total survival level
- $F$  ..... Fishing mortality rate
- $M$  ..... Natural mortality rate
- $\rho$  ..... Brody growth coefficient
- $\alpha$  ..... Constant recruitment factor of current biomass
- $\epsilon$  ..... Standard Normal Error ( $\epsilon \sim N(0,1)$ )
- $\nu$  ..... Error variability

Figure 3.2 represents a graph of  $X_t$  vs  $t$  for the Deriso stock dynamics model given values of  $F(=0.3)$  and  $M(=0.1)$ ,  $\rho(=0.958)$ , a constant annual recruitment  $R_t(=40)$  and two distinct initial values for the biomass ( $X_0=100$  and  $300$ ). Figure 3.3 shows the function of  $R$  vs  $X$  for equation 3.9 for a given  $\alpha(=0.4)$  and different variability values ( $\nu=0.0,0.3$ ).

### The Fishing Mortality Rate $F_{0.1}$

Most commercial fisheries stocks on the Atlantic coast are regulated individually using the  $F = F_{0.1}$  harvesting policy (Gulland and Boerema [29], Gulland [28], Lane [46]) as a conservative target fishing mortality. The  $F_{0.1}$  fishing mortality is obtained from the function yield per recruit versus fishing mortality rate. Yield per recruit is a function of the growth rate and natural mortality of the stock.

# Biomass at time $t$

## Deriso Stock Dynamics Model

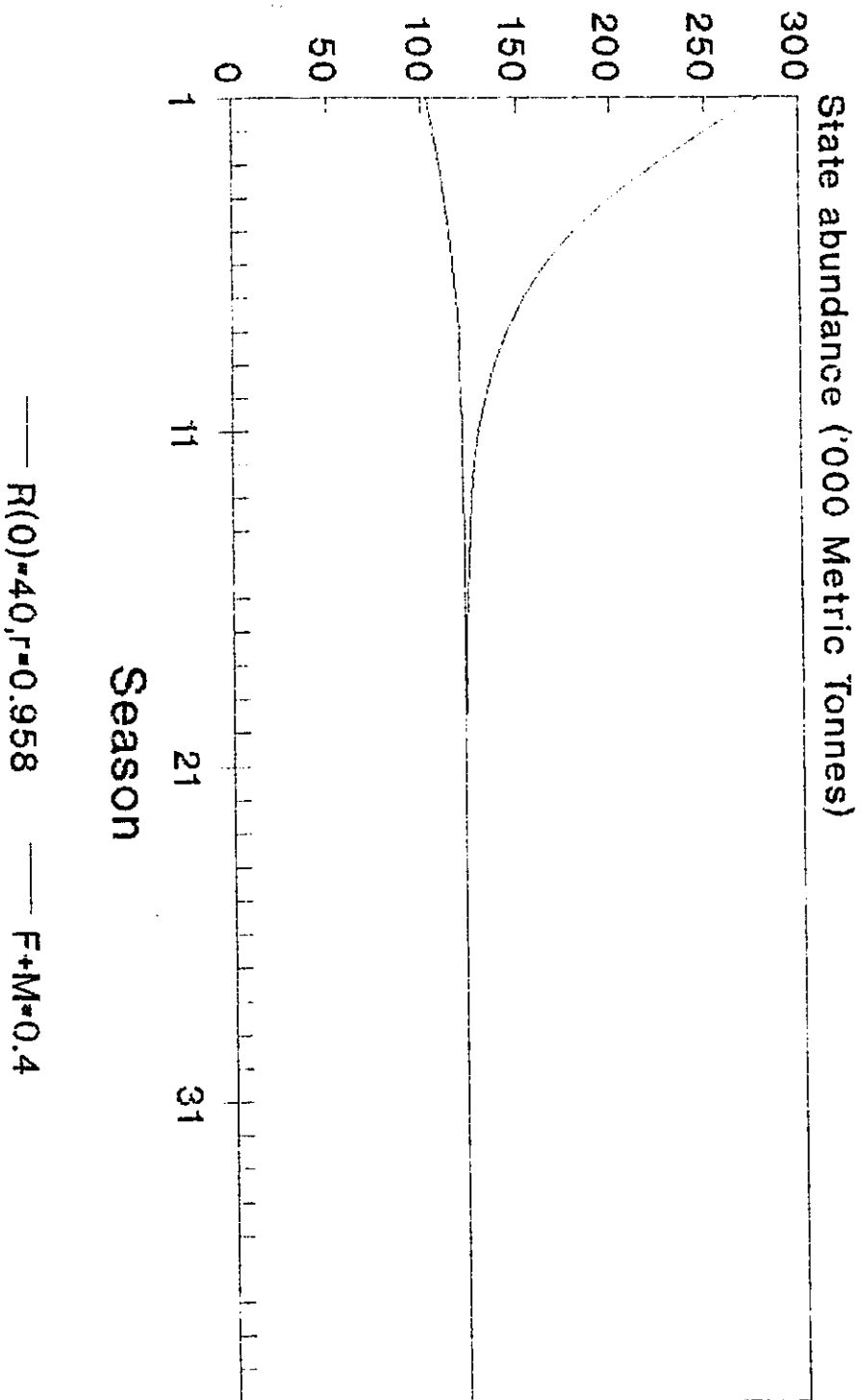


Figure 3.2:  $X_t$  vs  $t$

# Recruitment vs Biomass

0.0 variability, 0.3 variability

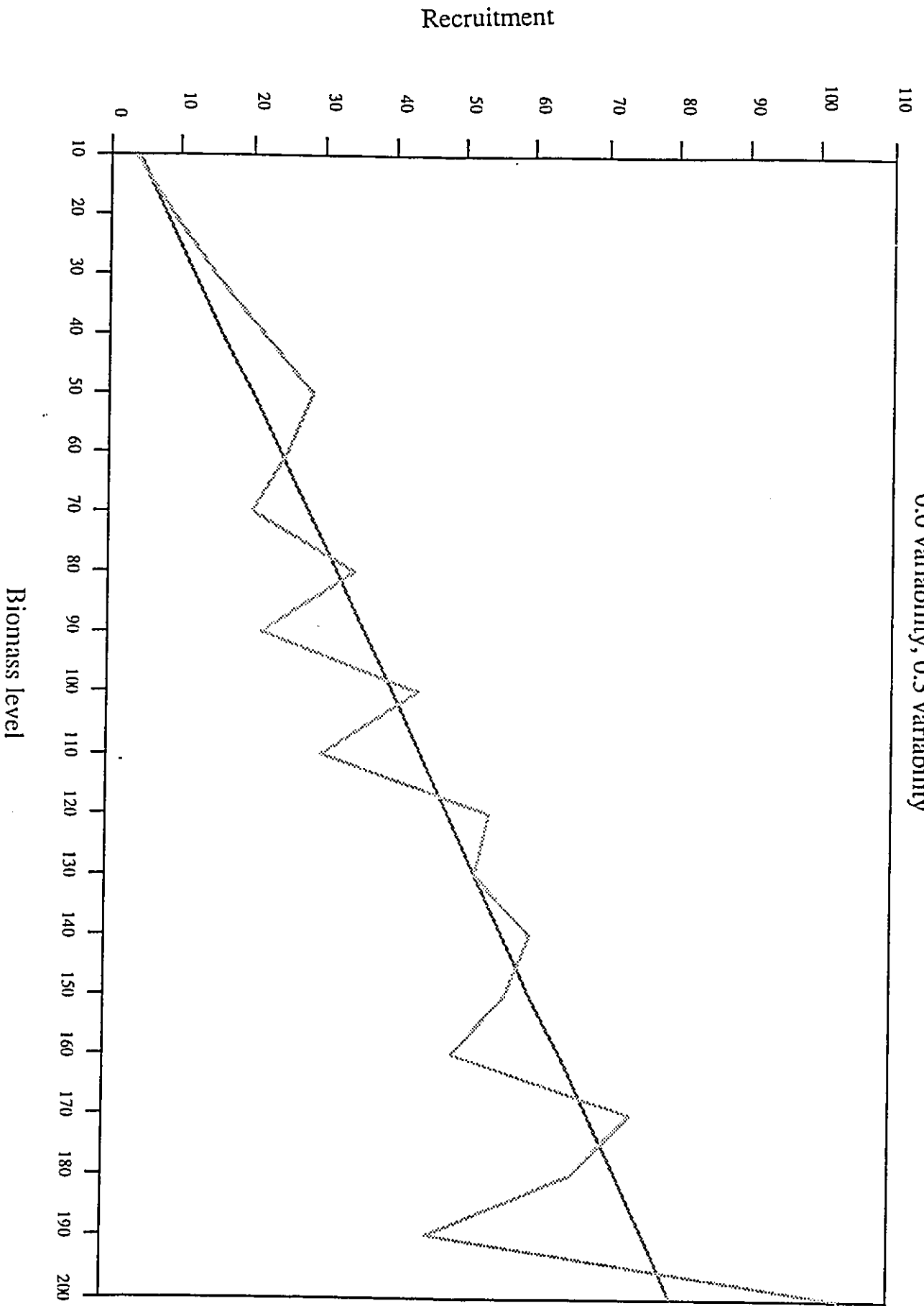


Figure 3.3:  $R$  vs  $X$  with  $\alpha = 0.4$ ,  $\nu = 0.0, 0.3$

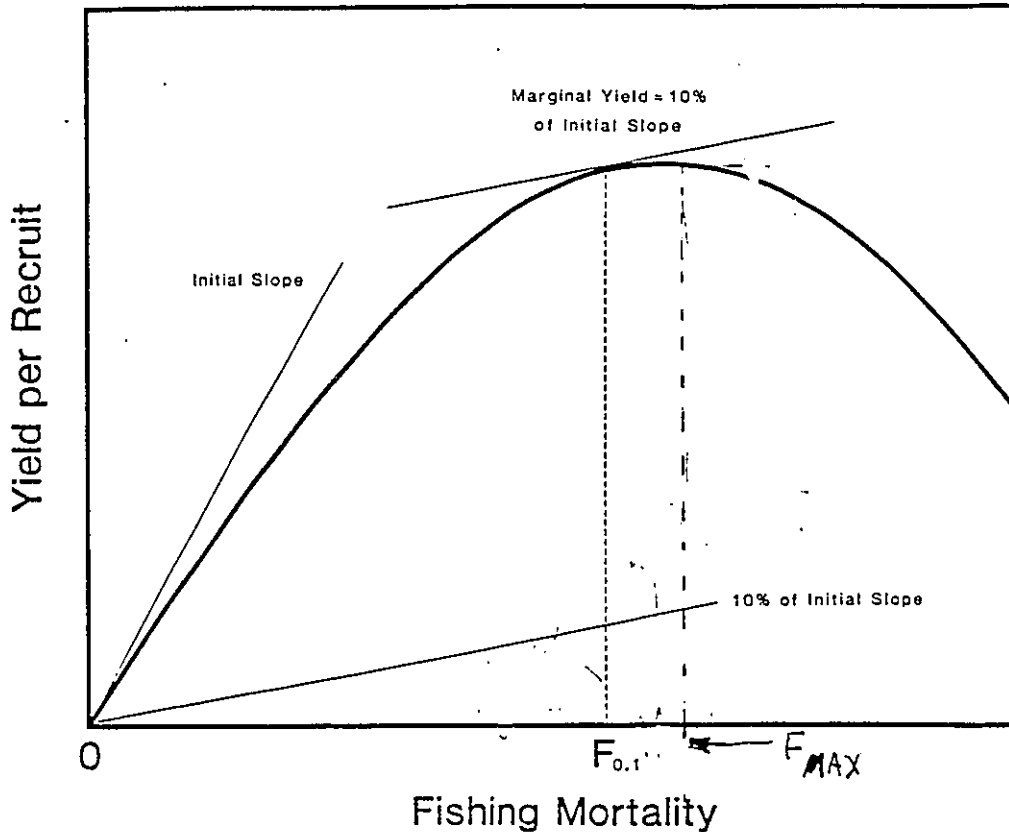


Figure 3.4: Determination of  $F_{0.1}$  fishing mortality

$F_{0.1}$  is found by determining the slope of the yield per recruit curve at the origin (derivative at  $F = 0$ ). The curve from the origin of a slope of 10% of the initial slope is drawn and 'pushed' up until tangent to the yield per recruit curve. The first coordinate of this point of tangency is the  $F_{0.1}$  fishing mortality rate. This policy, gives a 10% marginal increase in yield per recruit due to a small increase in  $F$  in a lightly exploited fishery. Doubleday [22] mentions that 'Most of the fish stocks within the Canadian Atlantic are currently managed at the level of fishing corresponding to  $F_{0.1}$ ...'. Figure 3.4 displays the graphical determination of the  $F_{0.1}$  fishing mortality level.

An alternative numerical method to calculating  $F_{0.1}$  is to use a third order approximation of the yield per recruit curve. Deriso [21] provides a set of parameters corresponding to coefficients of the equation that gives close approximation to  $F_{0.1}$ :

$$F_{0.1} = M \exp (A_0 + A_1 m + A_2 m^2 + A_3 m^3) \quad (3.10)$$

The parameter  $m$  is the ratio  $M/K$ , where  $M$  is the natural mortality rate and  $K$  is the growth rate in the Von Bertalanffy length equation with  $K = -\log \rho$  (Schnute[79]). The  $A_i$  coefficients are provided by Deriso as in Table 3.1.

$A_0$	$A_1$	$A_2$	$A_3$
0.699	-0.698	0.236	-0.029

Table 3.1: Parameter values for approximation of  $F_{0.1}$

## 3.2 Harvest Model Dynamics

For a fisherman, the harvesting season is divided into a fixed number of observation periods; i.e., fishing trips. Before each trip (there is a maximum number of trips per season), the fisherman must decide where to fish in order to maximize his expected return. Fishing activities occur successively over a finite maximum number of trips per fishing season. The number of trips is constrained by the Total Allowable Catch (TAC) based on the  $F_{0.1}$  fishing mortality target in a season.

Several models can be conceptualized for structuring fish harvesting decision making models. The two algorithms are developed here. The myopic algorithm reacts to immediate information, computes conditional probabilities about the overall state of stock abundance and expected returns, and provides its user with an optimal decision (area) based on the expected return criteria. This algorithm is updated before each fishing trip.

The adaptive algorithm also searches for optimality with respect to the expected returns per fishing area. However, in this algorithm, the user is given a seasonal policy. This means that the current trip's decision will depend on the current measure of the abundance level to date only, all the other decision variables having been accounted in the policy development procedure. Figure 3.5 shows the dynamics of the harvesting sector. Details on the development of both the myopic and adaptive algorithm are provided further in this chapter.

For each algorithm, two basic scenarios are modelled and simulated. These are, first, that all fishermen are alike, i.e., behave in the same 'average' way. This scenario examines the decision making of a single 'average' fisherman independent from the fleet. This fisherman is assumed to make a fixed number of trips with information on stock abundance and catch data being transferred or updated from one trip (or one complete season) to another.

The second scenario is designed to model a fishing fleet with no intra-seasonal information sharing between the fishing boats. Each of the boats in the fleet is treated as a single fisherman. Each have their unique measure of information on abundance level and catch level. In this scenario the number of trips each fisherman makes is also bounded by the total allowable harvest level computed from the fishing mortality rate  $F$  applied at that moment.

### The $\pi$ -vector

As previously noted, two decision models for fishermen are applied to the bioeconomic modelling framework. The first is a decision tree formulation with trip by trip analysis (myopic algorithm). The second is a seasonal policy development procedure (adaptive algorithm) using dynamic programming. The following bioeconomic model variables are common to both decision models. Elements peculiar

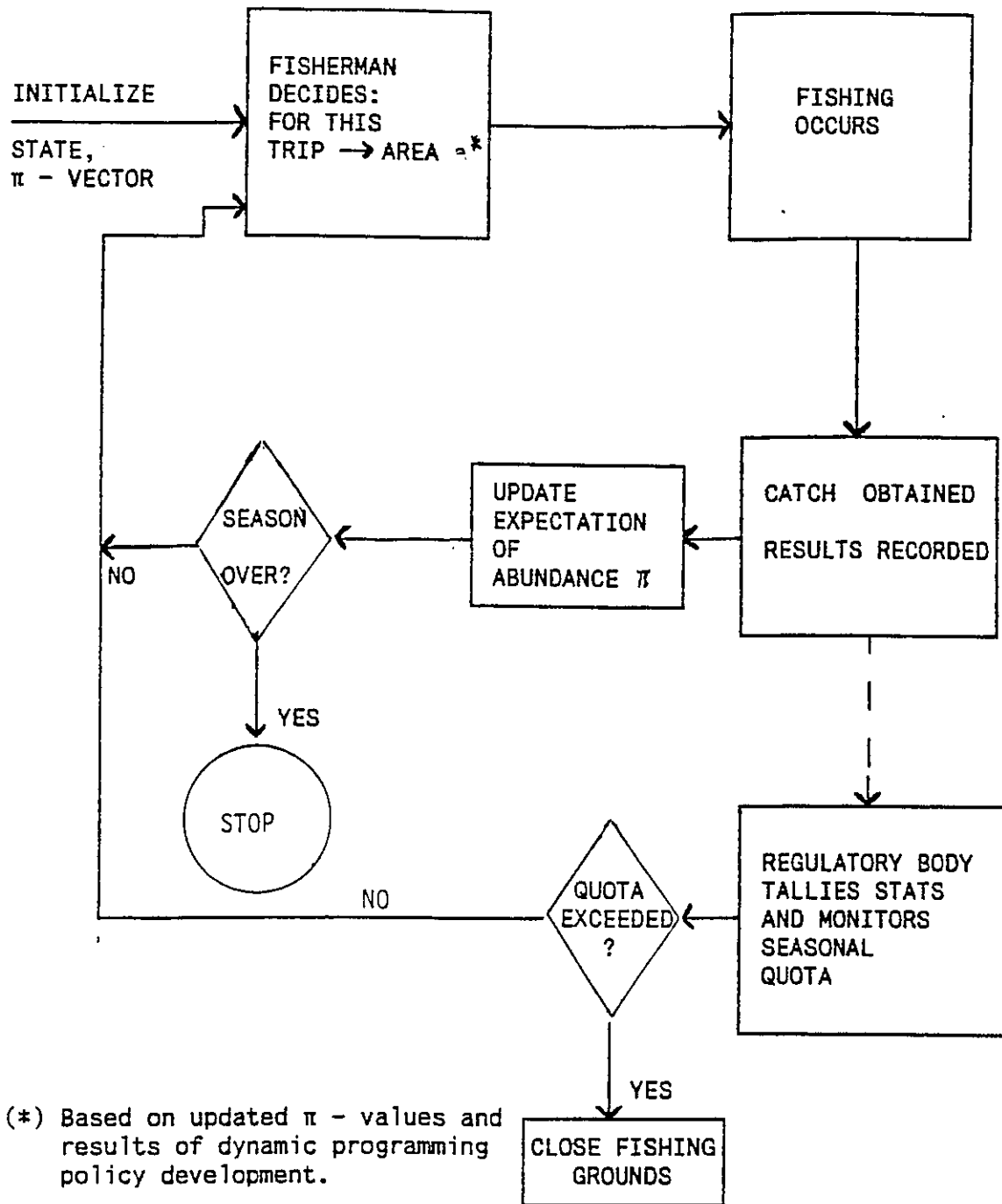


Figure 3.5: Seasonal dynamics of the harvesting sector

to each individual model will be defined subsequently.

### 3.2.1 Variable Definition

For this application, the state of stock abundance over all fishing areas during season  $t$ ,  $X_t$ , is discretized into three 'fuzzy' categories: Good ( $G$ ), Average ( $A$ ), and Poor ( $P$ ) abundance. Fishermen, never fully aware of the actual state abundance, estimate the state of the fishery with a probability distribution vector over the states, denoted by  $\pi = (\pi_G, \pi_A, \pi_P)$ . Pre-season abundance estimates and the initial  $\pi$ -vector elements are estimated from historical data. Intra-seasonally, the  $\pi$ -vector is updated through imperfect observations from each fishing trip's catch result. The catch, denoted by  $Y$ , is also discretized in  $G$ ,  $A$ , and  $P$  categories with numerical ranges and class-marks (boundaries defining the discrete levels) specific to each fishing area.

The functional relationship between  $Y$ , the observation of abundance, and  $X$ , the actual state of abundance, is characterized by the conditional probability measures:

$$q_{jl}(a) = \Pr(Y = l \mid X = j, \text{area} = a) \quad (3.11)$$

where  $q_{jl}(a)$  represents the probability of observing in area  $a$ , a catch level  $Y = l$ , given the actual state level  $X = j$ .

The controllability of the system is restricted to the one action the fishermen can take per period, that is, which fishing area to select for the next trip? This action is denoted by  $a_k$ , the decision to fish in area  $a$  on trip  $k + 1$  during the season.

The reward function for each decision is made up of three basic components:

1.  $p_k$  - the price per unit weight of landed value at trip  $k$ .
2.  $f(l, a)$  - the class mark of landings by weight of catch level (constant over the simulation horizon)
3.  $cf(a)$  - the cost of fishing per trip in area  $a$  (constant over the simulation horizon)

### 3.2.2 The Myopic Algorithm

Optimizing the profits over one trip and using this and past collected information to make a decision for the next trip only are evidence of 'nearsightedness' or myopic decision making.

Consider a typical fisherman who is faced with the initial decision of 'which fishing area to choose for the next trip?' It is assumed that the chosen area

should be the one which maximizes the immediate expected return from fishing. The expected returns depend on the stock abundance level and the catch result. A model that adequately replicates this decision process may be described by decision tree methodology (Raiffa [71]). The decision tree is used to sketch out the possible options and outcomes facing the fisherman each trip. A 'quantified preference' on fishing areas can then be established since in decision tree analysis the optimal fishing area will be the one that maximizes the immediate *expected* return. Figure 3.6 represents the possible options and outcomes facing the decision maker in a decision tree diagram. The myopic model includes alternatives of test dragging in particular areas. Feedback from the test drag is used to determine continued effort in the area as occurs in practice.

Consider now the option of 'fishing in area  $a$ ' for a given trip. Under the myopic model assumption this option's expected return is obtained in the following way:

$$\Pr(Y = l \mid \text{area } a) = \sum_{j=G,A,P} q_{jl}(a) \cdot \pi_j(k) \quad (3.12)$$

This yields the marginal probability that the fisherman observes a catch of level  $l$  in area  $a$  where  $\pi_i(k)$  represents the prior probability on the state abundance ('probability that the state abundance level equals  $j$ ,  $j=G,A,P$ ') for fishing trip  $k$ . From  $\Pr(Y \mid a)$  and the catch class-marks specific to each area,  $f(l, a)$ , the return  $R_{k+1}(l, a)$  is computed by calculating the current reward function

$$R_{k+1}(l, a) = p_{k+1} \cdot f(l, a) - cf(a) \quad (3.13)$$

for fishing in area  $a$  during trip  $k + 1$  of the season. The total expected return from fishing in area  $a$  is:

$$g_{k+1}(a) = \sum_{l=G,A,P} \Pr(Y = l \mid \text{area } a) R_{k+1}(l, a) \quad (3.14)$$

Similarly, the 'testing' option's expected return is computed. Here, the 'no fishing' option includes only the testing cost of area  $a$ . Only the  $\Pr(T)$  probabilities need to be computed ( $\Pr(\text{Test is favorable } (T = f) \text{ or unfavorable } (T = u))$ ).  $\Pr(Y \mid a)$  was obtained above and  $\Pr(T = u \mid Y = j) = 1 - \Pr(T = f \mid Y = j)$ , the conditional probability of the test outcome for a given catch level is a constant data input matrix. Hence  $\Pr(T) = \Pr(T \mid Y) \cdot \Pr(Y)$ . Finally, to compute  $g_{k+1}(a)$ ,  $\Pr(Y \mid T)$  is used instead of  $\Pr(Y)$ .  $P(Y \mid T)$  is obtained by applying Bayes' theorem:

$$\Pr(Y \mid T) = \frac{\Pr(T \mid Y) \cdot \Pr(Y)}{\sum_{Y=G,A,P} \Pr(T \mid Y) \cdot \Pr(Y)} \quad (3.15)$$

MYOPIC ALGORITHM: TRIP DECISION TREE

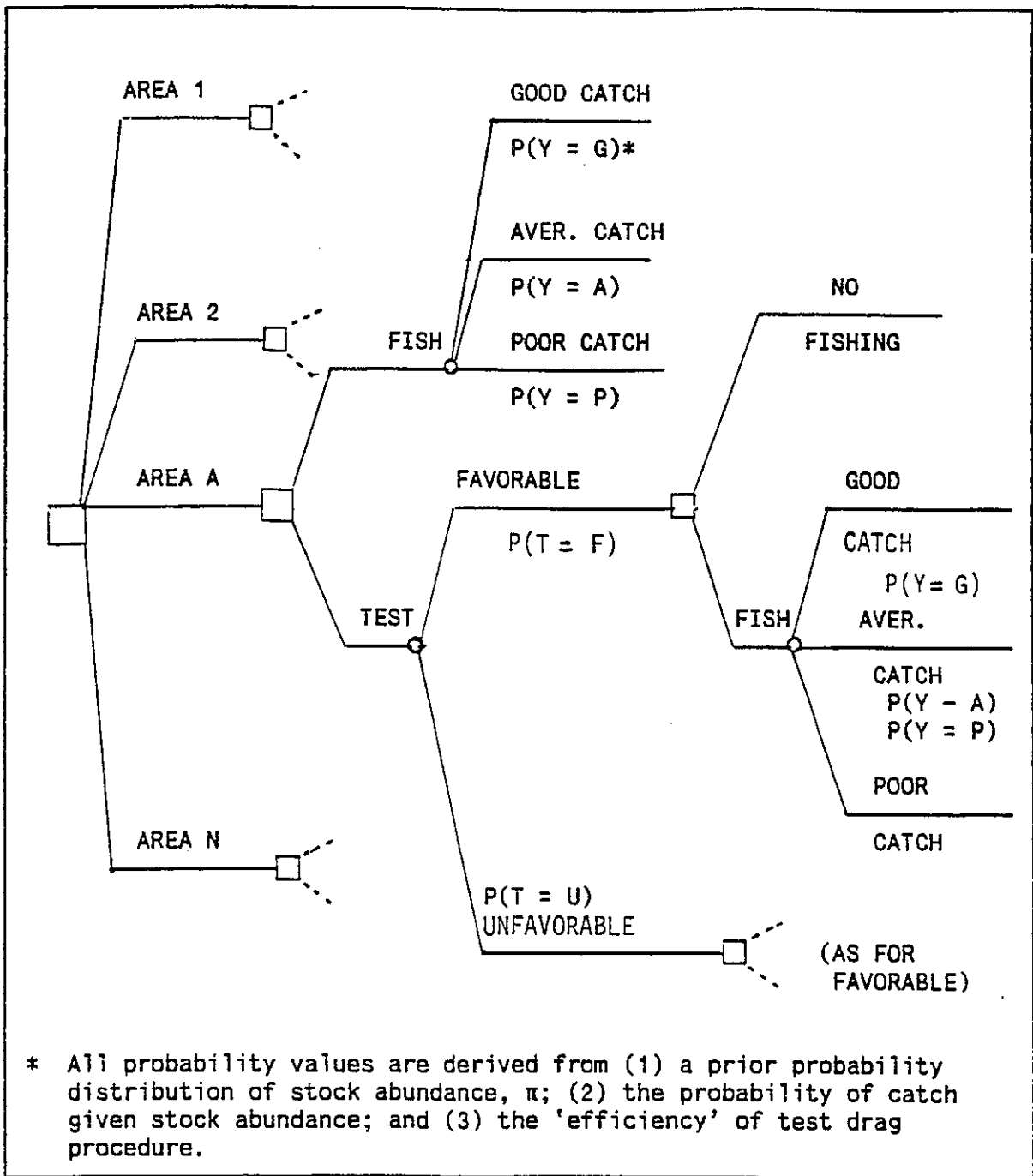


Figure 3.6: Decision tree representation of the myopic model

This completes the calculations required to determine the current area decision for the myopic model.

Once the area  $a$  yielding highest expected return is selected, fishing takes place, a catch level classmark is observed ( $l$ ) according to the actual stock level and  $q_{jl}(a)$  probabilities for area  $a$ . The catch is recorded and the observed state abundance vector,  $\pi$ , is updated for the next trip ( $k + 1$ ) in the following way:

$$\pi_i(k + 1) = \frac{q_{il}(a) \cdot \pi_i(k)}{\sum_j q_{jl}(a) \cdot \pi_j(k)}, \quad i = G, A, P \quad (3.16)$$

This updated  $\pi$ -vector is carried through to the next fishing trip. The same decision tree is analysed, the expected values and probabilities modified accordingly and a fishing ground is selected again. This procedure continues until either the total allowable catch has been filled or the fixed maximum number of trips per season has been reached. At the end of the season, stock-recruitment occurs. At the beginning of the next season the fisherman selects a fishing area from the decision tree using the ending  $\pi$ -vector from previous seasons' information as the new season's initial  $\pi$ -vector.

### 3.2.3 The Adaptive Algorithm

The myopic algorithm requires current period computations at the beginning of the fishing trip only. The adaptive algorithm however develops a forward looking decision policy over all trips during the season prior to the first fishing trip. That is, a seasonal policy is developed before the fishing season. This policy consists of identifying subsets of the accessible fishing areas as best candidates for fishing in each trip. Figure 3.7 charts the method for determining the fisherman's seasonal policy. Adaptive decision making models are derived from stochastic dynamic programming. We begin with the last and simplest stage of the decision problem by computing the returns when there is only one fishing trip to go. The expected returns from fishing in each area can be computed for all possible discrete state levels of the system, making the final decision strictly dependent on the probabilistic measure of the state, the  $\pi$ -vector.

#### Elimination of non-optimal yielding areas

Fishing areas that are strictly dominated relative to their contribution to expected returns over the  $\pi$ -vector may be eliminated as decision alternatives. Consider the option of fishing in area  $a$ . The elements of the expected return vector over all actual states of the system are denoted here by a distribution of corresponding reward values for every possible state level ( $\alpha_{aG}, \alpha_{aA}, \alpha_{aP}$ ). The expected reward from fishing area  $a$  is now given by

## ADAPTIVE ALGORITHM DECISION POLICY

(Backward recursion with  $n$  fishing trips per season)

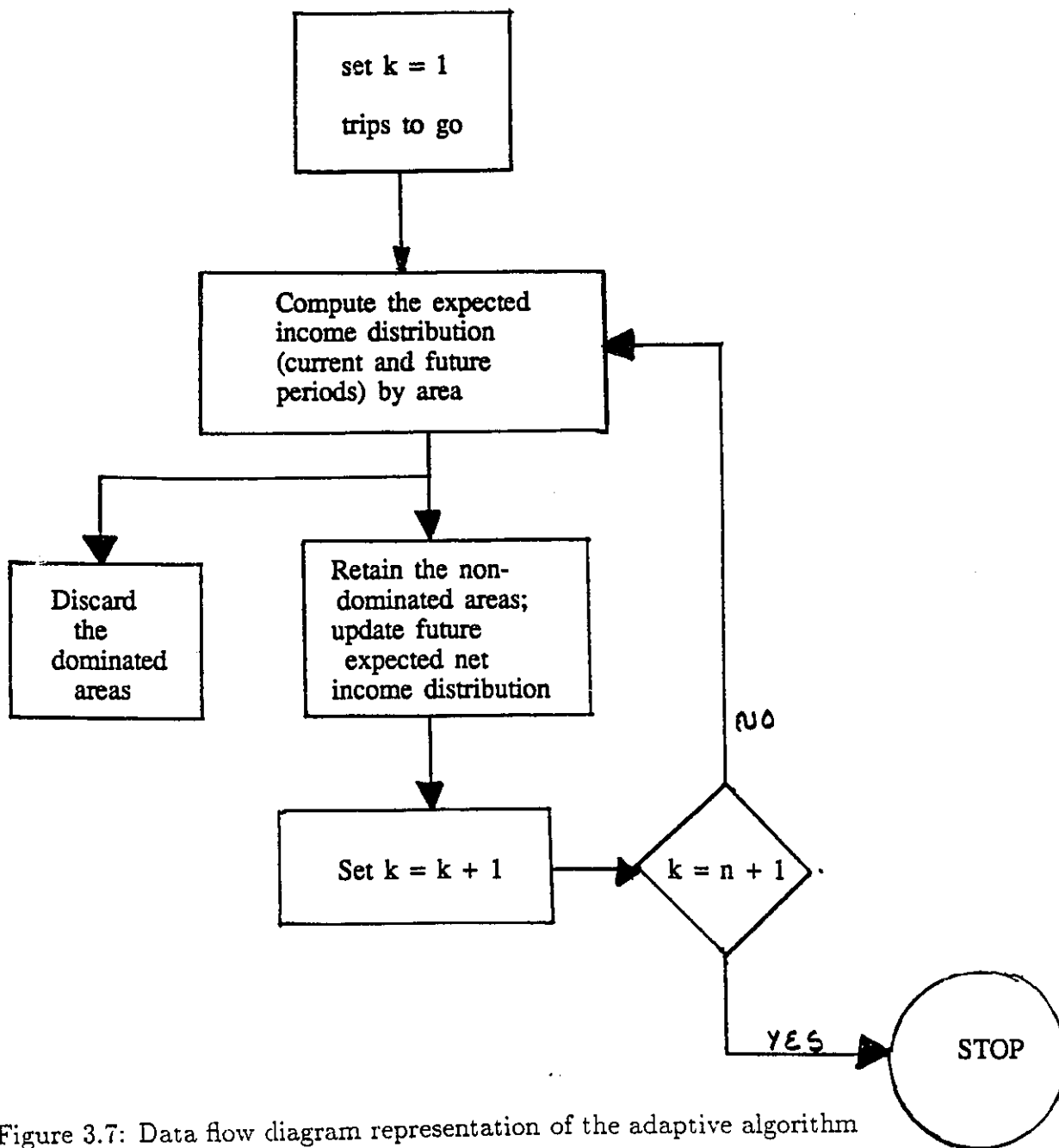


Figure 3.7: Data flow diagram representation of the adaptive algorithm

$$\sum_{i=G,A,P} \alpha_{ai} \pi_i \quad (3.17)$$

When matching this set against the  $\alpha$ -values for some other area, it may be observed that all  $\alpha_{ai}$  values are strictly larger or smaller than those of the other area. ( $\alpha_{aj} > \alpha_{a'j}, j = G, A, P$ ). When area  $a$  dominates area  $a'$  then  $a'$  can be discarded immediately, regardless of the values of the  $\pi$ -vector. Therefore, area  $a'$  would be excluded from this trip's policy subset.

The backward recursion is modelled in the  $\alpha$ -update procedure when proceeding from  $i$  trips to go to  $i + 1$  trips to go. All  $\alpha$ -values are then updated by adding the expected return of trip  $k + 1$  and averaging on the  $\alpha$ -values with  $k$  trips to go.

Total enumeration of the decisions from trip  $k$  to the last trip (we are moving backwards) would of course yield full accuracy for the  $\alpha_{k-1}$ -value vector. The large number of combinations (number of areas  $\times$  number of states) <sup>$k$</sup>  is symptomatic of the 'curse of dimensionality' in dynamic programming. To circumvent this problem the heuristic procedure used here is to average the  $\alpha_k$  values obtained at every stage or fishing trip of the problem. The resulting values in the vector  $\alpha$  represent the future expected reward attributable to all dominant strategies.

### Mathematical model of the adaptive algorithm

The expected net operating income from fishing in area  $a$  at trip  $k$  (action  $a_{k-1}$ ) if the actual state abundance level is  $X_t = j$  is defined as a function of the expected catch, the resource's unit price at the current period and the area's fishing cost. As in the myopic algorithm and from 3.14 we have:

$$g_k(a) = \sum_{j,l} p_k \cdot f(l, a) q_{jl}(a) \pi_j(k) - cf(a) \quad (3.18)$$

The objective function maximizing the total seasonal expected net operating income can now be formally stated:

$$J(\pi) = E \left\{ \sum_{k=1}^N g_k(a_k) \right\} \quad (3.19)$$

where  $N$  is the number of trips a fisherman makes in a season and  $a_k$  is the action (decision) taken at trip  $k$ .

Above, we mentioned the  $\pi$ -updating procedure resulting from catch levels,  $Y_1, Y_2, \dots, Y_{k-1}$  is

$$\pi_j(k) = \text{Pr}(X_t = j \mid Y_1, Y_2, \dots, Y_{k-1}, a_1, a_2, \dots, a_{k-1}) \quad (3.20)$$

Once the decision  $a_k = a$  has been determined and  $Y_k = l$  is taken, then we find  $\pi_j(k+1)$  through a Bayesian updating transfer function  $T_k$ :

$$T_k(\pi | l, a)_j = \frac{q_{jl}(a)\pi_j}{\sum_i q_{il}(a)\pi_i} = \pi_j(k+1) \quad (3.21)$$

We define the last trip's ( $N$ ) (or 1 trip to go) reward function as:

$$J_N(\pi) = \max_a \left[ \sum_{i=G,A,P} g_N(a)\pi_i(N) \right] \quad (3.22)$$

Applying backward recursion for one period, the current period is now the season's next to last period ( $N-1$ ):

$$J_{N-1}(\pi) = \max_a \left[ \sum_{i=G,A,P} g_{N-1}(a)\pi_i(N-1) + \sum_{i,l} J_N(T_{N-1}(\pi | l, a))q_{ji}(a)\pi_i(N-1) \right] \quad (3.23)$$

Finally we may write the dynamic programming equation:

$$J_k(\pi) = \max_a \left[ \sum_{i=G,A,P} g_k(a)\pi_i(k) + \sum_{i,l} J_{k+1}(T_k(\pi | l, a))q_{ji}(a)\pi_i(k) \right] \quad (3.24)$$

or in expectation terms:

$$J_k(\pi) = \max_a \left[ E \{ g_k(a) + J_{k+1}(T_k(\pi | l, a)) | \pi(k) \} \right], \quad k = 1, 2, \dots, N \quad (3.25)$$

where  $J_{N+1} = 0$ . The dynamic program produces a decision *policy* for each fishing trip consisting of  $\alpha$ -vectors for each of the non-dominated decisions (areas). The  $\alpha$ -vector elements represent the expected returns from the three possible states of the system. Figure 3.8 and Figure 3.9 show respectively the mathematical decomposition of the  $\alpha$ -values and a numerical example from the application of the model when there are  $k = 1$  trip to go and  $k = 2$  trips to go.

The vector product of a realization of the  $\pi$ -vector with the  $\alpha$ -vectors yield the expected return per decision alternative  $\alpha_i^0$  (equation 3.26).

$$\begin{pmatrix} \alpha_{1G} & \alpha_{1A} & \alpha_{1P} \\ \alpha_{2G} & \alpha_{2A} & \alpha_{2P} \\ \vdots & \vdots & \vdots \\ \alpha_{n^*G} & \alpha_{n^*A} & \alpha_{n^*P} \end{pmatrix} \begin{pmatrix} \pi_G \\ \pi_A \\ \pi_P \end{pmatrix} = \begin{pmatrix} \alpha_1^0 \\ \alpha_2^0 \\ \vdots \\ \alpha_{n^*}^0 \end{pmatrix} \quad (3.26)$$

The alternative area with the maximum value obtained (highest  $\alpha^0$  value) is chosen as the area to fish in the forward pass through the dynamic programme policy. The set of  $n^*$  decision alternatives (areas) is a subset of the total number of fishing areas which include the non-dominated areas at the current fishing trip. As in the myopic algorithm, the  $\pi$ -vector is updated according to catch, and the process moves forward in time to the next fishing trip until the season ends.

The heuristic policy results are used in the simulation model to replicate the fishing activity and associated trip decisions. Simulated fishing profits are tallied by multiplying the class mark of the observed catch level by the current unit price and subtracting the cost of fishing.

## DP Policy Development

Computation of the  $\alpha$  values for area a and states G, A and P:  $(\alpha_G, \alpha_A, \alpha_P)$   
with k=1 period to go

$$\alpha_G = (p_k \times E(\text{catch} | G, a)) - c_f(a)$$

$p_k$  is the market price in period k

$E(\text{catch} | G, a) =$

$$\begin{aligned} & \text{Pr}(\text{Good} | G, a) \times \text{Good catch} \\ & + \text{Pr}(\text{Average} | G, a) \times \text{Average catch} \\ & + \text{Pr}(\text{Poor} | G, a) \times \text{Poor catch} \end{aligned}$$

$c_f(a)$  is the cost of fishing in area a.

With k = 2 periods to go:

$$\alpha_G = p_k \times E(\text{catch} | G, a) - c_f(a) + \frac{\sum_i \alpha_{G_i}(k-1)}{\text{Number of options}_i}$$

{ Immediate Reward } + { Future Reward }

Future reward is the average value over all non dominated areas i with k - 1 periods to go.

Figure 3.8: Computation of the adaptive algorithm decision policy

$\alpha$  - computation example

$k = 1$  period to go, the immediate reward values are:

		STATE			
		G	A	P	
AREA	1	28.05	27.00	23.85	} Non dominated areas
	2	31.55	25.70	21.35	
	3	30.85	27.40	24.10	
	4	29.55	26.85	24.60	
	5	30.35	26.90	23.60	
	6	30.35	26.90	23.60	

(\*) Figure D.2 in thesis

Future averaged net income distribution:

	G	A	P
2	31.55	25.70	21.35
3	30.55	27.40	24.10
4	29.55	26.85	24.60
	<u>91.95</u>	<u>79.95</u>	<u>70.05</u>
	3	3	3
	= 30.65	26.65	23.35

When  $k = 2$  periods to go, the reward matrix is updated

		STATE		
		G	A	P
AREA	1	58.70	53.65	47.20
	2	62.20	52.35	44.70
	3	61.50	54.05	47.45
	4	60.20	53.50	47.95
	5	60.00	53.55	46.95
	6	60.00	53.55	46.95
	3	30.85	27.40	24.10
		+30.65	+26.65	+23.35

Figure 3.9: Numerical example of the adaptive algorithm policy development

### 3.3 Other Model Specifications

#### Total allowable catch considerations

When simulating the fishing fleet scenario (i.e. multiple independent vessels), monitoring of the cumulative actual catch is necessary to determine when the preset TAC has been harvested. A **stopping rule** is included in order to model the enforcement of TAC regulations. This rule is implemented by first computing the season's harvest fraction  $h$  of the total stock for the given value of management policy  $F$ :

$$h = \frac{F}{F + M}(1 - \exp(-F - M)) \quad (3.27)$$

Following every fishing trip, the ratio of total simulated catch and estimated state abundance is computed and compared to the  $h$  value. If the computed ratio is higher than  $h$ , then the current fishing season is terminated and the statistics for the season are tallied.

#### Investment Factor

At the end of each season, fishermen are assumed to reinvest a share of the season's net income back into fishing capital. To model this occurrence, an investment factor for each fisherman  $i$  is computed. High relative net income and associated positive investment is assumed to improve the relative cost position of an individual fisherman. The investment factor,  $x_i$ , determines the adjustment in the next season's cost by the percent deviation from the mean of the current year's net income where

$$x_i = \frac{\overline{NI} - NI_i}{\overline{NI}} \quad (3.28)$$

and

$$\overline{NI} = \sum_{i=1}^{N_b} NI_i / N_b \quad (3.29)$$

where  $N_b$  is the number of fishermen (boats). And, the resulting cost adjustment for next season is  $1 - x_i$  on total costs for fisherman  $i$ .

#### Required Model Parameters

Other required model parameter include the number of fishing areas, the maximum number of fishing trips per season, the simulated horizon, i.e. the number of fishing seasons, and the number of fishing boats in the fleet.

## Chapter 4

# Model Outcomes

This chapter presents the results of the models for data fit to a given commercial fishery. For reasons of data accessibility, modelling procedures, stationarity of the resource and current stock management strategies, the scallop fisheries on Georges Bank (North Atlantic Fisheries Organization Zone 5Ze - Canadian portion) has historically been observed to be an appropriate activity on which to apply these modelling procedures.

### 4.1 Georges Bank Scallop Fisheries Specifications and Regulations

With respect to current activities of the Georges Bank commercial fishery, a brief summary of the institutional context of this fishery is provided here. (See also Canada [8,9], Mohn *et al.* [61,62,64], and Caddy [6]).

The scallop fishery is a major industry on which many smaller businesses depend, especially in southwest Nova Scotia. Scallop fishermen are clustered under a fixed number of operating firms that dock in Lunenburg, Riverport or Yarmouth. Gear regulations include specifications on the size of the dredge: no shorter than 20m and no longer than 60m with no restriction as to width (most boats vary from 29m to 41m in length). There is normally a 16 member crew per fishing boat. The crew receives approximately 40% of the catch's landed value.

With respect to licensing, an average of 75 to 80 scallop fishing licences are issued every year. The scallop fishery operates under an *Enterprise Allocation* scheme, that is each fishing company which receives a dragging license also receives a Total Allowable Catch (TAC) quota for scallops effective for the season. Other key regulations include the trip length adjusted every year (for 1988 it was either a 13,700 kg catch or 12 days dock-to-dock) and, for some fishing zones, the *meat count regulation*. Meat count is a measure of average scallop age/size and

is used to ensure that the dredge's fishing rings are not capturing nor removing too many younger, smaller recruits. In 1988, the meat count regulation for some areas in Georges Bank was set at either 33 meats/500g or 44 meats/500g. Finally, the fishing mortality rate for the 1988 season decreased towards  $F_{0.1}$  from  $F_{max}$ . This decrease was justified by a reduction in fishing effort. The major objective of this rate is the long-term stability of the harvest.

Scallop prices will remain sluggish until a significant reduction in the high inventories is observed, the prices having suffered a severe 45% real drop since 1987 (See also Appendix B).

The United States represents, by far, the biggest market for Georges Bank scallop exports. Among scallop export sales to other countries, the largest are negligible when compared to exports to the U.S. In 1988 Canada held a 38% share of the U.S. scallop market. Details (DFO [9]) with respect to 'Q'uantity (Metric Tonnes) and 'V'alue ('000\$) are shown in Table 4.1.

Country	1985		1986		1987	
	Q	V	Q	V	Q	V
France	20	129	0	0	177	2704
West Germany	29	290	0	0	0	0
Switzerland	0	0	10	68	5	86
Japan	7	54	8	44	35	486
Bermuda	0	1	0	0	2	31
U.S.A.	4068	59153	4213	64837	4251	63844
Others	3	53	16	271	189	1149
Total	4127	59677	4247	65220	4659	68300

Table 4.1: Canadian Exports of Frozen Scallops

## 4.2 Model Parameters

A horizon of five fishing seasons is simulated, with each season's length bounded by the TAC quota or a maximum number of fifteen fishing trips. With respect to the fishing grounds, Caddy [6] justifies the split of fishing zone 5Ze of the North Atlantic Fisheries Organization (Canadian portion of Georges Bank) into a fixed number of areas, this from underwater contour reproductions and statistical analysis on historical catches and age data. The models' results have been obtained using 6 distinct areas.

Two simulation scenarios are modelled. On the one hand, the *single fisherman* scenario models a single fishing boat making 15 trips per season for 5 seasons. On the other hand, the *fleet simulation* scenario models a fleet of 50

fishermen making 15 or less trips per season (the fishing grounds close when the TAC for the season has been reached) for 5 seasons. As previously mentioned, the Georges Bank scallop fishery currently operates under an Entreprise Allocation scheme, i.e. the quota is proportionally divided into the number of companies operating that fishery. This situation is not modelled. However, since it is a compromise between the single and fleet scenarios modelled here, the performances of these scenarios will provide an idea on how the actual costs and earnings currently behave, since each company exploits the fishing ground (up to their EA) according to their own set of policies.

Currently, around 77 scallop fishing licences are issued for the fishing zone 5Ze of the North Atlantic Fisheries Organization and historically, under 60 fishermen honour their licence in a given season (Offshore Scallop Fishery Management Plan [9]).

No precise specification was input for catch or economic return units (the lack of public information limits the collection of actual cost and earning data). Rather, catch values were scaled by area. For example, when simulating the adaptive algorithm, a *good* catch in area 1 will yield a value of 0.10 standard weight units. The complete tables of model input data for both algorithms are provided in Appendix E.

Little is known about the actual stock-recruitment behaviour of scallops (Sinclair *et al.* [82], Mohn *et al.* [61,62,63,64]). We can however provide reasonable assumptions about recruitment: the error term in the recruitment equation  $R = \alpha X e^{-\nu \epsilon}$  follows a standard normal distribution ( $\mu = 0, \sigma = \nu$ ). The stock biomass is therefore randomized over the simulated seasons in the model. Figure 4.1 shows how the biomass behaves over a five season simulation under the  $F_{0.1}$  fishing mortality regulation for scallops. The level of biomass is the key biological performance measure of the system.

### 4.3 Results

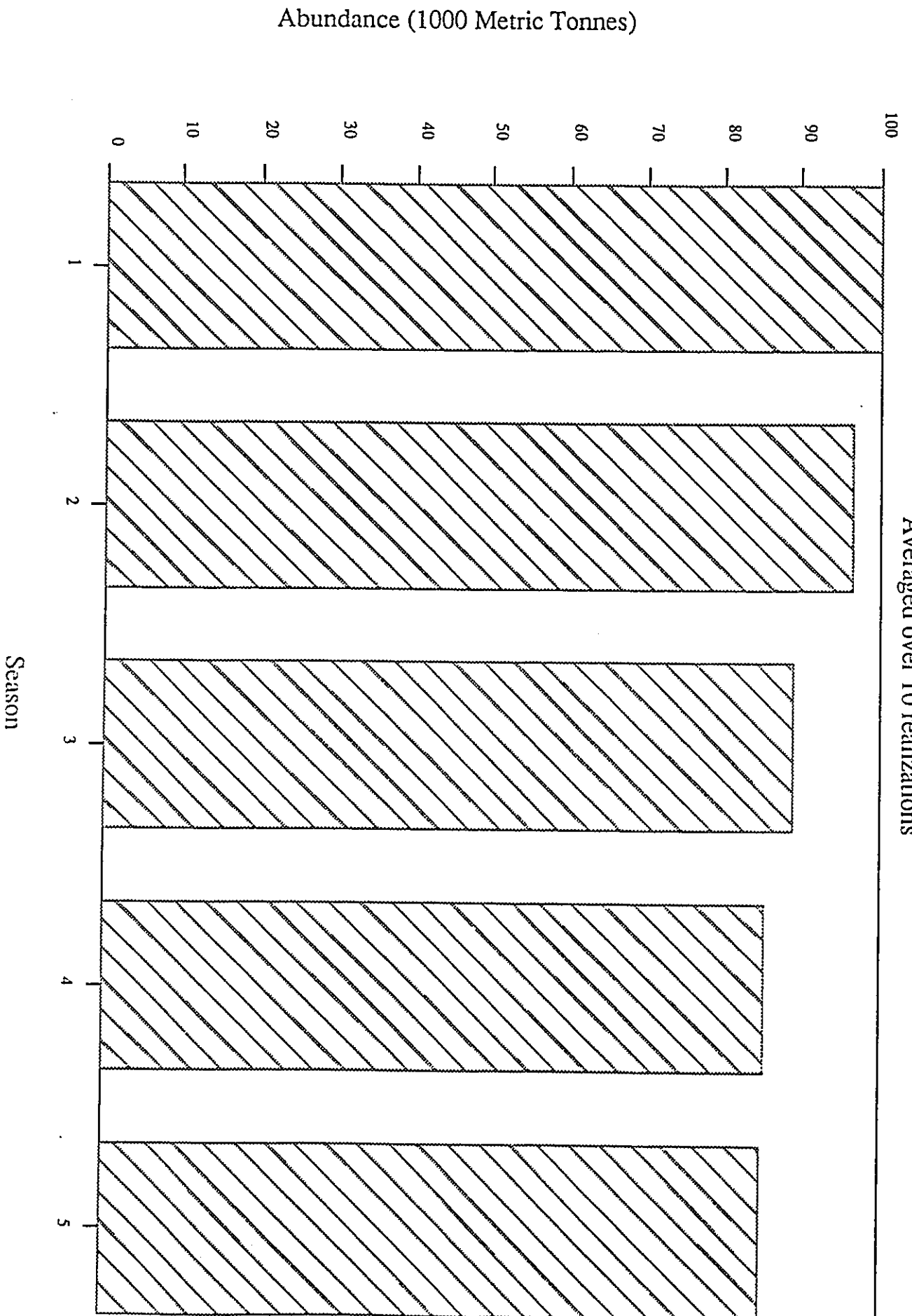
Different economic performance measures are computed in the model to provide feedback about the system. These indicators are calculated for each boat and fishing trip. For the fleet scenario, the 'length' of a season i.e., number of fishing trips, is equal to the number of trips required to reach the Total Allowable Catch quota up to a maximum of 15 trips. For the single fisherman scenario, the number of trips within a season is set at the maximum, 15.

#### Definition of Performance Indicators

Define  $Y_{ik}$ ,  $C_{ik}$  and  $p_k$  to be the class-mark catch level of boat number  $i$  during trip  $k$ , the cost of fishing for boat number  $i$  during trip  $k$ ,  $k = 1, \dots, N$  and the

# Biomass Abundance Behaviour

Averaged over 10 realizations



price per unit weight for catch in trip  $k$ . Four seasonal economic performance indicators are defined as follows:

Total Seasonal Catch by weight for fisherman  $i$  ( $TC_i$ )

$$TC_i = \sum_{k=1}^N Y_{ik} \quad (4.1)$$

Seasonal Landed Value per fisherman  $i$  ( $LV_i$ )

$$LV_i = \sum_{k=1}^N p_k Y_{ik} \quad (4.2)$$

Seasonal Total Cost per fisherman  $i$  ( $TS_i$ )

$$TS_i = \sum_{k=1}^N (1 + x_i) C_{ik} \quad (4.3)$$

Seasonal Net Income per fisherman  $i$  ( $NI_i$ )

$$NI_i = LV_i - TS_i \quad (4.4)$$

where  $x_i$  is the cost saving investment factor computed by subtracting the average of the boats net income during the previous season from the  $i$  th boat's previous season income and then dividing by the aforementioned average:

$$x_i = \frac{\overline{NI} - NI_i}{\overline{NI}} \quad (4.5)$$

A set of ten realizations of the simulation model was run and the average results computed. Each realization consisted of a set of five seasons. The reported performance indicators were averaged over the results of these realizations.

### 4.3.1 Results: Myopic algorithm

Sample outputs of the myopic algorithm's code are provided in Appendix C based on the input data from Appendix E. Table 4.2 gives a summary of the season by season economical performance of both the fishing fleet scenario and the single fisherman scenario for the myopic model.

		<i>Season</i>				
50 boats		1	2	3	4	5
Total Catch	Max	1.49	1.39	1.37	1.26	1.02
	Min	0.87	0.80	0.83	0.61	0.45
	Avg	1.17	1.13	1.02	0.90	0.74
	Stdev	0.14	0.14	0.12	0.14	0.12
Landed Value	Max	1587	1487	1462	1324	1073
	Min	923	842	881	640	472
	Avg	1251	1208	1090	947	775
	Stdev	153	150	125	150	128
Total Cost	Max	198	248	234	204	190
	Min	198	136	110	109	75
	Avg	198	189	173	147	125
	Stdev	0	27	26	20	24
Net Income	Max	1388	1317	1286	1158	947
	Min	725	623	684	503	387
	Avg	1053	1019	917	799	650
	Stdev	153	154	127	149	126
Number of trips		7	4	9	11	9
One boat	Tot. Catch	2.76	2.19	2.81	2.37	2.70
	Lan. Value	3571	2913	3615	2976	3492
	Tot. Cost	480	480	480	480	480
	Net Income	3091	2433	3135	2496	3012
Number of trips		15	15	15	15	15

Table 4.2: Seasonal Results for the Myopic Algorithm

## Analysis

For the single boat scenario results in Table 4.2, fluctuations occur in each indicator's results. Intuitively, this makes sense since the catch quota is never reached with one single fisherman and the impact on the stock level is minimal from season to season.

For the fleet scenario, it is interesting to note the downward trend over all seasons for each of the four performance indicators also highlighted in the graph of these indicators (Appendix C). This corresponds to the downward trend observed in the stock biomass behaviour. The stock behaviour is the major contributor to this trend since stock abundance governs the catch result. In addition, the fishing season ends when the TAC has been reached since the number of fishing trips per season never exceeds 11. This number varies from 4 (season 2) to 11 (season 4). Included in Appendix C are the average economic performance by trip for all vessels in the fleet for each of the four indicators.

As indicated in Figure 4.2, most fishermen are situated in the higher levels of the net income range for the initial trips of the season. When more trips are required to reach the TAC, a shift toward the lower levels of the net income range in the fleet distribution can be observed since seasons are generally completed (TAC reached) with 7 or 8 fishing trips.

### 4.3.2 Results: Adaptive Algorithm

As previously detailed, the adaptive algorithm yield a decision policy derived from dynamic programming theory. This policy consists of a series of non-dominated decisions at each fishing trip. A copy of the computed policy for the algorithm is provided in Appendix D. Similarly to the myopic algorithm, the fishing activity is simulated and the results and associated performance indicators recorded based on input data in Appendix E. An excerpt of the fishing simulation model is also provided in Appendix D. Table 4.3 provides the summary of the average season by season performance indicators for the single fisherman and the fishing fleet scenarios.

## Analysis

The adaptive algorithm's performance indicators do not exhibit a downward trend throughout the five season simulation. Rather, a correction is implicitly imposed in the policy in order to forecast the biomass' behaviour and *adapt* to it. This is an example of the major difference between the myopic and adaptive algorithm. The myopic policy will not 'see' this trend and react to it in the same way the adaptive policy will. The adaptive policy is more sensitive to state fluctuations.

STATISTICS ON ACCUMULATED NET INCOME  
 MAXIMUM VALUE = 1337.53  
 MINIMUM VALUE = 0.00

CLASS MARK

HISTOGRAM

CLASS MARK	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
133.75	0	0	0	0	0	0	32	50	50	50	50	50	50	50	50
267.51	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0
401.26	0	0	0	0	2	7	3	0	0	0	0	0	0	0	0
535.01	1	3	1	5	12	17	0	0	0	0	0	0	0	0	0
668.76	6	6	12	16	15	17	0	0	0	0	0	0	0	0	0
802.52	18	17	15	16	15	8	0	0	0	0	0	0	0	0	0
936.27	16	11	16	11	5	1	0	0	0	0	0	0	0	0	0
1070.02	8	11	6	1	1	0	0	0	0	0	0	0	0	0	0
1203.77	0	2	0	1	0	0	0	0	0	0	0	0	0	0	0
1337.53	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Figure 4.2: Myopic algorithm; Fleet distribution; Net Income

		<i>Season</i>				
50 boats		1	2	3	4	5
Total Catch	Max	0.97	0.91	0.84	0.80	0.82
	Min	0.87	0.80	0.72	0.66	0.69
	Avg	0.91	0.86	0.79	0.74	0.75
	Stdev	0.02	0.03	0.02	0.03	0.02
Landed Value	Max	1166	1104	1017	962	990
	Min	1040	960	878	799	827
	Avg	1098	1036	955	879	906
	Stdev	28	33	26	34	30
Total Cost	Max	273	276	257	242	255
	Min	271	233	214	189	196
	Avg	272	253	238	220	224
	Stdev	0.49	9	11	9	12
Net Income	Max	893	846	785	744	769
	Min	768	709	621	579	619
	Avg	826	783	717	659	681
	Stdev	28	34	29	34	31
Number of trips		12	13	9	9	15
One boat	Tot. Catch	1.11	1.09	1.10	1.06	1.10
	Lan. Value	1414	1387	1402	1345	1402
	Tot. Cost	322	321	322	322	322
	Net Income	1092	1066	1080	1023	1080
Number of trips		15	15	15	15	15

Table 4.3: Seasonal Results for the Adaptive Algorithm

Figure 4.3 provides the seasonal net income distribution for the adaptive model fleet scenario. Appendix D plots the performance indicators over the five seasons for the adaptive algorithm in addition to the myopic algorithm's. The 'correction' referred to above is clearly seen when moving from season 4 to season 5 when the trend reverses into an increase for this seasonal update.

An interesting feature to the adaptive algorithm is its practicality. This algorithm begins by characterizing an optimal action *policy*. This policy summarizes future decision options throughout the season and is indicative of empirical fleet dynamics patterns actually observed. The myopic model is somewhat more artificial especially for the many experienced vessel operators in this closed fishing sector. It may however be representative of decision making by new or learning vessel operators as they establish a more expansive database of knowledge about this particular fishery.

### Sensitivity

Throughout the testing phase when developing either algorithm, significant domination by some fishing areas was found for many different datasets. For example, if all areas have the same  $q_{jt}$  probability matrix and one has a favorable difference of only 10% in the cost of fishing, everything else being the same, that area would generally be dominant throughout a season. In fact, this is what is observed in practice. Fishermen identify areas that are more attractive than others, and those areas are exploited over a season. Only after exhausting rewards from an area are forays made into new areas as a search for higher returns.

STATISTICS ON ACCUMULATED NET INCOME  
 MAXIMUM VALUE = 323.99  
 MINIMUM VALUE = 78.54

CLASS MARK	HISTOGRAM														
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
103.09	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129
127.63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
152.18	0	0	0	0	0	0	0	0	0	0	0	0	0	9	0
176.72	0	0	0	0	0	0	0	0	0	0	0	0	0	28	0
201.27	0	0	0	0	0	0	0	0	0	0	2	0	2	12	0
225.81	1	3	0	1	0	0	0	0	0	1	16	1	19	1	0
250.36	17	12	8	9	2	2	2	1	12	7	25	25	20	0	0
274.90	29	26	33	27	25	28	24	13	21	31	7	18	9	0	0
299.45	2	9	12	13	17	14	20	25	14	8	0	6	0	0	0
323.99	1	0	0	0	6	6	4	10	3	3	0	0	0	0	0

Figure 4.3: Adaptive algorithm; Fleet distribution; Net Income

# Chapter 5

## Conclusion

### 5.1 Discussion

#### Stock-Recruitment

The biological performance of Deriso's stock dynamics model governs the behaviour of the economic performance indicators. Total catch and total costs (net income and landed value being a linear combination of those and of the market unit price) react to the behaviour of the abundance's.

The recruitment function assumed a linear growth (log-normal error) type recruitment (Equation 3.9). In the absence of a density dependent parameter this function characterizes a monotonically increasing stock abundance curve that does not converge to a stable equilibrium point (carrying capacity). Figures 3.2 and 3.3 present the stock and recruitment interactions without a density-dependent term. However in the results of the experiments carried out using this linear recruitment function with variability and a conservative harvesting component, the stock was not observed to increase. Rather, over the admittedly short horizon of 5 seasons, the stock was observed to fall relative to initial values. It would be anticipated that density dependence, bounded stock growth, would accentuate this stock decline, everything else being equal.

#### Harvest

Several models could be developed to describe the fishermen's decision-making process. The two presented here (decision tree, dynamic programming) require a few basic components fundamental to the mechanics of the actual process.

The fact that they require easily accessible data adds to their robustness. Further development within this methodology will yield improved algorithms incorporating better data on probability measures, costs levels and other model parameters.

## Fleet Dynamics

An interesting phenomenon was observed on the evolution of the fleet over the 5 seasons. Consider fishermen whose total net income after the initial seasons are higher than the fleet's average. The difference is explained by the effect of probability since everyone starts with the same knowledge and information. As these fishermen proceed to later seasons, their lead increases since they reinvest their earnings in increasing capital efficiency. They now have improved travelling and exploring capabilities and can therefore reach faster their preset TAC.

For fishermen with earnings below average, their lack of investment resources will cause the gap between them and the other fishermen to increase also. The capital resources do not profit from seasonal reinvestment. The need to do more and more surveying to find beds which have not been fished by highliners will increase hence causing and increase in their fishing costs.

## 5.2 Extensions

One interesting scenario not presented in this thesis but of interest to many is the fleet scenario 'with information sharing'. This means that each boats'  $\pi$ -vector would also reflect the other boats trip and catch information. This would increase the accuracy in the model versus real life situation of the fishery. As previously mentioned, since between 7 and 10 companies operate scallop fishing vessels under Entreprise Allocations on Georges Bank, 'information sharing' could be restricted for boats within each company. This would also allow for an enhanced look at the competitive market in which Entreprise Allocation fishing quota system is currently implemented.

Several simulation model parameters are actually data input. It is therefore possible to observe what happens with different fleet sizes. If some indicators are fixed (total cost or total catch), it could be interesting to study what 'optimal' fleet size should exploit the resource. The optimality criterion could be the season's length before reaching quota, the number of areas visited in a fixed number of trips within the season or some other bioeconomic measure.

A fishing area restriction scenario can also be studied by inputting an infinite fishing cost for specific area to be closed over a season. Results could provide insights into possible alternative control measures than the current TAC quota tactics.

The resource's unit price is also modelled under a given function (negative quadratic) in this case corresponding roughly to actual price fluctuations during a season (see also Appendix B). It could be interesting to reflect on the effects of a seasonal linear growth of the price, or looking at the indicators resulting from the application of a constant price.

It is difficult to model a fisherman's own peculiar way of decision making. We have tried in this thesis to represent it by researching the quantifiable elements of the decision-making process. These elements were analyzed, the relevant one transformed (discretization, measurement, or else) and quantified. Most of the values in the initial tableau (Appendix E) consisted of logical input, not necessarily exact in real life, but justifiable by their availability and use elsewhere. Another possible extension to this work would be to precisely quantify those variables (area cost of fishing,  $q_{ji}$  matrix) with an in-depth statistical study of current fishing fleet operations.

The fishery's actual costs and earnings are also measured to a certain degree. These actuals are not published or available from public sources. Given the estimates on the price and the costs, a cost function from the resulting data can be evaluated. A supplementary decision tool is readily available from minimal transformation of the model results.

With respect to algorithm design, the models exposed here use quantifiable components of the economical and biological environments. These are also the main constituents of the harvestors' decision making process. However, within a structural framework, we have tried to show that the actual components provide rational, easily applied decision tools for fishermen.

# Appendix A

## Walford plot

Gulland [28] estimates the rate of body growth by using the Brody equation. The parameters of that equation are measured by applying a linear regression model to  $W_t$ , the unit weight at age  $t$ , against  $W_{t+1}$ . The data was taken from the latest assessment report (Mohn [64]).

The following linear equation was obtained from data for scallops from 3 to 12 years old.

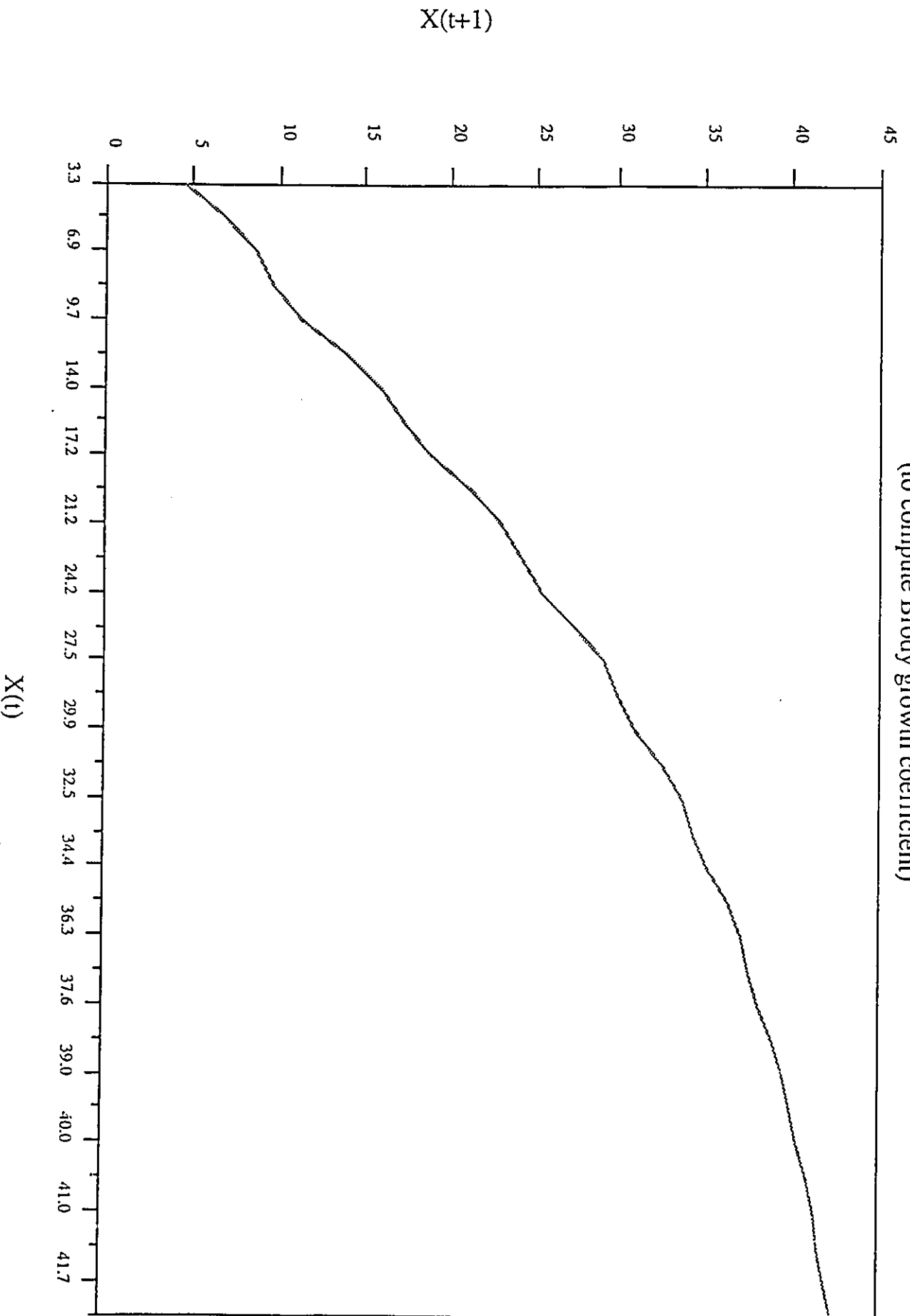
$$Y = 2.29 + 0.958X \tag{A.1}$$

( $R^2 = 99\%$ )

Therefore, the Brody growth coefficient ( $\rho$ ) equals 0.958.

# Walford plot $X(t)$ vs $X(t+1)$

(to compute Brody growth coefficient)

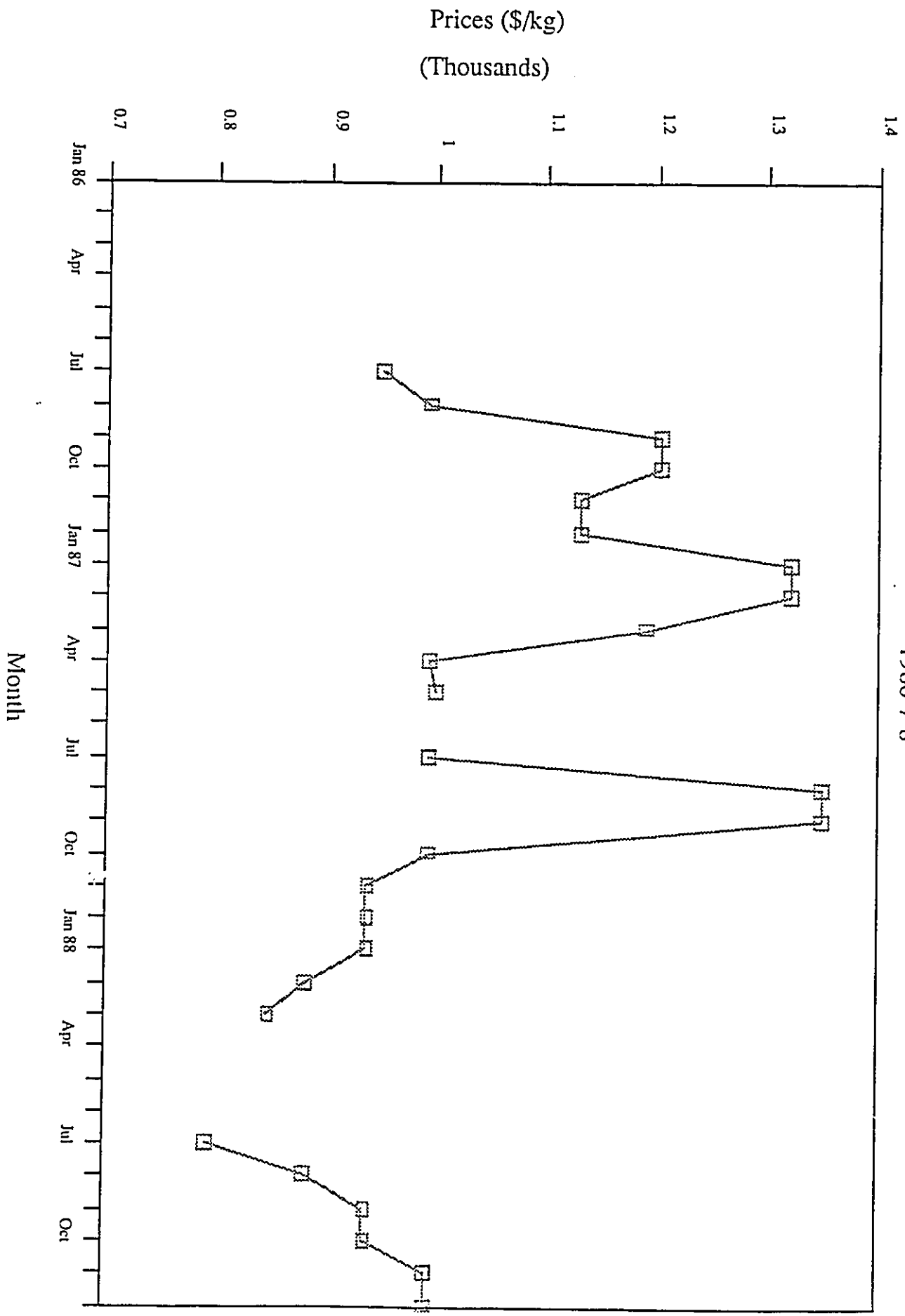


## Appendix B

### Scallop prices

The following graph show the Georges' Bank scallop unit prices (unadjusted) for three years of available data 1986-1988 (Canada [8]).

# Scallop prices 1986-7-8



## Appendix C

### Myopic Algorithm

This appendix presents the key computer code for the myopic algorithm (Figure C.1). The code is written in FORTRAN-VS.

Also included is a sample of the fishing simulation output for the fleet scenario (Figure C.2) from the myopic algorithm and compiled statistics for some performance indicators. A graphical overview of these indicators (seasonal) is provided in Figure C.3.

Figure C.1: Myopic Algorithm: Main program

```

PROGRAM MYOPC
IMPLICIT REAL *8(A-H,M-Z)
INTEGER AREA,CATCHN,IREAL,ISEED,NAREAS,NBOATS,NS,NSEASN,NTRIPS
INTEGER REFER,NT(10)
CHARACTER*8 CATCH(3)
CHARACTER*8 ACTUAL
DIMENSION PD(2,6),PYD(3,2,6),PY(3,6),PIB(3,6),OCATCH(3,6)
DIMENSION P(3,3),PI(3),CD(6),CF(6),NINC(5,15,50)
DIMENSION TCATCH(5,15,50),TCCST(5,15,50),NIC(5,15,50)
DIMENSION VALAND(5,15,50),PRCDEV(50),ITC(5,15,50)
DIMENSION TTCOST(5,15,50),NNINC(5,15,50),VVALAN(5,15,50)
COMMON/BLOC1/PYX,POY,OCATCH
COMMON/BLOC3/TCATCH,TCCST,VVALAN,NNINC
COMMON/BLOC4/TTCATCH,TTCOST,VVALAN,NNINC
DATA XTIME/100.0/,RT/40.0/,RTM1/40.0/
DATA ISEED/6325791/
CATCH(1)='GOOD'
CATCH(2)='AVERAGE'
CATCH(3)='POOR'
-----
C----- MAIN PROGRAM- READ AND WRITE CONSTANT/INITIAL DATA
-----
CALL LIRE(IREAL,NAREAS,NBOATS,NTRIPS,CD,CMF,PRICE,XT,PI,PY,
PD,P,NS,CATCH,CF)
DO 300 I=1,NSEASN
DO 300 II=1,NTRIPS
DO 300 III=1,NBOATS
TTCATCH(II,III)=0.0
TTCOST(II,III)=0.0
VVALAN(II,III)=0.0
CONTINUE
300 C
C
C
C
* CALL ECRIR1(NAREAS,NBOATS,NTRIPS,CD,CMF,PRICE,XT,PI,PY,
PD,P,NS,CATCH,CF)
DO 100 IR=1,IREAL
DO 100 I=1,NBOATS
PRCDEV(I)=1.0
DO 200 I=1,3
PI(I)=1.0/3.0
DO 400 J=1,NBOATS
DO 400 I=1,3
PIB(J,I)=PI(I)
XT=100.0
PRICE=600.0
CALL HCOMP(H)
DO 20 NS=1,NSEASN
ISEED=ISEED+2
I STOP=0
WRITE(6,*) ' DOING SEASON NUMBER.....NS
C LOCATE MATRICES: ROW ASSOCIATED WITH CURRENT STATE
CALL REFER(XT,REFER,ACTUAL)
DO 30 I=1,NT(N)
NT(NS)=I+INI
WRITE(6,*) ' TRIP ',NT(NS)
C

```

NBM00010  
NBM00020  
NBM00030  
NBM00040  
NBM00050  
NBM00060  
NBM00070  
NBM00080  
NBM00090  
NBM00100  
NBM00110  
NBM00120  
NBM00130  
NBM00140  
NBM00150  
NBM00160  
NBM00170  
NBM00180  
NBM00190  
NBM00200  
NBM00210  
NBM00220  
NBM00230  
NBM00240  
NBM00250  
NBM00260  
NBM00270  
NBM00280  
NBM00290  
NBM00300  
NBM00310  
NBM00320  
NBM00330  
NBM00340  
NBM00350  
NBM00360  
NBM00370  
NBM00380  
NBM00390  
NBM00400  
NBM00410  
NBM00420  
NBM00430  
NBM00440  
NBM00450  
NBM00460  
NBM00470  
NBM00480  
NBM00490  
NBM00500  
NBM00510  
NBM00520  
NBM00530  
NBM00540  
NBM00550

```

C COMPUTE CATCH, TESTING AND ASSOCIATED CONDITIONAL DISTRIBUTIONS
DO 40 IBOAT=1,NBOATS
WRITE(6,*)
DO 500 I=1,3
BOAT=',IBOAT'
PI(I)=PIB( IBOAT, I)
CALL PYCALC(PI, PY)
CALL PYDCALC( PY, PD)
CALL DECISI( AREA, I1, ISEED, NAREAS, QBEST, NT, NS, CMF, PRICE,
* CD, CF, PD, PY, PYD)
CALL FISHIN( CATCH, AREA, CATCHN, IBOAT, IR, IREAL, ISEED, NS,
* QBEST, REFER, NT, CMF, PRICE, CD, CF, PRCDDEV)
CALL UPDPI( PI, CATCHN, AREA)
DO 600 I=1,3
PIB( IBOAT, I) = PI( I)
CONTINUE
CALL CHECK( IR, ISTOP, NBOATS, NS, NT, H, TCATCH, XT )
IF( ISTOP.EQ.1) GO TO 70
CONTINUE
IF( NT(NS).EQ.16) NT(NS)=15
WRITE(4,1) SEASONAL TOTAL CATCH, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, TCATCH)
WRITE(4,1) SEASONAL LANDED VALUE, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, VALAND)
WRITE(4,1) SEASONAL TOTAL COSTS, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, TCOST)
WRITE(4,1) SEASONAL NET INCOME, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, NNINC)
CALL DEVIA( NBOATS, NS, NT, PRCDDEV, TCOST, VALAND, NNINC)
CALL UPDST( H, P, PIB, RI, RTM1, XT, XTM1, ACTUAL, ISEED, NBOATS, REFER)
CONTINUE
CONTINUE
*****
IFLAG = 1
DC 80 NS=1, NSEASN
WRITE(4,2) OVERALL TOTAL CATCH, NS
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, TTCATC)
WRITE(4,2) OVERALL LANDED VALUE, NS
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, VVALAN)
WRITE(4,2) OVERALL TOTAL COSTS, NS
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, TTCOST)
WRITE(4,2) OVERALL NET INCOME, NS
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, NNINC)
CONTINUE
*****
CALL STAT2( NBOATS, NTRIPS, NSEASN, NNINC, TTCATC, TTCOST, VVALAN)
FORMAT(1, STATISTICS ON ###, A25, ###, SEASON NO., I2)
* CURRENT STATE: X = , F6.1, -----> , A8, /, #6##.
* REALIZATION: , I2)
FORMAT(1, STATISTICS ON ###, A25, ###, SEASON NO. , I2)
CALL STAT3( NBOATS, NTRIPS, NSEASN, NNINC, TTCATC, TTCOST, VVALAN)
STOP
END
C WRITE(8,300) NT, NS

```

NBM00560  
NBM00570  
NBM00580  
NBM00590  
NBM00600  
NBM00610  
NBM00620  
NBM00630  
NBM00640  
NBM00650  
NBM00660  
NBM00670  
NBM00680  
NBM00690  
NBM00700  
NBM00710  
NBM00720  
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NBM00760  
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NBM00780  
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NBM00990  
NBM01000  
NBM01010  
NBM01020  
NBM01030  
NBM01040  
NBM01050  
NBM01060  
NBM01070  
NBM01080  
NBM01090  
NBM01100

NBM01110  
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 NBM01160  
 NBM01170  
 NBM01180  
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 NBM01230  
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 NBM01250  
 NBM01260  
 NBM01270  
 NBM01280  
 NBM01290  
 NBM01300  
 NBM01310  
 NBM01320  
 NBM01330  
 NBM01340  
 NBM01350  
 NBM01360  
 NBM01370  
 NBM01380  
 NBM01390  
 NBM01400  
 NBM01410  
 NBM01420  
 NBM01430  
 NBM01440  
 NBM01450  
 NBM01460  
 NBM01470  
 NBM01480  
 NBM01490  
 NBM01500  
 NBM01510  
 NBM01520  
 NBM01530  
 NBM01540  
 NBM01550  
 NBM01560  
 NBM01570  
 NBM01580  
 NBM01590  
 NBM01600  
 NBM01610  
 NBM01620  
 NBM01630  
 NBM01640  
 NBM01650

```

  WHITE(8,305)((PY(I,J),I=1,3),J=1,6)
  WHITE(8,310)((PD(I,J),I=1,2),J=1,6)
  FORMAT(1,30X,TRIP NO,13, OF SEASON, I2, /, 25X, 37(1, -), //)
  FORMAT(1,0, /, CATCH DISTRIBUTION, AREA 3, 10X, /, 1X, 18(1, -), //)
  #14X, AREA 5, 10X, AREA 6, /, 12X, 94(1, -), /, 13X, 3(F4, 2, 1X), 1X, //)
  #6(1, G, 4X, A, 4X, P, 5X), /, 12X, 94(1, -), /, 12X, 6(1, -), //)
  FORMAT(1,0, /, DRAG DISTRIBUTION, AREA 3, 10X, /, 1X, 18(1, -), //)
  #14X, AREA 5, 10X, AREA 6, /, 12X, 94(1, -), /, 12X, 6(2(F5.3, 3X)), //)
  #6(1, FAVOR, UNFAV, /, 12X, 94(1, -), //)
  STOP
  END

```

```

  SUBROUTINE TRANS(P,XTM1,RTM1)
  IMPLICIT REAL *8(A-H,M-Z)
  DIMENSION P(3,3)
  DOUBLE PRECISION XX,Y,X/50.0/ALPHA/0.4/
  DATA GL/125.0/AL/75.0/ALPHA/0.4/ALPHA/0.4/
  DATA R/0.95776147/M/0.1/ALPHA/0.4/ALPHA/0.4/
  DISC=SQRT((R*(ALPHA-1.0)-1.0)**2-4.0*R*(1.0-ALPHA))
  F1=-LOG((1.0-R*(ALPHA-1.0)+DISC)/(2.0*R))-M
  F2=-LOG((1.0-R*(ALPHA-1.0)-DISC)/(2.0*R))-M
  IF(F1.GT.0.0.AND.F1.LI.1.0) THEN
    F=F1+0.05
  ELSE
    F=F2+0.05
  ENDIF
  PI=4.0*ATAN(1.0)
  S=EXP(-F*M)
  H=F*(1.0-S)/(F+M)
  I=0
  I=I+1
  R=0.0
  THE FOLLOWING BOUNDS ARE FOR THE DERISO MODEL
  AA=((GL-(1.0+R))*S**X*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*X)
  WRITE(6,*) GL, AA
  IF(AA.LE.0.0) WRITE(6,1) X,XTM1,RTM1,AL,R,S,ALPHA,F
  FORMAT(1X,4(F5.0,1X),4(F5.0,1X))
  AA=((AL-(1.0+R))*S**X*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*X)
  WRITE(6,*) AL, AA
  IF(AA.LE.0.0) WRITE(6,1) X,XTM1,RTM1,AL,R,S,ALPHA,F
  RHSL=-LOG((GL-(1.0+R))*S**X*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/
  *(ALPHA*X)
  RHSR=-LOG((AL-(1.0+R))*S**X*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/
  *(ALPHA*X)
  CALL MDNORD(XX,Y)
  P(I,1)=L*INTEGRALE DE -L' INFINI A RHSL DE F(X) - DENSITE NORMALE
  P(I,1)=Y
  XX=RHSL
  P(I,2)=L*INTEGRALE DE RHSL A RHSR DE F(X) - DENSITE NORMALE
  CALL MDNORD(XX,Y)
  P(I,2)=Y

```

99  
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 C

```

C      P(I,2)=P(I,2)-P(I,1)
C      P(I,3)=L*INTEGRALE DE RHR A L'INFINI DE F(X) - DENSITE NORMALE
C      P(I,3)=1.0-P(I,1)-P(I,2)
C      IF(I.LT.3) THEN
C        WRITE(6,*) I= ,I
C        X=X+50.0
C        GO TO 99
C      ENDIF
C      RETURN
C      END

C      SUBROUTINE LIRE(IREAL,NAREAS,NBOATS,NTRIPS,NSEASN,CMF,CD,CF)
C      IMPLICIT REAL *8(A-H,M-Z)
C      INTEGER NAREAS,NTRIPS,NSEASN,NBOATS
C      DIMENSION P(3,3),PYX(3,3,6),QCATCH(3,6),PDY(2,3)
C      DIMENSION CF(6),CD(6)
C      COMMON/BLOC1/PYX,PDY,QCATCH
C      READ IN :
C      PYX(I,J) CATCH GIVEN STATE DISTRIBUTION
C      DO 15 I=1,6
C      READ(5,81)
C      DO 20 J=1,3
C      READ(5,*)(PYX(J,K,I),K=1,3)
C      CONTINUE
C      CONTINUE
C      20
C      15
C      READ PDY VECTOR (TEST RESULTIS CONDITIONAL ON CATCH)
C      READ(5,81)
C      DO 40 I=1,2
C      READ(5,*)(PDY(I,J),J=1,3)
C      END NUMBER OF AREAS, NO OF TRIPS/SEASON
C      AND NUMBER OF SEASONS FOR SIMULATION.
C      READ(5,81)
C      READ(5,*) NAREAS,NBOATS,NTRIPS,NSEASN,IREAL
C      READ THE COSTS OF FISHING FOR EACH AREA
C      READ(5,81)
C      READ(5,*)(CF(I),I=1,NAREAS)
C      READ THE COSTS OF TEST-FISHING FOR EACH AREA
C      READ(5,81)
C      READ(5,*)(CD(I),I=1,NAREAS)
C      READ THE COSTS OF MOVING
C      READ(5,81)
C      READ(5,*) CMF
C      READ AREA'S QUANTITATIVE CATCHES ASSOCIATED WITH GOOD,AVG AND POORN
C      READ(5,81)
C      DO 30 I=1,3
C      READ(5,*)(QCATCH(I,J),J=1,6)
C      30

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- NBM01660
- NBM01670
- NBM01680
- NBM01690
- NBM01700
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- NBM01720
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- NBM01990
- NBM02000
- NBM02010
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B1 FORMAI(A50)  
 RETURN  
 END

C SUBROUTINE RAND(ISEED,U)

C MODIFIED RANDOM NUMBER GENERATOR (V.DYCK, J.LAWSON, J.SMITH  
 C "INTRODUCTION TO COMPUTING", RESTON, VA. (1979))  
 C =====  
 C LINEAR CONGRUENTIAL GENERATOR FOR 32-BIT WORD COMPUTER.  
 C CYCLE IS 2\*\*29 IN LENGTH: VARIABLES ARE U(0,1)

C INTEGER ISEED  
 C ISEED=ISEED\*843314861+453816693  
 C IF( ISEED.LT.0) ISEED=ISEED+2147483647+1  
 C U=ISEED\*0.4656612E-9  
 C RETURN  
 C END

C SUBROUTINE REFERR(XT,REFER,ACTUAL)

C IMPLICIT REAL \*8(A-H,M-Z)  
 C INTEGER REFER  
 C CHARACTER\*8 ACTUAL  
 C IF(XT.LT.75.0) THEN  
 C REFER=3  
 C ACTUAL='POOR'  
 C ELSE  
 C XT=50.0

C IF(XT.LT.125.0) THEN  
 C REFER=2  
 C ACTUAL='AVERAGE'  
 C XT=100.0

C ELSE  
 C REFER=1  
 C ACTUAL='GOOD'  
 C XT=150.0

C ENDF  
 C RETURN  
 C END

C SUBROUTINE ECRIRI(NAREAS,NBOATS,NTRIPS,CD,CMF,PRICE,X,PI,PY,PD,

C \*P,NS,CATCH,CF)  
 C IMPLICIT REAL \*8(A-H,M-Z)  
 C INTEGER NAREAS,NBOATS,NTRIPS,NS  
 C CHARACTER\*8 CATCH(3)  
 C DIMENSION CF(6),CD(6),PI(3),PY(3,6),PDX(3,3),PCATCH(3,6)  
 C COMMON/BLOC1/PYX,PDX,PCATCH  
 C WRITE(8,91) NAREAS,NTRIPS  
 C WRITE(8,92) (CF(I),I=1,6),



```

995  FORMAT(//, ' QUANTITATIVE VALUES FOR CATCHES PER AREA', /, 1X,
*40( ' ' ), /, 32X, ' AREA', /, 11X, 46( ' ' ), /, 11X, 11, 7X, 12, 7X,
*13, 7X, 14, 7X, 15, 7X, 16, /, 11X, 56( ' ' ), /, 3(1X, A10, ' + ', 6(F5.2, 3X),
* / ), //)
      RETURN
      END
C
C
      SUBROUTINE PYCALC(PI, PY)
      IMPLICIT REAL *8(A-H, M-Z)
      DIMENSION PYX(3, 3, 6), PI(3), PY(3, 6), PDY(2, 3), QCATCH(3, 6)
      COMMON/BLOC1/PYX, PDY, QCATCH
      DO 25 K=1, 6
      DO 30 I=1, 3
      SUM=0.0
      DO 35 J=1, 3
      SUM = SUM + (PYX(I, J, K) * PI(J))
      PY(I, K)=SUM
      CONTINUE
      CONTINUE
      RETURN
      END
C
C
      SUBROUTINE PDCALC(PY, PD)
      IMPLICIT REAL *8(A-H, M-Z)
      DIMENSION PDY(2, 3), PY(3, 6), PD(2, 6), PYX(3, 3, 6), QCATCH(3, 6)
      COMMON/BLOC1/PYX, PDY, QCATCH
      DO 40 K=1, 6
      DO 40 I=1, 2
      SUM=0.0
      DO 45 J=1, 3
      SUM = SUM + (PDY(I, J) * PY(J, K))
      PD(I, K)=SUM
      CONTINUE
      RETURN
      END
C
C
      SUBROUTINE PYDCAL(PYD, PY)
      IMPLICIT REAL *8(A-H, M-Z)
      DIMENSION PDY(2, 3), PY(3, 6), PYD(3, 2, 6), PYX(3, 3, 6), QCATCH(3, 6)
      COMMON/BLOC1/PYX, PDY, QCATCH
      DO 50 L=1, 6
      DO 50 I=1, 3
      DO 50 J=1, 2
      TOP = PDY(J, I)*PY(I, L)
      BOTTOM = 0.0
      DO 60 K=1, 3
      BOTTOM=BOTTOM+(PYD(J, K)*PY(K, L))
      PYD(I, J, L) = TOP/BOTTOM
      CONTINUE
      RETURN
      END
C

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C
* SUBROUTINE DECISI(AREA, IL, ISEED, NAREAS, QBEST, NT, NS, CMF, PRICE,
  CD, CF, PD, PY, PYD)
  IMPLICIT REAL *8(A-H, M-Z)
  INTEGER AREA, NAREAS, NTRIPS, NS, QBEST, BEST(20), NT(10)
  DIMENSION PY(3,6), PD(2,6), PYD(3,2,6), CF(6), CD(6), VDEC(20)
  DIMENSION QCATCH(3,6), EVALD(20), TOT(10,10), TAB(30), TOTAL(10)
  DIMENSION PDY(2,3), PXX(3,3,6)
  COMMON/BLOC1/PXX, PDY, QCATCH
  PK=1.0*NT(NS)
  PPRICE=15.0*(10.0*(PK**2.0)-160.0*K+3335.0)/49.0
  NDEC=(NAREAS**2)+1
  VDEC(1)=0.0
  DO 405 L=2, NDEC-1, 2
    SUM=0.0
    DO 410 I=1, 3
      SUM = SUM+(PY(I, (L/2))*QCATCH(I, (L/2)))
    VDEC(L)=PRICE*SUM-CF(L/2)
    DO 415 I=1, 2
      EVALD(I)=0.0
    DO 420 J=1, 3
      EVALD(I)=EVALD(I)+(PYD(J, I, L/2))*QCATCH(J, L/2)
    CONTINUE
    DO 425 I=1, 2
      TOT(I, 1)=PRICE*EVALD(I)-CF(L/2)
      TOT(I, 2)=PRICE*EVALD(I)-CMF
    CONTINUE
    DO 430 I=1, 2
      IF(TOT(I, 1) .GE. TOT(I, 2)) THEN
        TOTAL(I)=TOT(I, 1)
      ELSE
        TOTAL(I)=TOT(I, 2)
      ENDIF
    CONTINUE
    SUM1=0.0
    DO 435 I=1, 2
      SUM1 = SUM1+(TOTAL(I)*PD(I, (L/2)))
    VDEC(L+1)=SUM1 - CD((L+1)/2)
    CONTINUE
  DO 440 I=1, NDEC
    TAB(I)=VDEC(I)
    IF (TAB(1).LI.TAB(2)) THEN
      TEMP=TAB(1)
      TAB(1)=TAB(2)
      TAB(2)=TEMP
    ENDIF
  DO 445 I=3, NDEC
    DO 450 J=1, I-1
      IF (TAB(I) .GT. TAB(J)) THEN
        TEMP = TAB(I)
        DO 455 K=J+1, I
          TAB(I-K+J+1) = TAB(I-K+J)
        TAB(J)=TEMP
      ENDIF
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      ENDIF
      CONTINUE
      CONTINUE
      C
      II=0
      DO 460 J=1,NDEC
      IF ( TAB(1).EQ.VDEC(J) ) THEN
      II = II + 1
      WRITE(8,480) J,VDEC(J)
      BEST(II) = J
      ENDIF
      CONTINUE
      IF (II.GT.1) THEN
      CALL RAND( ISEED,RNI )
      DO 465 I=1,II
      IF (RNI.LE.(1.0*I/II)) THEN
      AREA = BEST(I)
      GOTO 470
      ENDIF
      CONTINUE
      ELSE
      AREA = BEST(II)
      ENDIF
      WRITE(8,485) AREA
      QBEST=MOD(AREA,2)
      IF (AREA.EQ.1) THEN
      AREA=0
      WRITE(3,490)
      ELSE
      IF ( QBEST.EQ.1 ) THEN
      AREA=(AREA-1)/2
      WRITE(8,493) AREA
      ELSE
      AREA=AREA/2
      WRITE(8,496) AREA
      WRITE(6,*): AREA=, AREA
      ENDIF
      ENDIF
      FORMAT(1X,'OPTIMAL DECISION NO.',I3,' WILL YIELD AN EXPECTED',
      *,' REVENUE OF ',F8.2,' DOLLARS',//)
      FORMAT(1X,'OPTIMAL DECISION NO.',I3,' IS APPLIED',//)
      FORMAT(1X,'WHICH MEANS -----> STAY AT PORT',//)
      FORMAT(1X,'WHICH MEANS -----> TEST DRAG AREA ',I2, '//')
      FORMAT(1X,'WHICH MEANS -----> FISH IN AREA ',I2, '//')
      RETURN
      END
      C
      C
      SUBROUTINE FISHIN(CATCH,AREA,CATCHN,IBoat,IR,IREAL,ISEED,NS,
      *QBEST,REFER,NT,CMF,PRICE,CD,CF,PRCDEV)
      IMPLICIT REAL *8(A-H,M-Z)
      INTEGER AREA,CATCHN,REFER
      INTEGER QBEST,REFER
      CHARACTER*8 CATCH(J)
      DIMENSION CD(6),CF(6),PYX(3,3,6),NCATCH(3,6),PRCDEV(50)

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DIMENSION TCATCH(5,15,50), TCCOST(5,15,50), VALAND(5,15,50)
DIMENSION TTCATCH(5,15,50), TTCOST(5,15,50), VVALAN(5,15,50)
DIMENSION NINC(5,15,50), NNINC(5,15,50)
COMMON/BLOC1/PYX, PDY, QCATCH
COMMON/BLOC3/TCATCH, TCCOST, VALAND, NINC
COMMON/BLOC4/TTCATCH, TTCOST, VVALAN, NNINC
CDRAG=0.0
PK=1.0*NT(NS)
PPRICE=15.0*(10.0*(PK**2.0)-160.0*K+3335.0)/49.0
CALL RAND( ISEED, Y )
IF( Y.LE.PYX(1,REFER,AREA) ) THEN
  CATCHN=1
ELSE
  IF( Y.LE.(PYX(1,REFER,AREA)+PYX(2,REFER,AREA)) ) THEN
    CATCHN=2
  ELSE
    CATCHN=3
  ENDIF
ENDIF
IF (AREA.GT. 0) THEN
  YY=QCATCH(CATCHN,AREA)
ELSE
  CALL RAND( ISEED, A )
  AREA=INT(1.0+A*6.0)
  WRITE(6,X): AREA=0 ==> AREA = , I2
  WRITE(7,585)
  GOTO 590
ENDIF
TCATCH(NS,NT(NS), IBOAT)=Y
TTCATCH(NS,NT(NS), IBOAT)=TTCATCH(NS,NT(NS), IBOAT)+
  ( TCATCH(NS,NT(NS), IBOAT)/IREAL)
* IF (QBEST.EQ.1) THEN
  CDRAG=CD(AREA)
ENDIF
TCOST(NS,NT(NS), IBOAT)=(CF(AREA)+CDRAG)*PRCDEV( IBOAT )
TTCOST(NS,NT(NS), IBOAT)=TTCOST(NS,NT(NS), IBOAT)+
  ( TCOST(NS,NT(NS), IBOAT)/IREAL)
* NINC(NS,NT(NS), IBOAT)=Y*PPRICE-(CF(AREA)+CDRAG)*PRCDEV( IBOAT )
NNINC(NS,NT(NS), IBOAT)=NNINC(NS,NT(NS), IBOAT)+
  ( NNINC(NS,NT(NS), IBOAT)/IREAL)
* VALAND(NS,NT(NS), IBOAT)=Y*PPRICE
VVALAN(NS,NT(NS), IBOAT)=VVALAN(NS,NT(NS), IBOAT)+
  ( VALAND(NS,NT(NS), IBOAT)/IREAL)
* APRET=(Y*PPRICE)-CF(AREA)-CD(AREA)
WHITE(7,580) IBOAT, AREA, Y, CATCH(CATCHN)
FORMAT(1X, 'BOAT: ', I2, 1X, 'AREA: ', I2, 1X, 'CATCH: ', F6.3, 1X,
  '---->')
* FORMAT(1X, 'STAYING AT PORT ----> REVENUE = 0.00'/)
RETURN
END
C 580
C 585
C 590
C C
SUBROUTINE UPDPI( PI, CATCHN, AREA )
IMPLICIT REAL *8(A-H, M-Z)
INTEGER CATCHN, AREA

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 NEM05480  
 NEM05490  
 NEM05500

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DIMENSION PI(3), PDY(2,3), QCATCH(3,6), PYX(3,3,6)
COMMON/BLOC1/PYX, PDY, QCATCH
BOTTOM=0.0
DO 600 I=1,3
  BOTTOM=BTOTM+(PI(I)*PYX(CATCHN,I,AREA))
DO 610 I=1,3
  PI(I)=PI(I)*PYX(CATCHN,I,AREA)/BOTTOM
WRITE(7,680) NEW PI: (PI(I), I=1,3)
FORMAT(1+,48X,A10,3(F6.3,1X))
RETURN
END
C
SUBROUTINE UPDSTY(H,P,PIB,RT,RM1,XI,XTM1,ACTUAL,ISEED,NBOATS,
*
  IMPLICIT REAL *8(A-H,M-Z)
  INTEGER ISEED,NBOATS,REFER
  DOUBLE PRECISION X,Y
  CHARACTER*8 ACTUAL
  DIMENSION P(3,3), PIB(50,3), M(0.1,ALPHA/0.4/
  DATA 3L/125.0/,AL/75.0/,R/0.95776147/,M/0.1,ALPHA/0.4/
  DATA A0/0.699/,A1/-0.698/,A2/0.236/,A3/-0.029/
  DISC=SQRT((R*(ALPHA-1.0)-1.0)**2-4.0*R*(1.0-ALPHA))
  COMPUTATION OF "F" ZERO POINT ONE"
  MM=M/(-LOG(R))
  FZSM=EXP(A0+A1*MM+A2*(MM**2.0)+A3*(MM**3.0))
  F=M*FZSM
  END OF COMPUTATION OF F0.1 (NATURAL MORT. SET AT 0.1... COULD
  BE SET HIGHER.
  F=F*0.7
  I=1
  WRITE(8,2)
  FORMAT(11,' SEASON UPDATING ( DERISO'S MODEL)',//,1X,
  * POOR -----> (0.75) ..... XI = 50, //,1X,
  * AVERAGE -----> (75,125) ..... XI = 100, //,1X,
  * GOOD -----> (125,+) ..... XI = 150, //,1X)
  CALL REFERE(XI,REFER,ACTUAL)
  WRITE(8,3) ACTUAL, XI
  FORMAT(1X,' ACTUAL STATE : ', A8, ' ( XI = ', F5.1, ' )', //)
  WRITE(8,4) ACTUAL
  P(XIPI = NEWSTATE + XT = 'A8, ' ) = ', //,
  * 20X, ' - NEW STATE - ', 2X, ' AVERAGE', 2X, ' POOR ', //,
  * 10X, 8( ' - ', ) 2X, 8( ' - ', ) //,
  * 10X, 8( ' - ', ) 2X, 8( ' - ', ) //,
  PII=4.0*ATAN(1.0)
  SS=EXP(-F-M)
  S=1.0-(F/(F+M))*(1.0-EXP(-F-M))
  H=F*(1.0-SS)/(F+M)
  THE FOLLOWING BOUNDS ARE FOR THE DERISO MODEL
  AA=(GL-(1.0+R)*S*XI*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*XT))
  IF(AA.LE.0.0) WRITE(6,*) I
  AA=(AL-(1.0+R)*S*XI*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*XT))
  IF(AA.LE.0.0) WRITE(6,*) I
  RHSL=-LOG((GL-(1.0+R)*S*XI*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/
  *(ALPHA*XT))

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NBM05990  
NEM06000  
NEM06010  
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NEM06040  
NEM06050



```

30      DO 10 IBOAT=1,NBOATS
        BOTTOM=0.0
        DO 20 I=1,3
          SUMP=0.0
          DO 30 J=1,3
            SUMP=SUMP+P(I,J)
          BOTTOM=BOTTOM+(PIB( IBOAT,I))*SUMP
        CONTINUE
        DO 40 I=1,3
          TOP=0.0
          DO 50 J=1,3
            TOP=TOP+(P(I,J)*PIB( IBOAT,I))
          PIB( IBOAT,I)=TOP/BOTTOM
        CONTINUE
        CONTINUE
        RETURN
      END

C
C
C      SUBROUTINE STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, VECTOR )
      IMPLICIT REAL *8(A-H,M-Z)
      INTEGER NBOATS, NS, NT(10), NTRIPS, IMARK(10)
      DIMENSION VECTOR(5,15,50), CLASS(10)
      DC 50 I=1,10
        IMARK(I)=0
        IINT=NT(NS)
        IF( IFLAG.EQ.1 ) THEN
          IINT=NTRIPS
        ENDIF
        CALL MAXMIN( VECTOR, IR, NBOATS, IINT, NS, MAX, MIN )
        CALL MEANC( VECTOR, IR, NBOATS, IINT, NS, MEAN )
        CALL VARNCE( VECTOR, IR, NBOATS, IINT, NS, MEAN, VAR )
        CALL STANDV( VECTOR, IR, NBOATS, IINT, NS, MEAN, STD )
        WRITE( 4, 2 ) MAX, MIN, MEAN, VAR, STD
        FORMAT( '0, , STATISTICS ON THE ACCUMULATED ABOVE VECTOR: ', /,
          15X, ' MAXIMUM VALUE = ', F13.2, /,
          15X, ' MINIMUM VALUE = ', F13.2, /,
          15X, ' AVERAGE VALUE = ', F13.2, /,
          15X, ' VARIANCE = ', F13.2, /,
          15X, ' STD DEVIATION = ', F13.2, // )
        DO 10 K=1,10
          CLASS(K)=MIN+K*((MAX-MIN)/10.0)
        DO 20 I=1,NBOATS
          SUM=0.0
          DO 60 II=1, IINT
            SUM=SUM+VECTOR( NS, II, I )
          DO 30 K=1,10
            IF SUM.LT. CLASS(K) THEN
              IMARK(K)=IMARK(K)+1
              GOTO 20
            ENDIF
          CONTINUE
          CONTINUE
          IMARK(10)=IMARK(10)+1
        C ***** WHITE THE HISTOGRAM *****

```

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HISTOGRAM

```

WRITE(4,*) * CLASS MARK *
WRITE(4,*) K, CLASS(K), IMARK(K)
CONTINUE
FORMAT(1X, I2, '( ', F8.2, ' )', '...', ' ', I3)
RETURN
END

```

40  
1  
C

```

* SUBROUTINE STAT2(NBOATS, NTRIPS, NSEASN, NNINC, TTCATC, TTCOST,
* IMPLICIT REAL *8(A-H, M-Z)
INTEGER NBOATS, NSEASN, NTRIPS, NT(10)
DIMENSION NNINC(5, 15, 50), TTCATC(5, 15, 50), TTCOST(5, 15, 50)
DIMENSION VVALAN(5, 15, 50)
CALL MAXM2(NNINC, NBOATS, NSEASN, NTRIPS, MAX, MIN)
WRITE(4, 2) NET INCOME, MAX, MIN
CALL ST2ANX(NNINC, NBOATS, NSEASN, NTRIPS, MAX, MIN)
CALL MAXM2(TTCATC, NBOATS, NSEASN, NTRIPS, MAX, MIN)
WRITE(4, 2) TOTAL CATCH, MAX, MIN
CALL ST2ANX(TTCATC, NBOATS, NSEASN, NTRIPS, MAX, MIN)
CALL MAXM2(TTCOST, NBOATS, NSEASN, NTRIPS, MAX, MIN)
WRITE(4, 2) TOTAL COSTS, MAX, MIN
CALL ST2ANX(TTCOST, NBOATS, NSEASN, NTRIPS, MAX, MIN)
CALL MAXM2(VVALAN, NBOATS, NSEASN, NTRIPS, MAX, MIN)
WRITE(4, 2) LANDED VALUE, MAX, MIN
CALL ST2ANX(VVALAN, NBOATS, NSEASN, NTRIPS, MAX, MIN)
FORMAT(15X, ' STATISTICS ON ACCUMULATED ', F13.2, //
* 15X, ' MAXIMUM VALUE = ', F13.2, //
* 15X, ' MINIMUM VALUE = ', F13.2, //)
RETURN
END

```

2  
C

```

SUBROUTINE ST2ANX(VECTOR, NBOATS, NSEASN, NTRIPS, MAX, MIN)
IMPLICIT REAL *8(A-H, M-Z)
INTEGER NBOATS, NSEASN, NTRIPS, NT(10), IMARK(10, 15), IAXIS(15)
DIMENSION VECTOR(5, 15, 50), CLASS(10)
DO 5 I=1, 10
DO 5 II=1, 15
IMARK(I, II)=0
DO 10 K=1, 10
CLASS(K)=MIN*(MAX-MIN)/10.0
DO 20 I=1, NTRIPS
DO 30 II=1, NBOATS
SUM=0.0
DO 40 III=1, NSEASN
IF(I.GT.NT(III)) GO TO 40
SUM=SUM+VECTOR(III, I, II)
CONTINUE
DO 50 K=1, 10
IF(SUM.LT.CLASS(K)) THEN
IMARK(K, I)=IMARK(K, I)+1
GO TO 30

```

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40

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```

50      ENDIF
30      CONTINUE
20      CONTINUE
C
C      DO 60 J=1,15
C      IIMARK(10,J)=IIMARK(10,J)+1
C      IAXIS(J)=J
60      CONTINUE
C      ***** THE HISTOGRAM *****
C      ***** HISTOGRAM *****
      WRITE(4,*)
      WRITE(4,*) CLASS MARK
      WRITE(4,2)((IIMARK(K,I),I=1,15),K=1,10)
      WRITE(4,3) TRIPS(I),I=1,15)
      FORMAT(/,10(F8.2,5X,15(I3,2X),/))
      FORMAT(/,A8,4X,15(I3,2X))
      RETURN
      END
C
C      SUBROUTINE MAXMIN(VECTOR,IR,NBOATS,IINI,NS,MAX,MIN)
      IMPLICIT REAL *8(A-H,M-Z)
      INTEGER NBOATS,NS,NT(10)
      DIMENSION SUM(50),VECTOR(5,15,50)
      MAX=0.0
      MIN=1000000.0
      DO 5 I=1,NBOATS
      SUM(I)=0.0
      DO 10 II=1,NBOATS
      SUM(I)=SUM(I)+VECTOR(NS,II,I)
      IF(SUM(I).GE.MAX) THEN
      MAX=SUM(I)
      ENDIF
      CONTINUE
      DO 20 IF=1,NBOATS
      IF(SUM(IF).LE.MIN) THEN
      MIN=SUM(IF)
      ENDIF
      CONTINUE
      RETURN
      END
C
C      SUBROUTINE MAXM2(VECTOR,NBOATS,NSEASN,NTRIPS,MAX,MIN)
      IMPLICIT REAL *8(A-H,M-Z)
      INTEGER NBOATS,NSEASN,NTRIPS
      DIMENSION SUM(15,50),VECTOR(5,15,50)
      MAX=0.0
      MIN=1000000.0
      DO 5 I=1,NBOATS
      DO 5 II=1,15
      SUM(II,I)=0.0
      DO 10 III=1,NSEASN
      DO 10 III=1,NBOATS
      DO 10 III=1,NTRIPS

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```

10  SUM(II,I)=SUM(II,I)+VECTOR(III,II,I)
    DO 20 III=1,NSEASN
      DO 20 I=1,NBOATS
        DO 20 II=1,NTRIPS
          IF(SUM(II,I).GE.MAX) THEN
            MAX=SUM(II,I)
          ENDIF
        CONTINUE
      DO 30 III=1,NSEASN
        DO 30 I=1,NBOATS
          DO 30 II=1,NTRIPS
            IF(SUM(II,I).LE.MIN) THEN
              MIN=SUM(II,I)
            ENDIF
          CONTINUE
        RETURN
      END
C
SUBROUTINE MEANC(VECTOR,IR,NBOATS,IINT,NS,MEAN)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NI(10)
DIMENSION VECTOR(5,15,50)
SUM=0.0
DO 10 I=1,NBOATS
  DO 10 II=1,IINT
    SUM=SUM+VECTOR(NS,II,I)
  MEAN=SUM/NBOATS
RETURN
END
C
SUBROUTINE VARNCE(VECTOR,IR,NBOATS,IINT,NS,MEAN,VAR)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NI(10)
DIMENSION SUM(50),VECTOR(5,15,50)
DO 5 I=1,NBOATS
  SUM(I)=0.0
  SUM1=0.0
  DO 10 II=1,IINT
    SUM(I)=SUM(I)+VECTOR(NS,II,I)
  DO 20 I=1,NBOATS
    SUM1=SUM1+(ABS(SUM(I)-MEAN)**2.0)
  VAR=SUM1/NBOATS
RETURN
END
C
SUBROUTINE STANDV(VECTOR,IR,NBOATS,IINT,NS,MEAN,STD)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NI(10)
DIMENSION SUM(50),VECTOR(5,15,50)
DO 5 I=1,NBOATS
  SUM(I)=0.0

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FILE NBMXOP FORTRAN A1 UNIV D'OF OTTAWA

```

SUM1=0.0
DO 10 I=1,NBOATS
  DO 10 II=1,IINT
    SUM(I)=SUM(I)+VECTOR(NS,II,I)
  DO 20 I=1,NBOATS
    SUM1=SUM1+(ABS(SUM(I)-MEAN))*2.0)
  IF(NBOATS.EQ.1)THEN
    STD=0.0
    GO TO 30
  ENDIF
  STD=(SUM1/((NBOATS*1.0)-1.0))**.5
  RETURN
END
C
SUBROUTINE DEVIA(NBOATS,NS,NT,PRCDEV,ICOST,VALAND,NINC)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NT(10)
DIMENSION TCOST(5,15,50),VALAND(5,15,50),PRCDEV(50)
DIMENSION NINC(5,15,50)
SUM1=0.0
DO 10 I=1,NBOATS
  DO 10 II=1,NT(NS)
    SUM1=SUM1+NINC(NS,II,I)
  AVERA=SUM1/NBOATS
  DO 20 I=1,NBOATS
    SUM2=0.0
    DO 30 II=1,NT(NS)
      SUM2=SUM2+NINC(NS,II,I)
    PRCDEV(I)=((SUM2-AVERA)/AVERA)+1.0
  CONTINUE
  RETURN
END
C
SUBROUTINE CHECK(IR,ISTOP,NBOATS,NS,NT,H,VECTOR,XT)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NT(10)
DIMENSION VECTOR(5,15,50)
SUM=0.0
DO 10 I=1,NBOATS
  DO 10 II=1,NT(NS)
    SUM=SUM+VECTOR(NS,II,I)
  ACTHAR=SUM/XT
  IF(ACTHAR.GT.H) THEN
    ISTOP=1
    WRITE(6,2) SUM,XT,ACTHAR,H,NT(NS)
    WRITE(8,2) SUM,XT,ACTHAR,H,NT(NS)
  ENDIF
  FORMAT(1X,60(,'*'),/,1X,'ACTUAL HARVEST EXCEEDS QUOTA:.....',/,
  * * * CATCH=,F9.4,3X,STATE=,F9.4/,
  * * * CATCH/STATE ABUN.=,F7.4,H=,F7.4,/,
  * * * TOTAL NUMBER OF TRIPS THIS SEASON: ,I3,/,
  * * *
  RETURN

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```

30 DO 30 IT=1,15
C   DO 30 IB=1,50
C   SUM1( IS )=SUM1( IS )+NNINC( IS, IT, IB )
C   SUM2( IS )=SUM2( IS )+TTICATC( IS, IT, IB )
C   SUM3( IS )=SUM3( IS )+TTCOST( IS, IT, IB )
C   SUM4( IS )=SUM4( IS )+VVALAN( IS, IT, IB )
CONTINUE
SUM1( IS )=SUM1( IS )/NSEASN
SUM2( IS )=SUM2( IS )/NSEASN
SUM3( IS )=SUM3( IS )/NSEASN
SUM4( IS )=SUM4( IS )/NSEASN
WRITE( 3, 86 ) IS, SUM1( IS ), SUM2( IS ), SUM3( IS ), SUM4( IS )
86  FORMAT( I X, I 2, 3 X, 4( F 1 3. 5, 2 X ) )
CONTINUE
RETURN
END

```

TRIP NO. 1 OF SEASON 3

CATCH DISTRIBUTION -----> P(Y)

AREA 1			AREA 2			AREA 3			AREA 4	
G	A	P	G	A	P	G	A	P	G	A
0.31	0.29	0.31	0.30	0.31	0.31	0.35	0.39	0.37	0.35	0.37

DRAG DISTRIBUTION -----> P(D)

AREA 1		AREA 2		AREA 3		AREA 4
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.
0.381	0.379	0.381	0.380	0.381	0.381	0.268

OPTIMAL DECISION NO. 11 WILL YIELD AN EXPECTED REVENUE OF \*\*\*\*\* D

OPTIMAL DECISION NO. 11 IS APPLIED

WHICH MEANS -----> TEST DRAG AREA 5

ACTUAL CATCH YIELDS  $Y = 0.1$  -----> POOR  
FOR A REVENUE OF 49.95 DOLLARS  
ACCUMULATED REVENUE UPDATED AT 990.60 DOLLARS

=====

AFTER THIS TRIP, THE PI-VECTOR IS UPDATED.....

G	A	P
0.204	0.470	0.326

=====

---

AREA 4			AREA 5			AREA 6		
G	A	P	G	A	P	G	A	P
0.35	0.37	0.37	0.34	0.33	0.31	0.35	0.31	0.31

AREA 4		AREA 5		AREA 6	
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.
0.268	0.269	0.269	0.267	0.269	0.269

\*\*\*\*\* DOLLARS

=====

=====

TRIP NO. 2 OF SEASON 3

CATCH DISTRIBUTION -----> P(Y)

AREA 1			AREA 2			AREA 3			AREA 4		
G	A	P	G	A	P	G	A	P	G	A	P
0.31	0.27	0.30	0.30	0.30	0.30	0.35	0.39	0.37	0.35	0.37	0.37

DRAG DISTRIBUTION -----> P(D)

AREA 1		AREA 2		AREA 3		AREA 4	
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.
0.381	0.377	0.380	0.380	0.380	0.380	0.267	0.269

OPTIMAL DECISION NO. 11 WILL YIELD AN EXPECTED REVENUE OF \*\*\*\*\* DOLLARS

OPTIMAL DECISION NO. 11 IS APPLIED

WHICH MEANS -----> TEST DRAG AREA 5

ACTUAL CATCH YIELDS  $Y = 0.1$  -----> GOOD  
FOR A REVENUE OF 31.96 DOLLARS  
ACCUMULATED REVENUE UPDATED AT 1022.56 DOLLARS

=====

AFTER THIS TRIP, THE PI-VECTOR IS UPDATED.....

G	A	P
0.268	0.464	0.268

=====

AREA 4			AREA 5			AREA 6		
A	P		G	A	P	G	A	P
0.35	0.37	0.37	0.35	0.34	0.32	0.35	0.32	0.32

AREA 4		AREA 5		AREA 6	
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.
0.267	0.269	0.269	0.267	0.269	0.269

\*\*\*\*\* DOLLARS

=====

=====

TRIP NO. 3 OF SEASON 3  
-----

CATCH DISTRIBUTION -----> P(Y)

AREA 1			AREA 2			AREA 3			AREA 4		
G	A	P	G	A	P	G	A	P	G	A	P
0.31	0.29	0.31	0.30	0.31	0.31	0.35	0.38	0.37	0.35	0.37	0.31

DRAG DISTRIBUTION -----> P(D)

AREA 1		AREA 2		AREA 3		AREA 4	
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.
0.381	0.379	0.381	0.380	0.381	0.381	0.268	0.268

OPTIMAL DECISION NO. 11 WILL YIELD AN EXPECTED REVENUE OF

OPTIMAL DECISION NO. 11 IS APPLIED

HIGH MEANS -----> TEST DRAG AREA 5

ACTUAL CATCH YIELDS Y= -----> AVERAGE  
 OR A REVENUE OF 16.95 DOLLARS  
 ACCUMULATED REVENUE UPDATED AT 1039.51 DOLLARS

=====

AFTER THIS TRIP, THE PI-VECTOR IS UPDATED.....

G	A	P
0.251	0.497	0.251

=====

AREA 4			AREA 5			AREA 6		
G	A	P	G	A	P	G	A	P
0.35	0.37	0.37	0.34	0.33	0.31	0.35	0.31	0.31

AREA 4		AREA 5		AREA 6	
FAVOR.	UNFAV.	FAVOR.	UNFAV.	FAVOR.	UNFAV.
0.268	0.269	0.269	0.267	0.269	0.269

=====

=====

Figure C.2: Myopic Algorithm: Fishing Simulation

STATISTICS ON \*\*\*\* OVERALL TOTAL CATCH \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 1.02  
MINIMUM VALUE = 0.45  
AVERAGE VALUE = 0.74  
VARIANCE = 0.01  
STD DEVIATION = 0.12

HISTOGRAM

CLASS	MARK		
1(	0.51	).....	1
2(	0.57	).....	2
3(	0.62	).....	7
4(	0.65	).....	6
5(	0.74	).....	6
6(	0.79	).....	11
7(	0.85	).....	6
8(	0.91	).....	5
9(	0.95	).....	3
10(	1.02	).....	1

STATISTICS ON \*\*\*\* OVERALL TOTAL COSTS \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 189.81  
MINIMUM VALUE = 74.83  
AVERAGE VALUE = 124.30  
VARIANCE = 557.50  
STD DEVIATION = 23.95

HISTOGRAM

CLASS	MARK		
1(	85.33	).....	2
2(	97.83	).....	3
3(	109.33	).....	6
4(	120.82	).....	15
5(	132.32	).....	10
6(	143.82	).....	3
7(	155.31	).....	6
8(	166.81	).....	3
9(	178.31	).....	0
10(	189.81	).....	2

STATISTICS ON \*\*\*\* OVERALL NET INCOME \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 947.17  
MINIMUM VALUE = 387.12  
AVERAGE VALUE = 649.36  
VARIANCE = 15629.33  
STD DEVIATION = 126.29

HISTOGRAM

CLASS	MARK		
1(	443.13	).....	2
2(	499.13	).....	5
3(	555.14	).....	5
4(	511.14	).....	6
5(	667.15	).....	7
6(	723.15	).....	10
7(	779.16	).....	7
8(	835.16	).....	2
9(	891.17	).....	4
10(	947.17	).....	1

STATISTICS ON \*\*\*\* OVERALL LANDED VALUE \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 1073.05  
MINIMUM VALUE = 471.76  
AVERAGE VALUE = 774.66  
VARIANCE = 15933.75  
STD DEVIATION = 127.51

HISTOGRAM

CLASS	MARK		
1(	531.89	).....	1
2(	592.02	).....	2
3(	652.15	).....	7
4(	712.23	).....	6
5(	772.41	).....	6
6(	832.54	).....	11
7(	892.67	).....	8
8(	952.79	).....	5
9(	1012.92	).....	3
10(	1073.05	).....	1



STATISTICS ON ACCUMULATED TOTAL COSTS  
 MAXIMUM VALUE = 184.62  
 MINIMUM VALUE = 0.00

HISTOGRAM

CLASS MARK	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
18.45	0	0	0	0	0	0	18	50	50	50	50	50	50	50	50
36.92	0	0	0	0	0	0	32	0	0	0	0	0	0	0	0
55.39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
73.85	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
92.31	0	0	0	0	0	25	0	0	0	0	0	0	0	0	0
110.77	0	0	0	0	24	25	0	0	0	0	0	0	0	0	0
129.23	0	0	0	16	25	0	0	0	0	0	0	0	0	0	0
147.70	4	4	15	30	1	0	0	0	0	0	0	0	0	0	0
166.16	31	31	30	4	0	0	0	0	0	0	0	0	0	0	0
184.62	14	14	5	0	0	0	0	0	0	0	0	0	0	0	0

TRIPS

STATISTICS ON ACCUMULATED LANDED VALUE  
 MAXIMUM VALUE = 1522.15  
 MINIMUM VALUE = 0.00

CLASS MARK

HISTOGRAM

CLASS MARK	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
152.21	0	0	0	0	0	0	32	50	50	50	50	50	50	50	50
304.43	0	0	0	0	0	0	17	0	0	0	0	0	0	0	0
456.64	0	0	0	0	0	4	1	0	0	0	0	0	0	0	0
608.96	0	0	0	2	9	17	0	0	0	0	0	0	0	0	0
761.07	3	3	4	13	16	20	0	0	0	0	0	0	0	0	0
913.29	13	19	17	18	18	8	0	0	0	0	0	0	0	0	0
1065.50	23	15	19	13	6	1	0	0	0	0	0	0	0	0	0
1217.72	10	10	10	3	1	0	0	0	0	0	0	0	0	0	0
1369.93	0	2	0	1	0	0	0	0	0	0	0	0	0	0	0
1522.15	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0

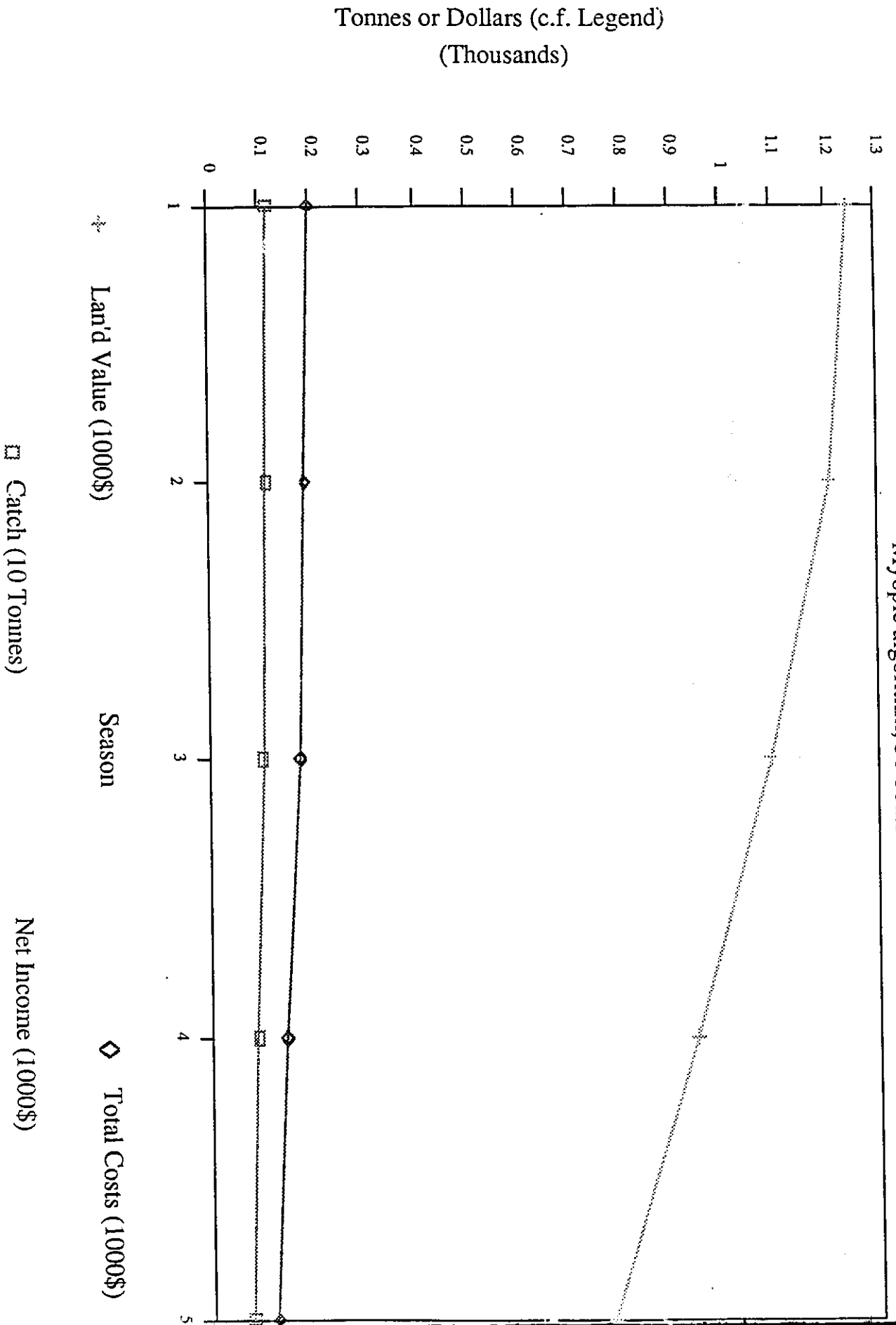
TRIPS

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15

Figure C.3: Seasonal performance indicators

# Average Performance Indicators

Myopic algorithm, 50 boats



## Appendix D

# Adaptive Algorithm

This appendix presents the key computer code for the adaptive algorithm (Figure D.1). The code is written in FORTRAN-VS.

Also included are sample outputs for the developed policy, the fishing activity, the tallied statistics and the performance indicators' graph for a sample run of the simulation model.

Figure D.1: Adaptive Algorithm: Main program

```

PROGRAM ADAPT
IMPLICIT REAL *8(A-H,M-Z)
INTEGER AREA, CATCHN, IREAL, ISEED, NAREAS, NBOATS, NS, NSEASN, NTRIPS
CHARACTER*8 CATCH(3)
CHARACTER*8 ACTUAL
DIMENSION P(3,3), PI(3), PXX(3,3,6), QCATCH(3,6), ZALPHA(15,6,3)
DIMENSION PIB(50,3), TCATCH(5,15,50), TCOST(5,15,50)
DIMENSION VALANC(5,15,50), PRCDEV(50), NNINC(5,15,50)
DIMENSION TTCATCH(5,15,50), TTCOST(5,15,50), NNINC(5,15,50)
DIMENSION VVALAN(5,15,50)
COMMON/BLOC2/PXX, QCATCH, CF
COMMON/ELOC3/TCATCH, TCOST, VALAND, NNINC
COMMON/BLOC4/TTCATCH, TTCOST, VVALAN, NNINC
DATA XTM1/100.0/, RT/40.0/, RTM1/40.0/
DATA ISEED/6325779/
CATCH(1)='GOOD'
CATCH(2)='AVERAGE'
CATCH(3)='POOR'
CALL LIRE(I, IREAL, NAREAS, NBOATS, NTRIPS, NSEASN)
WRITE(6,*) 'LIRE TERMINÉ'
DO 300 I=1, NSEASN
  DO 300 II=1, NTRIPS
    DO 300 III=1, NBOATS
      TTCATCH(I, II, III)=0.0
      TTCOST(I, II, III)=0.0
      NNINC(I, II, III)=0.0
      VVALAN(I, II, III)=0.0
    CONTINUE
  DO 5 IRE=1, IREAL
    DO 100 I=1, NBOATS
      PRCDEV(I)=1.0
    DO 200 I=1, 3
      PI(I)=1.0/3.0
    DO 20 J=1, NBOATS
      DO 20 I=1, 3
        PIB(J, I)=PI(I)
      CALL HCOMP(H)
      XI=100.0
      PRICE=600.0
      CALL TRANS(P, XTM1, RTM1)
      WRITE(6,*) 'TRANS TERMINÉ'
      CALL ECRIR1(X, P, P, CATCH, PRICE, NAREAS, NBOATS, NTRIPS, NSEASN)
      WRITE(6,*) 'ÉCRIRE TERMINÉ'
      CALL BACKW(JALPHA, ZALPHA, PRICE, NAREAS, NTRIPS)
      WRITE(6,*) 'BACKW TERMINÉ'
      DO 10 NS=1, NSEASN
        ISEED=ISEED+2
        ISTOP=0
        WRITE(6,*) ' DOING SEASON NUMBER.....', NS
      LOCATE MATRICES' ROM ASSOCIATED WITH CURRENT STATE
      CALL REFERE(XI, REFER, ACTUAL)
    DO 15 IINT=1, NTRIPS

```

NNS00010  
 NNS00020  
 NNS00030  
 NNS00040  
 NNS00050  
 NNS00060  
 NNS00070  
 NNS00080  
 NNS00090  
 NNS00100  
 NNS00110  
 NNS00120  
 NNS00130  
 NNS00140  
 NNS00150  
 NNS00160  
 NNS00170  
 NNS00180  
 NNS00190  
 NNS00200  
 NNS00210  
 NNS00220  
 NNS00230  
 NNS00240  
 NNS00250  
 NNS00260  
 NNS00270  
 NNS00280  
 NNS00290  
 NNS00300  
 NNS00310  
 NNS00320  
 NNS00330  
 NNS00340  
 NNS00350  
 NNS00360  
 NNS00370  
 NNS00380  
 NNS00390  
 NNS00400  
 NNS00410  
 NNS00420  
 NNS00430  
 NNS00440  
 NNS00450  
 NNS00460  
 NNS00470  
 NNS00480  
 NNS00490  
 NNS00500  
 NNS00510  
 NNS00520  
 NNS00530  
 NNS00540  
 NNS00550

FILE: INSTAT FORTRAN A1 UNIV D'OF OTTAWA

```

NNS00560
NNS00570
NNS00580
NNS00590
NNS00600
NNS00610
NNS00620
NNS00630
NNS00640
NNS00650
NNS00660
NNS00670
NNS00680
NNS00690
NNS00700
NNS00710
NNS00720
NNS00730
NNS00740
NNS00750
NNS00760
NNS00770
NNS00780
NNS00790
NNS00800
NNS00810
NNS00820
NNS00830
NNS00840
NNS00850
NNS00860
NNS00870
NNS00880
NNS00890
NNS00900
NNS00910
NNS00920
NNS00930
NNS00940
NNS00950
NNS00960
NNS00970
NNS00980
NNS00990
NNS01000
NNS01010
NNS01020
NNS01030
NNS01040
NNS01050
NNS01060
NNS01070
NNS01080
NNS01090
NNS01100

NT(NS)=IINT , DOING IRIP NUMBER.....,NT(NS)
WRITE(6,*) NI(NS),NS
WRITE(8,500) NI(NS),NS
FORMAT(11,30X,TRIP NO. , I3, OF SEASON , I2,/, 25X, 37( '-'), //)
DJ 30 IBOAT=1,NBOATS
DO 40 I=1,3
PI(I)=PIB( IBOAT, I )
CALL OPTIM( AREA, IR, ISEED, NAREAS, NS, NT, NTRIPS, JALPHA, PI, ZALPHA )
CALL FISHIN( REFER, AREA, CATCH, CATCHN, IBOAT, IR, IREAL, ISEED,
NS, NT, PRICE, PRCDEV )
*
CALL UPDPI( PI, CATCHN, AREA )
DO 50 I=1,3
PIB( IBOAT, I )=PI( I )
CONTINUE
CALL CHECK( IR, ISTOP, NBOATS, NS, NT, H, TCATCH, XT )
IF( ISTOP.EQ.1 ) GO TO 70
CONTINUE
IF( NT(NS).EQ.16) NI(NS)=15
WRITE(4,1) SEASONAL, TOTAL, CATCH, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, ICAATCH )
WRITE(4,1) SEASONAL, LANDED, VALUE, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, VALAND )
WRITE(4,1) SEASONAL, TOTAL, COSTS, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, TCOST )
WRITE(4,1) SEASONAL, NET, INCOME, NS, XT, ACTUAL, IR
CALL STATS( IFLAG, IR, NBOATS, NS, NT, NTRIPS, NNINC )
CALL DEVIA( NBOATS, NS, NT, PRCDEV, TCOST, VALAND, NNINC )
CALL UPDST( H, P, PIB, RI, RIMI, XT, XIMI, ACTUAL, ISEED, NBOATS, REFER )
CONTINUE
CONTINUE
*****
IFLAG=1
DO 80 NS=1, NSEASN
WRITE(4,2) OVERALL, TOTAL, CATCH, NS, NT, NTRIPS, TTCATC )
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, TTCATC )
WRITE(4,2) OVERALL, LANDED, VALUE, NS, NT, NTRIPS, VVALAN )
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, VVALAN )
WRITE(4,2) OVERALL, TOTAL, COSTS, NS, NT, NTRIPS, TTCOST )
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, TTCOST )
WRITE(4,2) OVERALL, NET, INCOME, NS, NT, NTRIPS, NNINC )
CALL STATS( IFLAG, IREAL, NBOATS, NS, NT, NTRIPS, NNINC )
CONTINUE
*****
CALL STAT2( NBOATS, NTRIPS, NSEASN, NNINC, TTCATC, TTCOST, VVALAN )
FORMAT(11, STATISTICS ON ###, A25, ###, SEASON NO. , I2,
, CURRENT STATE: X= , F6.1, -----> , A8, /, 46X, ##, ., .
, REALIZATION: I2 )
*
FORMAT(11, STATISTICS ON ###, A25, ###, SEASON NO. , I2 )
CALL STAT3( NBCATS, NTRIPS, NSEASN, NNINC, TTCATC, TTCOST, VVALAN )
STOP
END
C
SUBROUTINE TRANS( P, X, TMI, RTM1 )
IMPLICIT REAL *8( A-H, M-Z)

```

NNS01110  
NNS01120  
NNS01130  
NNS01140  
NNS01150  
NNS01160  
NNS01170  
NNS01180  
NNS01190  
NNS01200  
NNS01210  
NNS01220  
NNS01230  
NNS01240  
NNS01250  
NNS01260  
NNS01270  
NNS01280  
NNS01290  
NNS01300  
NNS01310  
NNS01320  
NNS01330  
NNS01340  
NNS01350  
NNS01360  
NNS01370  
NNS01380  
NNS01390  
NNS01400  
NNS01410  
NNS01420  
NNS01430  
NNS01440  
NNS01450  
NNS01460  
NNS01470  
NNS01480  
NNS01490  
NNS01500  
NNS01510  
NNS01520  
NNS01530  
NNS01540  
NNS01550  
NNS01560  
NNS01570  
NNS01580  
NNS01590  
NNS01600  
NNS01610  
NNS01620  
NNS01630  
NNS01640  
NNS01650

```

DIMENSION P(3,3)
DOUBLE PRECISION XX, Y
DATA GL/125.0/, AL/75.0/, X/50.0/(ALPHA*0.4/
DATA R/0.95776147/M/0.1/, ALPHA/(1.0-ALPHA))
DISC=SQRT((R*(ALPHA-1.0)-1.0)**2-4.0*R*(1.0-ALPHA))-M
F1=-LOG((1.0-R*(ALPHA-1.0)-DISC)/(2.0*R))-M
F2=-LOG((1.0-R*(ALPHA-1.0)-DISC)/(2.0*R))
IF(F1.GT.0.0.AND.F1.LT.1.0) THEN
  F=F1+0.05
ELSE
  F=F2+0.05
ENDIF
PI=4.0*ATAN(1.0)
S=EXP(-F-M)
H=F*(1.0-S)/(F+PI)
I=0
I=I+1
R=0.0
THE FOLLOWING BOUNDS ARE FOR THE DERISO MODEL
AA=((GL-(1.0+R))*S**2*(1.0-H)+R*(1.0+R)*S**2*(ALPHA*X))
IF(AA.LE.0.0) WRITE(6,1) X, XIM1, RTM1, AL, R, S, ALPHA, F
FORMA(I,1), 4(F5.0,1X), 4(F5.3,1X))
AA=((AL-(1.0+R))*S**2*(1.0-H)+R*(1.0+R)*S**2*(ALPHA*X))
IF(AA.LE.0.0) WRITE(6,1) X, XIM1, RTM1, AL, R, S, ALPHA, F
RHSL=-LOG((GL-(1.0+R))*S**2*(1.0-H)+R*(1.0+R)*S**2*(ALPHA*X))
RHSR=-LOG((AL-(1.0+R))*S**2*(1.0-H)+R*(1.0+R)*S**2*(ALPHA*X))
XX=RHSL
CALL MDNORD(XX, Y)
P(I,1)=L*INTEGRALE DE -L*INFINI A RHSL DE F(X) - DENSITE NORMALE
P(I,1)=Y
XX=RHSR
P(I,2)=L*INTEGRALE DE RHSL A RHSR DE F(X) - DENSITE NORMALE
CALL MDNORD(XX, Y)
P(I,2)=Y
P(I,3)=P(I,2)-P(I,1)
P(I,3)=L*INTEGRALE DE RHSR A L*INFINI DE F'(X) - DENSITE NORMALE
P(I,3)=1.0-P(I,1)-P(I,2)
IF(I.LT.3) THEN
  X=X+50.0
  GOTO 99
ENDIF
RETURN
END
SUBROUTINE LIRE(IREAL, NAREAS, NBOATS, NTRIPS, NSEASN)
IMPLICIT REAL*(A-H, M-Z)
INTEGER IREAL, NAREAS, NBOATS, NTRIPS, NSEASN
DIMENSION PI(3), CF(10), PYX(3,3,6), QCATCH(3,6)
COMMON/BLOC2/PYX, QCATCH, CF

```

READ IN GIVEN DATA:

99  
C  
C  
C  
C  
C  
C  
C  
C

```

C C PYX(I,J) CATCH GIVEN STATE DISTRIBUTION
C DO 15 I=1,6
C READ(5,81)
C CO 20 J=1,3
C READ(5,*)(PYX(J,K,I),K=1,3)
C CONTINUE
C CONTINUE
C C C HEAD NUMBER OF AREAS, NO OF TRIPS/SEASON
C C C AND NUMBER OF SEASONS FOR SIMULATION.
C C C HEAD(5,81)
C C C READ(5,*) NAREAS,NBOATS,NTRIPS,NSEASN,IREAL
C C C READ THE COSTS OF FISHING FOR EACH AREA
C C C READ(5,81)
C C C READ(5,*)(CF(I),I=1,NAREAS)
C C C HEAD AREA'S QUANTITATIVE CATCHES ASSOCIATED WITH GOOD,AVG AND POOR
C C C READ(5,81)
C C C DO 30 I=1,3
C C C READ(5,*)(QCATCH(I,J),J=1,6)
C C C FORMAT(A50)
C C C RETURN
C C C END
C C C SUBROUTINE RAND(ISEED,U)
C C C ***** MODIFIED RANDOM NUMBER GENERATOR (V.DYCK,J.LAWSON,J.SMITH
C C C ***** "INTRODUCTION TO COMPUTING", RESTON,VA.(1979))
C C C *****
C C C LINEAR CONGRUENTIAL GENERATOR FOR 32-BIT WORD COMPUTER.
C C C CYCLE IS 2**29 IN LENGTH: VARIABLES ARE U(0,1)
C C C INTEGER ISEED
C C C ISEED=ISEED*843314861+453816693
C C C IF(ISEED.LI.0)ISEED=ISEED+2147483647+1
C C C U=ISEED*0.4656612E-9
C C C RETURN
C C C END
C C C SUBROUTINE REFERR(XI,REFER,ACTUAL)
C C C IMPLICIT REAL *8(A-H,M-Z)
C C C INTEGER REFER
C C C CHARACTER*8 ACTUAL
C C C IF(XI.LI.75.0) THEN
C C C REFER=3
C C C ACTUAL= 'POOR'
C C C XI=50.0

```

NNS01660  
NNs01670  
NNs01680  
NNs01690  
NNs01700  
NNs01710  
NNs01720  
NNs01730  
NNs01740  
NNs01750  
NNs01760  
NNs01770  
NNs01780  
NNs01790  
NNs01800  
NNs01810  
NNs01820  
NNs01830  
NNs01840  
NNs01850  
NNs01860  
NNs01870  
NNs01880  
NNs01890  
NNs01900  
NNs01910  
NNs01920  
NNs01930  
NNs01940  
NNs01950  
NNs01960  
NNs01970  
NNs01980  
NNs01990  
NNs02000  
NNs02010  
NNs02020  
NNs02030  
NNs02040  
NNs02050  
NNs02060  
NNs02070  
NNs02080  
NNs02090  
NNs02100  
NNs02110  
NNs02120  
NNs02130  
NNs02140  
NNs02150  
NNs02160  
NNs02170  
NNs02180  
NNs02190  
NNs02200

```

NNS02210
NNS02220
NNS02230
NNS02240
NNS02250
NNS02260
NNS02270
NNS02280
NNS02290
NNS02300
NNS02310
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ELSE
IF(XT.LT.125.0) THEN
REFER=2
ACTUAL='AVERAGE'
XT=100.0
ELSE
REFER=1
ACTUAL='GOOD'
XT=150.0
ENDIF
ENDIF
RETURN
END

SUBROUTINE ECRIN(X,PL,P,CATCH,PRICE,NAREAS,NBOATS,NTrips,NSEASN)
IMPLICIT REAL*8(A-H,M-Z)
INTEGER NAREAS,NBOATS,NTrips,NS
CHARACTER*8 CATCH(3)
DIMENSION CF(6),PI(3),PYX(3,3,6),P(3,3),QCATCH(3,6)
COMMON/BLOC2/PYX,QCATCH,CF
WRITE(8,91)((CF(I),I=1,NAREAS)
WRITE(8,92)
WRITE(8,93)
WRITE(8,94)
WRITE(8,95)
WRITE(8,96)
*
*
WRITE(8,97)((PI(I),I=1,3)
WRITE(8,98)
DO 10 I=1,3
WRITE(8,99)((CF(I),I=1,NAREAS),PRICE
WRITE(8,995)((CATCH(I),J=1,NAREAS),I=1,3)
FORMAT('1',ADAPTIVE DECISION MAKING BY 'I3,' FISHERMEN
*WITH: '//,15X,I3,JX,' FISHING AREAS, '//,15X,I3,3X,
*TRIPS PER SEASON, '//)
FORMAT(' THE COSTS ARE DISTRIBUTED AS FOLLOWS (*), '//,25X,
*ARE: A, '//,15X,I1,5X,'2,5X,'3,5X,'4,5X,'5,5X,'6, '//,
*14X,35(') '//,1X, FISHING: '(F5.1,1X), //) OVER 125'
FORMAT(1X, STATE DEFINITION: '10X, 'GOOD -----> OVER 125'
*10X, AVERAGE -----> BETWEEN 75 AND 125',10X, 'POOR ----->
*10X, BELOW 75',1X,20(') '//)
FORMAT(' INITIAL STATE: X(0) = 100 -----> AVERAGE',1X,
*20(') '//) ACTUAL STATE LEVEL -----> 'F5.1,5X, 'SEASON NUMBER'
FORMAT(1X,56(') '//)
*1X,I2, '//,1X,23(') '//,13X, 'TO',
FORMAT(' STATE TRANSITION MATRIX, '//,1X,26(') '//,3X, 'G
* FROM A +',3X, 'A +',3X, 'P +',3X, '3(F6.2,1X), //,
*3(F6.2,1X), //)
*1X,26(') '//,PI VECTOR',1X,9(') '//,3X, 'G
FORMAT(1X,2X,3(F5.3,1X), //,1X,20(') //)
*20(') //)

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```

CALL RAND( ISEED, Y )
IF( Y .LE. PYX( 1, REFER, AREA ) ) THEN
  CATCHN=1
ELSE
  IF( Y .LE. ( PYX( 1, REFER, AREA ) + PYX( 2, REFER, AREA ) ) ) THEN
    CATCHN=2
  ELSE
    CATCHN=3
  ENDIF
ENDIF
YY=QCAICH( CATCHN, AREA )
TCATCH( NS, NT( NS ), IBOAT ) = YY
TTCATCH( NS, NT( NS ), IBOAT ) = TTCAICH( NS, NT( NS ), IBOAT ) +
  ( TCATCH( NS, NT( NS ), IBOAT ) * PRCDEV( IBOAT ) )
TCOST( NS, NT( NS ), IBOAT ) = CF( AREA ) * PRCDEV( IBOAT )
TTCOST( NS, NT( NS ), IBOAT ) = TCOST( NS, NT( NS ), IBOAT ) +
  ( TCOST( NS, NT( NS ), IBOAT ) * PRCDEV( IBOAT ) )
NINC( NS, NT( NS ), IBOAT ) = YY * PPRICE - CF( AREA )
NNINC( NS, NT( NS ), IBOAT ) = NNINC( NS, NT( NS ), IBOAT ) +
  ( NINC( NS, NT( NS ), IBOAT ) * PPRICE )
VVALAND( NS, NT( NS ), IBOAT ) = YY * PPRICE
VVVALAN( NS, NT( NS ), IBOAT ) = VVALAN( NS, NT( NS ), IBOAT ) +
  ( VALAND( NS, NT( NS ), IBOAT ) * PPRICE )
*
APRET=YY*PPRICE-CF(AREA)
WRITE( 8, 580 ) IBOAT, AREA, YY, CATCH( CATCHN ), APRFT
FORMAT( 1X, 'BOAT ', I3, ' FISHES IN AREA ', I1, ' CATCHES Y= ', F6.3,
  & ' -----> ', AB, ' FOR A REVENUE OF ', F8.2, '$!' )
*
RETURN
END

SUBROUTINE UPDPI( PI, CATCHN, AREA )
IMPLICIT REAL *8( A-H, M-Z )
INTEGER CATCHN, AREA
DIMENSION PI( 3 ), PYX( 3, 3, 6 )
COMMON/BLCC2/PYX, QCAICH, CF
BOTTOM=0.0
DO 600 I=1, 3
  BOTTOM=BOTTOM+(PI(I)*PYX(CATCHN,I,AREA))
DO 610 I=1, 3
  PI(I)=PI(I)*PYX(CATCHN,I,AREA)/BOTTOM
WRITE( 8, 680 ) ( PI( I ), I=1, 3 )
FORMAT( 10X, 'PI-VECTOR FOR THIS BOAT NEXT TRIP -----> ',
  & 3(F6.3, 1X) // )
*
RETURN
END

SUBROUTINE UPDSTN( H, P, PIB, RT, RTM1, XT, XTM1,
  & ACTUAL, ISEED, NBOATS, REFER )
IMPLICIT REAL *8( A-H, M-Z )
INTEGER ISEED, NBOATS, REFER
DOUBLE PRECISION X, Y
CHARACTER *8 ACTUAL
DIMENSION P( 3, 3 ), PIB( 50, 3 )

```

C580 \*  
 C  
 C  
 600  
 610  
 C  
 C680 \*  
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 C

```

DATA JL/125.0/,AL/75.0/,R/0.95776147/M/0.1/ALPHA/0.4/
DATA A0/0.699/,A1/-0.698/,A2/0.236/,A3/-0.029/
DISC=SQRT((R*(ALPHA-1.0)-1.0)**2-4.0*R*(1.0-ALPHA))
C COMPUTATION OF "F" ZERO POINT ONE"
MM=M/(-LOG(R))
FZSM=EXP(A0+A1*MM+A2*(MM**2.0)+A3*(MM**3.0))
F=M*FZSM
C END OF COMPUTATION OF F0.1 (NATURAL MOHT. SET AT 0.1... COULD
C BE SET HIGHER.
F=F+0.5
C WRITE(6,*) ' F0.1 (+0.5) NOW SET AT ',F
I=1
WRITE(8,2)
99 * * * * * SEASON UPDATING ( DERISO'S MODEL) , , , , , 1X,
2 * * * * * (0.75) , , , , , XT = 50. , , , , , 1X,
* * * * * (75,125) , , , , , XT = 100. , , , , , 1X,
* * * * * (125, ) , , , , , XT = 150. , , , , , 1X,
CALL REFERE(XI,REFER,ACTUAL)
WRITE(8,3) ACTUAL, XI
C3 * * * * * STATE : ' , A8. ' ( XT = ' , F5.1. ' ) , , , /
C4 * * * * * ACTUAL
* * * * * P(XTP) = NEWSTATE + XT = ' , A8. ' ) = ' , , /
C5 * * * * * NEW STATE - ' /
* * * * * GOOD ' , 2X, ' AVERAGE ' , 2X, ' POOR ' , /
* * * * * ' , 2X, 8( ' , ' ) , 2X, 8( ' , ' ) , /
R=0.0
PII=4.0*ATAN(1.0)
S=EXP(-F-M)
S=1.0-(F/(F+M))*(1.0-EXP(-F-M))
H=F*(1.0-S)/(F+M)
C THE FOLLOWING BOUNDS ARE FOR THE DERISO MODEL
AA=((GL-(1.0+H)*S*XT*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*XT))
IF(AA.LE.0.0) WRITE(6,*) I
AA=((AL-(1.0+R)*S*XT*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/(ALPHA*XT))
IF(AA.LE.0.0) WRITE(6,*) I
RHSL=-LOG((GL-(1.0+R)*S*XT*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/
*(ALPHA*XT))
RHSR=-LOG((AL-(1.0+R)*S*XT*(1.0-H)+R*S**2.0*XTM1+R*S*RTM1)/
*(ALPHA*XT))
X=RHSL
CALL MONORD(X,Y)
C P(1)=L*INTEGRALE DE -L'INFINI A RHSL DE F(X) - DENSITE NORMALE
P1=Y
X=RHSR
C P(2)=L*INTEGRALE DE RHSL A RHSR DE F(X) - DENSITE NORMALE
CALL MONORD(X,Y)
P2=Y
C P(3)=L*INTEGRALE DE RHSR A L'INFINI DE F(X) - DENSITE NORMALE
P3=1.0-P1-P2
WRITE(8,5) P1,P2,P3
C5 * * * * * FORMAL(11X,3(F6.4,4X),/ / /)
C * * * * * GENERATE RECHUIFMENT ERROR (NORMAL, MU=0, SIGMA=1)
70 CALL RAND(ISEED,U1)
U1=4.0*U1-2.0

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C
FU1=(1.0/SQRT(2.0*PII))*EXP(-(U1**2)/2.0)
CALL RAND(ISEED,U2)
U2=U2*(1.0/SQRT(2.0*PII))
IF(U2.LE.FU1) THEN
  VARIABILITY IS MODIFIED HERE *****
  VAR=0.4
  ERROR=VAR*U1
  ELSE
  GOTO 70
ENDIF
WRITE(8,7) ERROR
RT=ALPHA*XT*EXP(-ERROR)
XTIPI=(1.0+R)*S*XT-R*S**2.0*XTIPI+RT-R*S*BTM1
RTIPI=RT
XTIPI=XTI
XI=XTIPI
WRITE(6,6) XT
FORMAT(1X,'NEW STATE VALUE .....: ',F6.1)
FORMAT(1X,'ASSOCIATED EPSILON ...: ',F9.6,/)
UPDATE TRANSITION MAIRIX
IF(ACTUAL.EQ.'GOOD') THEN
  P(1,1)=P1
  P(1,2)=P2
  P(1,3)=P3
ELSE
  IF(ACTUAL.EQ.'AVERAGE') THEN
    P(2,1)=P1
    P(2,2)=P2
    P(2,3)=P3
  ELSE
    P(3,1)=P1
    P(3,2)=P2
    P(3,3)=P3
  ENDIF
ENDIF
ENDIF

C
UPDATE PI-VECTOR FOR NEXT SEASON
DO 10 IBOAT=1,NBOATS
  BOTTOM=0.0
  DO 20 I=1,3
    SUMP=0.0
    DO 30 J=1,3
      SUMP=SUMP+P(I,J)
      BOTTOM=BOTTOM+(PIB( IBOAT, I)*SUMP)
    CONTINUE
  DO 40 I=1,3
    TOP=0.0
    DO 50 J=1,3
      TOP=TOP+(P(I,J)*PIB( IBOAT, I))
    CONTINUE
  PIB( IBOAT, I)=TOP/BOTTOM
CONTINUE
RETURN
END

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C
SUBROUTINE BACKM(JALPHA,ZALPHA,PRICE,NAREAS,NTRIPS)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NAREAS,NTRIPS,NSEASN,NDOMIN(1000),FLAGD,JALPHA(15,6)
DIMENSION PYX(3,3,6),CF(10),QCATCH(3,6),G(3,6),ALPHA(3,1000)
DIMENSION ZALPHA(15,6,3)
COMMON/BLOC1/ALPHA,NDOMIN
COMMON/BLOC2/PYX,QCATCH,CF
C
C COMPUTE "G" FUNCTIONS FOR EACH STATE, FOR EACH AREA.
CALL GCOMP(CF,PYX,QCATCH,G,K,PRICE,NAREAS)
C COMPUTE ALPHA VALUES
CALL INACO(G,ZALPHA,NAREAS,NTRIPS)
C WRITE(6,*) 'INACO COMPLETED'
C
C CREATE INITIAL SET OF NON-DOMINANT ALPHA VALUES
K=1
IQ=6
CALL SETCO(K,FLAGD,IQ,JALPHA)
C
C *****
C REPEAT ABOVE "INDENTED" PROCEDURE FOR EACH TRIPS.
DO 5 K=2,NTRIPS
WRITE(6,*) 'K=',K
WRITE(7,1)
CALL ACOMP(G,PYX,K,FLAGD,IQ,ZALPHA,NTRIPS)
CALL SETCO(K,FLAGD,IQ,JALPHA)
CONTINUE
FORMAT('1')
RETURN
END
C
C
SUBROUTINE GCOMP(CF,PYX,QCATCH,G,K,PRICE,NAREAS)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER A,NAREAS
DIMENSION CF(6),PYX(3,3,6),QCATCH(3,6),G(3,6)
PPRICE=PRICE
PPRICE=(10.0/7.0)*ABS(1.0*K-8.0)+PRICE
PK=1.0*K
PPRICE=15.0*(10.0*(PK**2.0)-160.0*K+3335.0)/49.0
DO 10 A=1,NAREAS
DO 10 I=1,3
SUM=0.0
DO 20 J=1,3
SUM=SUM+QCATCH(J,A)*PYX(J,I,A)
G(I,A)=PPRICE*SUM-CF(A)
CONTINUE
RETURN
END
C
SUBROUTINE INACO(G,ZALPHA,NAREAS,NTRIPS)
IMPLICIT REAL *8(A-H,M-Z)

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NNS05500



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C
END
SUBROUTINE SETCO(K,FLAGD,IJ,JALPHA)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER A,AA,NDOMIN(1000),FLAGD,JALPHA(15,6)
DIMENSION ALPHA(3,10000)
COMMON/BLCOCT/ALPHA,NDOMIN
FLAGD=0
DO 5 A=1,6
JALPHA(K,A)=0
DO 10 A=1,IQ
DO 20 AA=1,IQ
IF((ALPHA(1,AA)).LT.ALPHA(1,AA)) .AND.
{ALPHA(2,A)}.LT.ALPHA(2,AA)} .AND.
{ALPHA(3,A)}.LT.ALPHA(3,AA)}) GO TO 10
* *
CONTINUE
FLAGD=FLAGD+1
NDOMIN(FLAGD)=A
JALPHA(K,A)=1
WRITE(7,1) K,(NDOMIN(A),A=1,FLAGD)
WRITE(7,2)(NDOMIN(A),(ALPHA(J,NDOMIN(A)),J=1,3),A=1,FLAGD)
* *
FORMAT('0',/,40(1X,I4),/,'----->',3(F8.2,1X),/)
RETURN
END
C
SUBROUTINE INSDOM(ALPHIN,NINS,IP1,IP2,IP3)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NINS(20,20,20)
DIMENSION ALPHIN(3,20,20,20)
DO 10 I=1,IP1
DO 10 II=1,IP2
DO 10 III=1,IP3
IF(NINS(I,II,III).EQ.0) GO TO 10
IF((ALPHIN(1,I,II,III)).LT.ALPHIN(1,IP1,IP2,IP3)) .AND.
{ALPHIN(2,I,II,III)}.LT.ALPHIN(2,IP1,IP2,IP3)} .AND.
{ALPHIN(3,I,II,III)}.LT.ALPHIN(3,IP1,IP2,IP3)}) THEN
NINS(I,II,III)=0
GO TO 10
ELSE
IF((ALPHIN(1,I,II,III)).GT.ALPHIN(1,IP1,IP2,IP3)) .AND.
{ALPHIN(2,I,II,III)}.GT.ALPHIN(2,IP1,IP2,IP3)} .AND.
{ALPHIN(3,I,II,III)}.GT.ALPHIN(3,IP1,IP2,IP3)}) THEN
NINS(IP1,IP2,IP3)=0
GO TO 20
ENDIF
ENDIF
CONTINUE
NINS(IP1,IP2,IP3)=1
RETURN
END
C

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FILE: ANSTAT FORTRAN A1 UNIV D'OF OTTAWA

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C
SUBROUTINE MOYEN(AVERG,ALPHIN,IQ,FLAGD)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER APRIM,NDOMIN(1000),FLAGD
DIMENSION ALPHIM(3,20,20,20),AVERG(3)
COMMON/BLOC1/ALPHA,NDOMIN
DO 10 I=1,3
SUM=0.0
DO 20 IP1=1,FLAGD
DO 20 IP2=1,FLAGD
DO 20 IP3=1,FLAGD
SUM=SUM+ALPHIN(I,IP1,IP2,IP3)
AVERG(I)=SUM/IQ
RETURN
END
C
SUBROUTINE STATS(IFLAG,IR,NBOATS,NS,NT,NTRIPS,VECTOR)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NT(10),NTRIPS,IMARK(10)
DIMENSION VECTOR(5,15,50),CLASS(10)
DO 50 I=1,10
IMARK(I)=0
IINT=NT(NS)
IF(IFLAG.EQ.1) THEN
IINT=NTRIPS
ENDIF
CALL MAXMIN(VECTOR,IR,NBOATS,IINT,NS,MAX,MIN)
CALL MEANC(VECTOR,IR,NBOATS,IINT,NS,MEAN)
CALL VARNC(VECTOR,IR,NBOATS,IINT,NS,MEAN,VAR)
CALL STANDY(VECTOR,IR,NBOATS,IINT,NS,MEAN,STD)
WRITE(4,2) MAX,MIN,MEAN,VAR,STD
FORMAT(10,' STATISTICS ON THE ACCUMULATED ABOVE VECTOR: ',/,
15X,' MAXIMUM VALUE = ',F13.2,/,
15X,' MINIMUM VALUE = ',F13.2,/,
15X,' AVERAGE VALUE = ',F13.2,/,
15X,' VARIANCE = ',F13.2,/,
15X,' STD DEVIATION = ',F13.2,/)
DO 10 K=1,10
CLASS(K)=MIN+K*((MAX-MIN)/10.0)
DO 20 I=1,NBOATS
SUM=0.0
DO 60 II=1,IINT
SUM=SUM+VECTOR(NS,II,I)
DO 30 K=1,10
IF(SUM.LI.CLASS(K)) THEN
IMARK(K)=IMARK(K)+1
GO TO 20
ENDIF
CONTINUE
IMARK(10)=IMARK(10)+1
C ***** HISTOGRAM
WRITE(4,#)

```



FILE ANSTAI FORTAN A1 UNIV D'OF OTTAWA

```

50 CONTINUE
30 CONTINUE
20 CONTINUE
C DO 60 J=1,15
IIMARK(10,J)=IIMARK(10,J)+1
IAXIS(J)=J
60 CONTINUE
C ***** WRITE THE HISTOGRAM *****
C WRITE(4,*) HISTOGRAM
WRITE(4,*) CLASS MARK
WRITE(4,2)(CLASS(K),(IIMARK(K,I),I=1,15),K=1,10)
WRITE(4,3)(TRIPS(IAXIS(I)),I=1,15)
FORMAT(//,10(F8.2,5X,15(I3,2X)//))
3 FORMAT(//,A8,4X,15(I3,2X))
2 RETURN
3 END
C
C SUBROUTINE MAXMIN(VECTOR,IR,NBOATS,IINT,NS,MAX,MIN)
IMPLICIT REAL*8(A-H,M-Z)
INTEGER NBOATS,NS,NI(10)
DIMENSION SUM(50),VECTOR(5,15,50)
MAX=0.0
MIN=10000000.0
DO 5 I=1,NBOATS
SUM(I)=0.0
DO 10 II=1,IINT
CO 15 II=1,IINT
SUM(I)=SUM(I)+VECTOR(NS,II,I)
IF(SUM(I).GE.MAX) THEN
MAX=SUM(I)
ENDIF
CONTINUE
DO 20 I=1,NBOATS
IF(SUM(I).LE.MIN) THEN
MIN=SUM(I)
ENDIF
CONTINUE
RETURN
END
C
C SUBROUTINE MAXM2(VECTOR,NBOATS,NSEASN,NTRIPS,MAX,MIN)
IMPLICIT REAL*8(A-H,M-Z)
INTEGER NBOATS,NSEASN,NTRIPS
DIMENSION SUM(15,50),VECTOR(5,15,50)
MAX=0.0
MIN=10000000.0
DO 5 I=1,NBOATS
DO 5 II=1,15
SUM(II,I)=0.0
DO 10 III=1,NSEASN
DO 10 III=1,NBOATS
DO 10 III=1,NTRIPS
SUM(II,I)=SUM(II,I)+VECTOR(III,II,I)

```

NNS07710  
NNS07720  
NNS07730  
NNS07740  
NNS07750  
NNS07760  
NNS07770  
NNS07780  
NNS07790  
NNS07800  
NNS07810  
NNS07820  
NNS07830  
NNS07840  
NNS07850  
NNS07860  
NNS07870  
NNS07880  
NNS07890  
NNS07900  
NNS07910  
NNS07920  
NNS07930  
NNS07940  
NNS07950  
NNS07960  
NNS07970  
NNS07980  
NNS07990  
NNS08000  
NNS08010  
NNS08020  
NNS08030  
NNS08040  
NNS08050  
NNS08060  
NNS08070  
NNS08080  
NNS08090  
NNS08100  
NNS08110  
NNS08120  
NNS08130  
NNS08140  
NNS08150  
NNS08160  
NNS08170  
NNS08180  
NNS08190  
NNS08200  
NNS08210  
NNS08220  
NNS08230  
NNS08240  
NNS08250

```

DO 20 I, I=1, NSEASN
DO 20 I=1, NBOATS
DO 20 II=1, NTRIPS
IF (SUM(I, I).GE.MAX) THEN
  MAX=SUM(I, I)
ENDIF
20 CONTINUE
DO 30 I, I=1, NSEASN
DO 30 I=1, NBOATS
DO 30 II=1, NTRIPS
IF (SUM(I, I).LE.MIN) THEN
  MIN=SUM(I, I)
ENDIF
30 CONTINUE
RETURN
END

C
SUBROUTINE MEANC VECTOR, IR, NBOATS, IINT, NS, MEAN)
IMPLICIT REAL *8(A-H, M-Z)
INTEGER NBOATS, NS, NT(10)
DIMENSION VECTOR(5,15,50)
SUM=0.0
DO 10 I=1, NBOATS
DO 10 II=1, IINT
  SUM=SUM+VECTOR(NS, II, I)
MEAN=SUM/NBOATS
RETURN
END

C
SUBROUTINE VARNCE(VECTOR, IR, NBOATS, IINT, NS, MEAN, VAR)
IMPLICIT REAL *8(A-H, M-Z)
INTEGER NBOATS, NS, NT(10)
DIMENSION SUM(50), VECTOR(5,15,50)
DO 5 I=1, NBOATS
  SUM(I)=0.0
SUM1=0.0
DO 10 I=1, NBOATS
DO 10 II=1, IINT
  SUM(I)=SUM(I)+VECTOR(NS, II, I)
DO 20 I=1, NBOATS
  SUM1=SUM1+(ABS(SUM(I))-MEAN)**2.0)
VAR=SUM1/NBOATS
RETURN
END

C
SUBROUTINE STANDV(VECTOR, IR, NBOATS, IINT, NS, MEAN, STD)
IMPLICIT REAL *8(A-H, M-Z)
INTEGER NBOATS, NS, NT(10)
DIMENSION SUM(50), VECTOR(5,15,50)
DO 5 I=1, NBOATS
  SUM(I)=0.0
SUM1=0.0

```

NNS08260  
NNS08270  
NNS08280  
NNS08290  
NNS08300  
NNS08310  
NNS08320  
NNS08330  
NNS08340  
NNS08350  
NNS08360  
NNS08370  
NNS08380  
NNS08390  
NNS08400  
NNS08410  
NNS08420  
NNS08430  
NNS08440  
NNS08450  
NNS08460  
NNS08470  
NNS08480  
NNS08490  
NNS08500  
NNS08510  
NNS08520  
NNS08530  
NNS08540  
NNS08550  
NNS08560  
NNS08570  
NNS08580  
NNS08590  
NNS08600  
NNS08610  
NNS08620  
NNS08630  
NNS08640  
NNS08650  
NNS08660  
NNS08670  
NNS08680  
NNS08690  
NNS08700  
NNS08710  
NNS08720  
NNS08730  
NNS08740  
NNS08750  
NNS08760  
NNS08770  
NNS08780  
NNS08790  
NNS08800

```

10 DO 10 I=1,NBOATS
    DO 10 II=1,IINT
    SUM(I)=SUM(I)+VECTOR(NS,II,I)
20 DO 20 I=1,NBOATS
    SUM1=SUM1+(ABS(SUM(I))-MEAN)**2.0)
    IF(NBOATS.EQ.1)THEN
    STD=0.0
    GO TO 30
30 ENDIF
    STD=(SUM1/((NBOATS*1.0)-1.0))**.5
    RETURN
    END
C
C
SUBROUTINE DEVIA(NBOATS,NS,NT,PRCDEV,ICOST,VALAND,NINC)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NT(10)
DIMENSION ICOST(5,15,50),VALAND(5,15,50),PRCDEV(50)
DIMENSION NINC(5,15,50)
SUM1=0.0
DO 10 I=1,NBOATS
    DO 10 II=1,NI(NS)
    SUM1=SUM1+NINC(NS,II,I)
10 AVERA=SUM1/NBOATS
    DO 20 I=1,NBOATS
    SUM2=0.0
    DO 30 II=1,NT(NS)
    SUM2=SUM2+NINC(NS,II,I)
30 PRCDEV(I)=((SUM2-AVERA)/AVERA)+1.0
20 CONTINUE
    RETURN
    END
C
C
SUBROUTINE CHECK(IR,ISTOP,NBOATS,NS,NT,H,VECTOR,XT)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NS,NT(10)
DIMENSION VECTOR(5,15,50)
SUM=0.0
DO 10 I=1,NBOATS
    DO 10 II=1,NI(NS)
    SUM=SUM+VECTOR(NS,II,I)
10 ACTHAR=SUM/XT
    IF(ACTHAR.GT.H) THEN
    ISTOP=1
    WRITE(6,2) ACTHAR,H,NT(NS)
    WRITE(8,2) ACTHAR,H,NI(NS)
    ENDIF
C2 FORMAT(1X,60(' '),1X,'ACTUAL HARVEST EXCEEDS QUOTA:.....',/,
C   1X,'CATCH/STATE ABUN. =',F7.4,' H =',F7.4,'/,
C   1X,'TOTAL NUMBER OF TRIPS THIS SEASON:',I3,'/,
C   1X,60(' '),)
    RETURN
    END
C

```

NNS08810  
NNS08820  
NNS08830  
NNS08840  
NNS08850  
NNS08860  
NNS08870  
NNS08880  
NNS08890  
NNS08900  
NNS08910  
NNS08920  
NNS08930  
NNS08940  
NNS08950  
NNS08960  
NNS08970  
NNS08980  
NNS08990  
NNS09000  
NNS09010  
NNS09020  
NNS09030  
NNS09040  
NNS09050  
NNS09060  
NNS09070  
NNS09080  
NNS09090  
NNS09100  
NNS09110  
NNS09120  
NNS09130  
NNS09140  
NNS09150  
NNS09160  
NNS09170  
NNS09180  
NNS09190  
NNS09200  
NNS09210  
NNS09220  
NNS09230  
NNS09240  
NNS09250  
NNS09260  
NNS09270  
NNS09280  
NNS09290  
NNS09300  
NNS09310  
NNS09320  
NNS09330  
NNS09340  
NNS09350

```

C
SUBROUTINE HCOMP(H)
IMPLICIT REAL *8(A-H,M-Z)
INTEGER ISEED,NBOATS,REFER
DATA R/0.95776147/,M/0.1/
DATA A0/0.699/,A1/-0.698/,A2/0.236/,A3/-0.029/
COMPUTATION OF WF ZERO POINT ONE
MM=M/(-LOG(R))
FZSM=EXP(A0+A1*MM+A2*(MM**2.0)+A3*(MM**3.0))
F=M*FZSM
END OF COMPUTATION OF F0.1 (NATURAL MORT. SET AT 0.1... COULD
BE SET HIGHER.
F=F+0.5
S=EXP(-F*M)
H=F*(1.0-S)/(F+M)
RETURN
END
C
*
SUBROUTINE STAT3(NBOATS,NTRIPS,NSEASN,NNINC,TTCAIC,TTICST,
*
IMPLICIT REAL *8(A-H,M-Z)
INTEGER NBOATS,NSEASN,NTRIPS,NT(10)
DIMENSION NNINC(5,15,50),TTCAIC(5,15,50),TTICST(5,15,50)
DIMENSION VVALAN(5,15,50),AVER(4)
DIMENSION SUM1(5),SUM2(5),SUM3(5),SUM4(5)
AVR1=0.0
AVR2=0.0
AVR3=0.0
AVR4=0.0
DO 5 IS=1,5
DO 10 IT=1,15
DO 10 IB=1,50
AVR1=AVR1+NNINC(IS,IT,IB)/5.0
AVR2=AVR2+TTCAIC(IS,IT,IB)/5.0
AVR3=AVR3+TTICST(IS,IT,IB)/5.0
AVR4=AVR4+VVALAN(IS,IT,IB)/5.0
CONTINUE
SUM1(IS)=0.0
SUM2(IS)=0.0
SUM3(IS)=0.0
SUM4(IS)=0.0
CONTINUE
WRITE(3,80) AVR1,AVR2,AVR3,AVR4
FORMAT(1X,'GLOBAL AVERAGE OF PERFORMANCE INDICATORS',/
1X,'NET INCOME',5X,'TOTAL CATCH',4X,'TOTAL COST',5X,
1X,'LANDED VALUE',/,'1X,4(F13.5,2X),/,'1X,60(
83
WRITE(3,83) IS
FORMAT(1X,'SN',3X,'NET INCOME',5X,'TOTAL CATCH',4X,
1X,'TOTAL COST',5X,'LANDED VALUE')
DO 20 IS=1,5
DO 30 IT=1,15
DO 30 IB=1,50
SUM1(IS)=SUM1(IS)+NNINC(IS,IT,IB)

```

NNS09360  
NNS09370  
NNS09380  
NNS09390  
NNS09400  
NNS09410  
NNS09420  
NNS09430  
NNS09440  
NNS09450  
NNS09460  
NNS09470  
NNS09480  
NNS09490  
NNS09500  
NNS09510  
NNS09520  
NNS09530  
NNS09540  
NNS09550  
NNS09560  
NNS09570  
NNS09580  
NNS09590  
NNS09600  
NNS09610  
NNS09620  
NNS09630  
NNS09640  
NNS09650  
NNS09660  
NNS09670  
NNS09680  
NNS09690  
NNS09700  
NNS09710  
NNS09720  
NNS09730  
NNS09740  
NNS09750  
NNS09760  
NNS09770  
NNS09780  
NNS09790  
NNS09800  
NNS09810  
NNS09820  
NNS09830  
NNS09840  
NNS09850  
NNS09860  
NNS09870  
NNS09880  
NNS09890  
NNS09900

FILE: NSTAT FORTRAN A1 UNIV D' OF OTTAWA (S)

NNS09910  
NNS09920  
NNS09930  
NNS09940  
NNS09950  
NNS09960  
NNS09970  
NNS09980  
NNS09990  
NNS10000  
NNS10010  
NNS10020  
NNS10030

```

SUM2( IS )=SUM2( IS )+TTCAIC( IS , IT , IB )
SUM3( IS )=SUM3( IS )+TTCOST( IS , IT , IB )
SUM4( IS )=SUM4( IS )+VVALAN( IS , IT , IB )
CONTINUE
SUM1( IS )=SUM1( IS )/NSEASN
SUM2( IS )=SUM2( IS )/NSEASN
SUM3( IS )=SUM3( IS )/NSEASH
SUM4( IS )=SUM4( IS )/NSEASH
WRITE( 3 , 86 ) IS , SUM1( IS ) , SUM2( IS ) , SUM3( IS ) , SUM4( IS )
FORMAT( 1X , I2 , 3X , 4( F13.5 , 2X ) )
CONTINUE
RETURN
END

```

30  
C  
C  
C  
C  
86  
20

INITIAL ALPHA VALUES (=  $\alpha(I, R)$ ):

	I=1	I=2	I=3
1	28.05	27.00	23.85
2	31.55	25.70	21.35
3	30.35	27.40	24.10
4	29.55	26.85	24.50
5	30.35	26.90	23.60
6	30.35	26.90	23.60

WITH 1 PERIODS TO GO, THE NON-DOMINATED DECISIONS ARE

2	----->	31.55	25.70	21.35
3	----->	30.35	27.40	24.10
4	----->	29.55	26.85	24.50

CURRENT # ALPHA VALUES (I=1, 2, 3)

1	53.70	53.65	47.20
2	62.20	52.35	44.70
3	61.50	54.05	47.45
4	60.20	53.50	47.95
5	61.00	51.55	46.95
6	61.00	53.55	45.95

WITH 2 PERIODS TO GO, THE NON-DOMINATED DECISIONS ARE

2	----->	62.20	52.35	44.70
3	----->	61.50	54.05	47.45
4	----->	60.20	53.50	47.95

CURRENT # ALPHA VALUES (I=1, 2, 3)

1	89.35	80.30	70.55
2	92.85	79.00	63.05
3	92.15	80.70	70.80
4	90.85	80.15	71.30
5	91.65	80.20	70.30
6	91.65	80.20	70.30

WITH 3 PERIODS TO GO, THE NON-DOMINATED DECISIONS ARE

2	----->	92.85	79.00	63.05
3	----->	92.15	80.70	70.80
4	----->	90.85	80.15	71.30

CURRENT # ALPHA VALUES (I=1,2,J)

CURRENT #	ALPHA VALUES (I=1,2,J)
1	395.35 346.80 304.05
2	399.15 345.50 301.55
J	398.65 347.20 304.30
4	397.35 346.65 304.80
5	398.15 346.70 303.80
6	398.15 346.70 303.80

WITH 13 PERIODS TO GO, THE NON-DOMINATED DECISIONS ARE  
 2 3 4

2 ----->	399.35	345.50	301.55
J ----->	398.65	347.20	304.30
4 ----->	397.35	346.65	304.80

CURRENT # ALPHA VALUES (I=1,2,J)

CURRENT #	ALPHA VALUES (I=1,2,J)
1	426.50 373.45 327.40
2	430.00 372.15 324.90
3	429.30 373.85 327.65
4	428.00 373.30 328.15
5	428.30 373.35 327.15
6	428.30 373.35 327.15

WITH 14 PERIODS TO GO, THE NON-DOMINATED DECISIONS ARE  
 2 3 4

2 ----->	430.00	372.15	324.90
3 ----->	429.30	373.85	327.65
4 ----->	428.00	373.30	328.15

Figure D.2: Adaptive policy output

TRIP NO. 3 OF SEASON 2

---

BOAT	1	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.224	0.220 0.556
BOAT	2	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.155	0.101 0.744
BOAT	3	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.202	0.260 0.538
BOAT	4	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.221	0.322 0.457
BOAT	5	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.353	0.410 0.227
BOAT	6	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.651	0.287 0.062
BOAT	7	FISHES IN AREA 3 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.548	0.368 0.084
BOAT	8	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.513	0.362 0.125
BOAT	9	FISHES IN AREA 1 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.010	0.202 0.783
BOAT	10	FISHES IN AREA 1 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.114	0.263 0.623
BOAT	11	FISHES IN AREA 1 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.089	0.284 0.627
BOAT	12	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.165	0.157 0.679
BOAT	13	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.619	0.286 0.094
BOAT	14	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.190	0.724 0.086
BOAT	15	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.232	0.468 0.300
BOAT	16	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.534	0.385 0.081
BOAT	17	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.469	0.347 0.183
BOAT	18	FISHES IN AREA 3 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.396	0.438 0.166
BOAT	19	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.140	0.345 0.515
BOAT	20	FISHES IN AREA 3 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.619	0.286 0.094
BOAT	21	FISHES IN AREA 1 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE

SEASON 2

----	>	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23450.00\$
----	>	0.224	0.220	0.556				
----	>	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	23455.50\$
----	>	0.155	0.101	0.744				
----	>	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23482.50\$
----	>	0.202	0.260	0.538				
----	>	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	23509.00\$
----	>	0.221	0.322	0.457				
----	>	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	23535.50\$
----	>	0.353	0.410	0.227				
----	>	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	23541.00\$
----	>	0.651	0.287	0.062				
----	>	GOOD	FOR A	REVENUE OF	50.50\$	...	ACCUMULATED:	23591.50\$
----	>	0.548	0.368	0.084				
----	>	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	23618.00\$
----	>	0.513	0.362	0.125				
----	>	POOR	FOR A	REVENUE OF	6.00\$	...	ACCUMULATED:	23624.00\$
----	>	0.010	0.202	0.783				
----	>	POOR	FOR A	REVENUE OF	6.00\$	...	ACCUMULATED:	23630.00\$
----	>	0.114	0.263	0.623				
----	>	POOR	FOR A	REVENUE OF	6.00\$	...	ACCUMULATED:	23636.00\$
----	>	0.089	0.284	0.627				
----	>	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23663.00\$
----	>	0.165	0.157	0.679				
----	>	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	23668.50\$
----	>	0.619	0.286	0.094				
----	>	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23695.50\$
----	>	0.190	0.724	0.086				
----	>	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	23722.00\$
----	>	0.232	0.468	0.300				
----	>	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	23748.50\$
----	>	0.534	0.385	0.081				
----	>	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	23754.00\$
----	>	0.469	0.347	0.183				
----	>	GOOD	FOR A	REVENUE OF	50.50\$	...	ACCUMULATED:	23804.50\$
----	>	0.396	0.438	0.166				
----	>	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23831.50\$
----	>	0.140	0.345	0.515				
----	>	GOOD	FOR A	REVENUE OF	50.50\$	...	ACCUMULATED:	23882.00\$
----	>	0.619	0.286	0.094				
----	>	GOOD	FOR A	REVENUE OF	51.00\$	...	ACCUMULATED:	23933.00\$

BOAT	22	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.223	0.479 0.298
BOAT	23	FISHES IN AREA 1 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.162	0.514 0.323
BOAT	24	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.183	0.137 0.680
BOAT	25	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.348	0.482 0.159
BOAT	26	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.093	0.259 0.644
BOAT	27	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.469	0.247 0.183
BOAT	28	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.423	0.166 0.411
BOAT	29	FISHES IN AREA 3 CATCHES Y=	0.3	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.651	0.287 0.062
BOAT	30	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.269	0.462 0.269
BOAT	31	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.276	0.539 0.185
BOAT	32	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.656	0.261 0.082
BOAT	33	FISHES IN AREA 3 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.573	0.281 0.141
BOAT	34	FISHES IN AREA 1 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.233	0.268 0.500
BOAT	35	FISHES IN AREA 2 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.748	0.210 0.042
BOAT	36	FISHES IN AREA 3 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.269	0.462 0.269
BOAT	37	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.230	0.259 0.511
BOAT	38	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.141	0.458 0.401
BOAT	39	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.469	0.347 0.183
BOAT	40	FISHES IN AREA 1 CATCHES Y=	1.2	----->	GOOD	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.163	0.567 0.270
BOAT	41	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.605	0.316 0.079
BOAT	42	FISHES IN AREA 1 CATCHES Y=	0.8	----->	AVERAGE	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.248	0.255 0.496
BOAT	43	FISHES IN AREA 3 CATCHES Y=	0.4	----->	POOR	FOR A REVENUE OF
		PI-VECTOR FOR THIS BOAT NEXT TRIP		----->	0.314	0.372 0.314

B	----->	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23960.00\$
P	----->	0.223	0.479	0.298				
4	----->	POOR	FOR A	REVENUE OF	6.00\$	...	ACCUMULATED:	23966.00\$
P	----->	0.162	0.514	0.323				
B	----->	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	23993.00\$
P	----->	0.183	0.137	0.680				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24019.50\$
P	----->	0.348	0.482	0.169				
B	----->	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	24046.50\$
P	----->	0.093	0.259	0.644				
4	----->	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	24052.00\$
P	----->	0.469	0.247	0.193				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24078.50\$
P	----->	0.423	0.166	0.411				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24105.00\$
P	----->	0.651	0.287	0.062				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24131.50\$
P	----->	0.269	0.462	0.269				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24158.00\$
P	----->	0.276	0.539	0.185				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24184.50\$
P	----->	0.656	0.261	0.082				
2	----->	GOOD	FOR A	REVENUE OF	50.50\$	...	ACCUMULATED:	24235.00\$
P	----->	0.573	0.281	0.141				
2	----->	GOOD	FOR A	REVENUE OF	51.00\$	...	ACCUMULATED:	24286.00\$
P	----->	0.233	0.268	0.500				
B	----->	AVERAGE	FOR A	REVENUE OF	26.00\$	...	ACCUMULATED:	24312.00\$
P	----->	0.748	0.210	0.042				
B	----->	AVERAGE	FOR A	REVENUE OF	26.50\$	...	ACCUMULATED:	24338.50\$
P	----->	0.269	0.462	0.269				
4	----->	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	24344.00\$
P	----->	0.230	0.259	0.511				
B	----->	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	24371.00\$
P	----->	0.141	0.458	0.401				
4	----->	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	24376.50\$
P	----->	0.469	0.347	0.193				
2	----->	GOOD	FOR A	REVENUE OF	51.00\$	...	ACCUMULATED:	24427.50\$
P	----->	0.163	0.567	0.270				
4	----->	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	24433.00\$
P	----->	0.605	0.316	0.079				
B	----->	AVERAGE	FOR A	REVENUE OF	27.00\$	...	ACCUMULATED:	24460.00\$
P	----->	0.248	0.255	0.496				
4	----->	POOR	FOR A	REVENUE OF	5.50\$	...	ACCUMULATED:	24465.50\$
P	----->	0.314	0.372	0.314				

BOAT	45	FISHES IN AREA 1 CATCHES Y= 1.2 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	GOOD 0.021	FOR A REVENUE OF 0.229 0.750
BOAT	46	FISHES IN AREA 3 CATCHES Y= 0.4 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	POOR 0.314	FOR A REVENUE OF 0.372 0.314
BOAT	47	FISHES IN AREA 1 CATCHES Y= 1.2 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	GOOD 0.043	FOR A REVENUE OF 0.405 0.553
BOAT	48	FISHES IN AREA 1 CATCHES Y= 0.8 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	AVERAGE 0.191	FOR A REVENUE OF 0.400 0.409
BOAT	49	FISHES IN AREA 3 CATCHES Y= 1.2 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	GOOD 0.513	FOR A REVENUE OF 0.362 0.125
BOAT	50	FISHES IN AREA 3 CATCHES Y= 1.2 PI-VECTOR FOR THIS BOAT NEXT TRIP	-----> ----->	GOOD 0.741	FOR A REVENUE OF 0.214 0.044

GOOD 0.021	FOR A REVENUE OF 0.229 0.750	51.00\$ ...ACCUMULATED:	24543.50\$
POOR 0.314	FOR A REVENUE OF 0.372 0.314	5.50\$ ...ACCUMULATED:	24549.00\$
GOOD 0.043	FOR A REVENUE OF 0.405 0.553	51.00\$ ...ACCUMULATED:	24600.00\$
AVERAGE 0.191	FOR A REVENUE OF 0.400 0.409	27.00\$ ...ACCUMULATED:	24627.00\$
GOOD 0.513	FOR A REVENUE OF 0.362 0.125	50.50\$ ...ACCUMULATED:	24677.50\$
GOOD 0.741	FOR A REVENUE OF 0.214 0.044	50.50\$ ...ACCUMULATED:	24728.00\$

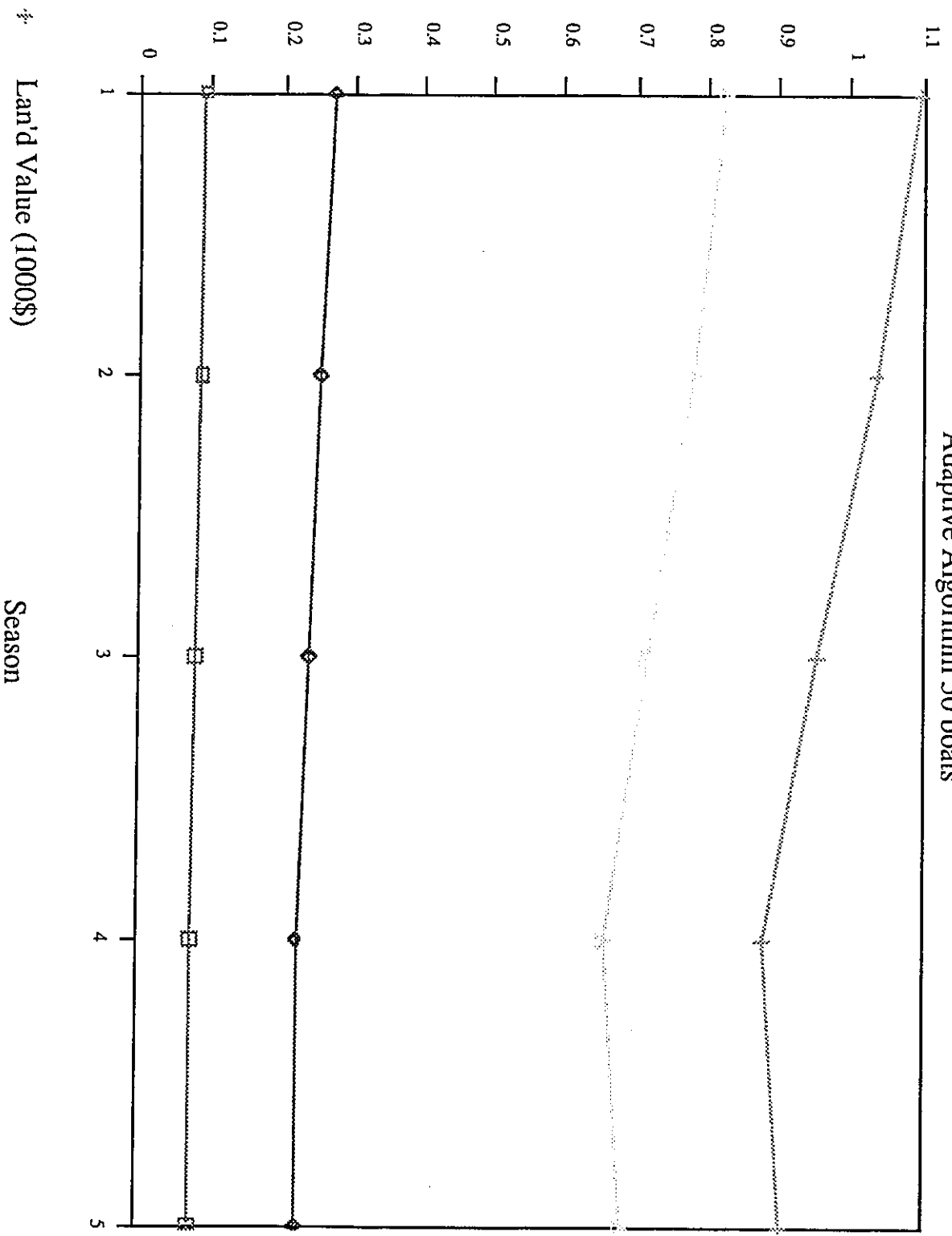
Figure D.3: Fishing simulation output

Figure D.4: Seasonal performance indicators

# Average Performance Indicators

Adaptive Algorithm 50 boats

Tonnes or Dollars (c.f. Legend)  
(Thousands)



- Land'd Value (1000\$)
- ◇ Catch (10 Tonnes)
- Costs (1000\$)
- × Net Income (1000\$)

STATISTICS ON \*\*\*\* OVERALL TOTAL CATCH \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 0.82  
MINIMUM VALUE = 0.69  
AVERAGE VALUE = 0.75  
VARIANCE = 0.00  
STD DEVIATION = 0.02

HISTOGRAM

CLASS	MARK		
1(	0.70	).....	2
2(	0.72	).....	3
3(	0.73	).....	4
4(	0.74	).....	10
5(	0.76	).....	11
6(	0.77	).....	12
7(	0.78	).....	5
8(	0.79	).....	2
9(	0.81	).....	0
0(	0.82	).....	1

STATISTICS ON \*\*\*\* OVERALL TOTAL COSTS \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 255.13  
MINIMUM VALUE = 195.91  
AVERAGE VALUE = 224.48  
VARIANCE = 143.12  
STD DEVIATION = 12.08

HISTOGRAM

CLASS	MARK		
1(	201.84	).....	1
2(	207.76	).....	2
3(	213.69	).....	7
4(	219.52	).....	9
5(	225.53	).....	8
6(	231.47	).....	9
7(	237.40	).....	7
8(	243.33	).....	4
9(	249.26	).....	1
10(	255.13	).....	2

STATISTICS ON \*\*\*\* OVERALL NET INCOME \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 769.31  
MINIMUM VALUE = 519.37  
AVERAGE VALUE = 681.19  
VARIANCE = 945.38  
STD DEVIATION = 31.08

HISTOGRAM

CLASS	MARK		
1	( 634.36 )	.....	3
2	( 649.35 )	.....	6
3	( 664.35 )	.....	4
4	( 679.35 )	.....	10
5	( 694.34 )	.....	13
5	( 709.34 )	.....	5
7	( 724.33 )	.....	6
8	( 739.33 )	.....	1
9	( 754.32 )	.....	1
10	( 769.31 )	.....	1

STATISTICS ON \*\*\*\* OVERALL LANDED VALUE \*\*\*\* SEASON NO. 5

STATISTICS ON THE ACCUMULATED ABOVE VECTOR:  
MAXIMUM VALUE = 990.10  
MINIMUM VALUE = 826.50  
AVERAGE VALUE = 905.68  
VARIANCE = 977.22  
STD DEVIATION = 29.92

HISTOGRAM

CLASS	MARK		
1	( 842.86 )	.....	2
2	( 859.22 )	.....	1
3	( 875.58 )	.....	4
4	( 891.94 )	.....	9
5	( 908.30 )	.....	8
6	( 924.66 )	.....	13
7	( 941.02 )	.....	8
8	( 957.38 )	.....	4
9	( 973.74 )	.....	0
10	( 990.10 )	.....	1







# Appendix E

## Initial Data

This appendix presents the initial data used for the myopic and adaptive algorithms. One key data input is the catch conditional on state matrix system ('Y given X'). The actual catchability facility in any fishing area is measured in this system. For example, fishermen might be very seldomly observing a high catch in a given area, regardless of the overall state of the system. Steepness of the Bank, meteorological components, undersea particularities, and so on constitute the issues on which the probability measures would be based. Table E.1 presents the input used for modelling both scenarios.

The quantitative catch class marks for each possible outcome levels was assumed particular to each fishing area and algorithm. Table E.2 gives these values for each of the six fishing areas.

The cost of fishing per area was, in the absence of real data and for simplification purposes, input as the same (22 units of money) for all 6 areas. The costs of test fishing (myopic algorithm only) was set at 10 units. Also used in the myopic algorithm was the catch conditional on test result probability matrix.

Catch	Area 1			Area 2			Area 3		
	j=G	j=A	j=P	j=G	j=A	j=P	j=G	j=A	j=P
l=G	0.30	0.35	0.25	0.45	0.25	0.20	0.40	0.30	0.25
l=A	0.45	0.30	0.35	0.30	0.45	0.35	0.35	0.40	0.35
l=P	0.25	0.35	0.40	0.25	0.30	0.45	0.25	0.30	0.40
Catch	Area 4			Area 5			Area 6		
	j=G	j=A	j=P	j=G	j=A	j=P	j=G	j=A	j=P
l=G	0.36	0.30	0.25	0.40	0.30	0.25	0.40	0.30	0.25
l=A	0.35	0.35	0.35	0.35	0.40	0.35	0.35	0.40	0.35
l=P	0.29	0.35	0.40	0.25	0.30	0.40	0.25	0.30	0.40

Table E.1: Catch conditional on State probability matrix system

Myopic Algorithm						
	Area					
	1	2	3	4	5	6
l=Good	0.25	0.25	0.25	0.25	0.25	0.25
l=Aver.	0.15	0.15	0.15	0.15	0.15	0.15
l=Poor	0.08	0.08	0.08	0.08	0.08	0.08
Adaptive Algorithm						
	Area					
	1	2	3	4	5	6
l=Good	0.1	0.1	0.1	0.1	0.1	0.1
l=Aver.	0.085	0.085	0.085	0.085	0.085	0.085
l=Poor	0.05	0.05	0.05	0.05	0.05	0.05

Table E.2: Value (in Tonnes) of catches per area

	Catch		
	l=G	l=A	l=P
t=favor.	0.60	0.55	0.25
t=unfav.	0.40	0.45	0.75

Table E.3: Catch conditional on Test fishing result matrix

The values are given in Table E.3.

The stock-recruitment initial data was established as follows:

Good stock level	.....	over 125 tonnes
Average stock level	.....	between 75 and 125 tonnes
Poor stock level	.....	under 75 tonnes
Initial recruitment ( $R_0$ )	.....	40 tonnes
Brody growth coefficient	.....	$\rho = 0.958$
Natural mortality rate	.....	$M = 0.10$
Recruitment rate	.....	$\alpha = 0.40$
Variability	.....	$\nu = 0.30$

The initial  $\pi$ -vector assumed each state level to be equally likely to occur (i.e.  $\pi_G = 1/3, \pi_A = 1/3$  and  $\pi_P = 1/3$ )

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