

# Dipeptidyl Peptidase–4 in Cardiometabolic Disease

**Natasha A. Trzaskalski**

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Department of Biochemistry, Microbiology and Immunology  
Faculty of Medicine  
University of Ottawa

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## Abstract

The cardiovascular implications of modulating cell specific expression of the serine protease dipeptidyl peptidase-4 (DPP4) were investigated in a series of murine models of metabolic dysfunction-associated steatotic liver disease (MASLD), cardiometabolic disease and myocardial infarction. *In vivo* experiments were conducted using several genetic models, including systemic *Dpp4* knockout mice (*Dpp4*<sup>-/-</sup>), hepatocyte-specific knockout mice (*Dpp4*<sup>hep-/-</sup>) and endothelial cell-specific knockout mice (*Dpp4*<sup>EC-/-</sup>), which were challenged with high-fat, high cholesterol (HFHC) feeding, to induce MASLD and heart failure (HF), and exacerbate surgical myocardial infarction (MI). Pharmaceutical modulation of DPP4 was also performed by adding the DPP4 inhibitor (DPP4i) sitagliptin, and/or metformin, to drinking water. Molecular studies were then performed to evaluate the role of DPP4 in hepatic steatosis, hepatic glucose production (HGP), inflammation and fibrosis, together with echocardiography to evaluate cardiac function. Genetic ablation of hepatocyte-derived DPP4 increased incretin hormone bioactivity in the portal vein, which was associated with reduced HGP. Liver fibrosis and gene expression of immune-related pathways were increased in aged, obese *Dpp4*<sup>-/-</sup> mice, however liver steatosis and plasma lipids were unchanged. Conversely, expression of immune-related pathways was unchanged in *Dpp4*<sup>hep-/-</sup> mice. Additionally, in a lean model of MASLD, DPP4 activity increased, dissociating its enzymatic activity from obesity, while DPP4 protein decreased with viral clearance in patients with HCV treated with antiviral drugs. Within the heart of HFHC-diet fed aged mice, immune-related pathways in *Dpp4*<sup>hep-/-</sup> were reduced in ventricular tissue. Despite these molecular changes in the heart, there were no functional changes in systolic and diastolic parameters. Lastly, *Dpp4*<sup>-/-</sup>, *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>EC-/-</sup> mice were treated with sitagliptin, metformin or a combination of these drugs (dual therapy) to investigate the clinical cardioprotective outcomes. Four weeks after left anterior descending (LAD) coronary artery-ligation, cardiac function demonstrated via left ventricular ejection fraction (LVEF) was significantly increased in *Dpp4*<sup>hep-/-</sup> mice compared to controls with dual therapy treatment, both pre- and post-MI. This was not associated with a higher prevalence of fully kinetic myocardia, however *Dpp4*<sup>EC-/-</sup> mice did indeed have more fully kinetic hearts without changes in LVEF. Evaluating DPP4 activity and protein over time revealed a dissociated relationship between these measurements, where activity decreased 7 days after infarct, while protein continued to rise until endpoint. Overall, this thesis highlights the complexity of DPP4 biology in multiple diseases, and its utility as a therapeutic target.

Dedicated to the most important and inspiring woman in my life,  
Mom, I owe all my success to you, and your belief in me,  
I love you.

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## Co-authorship Statement

Natasha A. Trzaskalski and Dr. Erin E. Mulvihill confirm that more than 50% of the manuscripts in this thesis were written by Natasha A. Trzaskalski.

## Chapter 2

All mouse breeding, colony management and genotyping was performed by Dr. Ilka Lorenzen-Schmidt.

Animal sacrifice at endpoint was performed by Natasha A. Trzaskalski, Dr. Branka Vulesevic, Rick Seymour and Dr. Erin E. Mulvihill.

Hyperinsulinemic-euglycemic clamps and associated measurements and calculations were conducted by Dr. Julia R.C. Nunes, Conor O'Dwyer and Dr. Morgan D. Fullerton. Accompanying gene expression analysis was performed by Natasha A. Trzaskalski and Antonio A. Hanson.

Portal vein studies were planned and executed by Natasha A. Trzaskalski. PTT and ATT experiments were designed, planned and lead by Natasha A. Trzaskalski, with assistance from Nadya M. Morrow, My-Anh Nguyen, Serena M. Pulente and Andrew C. Clément. Associated molecular assays were performed by Natasha A. Trzaskalski.

Oral glucose tolerance tests in our obese and aged NAFLD mice were conducted by Dr. Branka Vulesevic, Evgenia Fadzeyeva and Dr. Ilka Lorenzen-Schmidt, and GLP-1 assays were performed by Natasha A. Trzaskalski. Glycogen content was evaluated by Dr. Branka Vulesevic and Dr. Ilka Lorenzen-Schmidt. Liver histology was completed by Dr. Branka Vulesevic and Dr. Ilka Lorenzen-Schmidt. Associated gene expression analysis was conducted by Natasha A. Trzaskalski, Antonio A. Hanson and Natasha Jeraj. Related plasma and liver cytokine and chemokine protein assays were conducted by Natasha A. Trzaskalski, Dr. Branka Vulesevic, Natasha Jeraj and Cassandra A. A. Locatelli. Lipid analysis was performed by Natasha A. Trzaskalski and Nadya M. Morrow.

F4/80+ cells were isolated by Dr. Branka Vulesevic and Natasha Jeraj. Subsequent NanoString mRNA experiment analysis was performed by Natasha A. Trzaskalski and confirmed by Dr. Han Kim, confirmatory immunofluorescence, and analysis, were conducted by Natasha A. Trzaskalski.

Clinical samples were provided by Dr. Angela M. Crawley, Dr. Mary Anne Doyle and Dr. Curtis L. Cooper. Sample analysis was conducted by Dr. Branka Vulesevic, Natasha Jeraj and Nicole Travis.

*Pemt*<sup>-/-</sup> mice were provided by Dr. Jelske N. van der Veen and Dr. René L. Jacobs. Associated molecular analyses were conducted by Natasha Jeraj.

Data analysis and data visualization were primarily performed by Natasha A. Trzaskalski, with assistance from Dr. Branka Vulesevic, Natasha Jeraj and Dr. Ilka Lorenzen Schmidt.

### **Chapter 3**

All mouse breeding, colony management and genotyping was performed by Dr. Ilka Lorenzen-Schmidt.

Animal sacrifice at endpoint was performed by Natasha A. Trzaskalski, Dr. Branka Vulesevic, Rick Seymour and Dr. Erin. E. Mulvihill.

Echocardiography was performed by Natasha A. Trzaskalski and Rick Seymour. Subsequent analysis was completed by Melissa M. Dann, Dr. Branka Vulesevic and Dr. Ilka Lorenzen-Schmidt.

All NanoString mRNA experiment, analysis and data visualization were conducted by Natasha A. Trzaskalski.

Molecular assays were completed by Dr. Branka Vulesevic, Evgenia Fadzeyeva and Natasha Jeraj. Gene expression analysis was conducted by Natasha A. Trzaskalski and Antonio A. Hanson.

Data analysis and data visualization were primarily performed by Natasha A. Trzaskalski, with assistance from Dr. Branka Vulesevic, Natasha Jeraj and Dr. Ilka Lorenzen Schmidt.

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All mouse breeding, colony management and genotyping was performed by Dr. Ilka Lorenzen-Schmidt.

Preliminary study animal sacrifices were performed by Dr. Branka Vulesevic and Natasha Jeraj. Main study animal sacrifices were performed by Natasha A. Trzaskalski.

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Plasma DPP4 molecular assays were conducted by Natasha A. Trzaskalski and Jessica Hursti. Preliminary data molecular assays were completed by Natasha Trzaskalski, Dr. Branka Vulesevic and Natasha Jeraj.

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Data analysis and data visualization were primarily performed by Natasha A. Trzaskalski, with assistance from Jessica Hursti, Dr. Branka Vulesevic and Natasha Jeraj.

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## List of Abbreviations

|                  |                                               |
|------------------|-----------------------------------------------|
| $\alpha$ SMA     | $\alpha$ -smooth muscle actin                 |
| AAV              | Adeno-associated virus                        |
| ACC              | Acetyl-CoA carboxylase                        |
| ACTB             | $\beta$ -actin                                |
| ADA              | Adenosine deaminase                           |
| aDLS             | Average diastolic longitudinal strain         |
| aDLSR            | Average diastolic longitudinal strain rate    |
| ADP              | Adenosine diphosphate                         |
| aDRS             | Average diastolic radial strain               |
| aDRSR            | Average diastolic radial strain rate          |
| ALP              | Alkaline phosphatase                          |
| ALT              | Alanine transaminase                          |
| AMP              | Adenosine monophosphate                       |
| AMPK             | Adenosine monophosphate-activated protein     |
| ANKRD1           | Ankyrin repeat domain 1                       |
| ANP              | Atrial natriuretic peptide                    |
| ApoC1            | Apolipoprotein C1                             |
| Asn              | Asparagine                                    |
| AST              | Aspartate transaminase                        |
| AT               | Adipose tissue                                |
| ATP              | Adenosine triphosphate                        |
| AVC              | Aortic valve closure                          |
| B-mode           | Brightness mode                               |
| BMDM             | Bone marrow-derived macrophage                |
| BNP              | Brain natriuretic peptide                     |
| BPM              | Beats per minute                              |
| BW               | Body weight                                   |
| Ca <sup>2+</sup> | Calcium ion                                   |
| cAMP             | Cyclic adenosine monophosphate                |
| CAV              | Caveolin                                      |
| CETP             | Cholesterol ester transfer protein            |
| cGMP             | Cyclic guanosine monophosphate                |
| ChREBP           | Carbohydrate response element-binding protein |
| CO               | Cardiac output                                |
| COL1A1           | Collagen type 1, $\alpha$ 1                   |
| COL3A1           | Collagen type 3, $\alpha$ 1                   |
| CP               | Cardiac puncture                              |
| CTGF             | Connective tissue growth factor               |
| CV               | Cardiovascular                                |

|                               |                                                      |
|-------------------------------|------------------------------------------------------|
| CVD                           | Cardiovascular disease                               |
| CVOT                          | Cardiovascular outcome trial                         |
| CXCR4                         | C-X-C chemokine receptor type 4                      |
| d                             | Diastole                                             |
| DAG                           | Diacylglycerol                                       |
| DAMP                          | Danger-associated molecular pattern                  |
| DNA                           | Deoxyribonucleic acid                                |
| DNL                           | <i>De novo</i> lipogenesis                           |
| DPP4                          | Dipeptidyl peptidase-4                               |
| <i>Dpp4</i> <sup>-/-</sup>    | Systemic <i>Dpp4</i> knockout mouse                  |
| <i>Dpp4</i> <sup>+/+</sup>    | <i>Dpp4</i> wildtype mouse                           |
| <i>Dpp4</i> <sup>EC-/-</sup>  | Endothelial cell-specific <i>Dpp4</i> knockout mouse |
| <i>Dpp4</i> <sup>hep-/-</sup> | Hepatocyte-specific <i>Dpp4</i> knockout mouse       |
| DPP4i                         | Dipeptidyl peptidase-4 inhibitor                     |
| EC                            | Endothelial cell                                     |
| ECG                           | Electrocardiogram                                    |
| ECM                           | Extracellular matrix                                 |
| EDLVM                         | End diastolic left ventricular mass                  |
| EDP                           | End-diastolic pressure                               |
| EPDR1                         | Ependymin related 1                                  |
| ER                            | Endoplasmic reticulum                                |
| ESLVM                         | End systolic left ventricular mass                   |
| FA                            | Fatty acid                                           |
| FADH <sub>2</sub>             | Flavin adenine dinucleotide                          |
| FAO                           | Fatty acid oxidation                                 |
| FAP                           | Fibroblast activation protein                        |
| FAT/CD36                      | Fatty acid translocase                               |
| FATP                          | Fatty acid transfer protein                          |
| FDA                           | Food and Drug Administration                         |
| FFA                           | Free fatty acid                                      |
| FoxO1                         | Forkhead box O1                                      |
| G-CSF                         | Granulocyte colony-stimulating factor                |
| G6P                           | Glucose 6-phosphate                                  |
| gDNA                          | Genomic deoxyribonucleic acid                        |
| GDR                           | Glucose disposal rate                                |
| GFP                           | Green fluorescent protein                            |
| GHBP                          | Growth hormone binding protein                       |
| GIP                           | Glucose-dependent insulintropic polypeptide          |
| GIPR                          | Glucose-dependent insulintropic polypeptide receptor |
| GIR                           | Glucose infusion rate                                |
| GLP-1                         | Glucagon-like peptide-1                              |

|                               |                                                               |
|-------------------------------|---------------------------------------------------------------|
| GLP-1R                        | Glucagon-like peptide-1 receptor                              |
| GLP-1RA                       | Glucagon-like peptide-1 receptor agonist                      |
| GLP-2                         | Glucagon-like peptide-2                                       |
| GLS                           | Global longitudinal strain                                    |
| Glu                           | Glutamic acid                                                 |
| GLUT2                         | Glucose transporter type 2                                    |
| GLUT4                         | Glucose transporter type 4                                    |
| GM-CSF                        | Granulocyte-macrophage colony-stimulating factor              |
| GSIS                          | Glucose stimulated insulin secretion                          |
| GSK3 $\beta$                  | Glycogen synthase kinase-3 $\beta$                            |
| H <sub>2</sub> O <sub>2</sub> | Hydrogen peroxide                                             |
| HbA1c                         | Glycated hemoglobin                                           |
| HCC                           | Hepatocellular carcinoma                                      |
| HCV                           | Hepatitis C virus                                             |
| HDL                           | High-density lipoprotein                                      |
| HF                            | Heart failure                                                 |
| HFD                           | High-fat diet                                                 |
| HFHC                          | High-fat, high cholesterol                                    |
| HFpEF                         | Heart failure with preserved ejection fraction                |
| HFrEF                         | Heart failure with reduced ejection fraction                  |
| HGP                           | Hepatic glucose production                                    |
| HIV                           | Human immunodeficiency virus                                  |
| HMGB1                         | High mobility group box-1                                     |
| HOMA-IR                       | Homeostatic Model Assessment of Insulin Resistance            |
| HR                            | Heart rate                                                    |
| HSC                           | Hepatic stellate cell                                         |
| HW                            | Heart weight                                                  |
| HYTANE                        | Hydrophobic Tagging-Assisted N-termini Enrichment             |
| i.p.                          | Intraperitoneal                                               |
| i.v.                          | Intravenous                                                   |
| IFN                           | Interferon                                                    |
| IGFBP1                        | Insulin-like growth factor-binding protein 1                  |
| IL                            | Interleukin                                                   |
| INSR                          | Insulin receptor                                              |
| IP-10                         | Interferon gamma-induced protein 10                           |
| IR                            | Insulin resistance                                            |
| IRS                           | Insulin receptor substrate                                    |
| IVRT                          | Isovolumetric relaxation time                                 |
| IVS                           | Interventricular septal thickness                             |
| JAK-STAT                      | Janus kinase-signal transducer and activator of transcription |
| JNK                           | c-Jun N-terminal kinase                                       |

|                |                                                          |
|----------------|----------------------------------------------------------|
| KC             | Kupffer cell                                             |
| KLK5           | Kellicrein-related peptidase 5                           |
| LAD            | Left anterior descending coronary artery                 |
| LD             | Lipid droplet                                            |
| LDL            | Low-density lipoprotein                                  |
| LoxP           | Locus of X-over P1                                       |
| LS             | Longitudinal strain                                      |
| LSR            | Longitudinal strain rate                                 |
| LVEF           | Left ventricular ejection fraction                       |
| LVID           | Left ventricular internal diameter                       |
| LVPW           | Left ventricular posterior wall thickness                |
| M-CSF          | Macrophage colony-stimulating factor                     |
| MACE           | Major adverse cardiovascular endpoint                    |
| MAFLD          | Metabolic dysfunction-associated fatty liver disease     |
| MAPK           | Mitogen-activated protein kinase                         |
| MASH           | Metabolic dysfunction-associated steatohepatitis         |
| MASLD          | Metabolic dysfunction-associated steatotic liver disease |
| MCP-1          | Monocyte chemoattractant protein-1                       |
| METAVIR        | Meta-analysis of histological data in viral hepatitis    |
| MI             | Myocardial infarction                                    |
| MIP-1 $\alpha$ | Macrophage inflammatory protein-1 $\alpha$               |
| MMP            | Matrix metalloproteinase 2 LV                            |
| mRNA           | Messenger ribonucleic acid                               |
| MTTP           | Microsomal triglyceride transfer protein                 |
| NAALADase      | N-acetyl alpha-linked acidic dipeptidase                 |
| NADH           | Nicotinamide adenine dinucleotide                        |
| NAFLD          | Non-alcoholic fatty liver disease                        |
| Nano-LC-M/MS   | Nano-liquid chromatography tandem mass spectrometry      |
| NAPDH          | Nicotinamide adenine dinucleotide phosphate              |
| NASH           | Non-alcoholic steatohepatitis                            |
| NF- $\kappa$ B | Nuclear factor- $\kappa$ B                               |
| NO             | Nitric oxide                                             |
| OCT1           | Organic cation transporter 1                             |
| ONOO $^-$      | Peroxynitrite                                            |
| PE             | Peak ejection                                            |
| PEMT           | Phosphatidylethanolamine N-methyltransferase             |
| PI3K           | Phosphatidylinositol-3-OH kinase                         |
| PIP2           | Phosphatidylinositol-4,5-triphosphate                    |
| PIP3           | Phosphatidylinositol-3,4,5-triphosphate                  |
| PKA            | Protein kinase A                                         |

|              |                                                                        |
|--------------|------------------------------------------------------------------------|
| PKB/AKT      | Protein kinase B                                                       |
| PKC          | Protein kinase C                                                       |
| PKG          | Protein kinase G                                                       |
| PTM          | Post-translational modification                                        |
| PV           | Portal vein                                                            |
| PYY          | Peptide YY                                                             |
| qRT-PCR      | Quantitative real-time reverse-transcription polymerase chain reaction |
| RANTES       | Regulated on activation, normal T-cell expressed and secreted          |
| RNA          | Ribonucleic acid                                                       |
| ROS          | Reactive oxygen species                                                |
| RS           | Radial strain                                                          |
| RSR          | Radial strain rate                                                     |
| s            | Systole                                                                |
| SASP         | Senescence-associated secretory phenotype                              |
| SDF-1        | Stromal-derived factor 1                                               |
| sDPP4        | Soluble dipeptidyl peptidase-4                                         |
| sICAM-1      | Soluble intercellular adhesion molecule-1                              |
| siRNA        | Small interfering ribonucleic acid                                     |
| SLD          | Standard laboratory diet                                               |
| SMAD         | Mothers against decapentaplegic                                        |
| SREBF1       | Sterol regulatory element-binding protein 1                            |
| SREBP-1c     | Sterol regulatory element-binding protein 1c                           |
| STE          | Speckle tracking echocardiography                                      |
| STZ          | Streptozotocin                                                         |
| SV           | Stroke volume                                                          |
| T2D          | Type 2 diabetes                                                        |
| TAC          | Trans-aortic constriction                                              |
| TAILS        | Terminal Amine Isotopic Labelling of Substrates                        |
| tApoC1       | Truncated apolipoprotein C1                                            |
| TBG          | Thyroxine binding globulin                                             |
| TCA          | Tricarboxylic acid                                                     |
| Tek/Tie2     | Receptor tyrosine kinase                                               |
| TG           | Triglyceride                                                           |
| TGF- $\beta$ | Transforming growth factor $\beta$                                     |
| TGFBI        | Transforming growth factor $\beta$ induced                             |
| TIMP         | Tissue inhibitors of metalloproteinase                                 |
| TL           | Tibia length                                                           |
| TLR          | Toll-like receptor                                                     |
| TNF $\alpha$ | Tumour necrosis factor- $\alpha$                                       |

|      |                                    |
|------|------------------------------------|
| TTP  | Time-to-peak                       |
| UPR  | Unfolded protein response          |
| VEGF | Vascular endothelial growth factor |
| WT   | Wildtype                           |

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## **1. Introduction**

### **1.1 Cardiovascular Disease**

Cardiovascular disease (CVD) is the second leading cause of death in Canada accounting for 17.5% of deaths in 2020<sup>1</sup>. In comparison to COVID-19 in 2020, more Canadians died from heart disease or stroke than from complications from the virus itself (67,399 vs. 16,151)<sup>1</sup>. In Canada, approximately 70,000 patients with acute myocardial infarction (MI) are admitted to Canadian hospitals each year<sup>2</sup>. Of these individuals, 5,000 will die and between 10-15% are readmitted<sup>3</sup>. MI is typically preceded by the development of atherosclerosis, a chronic process characterized by the subendothelial accumulation of lipids and a non-resolving inflammatory response<sup>4,5</sup>. Atherosclerotic plaque rupture may result in a thrombus leading to acute occlusion of a coronary artery, the initiating event in MI<sup>6</sup>.

This acute injury, or chronic and cumulative stress resulting from metabolic disease (e.g., obesity, hypertension and type 2 diabetes (T2D)), can proceed to heart failure (HF), a chronic and progressive condition that occurs when the heart cannot sufficiently pump enough blood and oxygen to support peripheral tissue and organs<sup>7</sup>. The Heart and Stroke Foundation estimates that approximately 750,000 Canadians are living with HF, making it one of the top reasons for hospital admissions which will cost Canadians more than \$2.8 billion dollars a year by 2030<sup>2,8,9</sup>. Risk factors such as age, smoking, a sedentary lifestyle and stress, and comorbidities including diabetes, obesity, hypertension and hypercholesteremia, all contribute to the development and progression of CVD<sup>10,11</sup>.

#### **1.1.1 Myocardial Infarction**

Upon coronary artery occlusion, downstream cardiac tissue injury is marked by

massive cell death in the infarcted area that initiates an inflammatory response<sup>12</sup>. After this initial inflammation, spatial containment and suppression of the reaction is essential to limit tissue damage and infarct size; healing begins with inflammation suppression<sup>13</sup>. Prolonged inflammation drives adverse remodelling and functional cardiac failure (e.g., reduced left ventricular ejection fraction, LVEF) can occur<sup>14,15</sup>.

Within a period of 3-4 days from infarction, in both humans and mice, immune cell infiltration clears damaged and dead cells and extracellular matrix (ECM)<sup>15,16</sup>. This typically occurs between the infarct and unaffected myocardium, termed the border or peri-infarct zone<sup>17</sup>, an area comprised of dysfunctional myocardium that is hypocontractile and fibrotic, however, still oxygenated<sup>18</sup>. Angiogenesis occurs within 2 days through the peri-infarct into the infarct zone, and after 7 days, new vessels enlarge and acquire smooth muscle cell support<sup>19</sup>. Cardiomyocyte necrosis leads to endothelial cell activation, acquiring an immunoregulatory and procoagulant phenotype, expressing cell adhesion molecules<sup>20</sup>, and promoting immune cell infiltration<sup>16</sup>. Necrosis and ECM fragments deliver the primary inflammatory stimulus by releasing danger-associated molecular patterns (DAMPs)<sup>21,22</sup>, including high mobility group box-1 (HMGB1)<sup>23</sup> and fibronectin extra domain A<sup>24</sup>. Once bound to their respective receptor, downstream activation of mitogen-activated protein kinases (MAPKs) and nuclear factor- $\kappa$ B (NF- $\kappa$ B) occurs<sup>25</sup>. The latter drives expression of proinflammatory genes, including tumour necrosis factor- $\alpha$  (TNF $\alpha$ ), interleukin-1 $\beta$  (IL-1 $\beta$ ), and CXC and CC chemokines<sup>26,27</sup>. These factors recruit leukocytes (neutrophils, monocytes and T-lymphocytes) and stimulate resident macrophage proliferation which further augments the inflammatory response, DAMPs production, efferocytosis,

tissue digestion and proteolysis via the release of proteases and oxidases<sup>16,28,29</sup>. Pivotal studies demonstrated a biphasic functional heterogeneity among monocytes and macrophages after infarct<sup>28,30,31</sup>. After approximately 7 days, post-infarct inflammation resolution is mediated by reparative monocytes and macrophages, with increased expression of anti-inflammatory, profibrotic and angiogenic factors, including transforming growth factor  $\beta$  (TGF- $\beta$ ), vascular endothelial growth factor (VEGF) and IL-10<sup>32</sup>. Neutrophil apoptosis and necrosis occur as the cardiac molecular environment transitions from pro- to anti-inflammatory<sup>16,33,34</sup>. Phagocytosis of neutrophils by macrophages, induces a pro-resolving phenotype, and results in the release of anti-inflammatory and pro-fibrotic cytokines which aid in suppressing inflammation and stimulating tissue repair<sup>35,36</sup>. In the final healing stage, immune and reparative cells are deactivated<sup>16</sup>.

Inflammation resolution, cardiac fibroblast population expansion and transformation into synthetic myofibroblasts mark the 'proliferative phase'<sup>37-39</sup>. Further, recent studies suggest that adult cardiomyocytes have a small, limited capacity for proliferation, contrary to earlier reports describing them as postmitotic<sup>40-46</sup>. However, this is not sufficient to restore the cardiomyocyte population, therefore, the remaining cells undergo hypertrophy to adapt to the physical demands of the heart<sup>47,48</sup>. The temporary cardiac matrix, composed of fibrin and fibronectin to prevent acute rupture, is replaced by ECM<sup>49,50</sup>. Initially, normally quiescent cardiac fibroblasts acquire a proinflammatory phenotype and serve as an important source of chemokines and cytokines<sup>51,52</sup>. In this phase however, TGF- $\beta$  is induced globally after MI and is especially indicated in myofibroblast transdifferentiation and activation<sup>53</sup>.

Further, activated myofibroblasts express  $\alpha$ -smooth muscle actin ( $\alpha$ SMA), allowing scar contraction<sup>38,54</sup>. Normally lowly expressed extracellular matrix proteins are upregulated following MI, including osteopontin<sup>55</sup> and periostin<sup>56,57</sup> which modulate cell function and prevent adverse remodelling, rather than serving a structural role<sup>58</sup>. Eventually, in the 'maturation phase, the ECM becomes cross-linked<sup>16</sup>. Tensile strength of the scar is increased as thick type I collagen replaces thin type-III collagen<sup>59-61</sup>. This matrix becomes devoid of cells as leukocytes undergo apoptosis and inflammation continues to resolve<sup>61</sup>. Myofibroblasts are deactivated, enter a quiescent state and may undergo apoptosis, presumably via inhibitory signals from the clearance of ECM<sup>62</sup>. The mature scar can remain stable without causing functional issues for years, however, fibrosis diffusion further into the viable border zone and remote regions can result in adverse remodeling and subsequent HF<sup>61</sup>.

### **1.1.2 Heart Failure**

HF develops after MI due to cardiomyocyte death and scar formation, triggering neurohormonal activation and ventricular remodeling such as wall thinning and mitral valve regurgitation<sup>63</sup>. HF can be stratified into three types; left-sided, right-sided and biventricular, which affect the left, right and both ventricles, respectively<sup>64</sup>. Left-sided or left-ventricular HF can be further subdivided into HF with preserved ejection fraction (HFpEF; diastolic HF), and HF with reduced ejection fraction (HFrEF; systolic HF)<sup>65</sup>. Notably, HFpEF presents with a LVEF  $\geq 50\%$  and HFrEF  $\leq 40\%$ , where LVEF is a fraction of the blood volume ejected at systole related to the volume of blood in the ventricle at diastole<sup>66,67</sup>. More recently, international societies like the European Society of Cardiology have proposed HF with mildly reduced EF, where patients

present with a LVEF between 41-49%<sup>7,66,68</sup>. Even though HFrEF and HFpEF share similar risk factors and comorbidities, substantial differences in inflammation, oxidative and nitrosative stress and fibrosis lead to differing cardiac dysfunction<sup>69</sup>.

#### **1.1.2.1 Molecular Mechanisms in HFrEF and HFpEF**

In HFrEF, inflammation directly results from cardiomyocyte damage and death, contrasting HFpEF, which arises from peripheral metabolic and inflammatory risk factors like obesity and T2D<sup>70</sup>. Cardiomyocyte death in HFrEF leads to cytokine release, activating downstream signaling such as NF- $\kappa$ B, MAPK and interferon (IFN) that upregulate pro-inflammatory cytokines for repair<sup>71,72</sup>. Dying cells release MMPs which degrade ECM<sup>49,73</sup>, and infiltrating immune cells, specifically monocytes, produce anti-inflammatory cytokines and growth factors to promote angiogenesis and scar formation by myofibroblast activation<sup>28</sup>. Recruited regulatory T-cells play a protective role by attenuating inflammation and reducing infiltration, however this is not sufficient to prevent damage and adverse remodeling oftentimes<sup>74</sup>.

In individuals with HFpEF, clinical peripheral blood analyses indicate increased circulating cytokines, such as IL-1 $\beta$ , IL-6, IL-10, TNF $\alpha$  and C-reactive protein, originating not only from immune cells, but also from inflamed metabolic tissues like adipose tissue (AT) and steatotic hepatocytes<sup>75-78</sup>. Vascular endothelial inflammation (IL-1, IL-6, IL-8, macrophage colony-stimulating factor (M-CSF), granulocyte-macrophage CSF (GM-CSF) and monocyte chemoattractant protein-1 (MCP-1)) and expression of endothelial adhesion molecules vascular cell adhesion molecule 1 and E-selectin lead to monocyte recruitment for transendothelial migration<sup>79-81</sup>. Monocytes undergo differentiation and activation into macrophages, at which point, macrophage-

derived mediators and pro-inflammatory cytokines (connective tissue growth factor (CTGF), fibronectin and TGF- $\beta$ ) promote myofibroblast proliferation and activation from quiescent tissue-resident fibroblasts<sup>82</sup>. ECM and matricellular proteins are produced from continual myofibroblast activation, and collagen crosslinking prevents degradation and increased stiffness, leading to reactive and diffuse fibrosis which is typically in the interstitial and perivascular areas<sup>83-85</sup>. Further, activation of the Janus kinase (JAK)-signal transducer and activator of transcription (STAT) (JAK-STAT) pathway via TGF- $\beta$  and IL-1 signaling lead to mothers against decapentaplegic homolog 2-4 (SMAD2-4) phosphorylation and increased profibrotic gene expression<sup>75</sup>. Comparatively, replacement fibrosis is a reparative mechanism in HFrEF where granulation tissue, a temporary fibrin matrix, replaces dead cells after ischemia until it is superseded by a collagen-based matrix and a mature scar<sup>16,86</sup>. This fibrosis is essential acutely after MI to prevent ventricular wall rupture and death<sup>86</sup>, but may also be present in HFpEF due to micro scars in small pockets of cardiomyocyte death<sup>87</sup>.

Endothelial dysfunction occurs in both HFrEF and HFpEF; however, it occurs later in the former and more severely and earlier in the latter<sup>70</sup>. Nonetheless, this leads to imbalanced nitric oxide (NO) bioavailability<sup>70,88</sup>. Nitroso-redox imbalance leads to decreased coronary endothelium-dependent vasodilation, impairing myocardial perfusion and worsening ventricular dysfunction<sup>89</sup>. There is also a cyclical worsening of endothelial function; as NO bioavailability is reduced, dysfunction continues and HFrEF progresses<sup>90</sup>. In both cases, proinflammatory cytokines induce endothelial production of reactive oxygen species (ROS) through activation of nicotinamide

adenine dinucleotide phosphate (NADPH) oxidases<sup>91,92</sup>. ROS production ultimately leads to the formation of peroxynitrite ( $\text{ONOO}^-$ ) and reduces NO bioavailability, both of which lower cyclic guanosine monophosphate (cGMP) production, and subsequently reduce protein kinase G (PKG) activity<sup>80,93</sup>. Decreased PKG increases resting tension of cardiomyocytes and prevents vasorelaxation<sup>94,95</sup>, primarily through hypophosphorylation and abundance of the stiffer N2B isoform of titin<sup>96,97</sup>. Further, reduced cGMP and PKG activity result in increased diastolic cytosolic calcium and delayed relaxation, as well as cardiomyocyte hypertrophy, respectively<sup>98-101</sup>. In contrast, in HFrEF, cardiac biopsies indicate more of the flexible N2Ba isoform of titin<sup>102</sup>. Dephosphorylation and aggregation of titin leads to increased passive tension, increasing cardiac stiffness<sup>103</sup>, which can be interestingly relieved by MMP2 cleavage<sup>104</sup>.

#### **1.1.2.2 Systolic and Diastolic Dysfunction in HFrEF and HFpEF**

The pathophysiological differences between HFrEF and HFpEF lead to variances in function, namely in presentation of systolic and diastolic dysfunction. In HFrEF, cardiomyocytes appear thinner and elongated with lower myofibrillar density<sup>80</sup>. The spherical shape of the left ventricle (LV) leads to decreased function, including stroke volume (SV; blood volume pumped out at each contraction), cardiac output (CO; amount of blood pumped out per minute), end-diastolic pressure (EDP; amount of blood in the ventricle when relaxed) and impaired ventricular filling<sup>105</sup>. To facilitate compensation, venous pressure and blood volume are increased<sup>106</sup>. Cardiac preload and afterload, stretching of the heart wall before contraction, and the force required for contraction are increased, leading to wall stress<sup>107</sup>. Collectively, these

abnormalities prevent the heart from pumping enough blood to support the periphery<sup>69</sup>.

HFpEF presents with a normal LVEF; however, impaired relaxation of the LV prevents adequate filling and reduces the volume of blood pumped out (SV) to the periphery<sup>108</sup>. Contrasting eccentric remodelling typically observed in HFrEF, concentric remodelling occurs in HFpEF where the LV wall thickens making the lumen smaller<sup>109</sup>. The LV typically relaxes slowly (increased isovolumetric relaxation time; IVRT), with greater resistance and increased EDP<sup>110</sup>. Like in HFrEF, SV and CO are reduced<sup>110,111</sup>. Since LVEF is normal, diagnosis is complicated and testing only begins when symptoms such as fatigue, dyspnea and swelling in the extremities appear<sup>112</sup>. Echocardiography may be used to assess LV structure such as wall thickening. In particular, pulsed-wave and tissue doppler may be used to assess blood and tissue velocity, respectively<sup>113</sup>. Lastly, speckle tracking echocardiography (STE), or strain and strain rate analysis, is used to identify abnormalities in myocardial kinetics and deformation patterns in the radial, longitudinal and circumferential plane<sup>114-116</sup>. STE can be used to identify minute changes otherwise overlooked by standard echocardiography<sup>117,118</sup>.

## **1.2 Metabolic Dysfunction-Associated Steatotic Liver Disease**

Non-alcoholic fatty liver disease (NAFLD), is a spectrum of liver diseases ranging from simple steatosis to end-stage cirrhosis and hepatocellular carcinoma (HCC) <sup>119</sup>. It is now the most common chronic liver disease in developed countries<sup>120,121</sup>, which currently affects approximately 25-33% of the global population<sup>122-124</sup>. Environmental factors (hypercaloric diet, sedentary lifestyle) in combination with metabolic syndrome, advanced age, dyslipidemia, T2D and obesity

can lead to NAFLD<sup>125</sup>. More recently in 2020, a new term was proposed to describe fatty liver resulting from T2D and obesity, metabolic dysfunction-associated fatty liver disease (MAFLD), diagnosed in individuals who meet two of seven parameters of metabolic dysfunction<sup>126</sup>. Now, in 2023, metabolic dysfunction-associated steatotic liver disease (MASLD) was proposed and is diagnosed when patients meet one of five cardiovascular (CV) risk factors, such as advanced age, obesity and hyperglycemia<sup>127</sup>. NAFLD was considered an exclusionary acronym which did not consider the cause of the disease, leading to the introduction of inclusionary terms MAFLD and then MASLD, as long as alcohol consumption is less than 20 or 30 grams per day in females and males, respectively<sup>128</sup>. Hereinafter, MASLD will be used throughout this thesis apart from Chapter 2 and previously published work that uses other terms. Without intervention, simple steatosis progresses to non-alcoholic steatohepatitis (NASH) or metabolic dysfunction-associated steatohepatitis (MASH), in an estimated 5-6% of people, and is defined as an irreversible condition that is characterized by fat accumulation, inflammation and fibrosis<sup>129,130</sup>. Without intervention, this condition progresses into irreversible cirrhosis in approximately 20% of individuals, with worsening fibrosis and decompensation<sup>131,132</sup>, leading to an increased risk of HCC<sup>133</sup>.

The pathogenesis of MASLD involving multiple organ systems often begins with a substrate imbalance. Simple steatosis is defined as excessive lipid accumulation in the liver in more than 5% of hepatocytes, independent of inflammation and fibrosis<sup>125</sup>. MASLD may occur in lean individuals due to genetic disorders<sup>134-136</sup>, infectious disorders (i.e., hepatitis C virus (HCV)<sup>137,138</sup>, human immunodeficiency virus (HIV)<sup>139</sup>) and with certain drugs<sup>140</sup> (i.e., amiodarone and tamoxifen). Interestingly,

MASLD (previously defined as NAFLD) presents in approximately 55% of individuals living with HCV<sup>141</sup>. In HCV associated MASLD, disease progression and HCC development were driven by inflammation and oxidative stress irrespective of insulin resistance (IR)<sup>142,143</sup>. However, the initiating events of MASLD typically include unresolved obesity and IR<sup>144-148</sup>. In individuals with obesity, AT reaches its storage and expansion capacity, leading to storage of excess lipids in hepatocytes<sup>149,150</sup>. Specifically, increased fatty acid (FA) uptake and export, reduced FA oxidation (FAO), accelerated lipolysis and hepatic *de novo* lipogenesis (DNL) collectively lead to ectopic hepatic lipid accumulation<sup>151</sup>. Insulin plays an important role in free FA (FFA) homeostasis by inhibiting AT lipolysis, via lipoprotein lipase LPL<sup>152,153</sup>. Lipolysis is increased with IR or with decreased insulin sensitivity, typical in metabolic disease, leading to increased circulating FFA, increasing lipid content within the liver<sup>154</sup>. FFA uptake is mediated via plasma membrane proteins such as FA transport proteins (FATPs), caveolins (specifically CAV1) and FA translocase (FAT/CD36), whose expression is significantly increased in individuals with MASLD<sup>155</sup>. Following uptake, FA binding protein 1 facilitates transportation of FAs between organelles and enables its oxidation and incorporation into triglycerides (TGs)<sup>156,157</sup>. FFA may undergo mitochondrial and peroxisomal  $\beta$ -oxidation to generate acetyl-CoA, adenosine triphosphate (ATP) and hydrogen peroxide ( $H_2O_2$ )<sup>158-160</sup>. Further, intracellular FAs may also undergo esterification to form TGs, which can play a protective role against lipotoxicity<sup>161</sup>. At this point, TGs can be assembled on apolipoprotein B100 and exported into circulation as very low-density lipoproteins or may remain in hepatocytes stored in cytosolic lipid droplets (LDs)<sup>162</sup>. Hepatic LD turnover, or degradation, to form

hepatic FAs may also occur; if these lipids are not incorporated into more complex forms of lipids like TGs or lipoproteins, they lead to lipotoxic species<sup>163,164</sup>. Lipotoxic lipids can elicit organelle dysfunction, cell injury and inflammation when they accumulate in the cytosol of non-AT organs, such as the liver<sup>161,165</sup>. TGs, for example, are inert and do not cause cellular dysfunction, however, accumulation of its toxic intermediates like saturated FAs, glycerophospholipids (i.e., diacylglycerols; DAGs), and sphingolipids (i.e., ceramides) contribute to MASLD pathogenesis<sup>166-170</sup>.

The second major source of FAs in the liver is DNL, a process by which lipids are endogenously synthesized, typically from dietary carbohydrates, such as glucose<sup>171</sup>. Conversion of glucose in DNL is highly regulated and dependent on glycolytic enzymes controlled by insulin, ATP and citrate<sup>172</sup>. IR enhances DNL<sup>173</sup>, and is regulated post-prandially through insulin and glucose mediated stimulation of lipogenic enzyme promoters and transcription factors sterol regulatory element-binding protein 1c (SREBP-1c) and carbohydrate response element-binding protein (ChREBP)<sup>174</sup>. Glucose is metabolized via glycolysis to produce ATP and pyruvate, or it may be stored as glycogen<sup>175</sup>. The conversion of fructose-6-phosphate, the second metabolite produced in glucose metabolism, to fructose-1-6-biphosphate is tightly regulated by phosphofructokinase which is inhibited by ATP and citrate when energy status is high<sup>176,177</sup>. Pyruvate is converted to acetyl-CoA by the pyruvate dehydrogenase complex, at which point it enters the tricarboxylic acid (TCA) cycle in mitochondria, leading to citrate, then acetyl-CoA by the enzyme ATP citrate lyase<sup>176</sup>. Acetyl Co-A acts as a precursor for lipogenesis, giving rise to hepatic FAs<sup>178,179</sup>. As previously mentioned, excess hepatic FAs resulting from increased synthesis and uptake, decreased export and impaired  $\beta$ -oxidation, leads to the generation of

lipotoxic species<sup>166-169</sup>.

Lipotoxicity leads to mitochondrial dysfunction, oxidative stress, ROS production, endoplasmic reticulum (ER) stress, inflammasome activation, and apoptosis<sup>155,180,181</sup>. These processes ultimately result in hepatic inflammation and fibrosis, a key component of simple steatosis progression to MASH<sup>182</sup>. In MASLD, increased mitochondrial FAO and TCA cycle activity constantly supply reduced nicotinamide adenine dinucleotide (NADH) and flavin adenine dinucleotide (FADH<sub>2</sub>) to the electron transport chain<sup>183,184</sup>. Uncoupling of these processes results in increased ROS production, and concomitantly with decreased mitochondrial ROS disposal, mitochondrial deoxyribonucleic acid (DNA) damage and continued oxidative stress can lead to ER stress due to protein misfolding<sup>185,186</sup>. ER stress is a protective response where the unfolded protein response (UPR) is activated to restore protein homeostasis<sup>187</sup>. The UPR is mediated via ER-resident stress biosensors protein kinase ribonucleic acid (RNA)-like ER kinase, inositol-requiring enzyme 1 and activating transcription factor 6, which are activated after separation from glucose-regulated protein 78<sup>187-189</sup>. Acutely, the UPR serves to alleviate stress, however, chronic activation leads to terminal UPR, leading to apoptosis and inflammation<sup>187,190</sup>. In a bi-directional relationship, calcium ions (Ca<sup>2+</sup>) leak from the ER which further induces the UPR and apoptosis, however, it also acts on mitochondria, inducing cytochrome *c* release, ROS production and activation of pro-apoptotic factors<sup>191</sup>. Lastly, inflammasome activation occurs in response to DAMPS, such as short chain FAs, which leads to increased expression of inflammatory cytokines, including IL-1 $\beta$  and IL-18, and promotes apoptosis<sup>192,193</sup>. These intrahepatic processes, extrahepatic

AT inflammation (i.e.,  $\text{TNF}\alpha$ , IL-6, adiponectin and leptin) and influence from intestinal barrier dysfunction and gut microbiota imbalance, lead to liver inflammation which drives steatosis progression to MASH<sup>194,195</sup>. Steatotic, damaged and apoptotic hepatocytes release DAMPS, such as HMGB1<sup>196</sup>, heat shock proteins, ATP, RNA and DNA, as well as extracellular vesicles which may be filled with lipotoxic FAs and chemokines<sup>197</sup>. These signals are received by pattern recognition receptors on the surfaces of hepatic stellate cells (HSCs), liver-resident macrophages, Kupffer cells (KCs), and to a lesser extent, T-cells (natural killer and regulatory) and neutrophils<sup>197,198</sup>. Signaling from stressed hepatocytes and activated immune cells ultimately leads to activation of HSCs, leading to ECM production that exceed degradation<sup>199,200</sup>.

HSCs are normally quiescent and store retinoids, however, liver injury results in transdifferentiation of these cells into collagen-producing myofibroblasts<sup>172,201,202</sup>. Once activated, HSCs lose their retinoid stores and synthesize  $\alpha$ -SMA and ECM, including collagens type I, III and IV, as well as hyaluronic acid<sup>203,204</sup>. MMPs are also elevated, which results in increased collagen degradation products<sup>205</sup>. Increased tissue inhibitors of metalloproteinase (TIMPS), namely TIMP1, are secreted by macrophages and fibroblasts, and they function to maintain normal MMP levels<sup>205,206</sup>. MMP/TIMP imbalance shifts towards ECM synthesis and fibrinogenesis, as degradation is reduced<sup>207</sup>. In some cases, if fibrinogenesis is sustained, cirrhosis or permanent scarring of the liver may occur<sup>208</sup>. Collagenous scars encase injured liver parenchymal tissue, and characteristically fibrotic septa connect the portal tracts and the ventral veins<sup>209</sup>. This leads to the disconnection of hepatocytes from the central

vein, creating 'islands' of cells<sup>209</sup>. A hallmark feature of cirrhosis, capillarization of the sinusoids, appears as loss of fenestrae and increased basement membrane matrix deposition on liver sinusoidal endothelial cells<sup>210,211</sup>. These molecular changes lead to intravascular resistance in the portal system and reduced hepatic perfusion<sup>212</sup>. To date, there is only one Food and Drug Administration (FDA) approved drug therapy to treat individuals with NASH/MASH. Resmetriom, commercially known as Rezdriffa may be used to treat individuals with NASH/MASH with moderate to advanced liver fibrosis, concomitantly with diet and exercise intervention. After 1 year, individuals treated with 80 mg or 100 mg of Rezdriffa, experienced a 26-27% or 24-36% improvement in fibrosis and no worsening of NASH/MASH, respectively. This is directly compared to individuals who received placebo, where diet and exercise alone improved liver scarring by 9-13% (MAESTRO-NASH trial, NTC03900429)<sup>213</sup>. Additionally, a number of promising clinical trials are ongoing<sup>214</sup>. These include farnesoid X receptor agonists, pan-peroxisome proliferator-activated receptor agonists, glucagon-like peptide-1 (GLP-1) receptor agonists (GLP-1RA), coagonists (i.e. GLP-1RA/glucose-dependent insulintropic polypeptide (GIP) receptor agonist (GIPRA)) and triagonists (i.e. GLP-1RA/GIPRA/glucagon), fibroblast growth factor 21 (FGF21) targeting therapeutics, acetyl-CoA carboxylase (ACC) 1 and ACC2 inhibitors, fatty acid synthase inhibitors and diacylglycerol O-acyltransferase 2 inhibitors<sup>215</sup>.

### **1.2.1 Hepatic Glucose Production**

Hepatic glucose production (HGP) maintains glucose homeostasis through fasting via gluconeogenesis and glycogenolysis. In the postprandial state, storage and disposal through glycogen synthesis and glycolysis is favoured<sup>216</sup>. Accounting for 90%

of endogenous glucose production<sup>217,218</sup>, HGP is indirectly regulated and stimulated by lipolysis, which provides FAs and glycerol<sup>216,219</sup>. FAs undergo  $\beta$ -oxidation to yield mitochondrial acetyl-CoA, which activates pyruvate carboxylase, and this catalyzes pyruvate conversion into oxaloacetate, a gluconeogenic substrate<sup>220</sup>. Glycerol may be phosphorylated to dihydroxyacetone phosphate to become a precursor as well<sup>221,222</sup>. HGP may also use lactate derived from Cori cycling as a substrate<sup>223</sup>. Lactate is converted to pyruvate by lactate dehydrogenase (LDH), at which point pyruvate is processed in the mitochondria to oxaloacetate for gluconeogenesis<sup>224,225</sup>. In the liver, when insulin binds to its receptor it activates protein kinase B (PKB/AKT), which phosphorylates and prevents the transcription of factor Forkhead box O1 (FoxO1) from the nucleus in a dose dependent manner<sup>226</sup>. Without insulin signaling, FoxO1 promotes gluconeogenic gene transcription alongside peroxisome proliferator-activated receptor- $\gamma$  co-activator 1 $\alpha$ <sup>227,228</sup>. In contrast, glucagon stimulates HGP by increasing cyclic adenosine monophosphate (cAMP) to activate protein kinase A (PKA)<sup>229</sup>, which increases cytosolic  $\text{Ca}^{2+}$  levels and activates cAMP-responsive element-binding protein-regulated transcription co-activator 2<sup>230,231</sup>. PKA activation by glucagon also inhibits phosphorylation of glycolytic enzymes to decrease glucose oxidation and inhibits glycogen synthase to suppress glycogen synthesis<sup>229,232</sup>. In contrast, under fed conditions, glucose is converted to glucose 6-phosphate (G6P) and may act as a substrate for glycogen synthesis while also activating glycogen synthase<sup>233</sup> while suppressing glycogenolysis via glycogen phosphorylate inhibition<sup>234</sup>. Further in the fed state, insulin activates AKT to ultimately reduce intracellular cAMP levels, thereby inhibiting PKA to promote glycogen synthesis<sup>235</sup>.

Glucose homeostasis is maintained in healthy individuals, but importantly, individuals with metabolic disease, namely MASLD and T2D, have increased HGP by 30%<sup>236</sup>. Amplified lipolysis, such as in the setting of obesity and IR, results in hepatic lipid accumulation as DAGs<sup>237,238</sup>. Intrahepatic lipid accumulation impairs insulin signaling, effectively reducing glycogen synthesis, and allowing FoxO1 dependent transcriptional regulation of gluconeogenic genes<sup>239</sup>. Further, increased lipolysis also yields increased gluconeogenic substrates, FAs and glycerol<sup>240,241</sup>. Hyperglycemia from increased HGP is the main contributor to increased fasting blood glucose in T2D<sup>242-244</sup>, and a contributing factor in increased steatosis in MASLD<sup>173</sup>.

### **1.2.2 The Hepatic Portal Vein**

Venous blood from the gastrointestinal tract, consisting of the stomach, intestines, pancreas and spleen, is delivered to the liver via the portal venous system<sup>245</sup>. The main blood vessel in this system is the hepatic portal vein, which provides the liver with approximately 75% of the total venous blood<sup>245,246</sup>. Uniquely, the portal vein does not drain into the heart, rather, this blood enters the hepatic sinusoids of the liver<sup>245</sup>. Ultimately, the hepatic portal vein serves to remove toxins and bacteria, absorb nutrients and transport and process peptide hormones<sup>246</sup>.

The hepatic portal vein delivers insulin produced by pancreatic  $\beta$ -cells to the liver, at which point the liver extracts and processes approximately 50-60% of the newly secreted insulin<sup>247,248</sup>. The remaining insulin is delivered to extrahepatic tissue through the hepatic veins into arterial circulation, however, any remaining insulin is removed by the liver in subsequent passes<sup>249</sup>. It has been demonstrated that hepatic glucose uptake is the same between oral and direct intraportal infusion, therefore

ruling out the gut as a factor regulating hepatic glucose uptake<sup>250</sup>. In fact, intraportal infusion stimulated glycogen synthesis to a significantly greater extent than peripheral infusion<sup>250</sup>. This signal does not alter systemic glucose clearance<sup>251,252</sup>, rather, it appears to reduce extrahepatic glucose uptake with increased hepatic uptake<sup>253</sup>. Further, the hepatic portal vein is exposed to the widest range of glucose concentrations and is largely involved in glucose sensing and subsequent physiological changes<sup>254</sup>. Vagal and spinal afferent nerves sense glucose and relay information to the brain, increasing satiety and satiation, and suppressing food intake cues<sup>255,256</sup>. Importantly, beyond nutrients, the portal vein experiences higher concentrations of gut-derived hormones, namely the incretin hormone GLP-1 and GIP than peripheral tissues<sup>254,257</sup>. GLP-1 and GIP are secreted from enteroendocrine cells (K-cells and L cells, respectively), in the gut postprandially, and are tightly regulated by dipeptidyl peptidase-4 (DPP4) a protease which regulates their bioavailability<sup>258</sup>. These hormones stimulate insulin secretion in a glucose-dependent manner when they interact with their respective receptor in the pancreas, minimizing the risk of hypoglycemia<sup>258</sup>. However, <25% of active GLP-1 leaves the gut, another 40-50% is degraded in the portal vein, allowing only 10-15% entering systemic circulation<sup>259,260</sup>. Important as well is GLP-1 receptor (GLP-1R) expression in the portal vein<sup>261,262</sup>, here, intrahepatic peptide infusion stimulates satiety via vagal afferent nerve activity<sup>263,264</sup>.

### **1.3 Type 2 Diabetes Mellitus**

Approximately 30% of Canadians currently live with diabetes or prediabetes, and 90% of these cases are T2D<sup>265</sup>. Closely linked to the obesity epidemic, T2D is expanding as a global health and economic problem<sup>266</sup>. Individuals with T2D experience hyperglycemia due to pancreatic  $\beta$ -cell exhaustion, decreased insulin

secretion and systemic IR, and the impaired response of target tissues to circulating insulin<sup>267</sup>. In addition, chronic dysglycemia, dyslipidemia<sup>268</sup>, inflammation<sup>269,270</sup>, and hypertension<sup>271</sup>, lead to microvascular (diabetic retinopathy and nephropathy) and macrovascular (MI and atherosclerosis) complications<sup>272</sup>.

### **1.3.1 Insulin Signaling**

Insulin, a peptide hormone released by pancreatic  $\beta$ -cells, is necessary for glucose and lipid homeostasis<sup>273,274</sup>. Typically, insulin binds the insulin receptor (INSR), inducing a conformational change resulting in autophosphorylation of the tyrosine residues<sup>275,276</sup>. This in turn phosphorylates and activates phosphotyrosine-binding proteins, including insulin receptor substrate (IRS) which then recruits phosphatidylinositol-3-OH kinase (PI3K) and catalyzes the production of phosphatidylinositol-3,4,5-triphosphate (PIP3) from phosphatidylinositol-4,5-triphosphate (PIP2)<sup>277,278</sup>. PIP3 recruits the serine/threonine kinase, AKT, to the cell surface, where it is activated via phosphorylation by 3-phosphoinositide-dependent kinase-1 and mechanistic target of rapamycin complex 2<sup>279-282</sup>. From there, downstream phosphorylation and induction of signaling regulates glucose uptake, anti-lipolysis and lipid synthesis<sup>283,284</sup>. Glucose uptake is mediated by a well-characterized glucose transporter, glucose transporter type 4 (GLUT4)<sup>285</sup>. Under insulin stimulation, intracellular GLUT4 storage vesicles (i.e., insulin responsive vesicles) are translocated to the cell surface, after which glucose, converted to G6P, enters the glycolysis pathway<sup>286,287</sup>.

### **1.3.2 Peripheral Tissues in Obesity and Insulin Resistance**

$\beta$ -cell loss is accelerated by overnutrition leading to weight gain and obesity,

which makes these factors a leading cause of T2D<sup>288</sup>. Adipocyte hyperplasia from differentiation of adipose precursors and hypertrophy both contribute to AT expansion<sup>289</sup>. As a result, hypoxia, adipocyte cell death and increased cytokine, chemokine and FFA secretion cause systemic issues<sup>150,290</sup>. Tissue hypertrophy causes microhypoxia even in the earliest stages of AT expansion<sup>291,292</sup>. This increases expression of transcription factor hypoxia-inducible factor-1 $\alpha$ , a master regulator of hypoxia and oxygen homeostasis, which induces other hypoxia and inflammation associated genes such as VEGF, IL-6 and leptin<sup>293</sup>. Further, hypertrophic adipocytes secrete inflammatory cytokines, including TNF, which promotes immune cell recruitment and activation, while anti-inflammatory factors, such as adiponectin, are reduced<sup>294,295</sup>. Activated macrophages are necessary in the early stages of obesity for healthy expansion and remodeling of AT, however, continued activation leads to fibrosis with the deposition of ECM further promoting metabolic dysfunction and inflammation<sup>291,296</sup>. Infiltrating macrophages are recruited by apoptotic and necroptotic adipocytes by MCP-1 and arrange themselves around dead cells, forming distinctive crown-like structures which are phenotypically pro-inflammatory<sup>297,298</sup>. These processes, namely AT remodeling and inflammation, ultimately lead to AT dysfunction which leads to AT IR<sup>299</sup>.

Macrophages in expanding AT release TNF $\alpha$ , IL-6 and IL-1 $\beta$ , which activate the c-Jun N-terminal kinase (JNK)-inflammatory cascade, effectively inhibiting IRS1 phosphorylation leading to reduced insulin sensitivity and GLUT4 translocation<sup>300</sup>. The anti-lipolytic effect of insulin is also blunted, which is already defective in hypertrophic adipocytes, leading to chronic, elevated FA release and TG

hydrolysis<sup>301</sup>. Further, IR pushes glucose metabolism towards glycogen and lactate production and synthesis<sup>302</sup>. Excessive glycogen leads to adipocyte apoptosis and immune cell recruitment, while lactate release reduces AT lipolysis and GLUT4 abundance and trafficking in skeletal muscle specifically<sup>303,304</sup>. In addition, systemic inflammation, and the release of FFA from AT, contribute to skeletal muscle and liver IR<sup>300</sup>.

Skeletal muscle is the main site of glucose disposal, accounting for absorption and metabolism of up to 80% of post-prandial glucose, whose catabolism is necessary for energy for muscle contraction<sup>303</sup>. Ectopic lipid accumulation and inflammatory signals from AT in skeletal muscle leads to incomplete FAO and defective insulin signaling<sup>305</sup>, or conversion to ceramides and DAGs, due to activation of Toll-like receptors (TLRs), and subsequently the JNK- NF- $\kappa$ B pathway<sup>306</sup>. Increased DAGs also activates protein kinase C (PKC)  $\theta$  (PKC $\theta$ ), which increases phosphorylation of IRS1, hindering insulin signaling, activating the PI3K/AKT pathway leading to decreased glucose transport and glycogen synthesis<sup>307</sup>. Further, excessive FA uptake and increased intracellular FAs in skeletal muscle effectively inhibit hexokinase activity, and as a result, glucose uptake<sup>302</sup>.

As previously described, the liver is susceptible to ectopic lipid accumulation and lipotoxicity, namely, the accumulation of DAGs which activates PKC $\epsilon$ , thereby blunting insulin signaling<sup>308</sup>. Here, this process also reduces the activity of glycogen synthase and induces HGP through decreased phosphorylation of FoxO, where in its unphosphorylated form, FoxO translocates to the nucleus and enhances transcription of gluconeogenic enzymes phosphoenolpyruvate carboxykinase and G6P<sup>308</sup>. Lastly,

circulating cytokines and adipokines also increase HGP by activating NF- $\kappa$ B and JNK<sup>308</sup>.

### **1.3.3 Islet Hormone Secretion**

In response to obesity and IR, the insulin producing  $\beta$ -cells, in the islets of Langerhans in the pancreas, compensate by increasing insulin synthesis and secretion, and by increasing in mass via proliferation and hypertrophy<sup>309</sup>. In homeostatic conditions, ingested carbohydrates are broken down into glucose, which enters the  $\beta$ -cell through GLUT2<sup>310</sup>. Glucose is phosphorylated to G6P, and through glycolysis, pyruvate is generated<sup>311</sup>. In the mitochondria, pyruvate is oxidized to give rise to ATP through the TCA cycle<sup>312</sup>. Increases in the intracellular ATP/adenosine diphosphate (ADP) induce ATP-dependent potassium channel closure, effectively depolarizing the plasma membrane, leading to the influx of  $\text{Ca}^{2+}$ , ultimately triggering insulin granule exocytosis<sup>313</sup>.

In metabolic disease and prediabetes, hyperglycemia and IR lead to increased insulin secretion and hyperinsulinemia to maintain normal glucose levels<sup>314</sup>. Unfortunately, hyperinsulinemia then downregulates the INSR, further increasing compensatory insulin secretion. In the early stages of T2D, individuals experience hyperinsulinemia, but insulin steadily drops as  $\beta$ -cell dysfunction progresses<sup>315</sup>.  $\beta$ -cell function decreases and is lost by 40-50% by the time T2D is diagnosed, with further loss (~5%) each year afterwards<sup>316</sup>. While acute exposure to FFAs increases glucose stimulated insulin secretion (GSIS) following a mixed meal<sup>317</sup>, chronic exposure suppresses GSIS, insulin synthesis and ultimately leads to  $\beta$ -cell loss<sup>318</sup>. Further, FFAs activate TLR2 and TLR4 which stimulates the release of cytokines such MCP-

1, macrophage inflammatory protein 1 $\alpha$  (MIP-1 $\alpha$ ) and IL-8 through the NF- $\kappa$ B pathway<sup>319</sup>. Circulating proinflammatory cytokines and those secreted by infiltrating immune cells cause  $\beta$ -cell death due to mitochondrial and ER stress, and ROS production<sup>320</sup>. However,  $\beta$ -cell failure is largely attributed to dedifferentiation and death in response to hyperglycemia<sup>321</sup>. Cells lose identity as  $\beta$ -cell enriched genes are downregulated and normally lowly expressed genes are upregulated<sup>322</sup>. Importantly, the incretin effect, a phenomenon whereby oral glucose elicits a stronger insulin secretory response compared to isoglycemic intravenous glucose, is greatly reduced in individuals with T2D<sup>323</sup>. In individuals with T2D or obesity, GLP-1 secretion and  $\beta$ -cell sensitivity to the incretin hormones is diminished while DPP4 expression and circulating DPP4 are increased<sup>324</sup>.

### **1.3.4 Pharmacological Treatments for T2D**

Currently 12 classes of drugs are approved to treat or prevent hyperglycemia in individuals living with T2D<sup>325</sup>. Less commonly used drugs include amylinomimetics, meglitinides, thiazolidinediones, bile acid sequestrants, dopaminergic antagonists and  $\alpha$ -glucosidase inhibitors<sup>325</sup>. Instead, according to the most recent guidelines<sup>326</sup> individuals are typically prescribed, biguanides (metformin), sulfonylureas, DPP4 inhibitors (DPP4i's), sodium-glucose cotransporter-2 inhibitors, GLP-1RA's and insulins<sup>325</sup>.

The only biguanide derivative currently prescribed is metformin, which is typically the first line most commonly used glucose-lowering therapy<sup>327,328</sup>. The liver highly expresses membrane transporter solute carrier family 22 member 1, necessary for metformin cellular uptake<sup>329</sup>. Importantly, metformin concentration is higher in the

portal vein versus systemic circulation, which may point to why it accumulates in the liver<sup>330</sup>. Further, organic cation transporter 1 (OCT1) which is responsible for metformin uptake, is largely expressed in hepatocytes<sup>331</sup>. Metformin primarily elicits glucose control by effectively inhibiting HGP by up to 75% in individuals with T2D<sup>332</sup>. This is thought to occur through decreased mitochondrial oxygen consumption, specifically through inhibition of mitochondrial respiratory complex I, which elevates AMP thereby inhibiting cAMP synthesis and activating AMP-activated protein kinase (AMPK)<sup>333-335</sup>. AMPK then phosphorylates and increases the activity of the INSR and of IRS2 to increase glucose uptake via translocation of GLUT2 to the membrane, ultimately enhancing insulin-mediated gluconeogenesis suppression<sup>336</sup>. Further, metformin contrasts the action of glucagon by inhibiting gluconeogenic enzymes and stimulating glycolysis. Gluconeogenic substrate uptake, such as alanine and lactate, is also reduced with metformin<sup>337</sup>. Peripherally, metformin improves insulin sensitivity by increasing INSR expression and increases glucose uptake in skeletal muscle via increased activity and translocation of GLUT4 to the plasma membrane<sup>336,338,339</sup>. In addition, metformin has been reported to stimulate expression of the GLP-1R in the pancreas and increase GLP-1 in circulation<sup>340</sup>.

DPP4i's, known as gliptins, are used for the treatment of T2D and work by blocking enzymatic activity of DPP4, preventing the degradation and inactivation of the incretin hormones, restoring GSIS, regulating glucagon secretion and glycemic control<sup>341</sup>. While the DPP4i mode of action is consistent among each family of compounds, this class of small molecules is heterogenous in their chemistry and pharmacokinetic properties<sup>342</sup>. Structural heterogeneity results in differences in metabolism, for example, when saxagliptin is metabolized by cytochrome P450 3A4

and 3A5, an active molecule is produced, however the same cannot be said for sitagliptin, linagliptin and vildagliptin<sup>343</sup>. Chemical structure also dictates half-life, with linagliptin being the most efficacious DPP4i due to strength and reversibility of binding<sup>344</sup>. However, all therapies are effective and safe as oral therapies, with virtually no risk of hypoglycemia<sup>345</sup>. Additionally, DPP4i anti-hyperglycemic effects follow a diurnal pattern, where mice treated with sitagliptin during the light phase experienced significantly lower plasma glucose and insulin levels, and improved glucose tolerance, while these parameters were unchanged in the dark phase-treated mice<sup>346</sup>.

Interestingly, when used in combination, metformin and DPP4i's demonstrate a numerically additive reduction in mean glycated hemoglobin (HbA1c)<sup>347</sup>, presumably in part due to increased GLP-1 action through metformin increasing GLP-1 secretion and DPP4i's maintaining bioactivity<sup>348,349</sup>. Some studies suggest that metformin inhibits DPP4 catalytic activity in humans<sup>350,351</sup> and in mice<sup>352</sup>. While direct inhibition was not substantiated *in vitro*<sup>353</sup> or shown *in vivo*<sup>354</sup>, metformin may decrease DPP4 shedding into circulation<sup>348,352</sup>.

Additionally, GLP-1RA's, or incretin mimetics, are small peptidic injectable or oral medications which are designed to resist DPP4 cleavage, enabling GLP-1R activation and islet hormone secretion. Endogenous GLP-1 and GLP-1RA's exert both direct and indirect effects, including reducing IR<sup>355</sup>, HGP<sup>356-358</sup>, hepatic steatosis<sup>359,360</sup>, inflammation<sup>361-365</sup>, lipoprotein secretion<sup>366,367</sup>, and gastric emptying<sup>368,369</sup>, while increasing  $\beta$ -cell proliferation<sup>370</sup>, skeletal muscle glucose uptake<sup>371</sup>, kidney diuresis and natriuresis<sup>372</sup> and white adipose tissue lipolysis<sup>373</sup>. Notably, a meta-analysis of 8

cardiovascular outcome trials (CVOTs) revealed a 14% reduction in the primary outcome of a 3-component major adverse cardiovascular events (MACE; cardiovascular death, nonfatal MI, and nonfatal stroke)<sup>374</sup>. More recently however, GLP-1RA's<sup>375</sup>, dual (GLP-1/GIP)<sup>376,377</sup> and tri (GLP-1/GIP/glucagon)<sup>378</sup> agonists have garnered international attention and were named Science's breakthrough of the year in 2023 for significantly reducing body weight and obesity-related diseases<sup>379</sup>.

### **1.3.5 DPP4 Cardiovascular Outcome Trials**

Historically, the FDA considered an appreciable decrease in HbA1c a suitable endpoint for anti-diabetic drugs<sup>380</sup>. In a highly publicized meta-analysis, rosiglitazone, an insulin sensitizing and glucose-lowering drug, increased the incidence of ischemic MI by 43% and CV death by 64%<sup>381</sup>. As a result, this increased concerns surrounding safety of drugs for T2D. Thus, pharmaceuticals approved to treat patients with T2D are required to undergo extensive cardiovascular profiling and rigorous safety trials to obtain FDA approval, as of 2008<sup>382</sup>.

A number of CVOT of DPP4i's have reported neutral effect, or no significant increase or decrease in MACE<sup>383</sup>. However, the largest CVOT for a DPP4i, the Saxagliptin Assessment of Vascular Outcomes Recorded in Patients with Diabetes Mellitus – Thrombolysis in Myocardial infarction 53 (SAVOR-TIMI 53; NCT01107886) reported elevated risk for hospitalization for HF. Approximately 16,000 patients with T2D with a history of established CVD or multiple risk factors were randomized to receive either saxagliptin or placebo. Saxagliptin neither reduced nor increased the risk of the primary composite endpoint (CV death, MI or ischemic stroke), however, it did significantly increase the risk of hospitalization for HF<sup>384,385</sup>. Another clinical trial, the Examination of Cardiovascular Outcomes with Alogliptin versus Standard of Care

(EXAMINE; NCT00968708<sup>386</sup>) trial, which randomized over 5,300 patients with T2D to receive either alogliptin or placebo, demonstrated an increased number of hospitalization for HF among a subset of patients<sup>387,388</sup>. In contrast, in the Trial Evaluating Cardiovascular Outcomes with Sitagliptin (TECOS; NCT00790205<sup>389</sup>), with 14,671 patients with T2D and prevalent atherosclerotic vascular disease randomized to either sitagliptin or placebo, there was a net neutral CV outcome and no increase in hospitalization for HF<sup>390</sup>. This was substantiated by the OMNEON 018 trial (omarigliptin, MK-3102; NCT01703208<sup>391</sup>), after which a meta-analysis revealed that patients in the TECOS and OMNEON 018 trial were more likely to be treated with metformin versus insulin and thiazolidinediones at randomization, compared to SAVOR-TIMI and EXAMINE<sup>389,392</sup>. Noninferiority of additional DPP4i's was demonstrated in more recent and smaller CVOTs, including The Cardiovascular and Renal Microvascular Outcome Study with Linagliptin (CARMELINA; NCT01897532<sup>393,394</sup>), the Cardiovascular Outcome Trial of Linagliptin Versus Glimepiride in Type 2 Diabetes (CAROLINA; NCT01243424<sup>395</sup>) and the OPTIMUS study (Gemigliptin; NCT02290301<sup>396</sup>) (**Table 6.1**).

#### **1.4 DPP4 Structure, Distribution and Function**

DPP4 is a single pass type II transmembrane glycoprotein<sup>397</sup> which belongs to the DPP4 activity and/or structure homolog family<sup>398</sup> along with fibroblast activation protein (FAP)<sup>399</sup>, DPP8<sup>400</sup>, DPP9<sup>401</sup>, DPP-like protein 1 (i.e., DPP6)<sup>402</sup>, and DPP-like protein 2 (i.e., DPP10)<sup>403</sup>, relating to the *DPP4* gene family (serine clan SC, subfamily 9b). In addition, there are non-related DPP4-like enzymes, including DPP2, attractin and N-acetyl alpha-linked acidic dipeptidases (NAALADases I, NAALADases II and NAALADases L)<sup>404</sup>. At the N-terminal of the membrane-bound isoform, a short domain

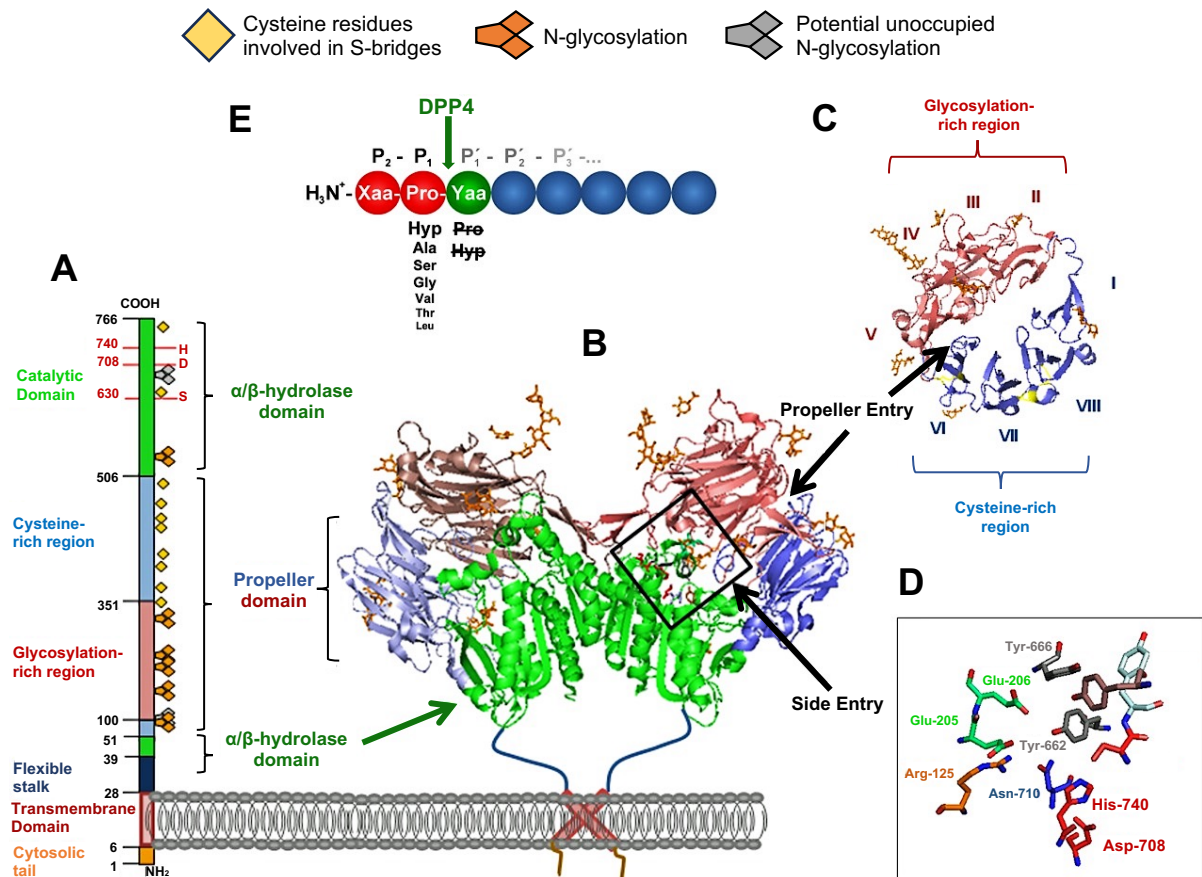
(6 residues) extends into the cytoplasm, followed by a hydrophobic transmembrane domain (22 residues) (**Figure 1.1A**) and an extracellular domain comprised of an 8-blade  $\beta$ -propellor and an  $\alpha/\beta$  hydrolase domain<sup>398,405,406</sup> (**Figure 1.1B, C**). At the C-terminal, a classic catalytic active site contains the well-conserved serine triad<sup>407</sup> (residues Ser<sup>630</sup>, His<sup>740</sup> and Asp<sup>708</sup>) (**Figure 1.1D**). Substrate recognition is due to the highly conserved glutamic (Glu) acid-rich loop (Glu<sup>205</sup>/Glu<sup>206</sup>)<sup>408</sup> (**Figure 1.1D**). DPP4 exerts peptidase function by selectively cleaving dipeptides from the N-termini of peptides which possess a proline, alanine or serine at the penultimate amino acid residue<sup>397,409</sup> (**Figure 1.1E**). The DPP4 homodimer represents the majority of its catalytically active form<sup>410</sup>. It may also exist as a monomer, homotetramer, and membrane-bound heterodimer with FAP<sup>411,412</sup>.

DPP4 is expressed in many metabolically active tissues, including the pancreas ( $\alpha$ -cells<sup>413-415</sup>,  $\beta$ -cells<sup>413,416</sup>, epithelial cells), intestine (enterocytes<sup>417,418</sup>) kidneys (glomerular podocytes<sup>419</sup>, proximal tubules<sup>420</sup>) and liver (hepatocytes, endothelial cells, Kupffer cells), vascular endothelial<sup>418</sup> and immune cells, monocytes, macrophages and lymphocytes, and the circulating form many bodily fluids (blood, urine, bile, seminal fluid and cerebral spinal fluid)<sup>397,421</sup>. DPP4 liberation from the cell surface leads to a circulating soluble form (sDPP4), however the exact sheddase responsible is incompletely understood<sup>407</sup>. Kellikrein-related peptidase 5 (KLK5) is a proposed sheddase found to cleave DPP4 from Th17 cells, particularly in the setting of T2D<sup>422</sup>. Röhrborn *et. al.* treated smooth muscle cells (SMC) with a broad spectrum MMP inhibitor Batimastat (BB-94), a general cysteine protease inhibitor (E64) and serine protease inhibitor 4-(2-aminoethyl) benzenesulfonyl fluoride hydrochloride

(AEBSF) to reveal reduced soluble DPP4 release. After probing further, it was concluded that inhibiting MMP2 and silencing MMP1 and MMP14 via small interfering RNA (siRNA) showed a 20-30% decrease in DPP4 shedding<sup>423</sup>. In contrast, MMP9 inhibition in adipocytes resulted in a 25% decrease in DPP4 shedding. However, these findings are not supported with *in vivo* evidence and in both studies, incomplete DPP4 release (~50%) suggests it may not be regulated by a single sheddase.

The extracellular domain contains a heavily glycosylated region (aa 49-289) which undergoes post-translational modifications (PTMs) via glycosylation at 9 asparagine (Asn) residues<sup>398</sup> (**Figure 1.1A**). Fan *et. al.* converted Asn residues to Glu using site-directed mutagenesis in stable transfected Chinese hamster ovary cells<sup>424</sup>. Interestingly, enzymatic activity, cell-surface expression and dimer formation were eliminated with mutation at the sixth N-glycosylation site (Asn<sup>319</sup>)<sup>424</sup>. In addition, this mutation resulted in incorrect folding and retention in the ER, reduced intracellular trafficking to the cell membrane, significantly increased its degradation and prevented dimerization<sup>424</sup>. Interestingly, all three mutations (Asn<sup>83</sup>, Asn<sup>319</sup> and Asn<sup>686</sup>) lead to reduced protein stability, effectively decreasing DPP4 half-life<sup>424</sup>. Further, there are two potential, yet controversial, O-glycosylation sites that were shown to be important for apical targetting<sup>425-427</sup>. Similarly, reduced terminal sialylation decreased DPP4 apical targetting<sup>428</sup>, however, increased modification reduces DPP4 enzymatic activity<sup>429</sup>, which can be rescued with desialylation with *Vibrio cholera* neurominidase<sup>430</sup>. DPP4 sialylation increases significantly with age as detected in peripheral blood mononuclear cells of healthy elderly individuals, namely T-cell associated DPP4<sup>431</sup>. Hypersialylation also occurs in HIV-positive individuals<sup>431</sup>. Most recently, DPP4 activity was found to be reduced in patients after elective cardiac

surgery with the use of cardiopulmonary bypass, revealed to be due to DPP4 oxidation by  $\text{H}_2\text{O}_2$ <sup>432</sup>. Low post-operative DPP4 activity was associated with worse patient outcomes while in the intensive care unit<sup>432</sup>. DPP4 oxidation and reduced activity correlated with surgery-induced myocardial ischemia severity, and importantly, prior MI and reduced LVEF (<30%) significantly reduced intra-operative DPP4 activity<sup>432</sup>. In this same domain is a highly conserved cysteine-rich region (9 of 12 cysteines, aa 290-510) which acts as a binding site, resulting in non-catalytic DPP4 action such as signaling and binding<sup>433</sup>.



**Figure 1.1: Primary and quaternary structure of human dipeptidyl peptidase-4 (DPP4).** Primary structure of DPP4 subunit, consisting of an intracellular tail (aa 1–6), transmembrane region (aa 7–28), flexible stalk (aa 29–39), glycosylated region (aa 101–350), cysteine-rich region (aa 55–100, 351–497), and catalytic region (aa 506–766). Red numbers and letters indicate the catalytic triad. **(B)** quaternary structure of homodimeric human recombinant DPP4 as determined by Weihofen et al.<sup>406</sup>, showing the  $\alpha/\beta$ -hydrolase domain (aa 39–51 and 506–766) in green and propeller domain (aa 55–497) with the glycosylation-rich subdomain (red) and the cystein-rich subdomain (blue). **(C)** Propeller domain viewed from the top, illustrating the eight propeller blades designated with roman numerals and two subdomains. **(D)** Active site zoomed in, depicting the residues involved in catalysis, catalytic triad Ser630, Asp708, His740 are shown in red, Tyr547 responsible for oxyanion hole in brown, Tyr662 and Tyr666 forming the hydrophobic pocket in grey, Arg125 and Asn710, contributing to an electrostatic sink in orange and blue, respectively, and Glu205 and Glu206 ensuring N-terminal anchoring in pale green. **(E)** Substrate specificity of DPP4. Xaa and Yaa indicate any amino acid. Decreasing font of amino acid at P1 position represents declining rate of hydrolysis. Amino acids crossed out must not occupy P1'. Arrow indicates site of cleavage. S–S bridges are illustrated in yellow and carbohydrates in orange. Structures were drawn with PyMOLTM 2008 DeLano Scientific LLC, using Protein Data Base: 1W11.

Reproduced from Klemann C. *et. al.*<sup>405</sup>, modified with the addition of a legend.  
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### 1.4.1 DPP4 Enzymatic Action

Beyond the incretin hormones, DPP4 targets other substrates including neuropeptides<sup>341</sup> and chemokines<sup>434</sup>. Most of these have been identified as pharmacologic substrates, where high concentrations used *in vitro* or *in vivo* reveal cleavage by DPP4. These proteins include, but are not limited to, eotaxin<sup>435</sup>, HMGB1<sup>436</sup>, MIP-1 $\alpha$ <sup>437</sup>, interferon gamma-induced protein 10 (IP-10)<sup>438</sup> and regulated on activation, normal T-cell expressed and secreted (RANTES)<sup>439</sup>. In addition, several substrates have been validated *in vivo*, as physiologic substrates, namely GLP-1<sup>440</sup>, GLP-2<sup>441</sup>, GIP<sup>442</sup>, stromal-derived factor 1 (SDF-1), granulocyte colony-stimulating factor (G-CSF) and peptide YY (PYY)<sup>443</sup>. DPP4 is elevated in patients with T2D<sup>444,445</sup> and enzymatic activity positively correlates with hyperglycemia in this population<sup>444</sup>. Further, hepatic protein expression is increased in patients with MASLD<sup>446</sup> and HCV<sup>447</sup> and remodelling and inflammation in CVD<sup>397</sup>, collectively suggesting increased substrate inactivation in these diseases, which may be contributing to disease progression.

The best characterized DPP4 substrates are the incretin hormones, GLP-1 and GIP<sup>440,442</sup>. Secreted from the gut as GLP-1 [7-37], GLP-1[7-36]NH<sub>2</sub> and GIP[1-42], they activate their respective G protein-coupled receptors on  $\beta$ -cells to potentiate GSIS<sup>444</sup>. However, they have very short half-lives: 2-5 and 5-7 minutes respectively, due to cleavage and inactivation by DPP4 resulting in GLP-1[9-37], GLP-1[9-36]NH<sub>2</sub> and GIP[3-42]<sup>440,448</sup>. DPP4 was confirmed as the primary enzyme regulating the bioactivity of GLP-1 and GIP in both mice and humans with systemic DPP4 genetic elimination, where glucose excursion was reduced and the active, insulinotropic form

of GLP-1 was increased in circulation<sup>449</sup>.

Prevention of DPP4 mediated degradation of SDF-1, a peptide hormone produced by immune and hematopoietic cells in response to cardiac vessel damage and ischemia, has demonstrated cardioprotection<sup>450</sup>. Specifically, uncleaved, intact SDF-1 stimulates recruitment of bone marrow-derived progenitor cells to the myocardium in response to cardiac damage<sup>450</sup>. This process results in increased angiogenesis, and improved survival of cardiomyocytes. Clinically, this paradigm has been tested in the SITAgliptin plus GRanulocyte-colony-stimulating factor in patients suffering from Acute Myocardial Infarction (SITAGRAMI; NCT00650143) study<sup>451,452</sup>. Angina patients, with substantial documented damage to the myocardium, underwent a successful percutaneous coronary intervention<sup>452</sup>. The patients were exogenously given G-CSF for 5 days to stimulate egress of C-X-C chemokine receptor type 4 (CXCR4; an alpha-chemokine receptor specific for SDF-1) positive progenitor cells from bone marrow in combination with the DPP4i sitagliptin for 28 days to stabilize G-CSF and SDF-1 levels. No significant differences in mortality or cardiac function were observed in the small 68 patient population, but there were intriguing trends to reduce cardiac events and death from any cause suggesting this paradigm of inhibiting DPP4 and infusing DPP4 substrates may have merit for improving cardiac function post-MI<sup>451,453</sup>.

RANTES[1-68], involved in leukocyte recruitment to sites of inflammation, is cleaved to RANTES[3-68], at which point it is unable to increase cytosolic calcium levels or induce *in vitro* human monocyte chemotaxis<sup>454</sup>. In addition, following radiation or chemotherapy, DPP4 genetic ablation or inhibition enhanced hematopoiesis via preserved GM-CSF function<sup>434</sup>. Typically, DPP4 cleavage

inactivates proteins, however MIP-1 $\alpha$ [1-70] cleavage results in a more active form, MIP-1 $\alpha$ [3-70], improving monocyte chemotactic activity and inflammatory properties via C-C chemokine receptor type 1 in human THP-1 cells<sup>437</sup>.

#### **1.4.2 DPP4 Non-Enzymatic Action**

In addition to its catalytic activity, DPP4 serves as a binding partner for numerous peptides<sup>341,398,455</sup>. DPP4 can associate with adenosine deaminase (ADA)<sup>406,456</sup>, CD45<sup>457</sup>, CAV1<sup>458-460</sup>, streptokinase, plasminogen, collagen and fibronectin<sup>461</sup>. Compared to its enzymatic action, non-enzymatic action of DPP4 is not as well defined, however actions that are not impacted with a catalytic inhibitor highlight its multifunctional role in inflammation and fibrosis.

ADA degrades adenosine and deoxyinosine which inhibits the function of lymphocytes<sup>462</sup>. When interacting with DPP4, the complex might potentiate inflammation in obesity after T-cell activation. Interestingly, this is inhibited by interrupting protein/protein interactions with exogenous soluble DPP4, but not by targeting DPP4 activity with inhibitors<sup>463</sup>. Moreover, patients with T2D have been documented to have elevated ADA activity<sup>464</sup>. Beyond inflammation, ADA has also been shown to positively correlate with fasting plasma glucose and HbA1c. DPP4i's did not affect ADA activity despite glycemic control<sup>465</sup>, suggesting the effects of ADA/DPP4 interactions are independent of DPP4 enzymatic activity.

CAV1 is present on antigen presenting cells, and when it binds to T-cell DPP4, upregulation of CD68 initiates a signaling cascade<sup>466</sup>. This interaction effectively suppresses TLR4-mediated inflammation in murine and human macrophages<sup>467</sup>. Notably, increased inflammation in bone marrow-derived macrophages (BMDMs)

treated with recombinant factor Xa, an inflammatory trigger, and recombinant DPP4 was significantly reduced in BMDMs from *Cav1*<sup>-/-</sup> mice, illustrated by decreased *Ilf* and *Mcp-1* messenger RNA (mRNA) expression<sup>468</sup>. Interestingly, in AT, CAV1 modulates downstream signaling, including AKT, in the insulin transduction pathway, suggesting an influential role for DPP4 in AT insulin sensitivity<sup>469,470</sup>.

Importantly, DPP4 can also bind ECM components collagen and fibronectin. DPP4 preferentially binds to the collagen type 1,  $\alpha 1$  (COL1A1) and COL3A1 chain<sup>471</sup>, however, the function of this interaction is contested. It has been reported to promote cell migration, act as a collagen receptor for CD4<sup>+</sup> T cell activation and in cell adhesion. Further, DPP4 bound to surface fibronectin on hepatocytes isolated from rats in an *in vitro* nitrocellular binding assay<sup>472</sup>. This was interestingly amplified with DPP4 inhibition, which accelerated the initial fibronectin binding to hepatocytes identified by kinetic studies, illustrating a unique relationship between enzymatic inhibition and non-enzymatic binding of DPP4 to fibronectin<sup>472</sup>.

## **1.5 Pathogenic Role of DPP4 in Cardiometabolic Disease**

### **1.5.1 DPP4 in MASLD**

Metabolic dysregulation in both obesity<sup>473-475</sup> and aging<sup>473,476</sup> increases circulating DPP4, and in particular, patients with MASLD have increased hepatic *DPP4* mRNA expression<sup>446</sup>. In addition, small clinical studies suggest that DPP4i's decrease blood glucose by targeting hepatic glucose production<sup>477</sup>. Therefore, these drugs have been investigated as a therapy for the management of MASLD alongside its established glucoregulatory role. Several studies show that DPP4i's improve steatosis, hepatocyte ballooning, decrease alanine transaminase (ALT) levels and

overall disease progression<sup>478-481</sup>. Several pre-clinical studies in mice robustly support these positive findings. DPP4i's prevented the progression of MASLD to HCC by suppressing DNA replication<sup>482</sup>. In another study, DPP4i in melanocortin 4 receptor-deficient mice decreased inflammation, fibrosis and carcinogenesis, most likely through greater GLP-1-mediated suppression of proinflammatory and profibrotic phenotypes in macrophages<sup>483</sup>. Further, 8 weeks of linagliptin treatment in male diabetic *db/db* mice reduced steatosis, and lead to more heterogenous hepatocytes with preserved cell structure independent of glucose regulation, compared to controls<sup>484</sup>. These promising results were further recapitulated in streptozotocin (STZ)-induced diabetic mice where 12 weeks of consuming a sitagliptin-supplemented diet attenuated liver injury and oxidative stress, reduced liver fibrosis and apoptosis, and inhibited the NF- $\kappa$ B pathway improving inflammation compared to controls<sup>485</sup>. In addition, in an obese, diabetic leptin deficient mouse model, *ob/ob*, 4 weeks of sitagliptin treatment by gavage attenuated aberrant lipid metabolism, hepatic steatosis and IR compared to the saline-treated mice. Further, inflammation was decreased through regulation of pro-inflammatory and pro-resolving macrophage polarization and NF- $\kappa$ B inhibition<sup>486</sup>.

Locally, through hepatocyte-targeted siRNA, Ghorpade *et. al.*<sup>468</sup> demonstrated that silencing hepatocyte DPP4 suppressed AT inflammation and IR in obese mice. Consistent with these findings, Varin *et. al.*<sup>473</sup> showed that genetic elimination of hepatocyte-derived DPP4 decreased inflammation, but had no effect on glucose tolerance. In contrast, Baumeier *et. al.*<sup>487</sup> overexpressed hepatic DPP4 which worsened NAFLD progression and IR. This effect was linked to reduced levels of

active GLP-1 in the vena cava compared to portal vein, suggesting that inactivation by hepatocyte-derived DPP4 was the cause. Lastly, in the context of fatty liver disease, Gorgens *et. al.*<sup>488</sup> revealed that, compared to systemic DPP4 inhibition with linagliptin, hepatocyte-specific *Dpp4* knockout (*Dpp4<sup>hep-/-</sup>*) mice experienced reduced hepatic steatosis with no change in glucose metabolism in *db/db* mice.

Liver-resident Kupffer cells (KC) initiate inflammation and help recruit blood-derived monocytes; both differentiate into proinflammatory macrophages and further promote NAFLD progression<sup>489</sup>. Interestingly, serum DPP4 is a proposed systemic surrogate marker of severe impairments in the KC population imposed by clinical and subclinical liver conditions as these levels increase, regardless of liver damage<sup>490</sup>. However, the interaction of DPP4 with ADA and KC's in NAFLD progression<sup>463</sup> remains to be investigated.

## **1.5.2 DPP4 in CVD**

### **1.5.2.1 Heart Failure, Diastolic Dysfunction and Adverse Remodelling**

Interestingly, several preclinical studies have illustrated cardioprotection with DPP4 inhibition. Wildtype (WT) C57BL6/J mice fed a high fructose Western diet supplemented with DPP4i MK-0626 for 16 weeks became obese, insulin resistant and experienced cardiomegaly and diastolic dysfunction measured using high-resolution cine-cardiac MRI. However, myocardial oxidant stress and fibrosis was attenuated with MK-0626 treatment, which led to rescued diastolic function<sup>491</sup>. These results are supported by another study in Dahl salt-sensitive rats, which experience HFpEF after being fed salt. Nine weeks of DPP4i vildagliptin treatment decreased left ventricular end-diastolic pressure and the expression of collagen genes<sup>492</sup>. Another study in WT mice revealed that MK-0626 treatment decreased COL3A1 (thin) type fibres and lead

to an overall decrease in the amount of collagen fibres with a concomitant increase in collagen quality. These results were also observed in whole body *Dpp4* knockout mice<sup>493</sup>. More recently, DPP4i evogliptin treatment in *db/db* mice rescued systolic and diastolic function compared to controls. Evogliptin also alleviated the presentation of disordered cardiomyocytes and reduced the heart weight to tibia length ratio. These improvements appeared to be driven in part by decreased fibrosis, myocardial injury, and interestingly, cardiac lipotoxicity<sup>494</sup>.

Notably, Shigeta *et. al.*<sup>495</sup> revealed increased *Dpp4* expression in the hearts of diabetic rats. When *Dpp4* was systemically genetically eliminated, these rats experienced decreased diastolic stiffness, and improved vascularity compared to their WT counterparts, namely through the MMP2/TIMP2 axis which is reversed with DPP4 inhibition. Further, HFD-fed, STZ-injected mice subjected to experimental trans-aortic constriction (TAC) surgery to induce pressure overload hypertrophy treated with DPP4i's had elevated expression of fibrosis and hypertrophy genes and inflammation-related genes which collectively resulted in cardiac hypertrophy and decreased cardiac function<sup>496</sup>. However, euglycemic systemic *Dpp4* knockout (*Dpp4*<sup>-/-</sup>) mice with HF had preserved cardiac function and reduced fibrosis, inflammation, and hypertrophy, suggesting complete genetic ablation of DPP4 is cardioprotective in a pressure-overload model<sup>496</sup>.

#### **1.5.2.2 Myocardial Infarction**

Inflammatory stimuli increase GLP-1 secretion<sup>497</sup>, which was recapitulated in mice after left anterior descending coronary artery (LAD)-ligation<sup>498</sup>. On a molecular level, GLP-1 dependent improvements in LV contractility were attributable to favourable rescuing of non-infarcted tissue. Linagliptin treatment increased AMPK

activity acutely after LAD-ligation, and decreased cardiac AKT-phosphorylation, thereby reducing GLUT4 expression and increasing FAT/CD36 expression<sup>498</sup>. Further, linagliptin treatment also increased mitochondrial respiratory control ratio in isolated mitochondria due to increased maximal ADP-induced respiration<sup>498</sup>. After permanent LAD-ligation, normoglycemic *Dpp4*<sup>-/-</sup> mice exhibited a 20% increase in survival compared to *Dpp4* WT (*Dpp4*<sup>+/+</sup>) mice, found to be due to increased expression of cardioprotective genes pAKT, phosphorylated glycogen synthase kinase-3  $\beta$  (GSK3 $\beta$ ) and atrial natriuretic peptide (ANP)<sup>499</sup>. In this same study after LAD-ligation, sitagliptin treated *Dpp4*<sup>+/+</sup> mice with STZ-induced diabetes had improved cumulative survival, and similarly ANP and heme oxygenase (HO-1) expression was increased<sup>499</sup>. In a comparable study, C57BL/6 STZ-injected mice treated with sitagliptin had significantly improved survival and cardiac function after LAD-ligation, presumably due to reduced infarct size and myocardial fibrosis<sup>500</sup>. Molecularly, 7 days post-MI the number of autophagosomes adjacent to infarcted tissue was markedly increased in diabetic mice treated with sitagliptin<sup>500</sup>. Further, protein levels of inflammatory factors IL-1 $\beta$ , IL-6 and TNF- $\alpha$  were significantly decreased in treated mice, as was NF- $\kappa$ B in myocardial cells<sup>500</sup>. Lastly, in normoglycemic mice, after LAD-ligation treated with vildagliptin, infarct size, fibrosis and myocardial necrosis was significantly decreased, which in turn increased survival and cardiac function<sup>501</sup>. Increased cardiac SDF-1 and CXCR4 expression was observed, partly due to stem cell recruitment of CD34<sup>+</sup> cells, which led to increased neovascularization and angiogenesis with decreased apoptosis and cell death<sup>501</sup>.

## 1.6 MASLD and CVD Mouse Models

Multiple mouse models are used throughout Chapters 2-4 to model human disease and investigate how DPP4 is involved in pathogenesis. The sequencing of the entire mouse genome has enabled the development of several genetic models of CVD, both MI and HF, and MASLD, including MASH and HCC<sup>502</sup>. However, these models fail to completely mimic the complexity of human disease as they do not account for interactions between genetics, environment, and lifestyle. Despite these limitations, these models allow the study of hereditary conditions. In Chapter 1 for example, we use a phosphatidylethanolamine N-methyltransferase (*Pemt*) knockout mouse which lacks the PEMT enzyme necessary for conversion of phosphatidylethanolamine to phosphatidylcholine<sup>503</sup>, similar to a V175M polymorphism in humans<sup>504</sup>, leading to the development of NAFLD independent of systemic metabolic disease and weight gain, to study DPP4 perturbations. Chemically induced models exist for all aforementioned diseases, including isoproterenol to induce MI<sup>505</sup> and carbon tetrachloride administration to mimic MASH and HCC<sup>506</sup>. Similar to genetic techniques, these substances are useful to artificially incite disease and at times mimic histopathological traits, however they again fail to consider metabolic disturbances, namely obesity, which drive pathogenesis. The mouse models used in subsequent chapters rely on diet induction (**Table 6.2**). MASLD and MASH present when mice are chronically fed a high-fat, high-cholesterol (HFHC) diet<sup>507</sup>. HFD-fed mice display prominent features of MASLD, including obesity and IR, and closely follow human histopathological and pathogenesis<sup>507</sup>. The addition of dietary cholesterol triggers hepatic inflammation and fibrosis, resulting in a MASH phenotype<sup>508</sup>. However, these studies require longer experimental timelines, variation

in diets and genotype susceptibility (e.g., BALB/c mice tolerate diet, C57BL/6 mice are susceptible to the effects<sup>508,509,510</sup>). In terms of heart failure, HFHC-induced cardiac dysfunction and structural damage have been reported in some studies<sup>511-514</sup> while others have reported resistance or inadequate damage to mimic heart failure<sup>515,516</sup>. Nonetheless, HFHC-feeding can lead to heart failure preceded by metabolic disturbances such as changes in cardiac metabolism, glucose intolerance, inflammation, and fibrosis<sup>511-514</sup>. Spontaneous ischemia to induce MI is possible in some genetic models (e.g., apolipoprotein E knockout (*apoE*<sup>-/-</sup>) mice crossed with mice deficient in scavenger receptor class V type I), however, this is uncontrolled<sup>517-519</sup>. Therefore, surgical methods are oftentimes employed; in Chapter 4, LAD-ligation is used. The LAD artery is the largest coronary artery which extends from the base to the apex of the heart<sup>520</sup>. In this model, the LAD is ligated permanently, preventing blood from oxygenating a portion of the myocardium, leading to an infarction<sup>521-523</sup>. This model results in significant apoptosis and a large scar<sup>522,523</sup>, especially when compared to an ischemia-reperfusion model where the LAD is only temporarily ligated before blood flow is restored<sup>524,525</sup>. The latter results in a smaller scar, however there is a risk of reperfusion injury and a smaller necrotic wave of damage<sup>526</sup>. Permanent ligation infarcts are typically used to assess injury and wound healing and are usually more accurate and consistent making it a leading procedure to study MI<sup>521,527</sup>. However, this procedure does have limitations, including surgical difficulty, post-operative mortality due to arrhythmias, bleeding and cardiac rupture, and does not necessarily model clinical situations perfectly as only 15-30% of people do not experience successful reperfusion<sup>528-530</sup>. Additionally, considering most of these diseases occur in middle-aged or elderly individuals, it is essential to incorporate this

additional factor by using aged mice. In the studies presented in this thesis, we use mice aged 25 weeks to 1 year, which is equivalent to 30-42.5 years of age in humans, or mature adult to middle-aged<sup>531</sup>.

### 1.6.1 Genetic Elimination of DPP4

In subsequent chapters, several genetic mouse models are used to induce DPP4 depletion, including a whole-body knockout (*Dpp4*<sup>-/-</sup>), a hepatocyte-specific knockout (*Dpp4*<sup>hep-/-</sup>), as well as an endothelial- and hematopoietic-specific knockout (*Dpp4*<sup>EC-/-</sup>). *Dpp4*<sup>hep-/-</sup> mice are generated using a cre/lox system induced with a tissue-specific adeno-associated virus (AAV). Locus of X-over P1 (loxP) sites are introduced into the introns that flank exon 22 of the *Dpp4* gene to generate *Dpp4*<sup>flox/flox</sup> mice<sup>532</sup>. Subsequently, control mice are injected with an AAV-green fluorescent protein (GFP), to simulate injection and gene expression without gene excision to generate *Dpp4*<sup>GFP</sup> mice<sup>473</sup>. *Dpp4*<sup>hep-/-</sup> mice are injected with an AAV serotype 8<sup>473</sup>, meaning it has tropism to transduce hepatocytes efficiently without significant off-target impacts<sup>533</sup>. Cre expression is driven by a promoter, thyroxine-binding globulin (TBG) protein, that additionally helps with hepatocyte targeting, without affecting Kupffer cells and endothelial cells<sup>473,534</sup>.

In contrast, *Dpp4*<sup>EC-/-</sup> mice were generated by crossing *Dpp4*<sup>flox/flox</sup> mice with transgenic mice expressing cre recombinase directed by an endothelial-specific receptor tyrosine kinase (*Tek* or *Tie2*) promoter<sup>532</sup>. Offspring homozygous for the loxP flanked allele with no cre transgene and those with cre transgene without loxP flanked alleles were used as control mice<sup>532</sup>. Experimental mice were homozygous for the loxP flanked allele and possessed a cre transgene<sup>532</sup>.

## 1.7 Scope of Thesis, Hypotheses and Aims

Approximately 1 in 5 Canadians are living with metabolic syndrome, increasing their risk of developing debilitating diseases like MASLD, HF and acute MI<sup>535</sup>. This statistic is increasing as the population ages (1 in 10 aged 18-39 versus 4 in 10 aged 60-79)<sup>535</sup>. Currently, aggressive lifestyle changes (i.e. exercise, healthy eating, smoking cessation) are first prescribed prior to pharmaceuticals. Despite scientific and clinical advancements, individuals are succumbing to these chronic diseases at an alarming rate. Therefore, it is of importance to expand our knowledge of these diseases at a molecular level, particularly processes involving DPP4, and leverage the findings to increase quality of life.

The studies in this thesis employ a diet-induced *in vivo* model of obesity and metabolic disease in aged mice to explore the pathophysiological implications of DPP4 in MASLD and CVD. Using systemic (*Dpp4*<sup>-/-</sup>) and tissue-specific knockout models (*Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>EC-/-</sup> mice), DPP4 was assessed as a moderator of HGP, hepatic steatosis, fibrosis and inflammation. Further, the involvement of DPP4 in HCV associated MASLD and in a lean mouse model of MASLD was investigated, to probe infectious and obesity-independent disease models. Diastolic dysfunction and molecular cardiac remodeling and inflammation were also examined in the absence of hepatocyte-derived DPP4. Here we investigated how a hepatokine, DPP4, can affect disease progression in peripheral tissue. Lastly, the cardioprotective effects of metformin and DPP4i's in obese mice following experimental MI (LAD-ligation) was considered in *Dpp4*<sup>-/-</sup>, *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>EC-/-</sup> mice to illuminate pharmaceutical mechanisms and the multifaceted origin-dependent function of DPP4.

**Chapters 2, 3 and 4 address the following hypotheses:**

**Chapter 2:** Reducing glucoregulatory endothelial cell-derived and proinflammatory hepatocyte-derived DPP4 will reduce HGP and prevent the progression of MASLD in old, obese mice.

**Chapter 3:** Reducing proinflammatory hepatocyte-derived DPP4 will prevent cardiac diastolic dysfunction and adverse remodeling in old, obese male mice.

**Chapter 4:** Reducing circulating endothelial cell- and immune cell-derived DPP4 will reduce inflammation and metabolic disease related CVD progression, independent of catalytic activity.

**We will address these hypotheses by completing the following aims:**

**Chapter 2:** Determine if dysglycemia and liver disease progression can be prevented by genetic elimination of endothelial cell-derived or hepatocyte-derived DPP4.

**Chapter 3:** Determine if age and HFHC diet-induced diastolic dysfunction can be prevented by genetic elimination of hepatocyte-derived DPP4

**Chapter 4:** Determine the mechanism by which metformin, DPP4 inhibition and combination therapy affect DPP4 activity, GLP-1 secretion and bioactivity, inflammation, and remodeling to maintain cardiac function after myocardial infarction.

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## **2 Hepatocyte-derived DPP4 regulates portal GLP-1 bioactivity, modulates glucose production, and when absent influences NAFLD progression**

Authors: **Natasha A. Trzaskalski\***, Branka Vulesevic\*, My-Anh Nguyen, Natasha Jeraj, Evgenia Fadzeyeva, Nadya M. Morrow, Cassandra A.A. Locatelli, Nicole Travis, Antonio A. Hanson, Julia R.C. Nunes, Conor O'Dwyer, Jelske N. van der Veen, Ilka Lorenzen-Schmidt, Rick Seymour, Serena M. Pulente, Andrew C. Clément, Angela M. Crawley, René L. Jacobs, Mary-Anne Doyle, Curtis L. Cooper, Kyoung-Han Kim, Morgan D. Fullerton, and Erin E. Mulvihill

\*Authors contributed equally

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### **2.1 Author Contributions**

Conceptualization: MAD, MDF, EEM Methodology: KHK, MDF, EEM; Formal Analysis: NAT; Investigation: NAT, BV, MAN, NJ, EF, NMM, CAAL, NT, AAH, JRCN, CO, JNV, ILS, RS, SMP, ACC, AMC, RLJ, MAD, CLC, KHK, MDF EEM; Resources: MDF, EEM; Writing – Original Draft: NAT, BV, EEM; Writing – Review and Editing: all authors; Supervision: KHK, MDF, EEM, RJ; Project Administration: EEM; Funding

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## **2.2 Abstract**

Elevated circulating dipeptidyl peptidase-4 (DPP4) is a biomarker for liver disease, but its involvement in gluconeogenesis and metabolic associated fatty liver disease progression remains unclear. Here, we identified that DPP4 in hepatocytes but not TEK receptor tyrosine kinase–positive endothelial cells regulates the local bioactivity of incretin hormones and gluconeogenesis. However, the complete absence of DPP4 (*Dpp4*<sup>-/-</sup>) in aged mice with metabolic syndrome accelerates liver fibrosis without altering dyslipidemia and steatosis. Analysis of transcripts from the livers of *Dpp4*<sup>-/-</sup> mice displayed enrichment for inflammasome, p53, and senescence programs compared with littermate controls. High-fat, high-cholesterol feeding decreased *Dpp4* expression in F4/80+ cells, with only minor changes in immune signaling. Moreover, in a lean mouse model of severe non-alcoholic fatty liver disease, phosphatidylethanolamine N-methyltransferase mice, we observed a 4-fold increase in circulating DPP4, in contrast with previous findings connecting DPP4 release and obesity. Last, we evaluated DPP4 levels in patients with hepatitis C infection with dysglycemia (Homeostatic Model Assessment of Insulin Resistance > 2) who underwent direct antiviral treatment (with/without ribavirin). DPP4 protein levels decreased with viral clearance; DPP4 activity levels were reduced at long-term follow-up in ribavirin-treated patients; but metabolic factors did not improve. These data suggest elevations in DPP4 during hepatitis C infection are not primarily regulated by metabolic disturbances.

## 2.3 Introduction

Type 2 diabetes (T2D) is a metabolic disease characterized by the development of hyperglycemia. Dysregulated islet hormone secretion and an inability to overcome peripheral insulin resistance are central components<sup>1,2</sup>. Given the increased appreciation of the reciprocal nature of dyslipidemia, obesity, dysglycemia and liver disease, including nonalcoholic fatty liver disease (NAFLD) and traditional risk factors, a new classification of metabolic (dysfunction) associated fatty liver disease (MAFLD) has emerged<sup>3</sup>.

The secretion of incretin hormones from enteroendocrine cells in the gut epithelium, including glucagon-like peptide-1 (GLP-1) and glucose-dependent insulintropic polypeptide (GIP), potentiates postprandial insulin secretion, a phenomenon known as the incretin effect. GLP-1 and GIP are central in coordinating nutrient intake, nutrient disposal, and satiety<sup>4-6</sup>. In patients with T2D, there is a defect in the incretin-mediated potentiation of insulin secretion<sup>7</sup>. Additionally, individuals with NAFLD exhibit an impaired incretin effect independent of diabetes, displaying fasting hyperglucagonemia<sup>8</sup> and increased hepatic gluconeogenesis<sup>9</sup>.

The bioactivity and action of endogenous incretin hormones are limited through proteolytic cleavage and inactivation by the serine protease dipeptidyl peptidase-4 (DPP4) and renal elimination<sup>10,11</sup>. Enzymatically active DPP4 is present in both membrane-bound and circulating forms<sup>12</sup>. Plasma DPP4 levels are elevated in several settings associated with metabolic dysfunction, such as obesity<sup>13,14</sup>; chronic liver disease, including NAFLD and hepatitis C infection (HCV)<sup>12,15,16</sup>; as well as type 1 diabetes<sup>17</sup> and T2D<sup>18,19</sup>. Increased DPP4 expression in the liver positively correlates with the degree of steatosis and NAFLD<sup>20,21</sup>. Studies in mice using several tissue-

specific targeting strategies have confirmed that the elevation of circulating DPP4 in obesity is liver derived<sup>22-24</sup>, suggesting that DPP4 produced in the liver may primarily contribute to the progression of NAFLD<sup>25</sup>. Systemic inhibition of DPP4 decreases blood glucose by reducing hepatic glucose production (HGP) in patients with T2D<sup>26</sup>. Given the success of incretin-based drugs in treating both diabetes and obesity, and their potential for treating NAFLD, further dissection of the regulation of hepatic metabolic pathways by DPP4 is warranted<sup>27-33</sup>. Here, we evaluated the role of hepatic DPP4 on incretin bioactivity within the portal vein (PV), hepatic glucose metabolism, and chronic liver disease progression in mice. We additionally examined circulating DPP4 levels and the mRNA abundances of sheddases in a mouse model of severe metabolic liver disease without obesity, phosphatidylethanolamine N-methyltransferase (*Pemt*<sup>-/-</sup>) mice. We also evaluated circulating DPP4 levels and substrates in a patient population undergoing treatment for HCV.

## **2.4 Materials and Methods**

### **2.4.1 Animals**

All studies were performed according to protocols approved by the University of Ottawa Animal Care Committee and in accordance with guidelines of the Canadian Council on Animal Care. Male mice were housed under a 12-hour light/12-hour dark cycle and maintained on SLD (Harlan Teklad) or HFHC diet (TD.88137, Envigo-Teklad Custom Diets). Whole-body *Dpp4*<sup>-/-</sup> mice, on a C57BL/6 background, have been described<sup>34,35</sup>. To generate *Dpp4*<sup>hep-/-</sup> mice, *Dpp4*<sup>fl/fl</sup> adult mice, provided by Merck Research Laboratories<sup>34</sup>, were i.v. injected with  $1.5 \times 10^{11}$  genome copies per mouse of AAV8.TBG.pi.egfp.wpre.bgh (AAV-GFP; control virus, 105535-AAV8, *Dpp4*<sup>GFP</sup>) or AAV8.TBG.PI.CRE.rBG (AAV-Cre; 107787-AAV8, *Dpp4*<sup>hep-/-</sup>) prior to the

onset of HFHC diet feeding. Both AAV constructs were obtained from the University of Pennsylvania Vector Core Lab as a gift from James M. Wilson (Perelman School of Medicine, University of Pennsylvania, Philadelphia, Pennsylvania, USA). B6.Cg-Tg(Tek-cre) 1Ywa/J mice were obtained from The Jackson Laboratory (Strain 008863, RRID: IMSR\_JAX:008863) and bred with *Dpp4<sup>fl/fl</sup>* to generate *Dpp4<sup>EC-/-</sup>* mice. Experiments in *Pemt<sup>+/+</sup>* and *Pemt<sup>-/-</sup>* mice, provided by LKS Centre for Health Research Innovation<sup>36</sup>, were approved by the University of Alberta's Institutional Animal Care Committee. Mice were fed an HFHC diet (F3282; Bio-Serv) for 3 weeks and fasted for 12 hours before sacrifice and tissue collection. Hyperinsulinemic-euglycemic clamps were performed in 12-week-old *Dpp4<sup>+/+</sup>*, *Dpp4<sup>-/-</sup>*, *Dpp4<sup>fl/fl</sup>*, and *Dpp4<sup>hep-/-</sup>* mice subjected to 12–16 weeks of HFHC diet. Experiments were performed in 18- to 20-week-old mice after 5 weeks of HFHC diet to validate our HGP results. Last, to assess NAFLD progression, experiments were performed in 16- to 28-week-old mice fed an HFHC diet for 24 weeks, with sacrifice and tissue collection at 40–52 weeks. Eight mice died prematurely (1 *Dpp4<sup>hep-/-</sup>*, 2 *Dpp4<sup>GFP</sup>*, 1 *Dpp4<sup>-/-</sup>*, and 4 WT mice), and 1 *Dpp4<sup>GFP</sup>* mouse was omitted from analysis due to the presence of a very enlarged spleen. Aged, HFHC-fed *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>GFP</sup>* mice were assessed for portal hormone concentrations ( $n = 2$ ), with averages presented in Supplemental Table 1. Mice were genotyped from genomic DNA (gDNA) isolated from tail samples, and DNA recombination was confirmed in gDNA isolated from the liver. After DNA extraction and amplification, the PCR product was loaded on 1% agarose gels (UltraPure Agarose, Invitrogen, Thermo Fisher Scientific), then separated for 30 minutes at 150V (Owl Easycast B2 Separating System), and the bands were visualized under a blue light transilluminator (UVP GelDoc-It2 Imager).

#### **2.4.2 Hyperinsulinemic-euglycemic clamp**

Hyperinsulinemic-euglycemic clamps were performed as previously described<sup>37</sup>. Briefly, a catheter was surgically placed into the right jugular vein, and mice were allowed to recover for 5 days. All mice regained their presurgical weights following surgery. The catheter was made accessible through an adaptor port implanted in the dorsal subscapular region. On the day of the clamp, mice were fasted for 5 hours and then infused with d-[3-3H]-glucose (PerkinElmer) for 1 hour to evaluate basal glucose disposal. Human insulin (10 mU/kg/min, NovoRapid, Novo Nordisk) infusate containing d-[3-3H]-glucose was then administered, and blood glucose levels were titrated with 50% dextrose to achieve and maintain euglycemia. Mice were not physically restrained and were free to move around their cage, with blood samples taken via the tail vein. Basal and clamped rates of glucose disposal and HGP were calculated as described<sup>37</sup>. All tissues were rapidly dissected, snap-frozen in liquid nitrogen, and stored at  $-80^{\circ}\text{C}$  for later analyses.

#### **2.4.3 PV and CP cannulation**

Mice were given an oral bolus of glucose (2 g/kg) and subsequently anesthetized with 4% isoflurane. Once fully unconscious, the abdomen was disinfected, and the mouse was placed on a heated surgical platform. A sagittal incision was made through the skin and fascia of the lower abdomen. A lateral transverse incision was made through the muscular layer to expose the abdominal contents. The PV was gently exposed by moving the intestines laterally toward the left body wall. Once exposed, the PV was cannulated with a 20-gauge butterfly needle 15 minutes after the glucose bolus, and the needle was withdrawn to collect blood. Approximately 1 minute later, the beating heart was exposed by cutting through the

diaphragm and thorax. An 18-gauge needle was used to collect blood from the right ventricle. Blood was aliquoted into 2 EDTA-coated capillary microvette tubes, one with 10% TED (5,000 KIU/mL Trasylol, 1.2 mg/mL EDTA, and 0.1 nmol/L Diprotin A) (vol/vol) and one without. Plasma was isolated after centrifugation (13,523g, 10 minutes, 4°C) and stored at -80°C for later analyses.

#### **2.4.4 Pyruvate, arginine, and glucose tolerance tests**

After a 16-hour fast, mice were intraperitoneally injected with either saline or exendin-9-39 (24 nmol/kg body weight<sup>38</sup>; Bachem), 15 minutes prior to injection, with 2 g/kg body weight pyruvate in sterile 0.9% saline. After a 4-hour fast, mice were intraperitoneally injected with 2 g/kg body weight arginine in sterile 0.9% saline. For glucose tolerance tests, mice were fasted for 5 hours and given glucose in PBS (2 g/kg body weight) in sterile 0.9% saline. Blood for glucose measurements (Glucometer, MediCure Canada) was obtained from the tail vein before pyruvate injection and at 15, 30, 45, 60, and 90 minutes after pyruvate injection, or before arginine injection and at 15 and 30 minutes after arginine injection.

#### **2.4.5 Blood and tissue collection**

All blood samples were collected in EDTA-coated capillary microvette tubes, and plasma was isolated after centrifugation (13,523g, 10 minutes, 4°C). During metabolic tolerance tests, blood was taken via tail vein. For terminal studies, mice were sacrificed by CO<sub>2</sub> inhalation, and blood was obtained by CP. For measurement of plasma active GLP-1 (Meso Scale Diagnostics) and active GIP (Crystal Chem), blood was mixed with 10% TED (vol/vol) and plasma stored at -80°C until further analysis. Plasma insulin (Alpco Diagnostics) and glucagon (Crystal Chem) levels were determined as per manufacturer's instructions. Analysis for plasma ALT, AST, alkaline

phosphatase, TG, cholesterol, LDL, and HDL was performed by the Pathology core at The Centre for Phenogenomics. The Beckman Coulter AU480 clinical chemistry analyzer was used in combination with appropriate reagents (ALT, AST, TG, cholesterol, LDL, and HDL), calibrators (Beckman Coulter Lyophilized Chemistry Calibrator levels 1 and 2), and quality control materials (Bio-Rad Liquid Assayed Multiquel levels 1 and 3). DPP4 activity was assessed using fluorometric assay (substrate: 10 mM H-Gly-Pro-AMC HBr, Bachem catalog I-1225; standard: AMC, Bachem catalog Q-1025). DPP4 protein level was measured using DPPIV/CD26 DuoSet ELISA kit (DY954; R&D Systems, Bio-Techne) following the manufacturer's instructions.

#### **2.4.6 Picrosirius red and Oil Red O staining**

Liver tissue was fixed in 4% paraformaldehyde (PFA) and routinely processed for paraffin-embedding and cross-sectioned to obtain 5 µm-thick sections. The slides were incubated with a 0.1% Picrosirius red solution and mounted with Permount Mounting Medium (Thermo Fisher Scientific). Collagen accumulation was determined by the number of red-stained pixels using ImageJ (NIH). Accumulation of fat droplets in the liver was visualized using Lipid (Oil Red O) Staining Kit as per manufacturer protocol (BioVision). A pathologist assessed the Picrosirius red sections and provided a METAVIR score following a protocol blinded to genotype.

#### **2.4.7 Immunofluorescence staining**

Liver tissue was fixed in 4% PFA and routinely processed for paraffin-embedding and cross-sectioned to obtain 5 µm-thick sections. Sections were dewaxed with toluene, then rehydrated with graded washes of ethanol, ending with water. Antigen retrieval was performed using sodium citrate buffer (0.1 M, pH 6, with

0.05% Tween-20) in a microwave, then washed with de-ionized water and PBS on a shaker. Sections were blocked with 10% donkey serum (catalog D9663 MilliporeSigma) for 30 minutes at room temperature. Antibodies against MARCO (Abcam, clone EPR22944-66, catalog ab259264, 1:200), F4/80 (Invitrogen, Thermo Fisher Scientific, clone Cl:A3-1, catalog MA1-91124, 1:250), and CLEC4F (R&D Systems, Bio-Techne, catalog AF2784, 1:200) were incubated overnight at 4°C. Slides were washed with PBS-Tween, then incubated with secondary antibodies donkey anti-rabbit IgG (H+L) highly cross-absorbed Alexa Fluor Plus 647 (A32795, 1:500), donkey anti-rat IgG (H+L) highly cross-absorbed Alexa Fluor Plus 647 (A48272, 1:500), and donkey anti-goat IgG (H+L) cross-absorbed Alexa Fluor 555 (A-21432, 1:500) for 45 minutes at room temperature. Slides were washed and nuclei were stained using DAPI (Thermo Fisher Scientific 62248, 1:2,000) for 5 minutes at room temperature, washed, and mounted (Abcam, ab104135). Images were obtained on a Zeiss AxioImager Z1 epifluorescence microscope with 20× or 63× oil immersion objective and analyzed using Zeiss ZEN Blue microscopy software.

#### **2.4.8 Liver TG and cholesterol content**

Total liver lipids were extracted using a modified Folch method<sup>39</sup>. For SLD- and HFHC-fed mice, a 100 mg or 50 mg piece of liver tissue was homogenized in 4 mL chloroform/ methanol (2:1, v/v) and processed as described previously<sup>40</sup>. Lipids were quantified using Infinity Cholesterol or Triglyceride reagent (both Thermo Fisher Scientific) at 540 nm.

#### **2.4.9 Hepatic F4/80<sup>+</sup> cell isolation**

Fresh mouse livers were enzymatically digested using the components of a liver dissociation kit (kit 130-105-807, Miltenyi Biotec), and the gentleMACS

Dissociators were used for the mechanical dissociation steps as previously described<sup>41</sup>. Hepatic F4/80<sup>+</sup> cells were isolated from dissociated liver samples with Anti-F4/80 MicroBeads UltraPure (mouse, Miltenyi Biotec) as per manufacturer's protocol and flash-frozen in liquid nitrogen before being stored at -80°C for mRNA extraction.

#### **2.4.10 RNA isolation, cDNA, and qRT-PCR**

Frozen liver and isolated hepatic F4/80<sup>+</sup> cells were homogenized with Tri Reagent (MilliporeSigma) using a TissueLyser II system (QIAGEN), and total RNA was extracted using manufacturer's protocol. Reverse transcription was performed with the Applied Biosystems (Thermo Fisher Scientific) High-Capacity cDNA Reverse Transcription Kit. cDNA was subsequently used to assess mRNA expression by qRT-PCR (QuantStudio 5 System, Thermo Fisher Scientific) with TaqMan Fast Advanced Master Mix (Thermo Fisher Scientific, 4444557) and TaqMan Gene Expression Assays (Thermo Fisher Scientific). The specific gene expression assays used are listed (**Supplemental Table 1**). Quantification of transcript levels was performed by the standard curve method, and expression levels for each gene were normalized to *Actb* ( $\beta$ -actin).

#### **2.4.11 NanoString mRNA analysis**

NanoString Technologies nCounter Mouse Immunology Panel (catalog XT-CSO-MIM1-12) was used where 100 ng RNA was incubated with reporter and capture probes, consisting of 547 immunology-related mouse genes and 14 internal reference controls, for 16 hours at 65°C. Following hybridization, unbound probes were removed. According to the manufacturer's instructions, assays were performed and

quantified on the nCounter system, sample preparation station, and digital analyzer (NanoString Technologies).

Raw gene expression data were analyzed using NanoString's software, nSolver v4.0.70, with the Advanced Analysis Module v2.0.115 with background subtraction. Genes with counts below a threshold of 20 were excluded from subsequent analysis. Data normalization was performed on background-subtracted samples using internal positive controls and selected housekeeping genes. Housekeeping genes were selected based on those that were consistent in all analyses across genotypes and diets: *Sdha*, *Eef1g*, *Gapdh*, *Hprt*, *Polr2a*, *Rpl19*, *Oaz1*, *Tbp*, and *Tubb5* for liver tissue and *Rpl19*, *Ppia*, *Oaz1*, *Eef1g*, *Sdha*, *Pol2a*, *Gusb*, *Tubb5*, *Gapdh*, and *Hprt* for F4/80<sup>+</sup> cells. Differential gene expression analyses were performed using nSolver, which applies several multivariate linear regression models to identify significant genes (mixture negative binomial, simplified negative binomial, or log-linear model). Raw mRNA counts were log<sub>2</sub>-transformed, and significance was determined using 2-tailed *t* test. Statistically significant differentially expressed genes were identified as those with a *P* < 0.05. Ratios of log<sub>2</sub>-normalized transcript count data were generated for SLD *Dpp4*<sup>-/-</sup> mice versus baseline SLD WT mice, HFHC-fed *Dpp4*<sup>-/-</sup> mice versus baseline HFHC-fed WT mice, and HFHC-fed *Dpp4*<sup>hep-/-</sup> mice versus baseline HFHC-fed *Dpp4*<sup>GFP</sup> mice. The NanoString data have been deposited in NCBI's Gene Expression Omnibus (GEO) and are accessible through GEO Series accession number GSE218767.

Pathway scores generated from nSolver Advanced Analysis were standardized by Z-scaling. ClustVis<sup>42</sup> was used to perform supervised hierarchical clustering

analysis and principal component analysis of log<sub>2</sub>-transformed transcript count data and Z-scaled pathway scores.

#### **2.4.12 Human studies**

Participants were recruited between July 2015 and April 2016 from The Ottawa Hospital Viral Hepatitis Program (Ottawa, Canada). All participants were 18 years or older, planned to initiate HCV antiviral treatment, and provided signed informed consent documents to participate in a single-center, open-label study (ClinicalTrials.gov Identifier: NCT02734173), which was approved by The Ottawa Health Science Network Research Ethics Board (REB 2015-0305). Three groups of patients were examined for this study: noncirrhotic genotype 1a–infected participants receiving standard therapy plus ribavirin, noncirrhotic genotype 1b–infected participants receiving standard therapy, and compensated cirrhotic genotype 1a– or 1b–infected participants dosed with standard therapy plus ribavirin; all had a HOMA-IR  $\geq 2$ <sup>43</sup>. Patients were treated for 12 weeks with ribavirin and direct-acting antivirals, after which they achieved sustained virologic response, and they were followed up for an additional 36 weeks. Inclusion and exclusion criteria, as well as methods for treatment, have been described<sup>43</sup>. Plasma measurements were conducted by Laboratory Services at The Ottawa Hospital, as standard clinical procedure. Additional blood samples were treated with 1% Triton X-100 and 0.3% tributyl phosphate and incubated at 37°C for 1 hour to destroy any virus. The concentrations of circulating factors in treated plasma were quantified using multiplexing immunobead assays analyzed using Meso Scale Diagnostics as described above. Plasma DPP4 concentration was quantified using the R-PLEX Human DPPIV Antibody Set (Meso Scale Diagnostics, catalog F21YC)<sup>44</sup>. To remove the variance in

trait values attributed to sex and age differences for results described in Figure 7, a linear regression model compared the retrieved residuals (adjusted trait values) using the unpaired *t* test function available in R (version 4.0.5). Data are expressed as mean  $\pm$  SD, and  $P < 0.05$  was considered statistically significant.

#### **2.4.13 Statistics**

All data were plotted and statistical analyses were performed using GraphPad Prism (version 8.4.3). Data are expressed as mean  $\pm$  SEM; human data are expressed as mean  $\pm$  SD. Statistical differences between groups were evaluated by 2-way ANOVA with Tukey's multiple-comparison post hoc test when analyzing time course data. All other differences were evaluated by a 2-tailed unpaired *t* test with Welch's correction.  $P < 0.05$  was considered statistically significant.

#### **2.4.14 Study approval**

Animals were cared for in accordance with the Canadian *Guide to the Care and Use of Laboratory Animals* (Canadian Council on Animal Care, 2020). Experimental procedures were approved under AUP#2909 and AUP#2029 by the University of Ottawa Animal Care and Veterinary Service (Ottawa, Ontario, Canada). Experiments in *Pemt*<sup>+/+</sup> and *Pemt*<sup>-/-</sup> were approved by the University of Alberta's Institutional Animal Care Committee (Edmonton, Alberta, Canada).

All participants were 18 years or older, planned to initiate HCV antiviral treatment, and provided written informed consent to participate in a single-center, open-label study (ClinicalTrials.gov Identifier: NCT02734173), which was approved by The Ottawa Health Science Network Research Ethics Board (REB 2015-0305, Ottawa, Ontario, Canada).

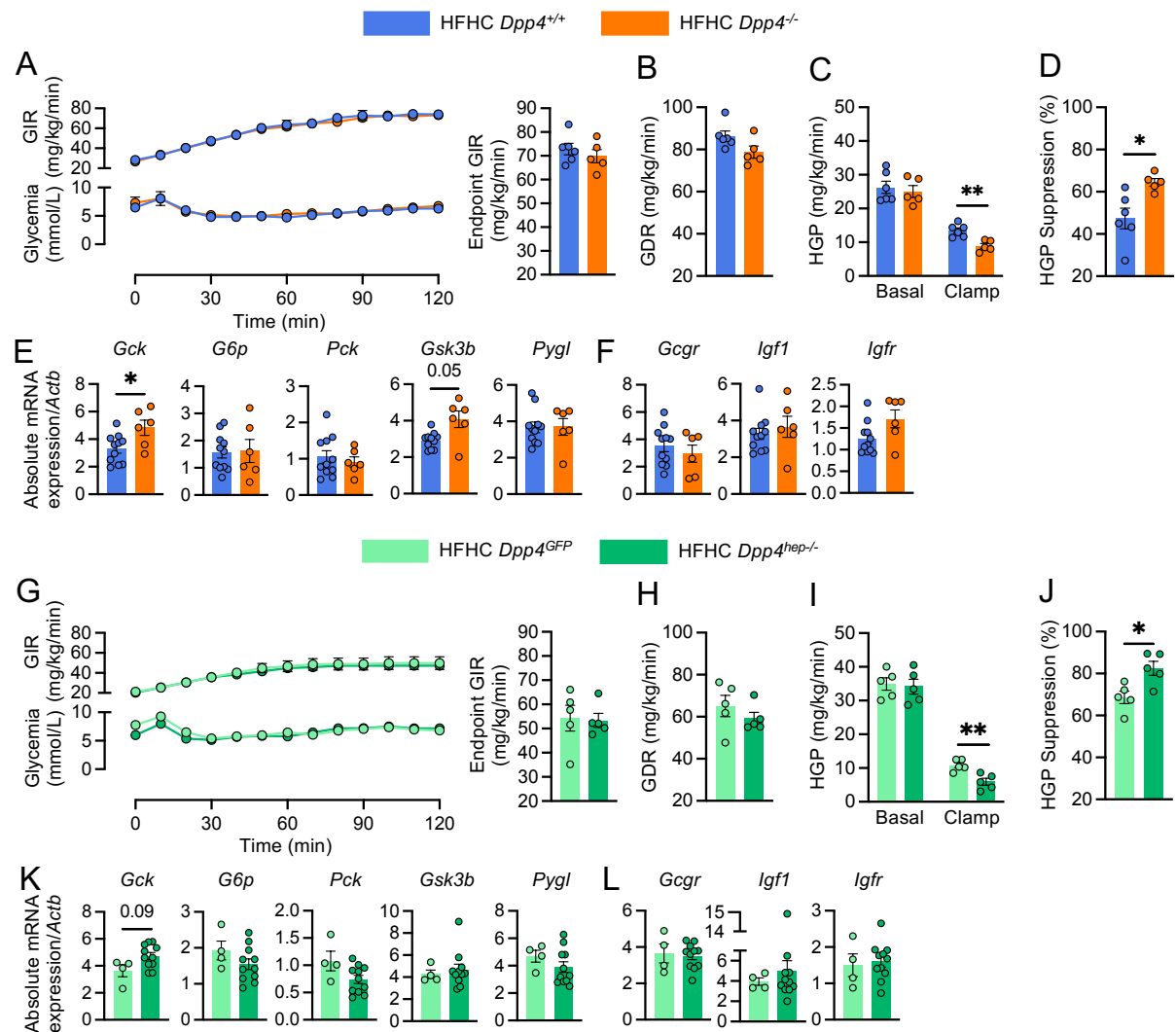
## 2.5 Results

### 2.5.1 Reduced HGP in high-fat, high-cholesterol-fed *Dpp4*<sup>-/-</sup> mice is due to loss of hepatocyte-derived DPP4

In patients with T2D, DPP4 inhibitors contribute to glucose homeostasis by decreasing hepatic gluconeogenesis<sup>26,45</sup>. To validate this effect in mice, we performed hyperinsulinemic-euglycemic clamps in *Dpp4*<sup>+/+</sup> (wild-type, WT) and *Dpp4*<sup>-/-</sup> (global *Dpp4* deletion) mice fed a high-fat, high-cholesterol (HFHC) diet for 12 weeks. Glucose infusion rates (GIRs, **Figure 2.1A**) and glucose disposal rates (GDRs, **Figure 2.1B**) were indistinguishable between *Dpp4*<sup>-/-</sup> mice and their WT littermate controls. Although basal levels of HGP were unchanged, insulin-stimulated HGP was significantly lower in HFHC-fed *Dpp4*<sup>-/-</sup> (**Figure 2.1C**), resulting in a significantly greater suppression of HGP by insulin in *Dpp4*<sup>-/-</sup> mice (**Figure 2.1D**). Body weight and fasting glucose levels were unchanged (**Supplemental Figure 2.1, A and B**). Although the mRNA abundance of hepatic *Gck*, the enzyme that phosphorylates glucose to produce glucose-6-phosphate, was significantly upregulated in *Dpp4*<sup>-/-</sup> mice compared with livers of control mice (**Figure 2.1E**), transcript levels of the gluconeogenic enzymes, *Pck* and *G6p*, were unchanged (**Figure 1E**). mRNA levels of hepatic *Gsk3β*, a protein kinase that phosphorylates and inhibits glycogen synthase, were also elevated in *Dpp4*<sup>-/-</sup> mice compared with *Dpp4*<sup>+/+</sup> (**Figure 1E**). Hepatic mRNA expression of *Pygl*, *Gcgr*, *Igf1*, and *Igf1r* was indistinguishable between *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>+/+</sup> mice (**Figure 2.1E and F**).

To determine if the reduction in HGP in *Dpp4*<sup>-/-</sup> mice was mediated through the actions of hepatocyte-derived DPP4, we then measured HGP in HFHC-fed, hepatocyte-specific *Dpp4*-knockout mice (*Dpp4*<sup>hep-/-</sup>). Similar to *Dpp4*<sup>-/-</sup> mice, HFHC-

fed control mice (*Dpp4<sup>GFP</sup>*) and *Dpp4<sup>hep-/-</sup>* mice did not differ in GIR (**Figure 2.1G**) or GDR (**Figure 2.1H**) or in body weight or fasting glucose (**Supplemental Figure 2.1, C and D**). In addition, as seen in *Dpp4<sup>-/-</sup>* mice, basal levels of HGP were unchanged, and *Dpp4<sup>hep-/-</sup>* mice showed lower HGP under clamp conditions and greater insulin suppression of HGP (**Figure 2.1, I and J**). Akin to *Dpp4<sup>-/-</sup>* mice, mRNA expression of hepatic glucose utilization or gluconeogenic enzymes was unchanged except *Gck*, which trended ( $P = 0.09$ ) upward in *Dpp4<sup>hep-/-</sup>* mice (**Figure 2.1K**). The gene expression of *Gcgr*, *Igf1*, and *Igf1r* was comparable between genotypes (**Figure 2.1L**).



**Figure 2.1: HGP is decreased in HFHC-fed *Dpp4*<sup>-/-</sup> and hepatocyte-specific *Dpp4*-knockout mice.** Time-course of glucose infusion rates and plasma glucose, and endpoint GIR during hyperinsulinemic-euglycemic clamp of *Dpp4*<sup>+/+</sup> (n=6) and *Dpp4*<sup>-/-</sup> (n=5) mice. (B) Glucose disposal rate (GDR), (C) basal and clamp hepatic glucose production (HGP) and (D) percent of HGP suppression under clamp conditions. Hepatic mRNA abundance (relative to *Actb*) for genes associated with (E) hepatic gluconeogenesis (*Gck*, *G6p*, *Pck*, *Gsk3β* and *Pygl*) (F) insulin signaling (*Gcgr*, *Igf* and *Igf1r*) in *Dpp4*<sup>+/+</sup> (n=11) and *Dpp4*<sup>-/-</sup> (n=7) mice (G) Time-course of plasma glucose and glucose infusion rates, and endpoint GIR during hyperinsulinemic-euglycemic clamp of *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=5) mice. (H) GDR (I) basal and clamp HGP and (J) percent of HGP suppression under clamp conditions. Hepatic mRNA abundance (relative to *Actb*) for genes associated with (K) hepatic gluconeogenesis (*Gck*, *G6p*, *Pck*, *Gsk3β* and *Pygl*) and (L) insulin signaling (*Gcgr*, *Igf* and *Igf1r*) in *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=11) mice. Data are presented as the mean ± SEM. Time-course data is analyzed by two-way ANOVA with Tukey's multiple comparisons post hoc test, remaining data analyzed by unpaired students t-test with Welch's correction, ns P<0.05, \*P=0.01-0.05, \*\*P=0.001-0.01.

### 2.5.2 Deletion of *Dpp4* in hepatocytes lowers portal DPP4 activity and increases portal concentrations of bioactive GLP-1 and GIP

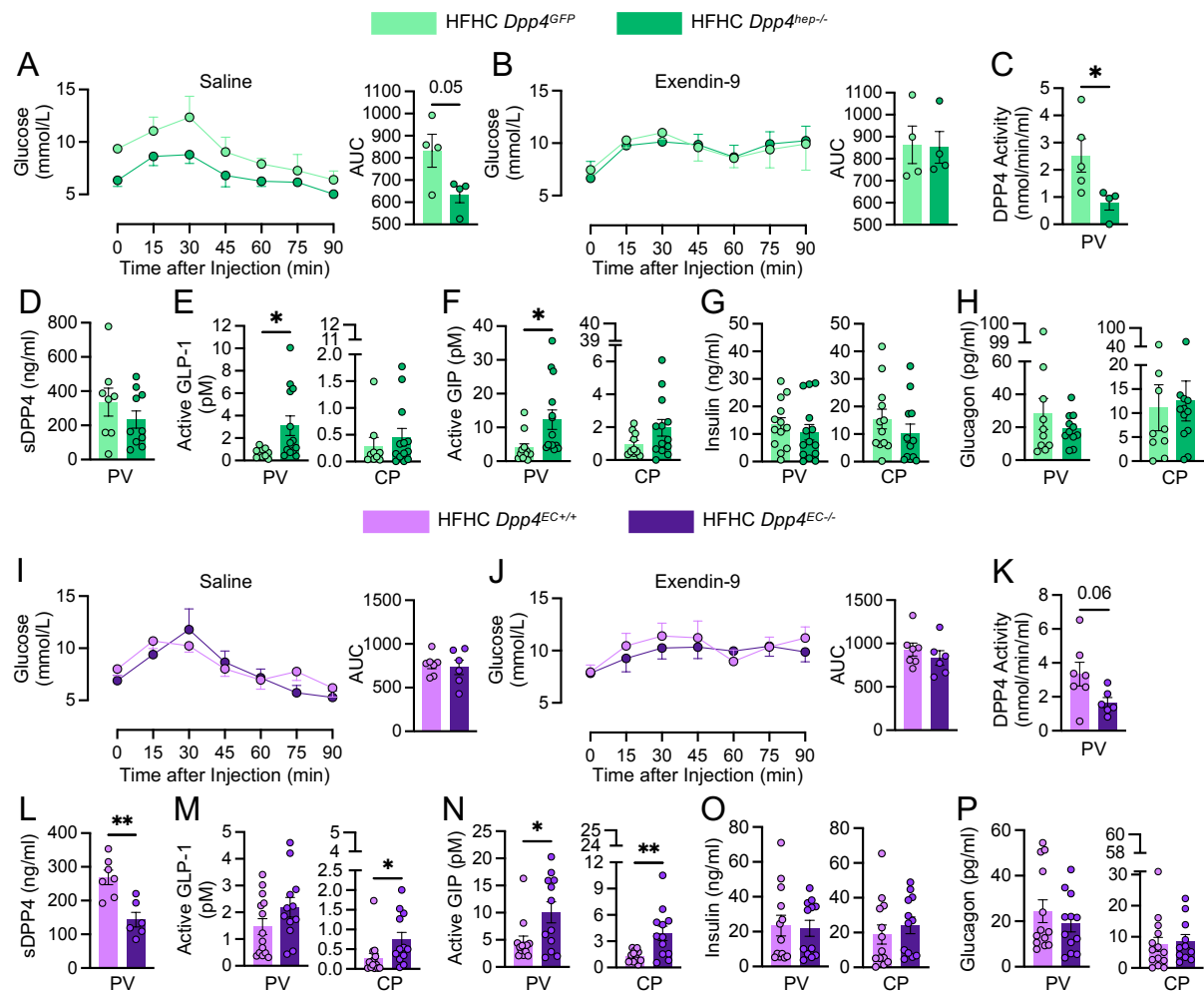
We evaluated glucose excursion after intraperitoneal injection of pyruvate to further evaluate the cell-specific roles of DPP4 in regulating HGP. Consistent with our previous work<sup>22</sup>, *Dpp4*<sup>hep-/-</sup> mice had significantly reduced *Dpp4* mRNA expression in whole liver extracts (**Supplemental Figure 2.2A**); fasted DPP4 activity in plasma was decreased 50% in 20-week-old *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice fed an HFHC diet for 4 weeks (**Supplemental Figure 2.2B**); but DPP4 plasma concentration was unchanged (**Supplemental Figure 2.2C**).

Accordant with the clamp data, *Dpp4*<sup>hep-/-</sup> mice had significantly reduced glucose excursion AUC after injection of pyruvate compared with littermate *Dpp4*<sup>GFP</sup> controls (**Figure 2.2A**). Next, we examined whether reduced HGP in *Dpp4*<sup>hep-/-</sup> mice is mediated by DPP4's action on GLP-1 receptor (GLP-1R) signaling. The decrease in plasma glucose following pyruvate injection was abrogated with the administration of exendin-9-39, a compound that blocks signaling through the GLP-1R<sup>46</sup>, 15 minutes before injection of pyruvate (**Figure 2.2B**). *Dpp4*<sup>hep-/-</sup> mice showed no change compared to *Dpp4*<sup>GFP</sup> in an arginine tolerance test, indicating that islet responsivity to acute depolarization was normal (**Supplemental Figure 2.2D**). In addition, in response to arginine, mice lacking hepatocyte *Dpp4* did not demonstrate any differences in blood glucose, active GLP-1, insulin, or glucagon (**Supplemental Figure 2.2E–G**). GLP-1 has been reported to circulate through the portal circulation and the lymphatics to enter systemic circulation at the thoracic duct<sup>47,48</sup>. To evaluate how DPP4 in hepatocytes may influence the bioactivity of incretin hormones at each of these sites (i.e., portal or systemic concentration), we administered oral glucose to

*Dpp4*<sup>hep-/-</sup> and control mice and sampled PV blood via cannulation followed by systemic blood 15 minutes later by cardiac puncture (CP). DPP4 activity but not protein level within the PV was significantly reduced in *Dpp4*<sup>hep-/-</sup> mice compared with *Dpp4*<sup>GFP</sup> mice (**Figure 2.2, C and D**). In addition, active GLP-1 and active GIP levels were elevated approximately 4-fold in the local portal circulation in *Dpp4*<sup>hep-/-</sup> mice compared with *Dpp4*<sup>GFP</sup> mice (**Figure 2.2E and F**). On the other hand, no significant differences in active GLP-1 and GIP were noted in plasma isolated immediately in the same mice by CP (**Figure 2.2, E and F**). Despite the increase in circulating incretin, plasma insulin and glucagon levels were unchanged in local hepatic and systemic circulations (**Figure 2.2, G and H**).

To investigate the role of DPP4 in hepatocytes versus other sites that contribute to circulating DPP4, including TEK receptor tyrosine kinase–positive (Tie2+) endothelial cells (ECs) and immune cells, we used *Dpp4*<sup>EC-/-</sup> mice. Previously, we have reported that deletion of *Dpp4* from the Tie2+ ECs (*Dpp4*<sup>EC-/-</sup>) of high-fat-fed mice results in increased systemic concentrations of GLP-1 and improved glucose excursion but does not affect HGP<sup>34</sup>. As expected, in contrast to *Dpp4*<sup>hep-/-</sup>, *Dpp4*<sup>EC-/-</sup> mice had no decrease in hepatic *Dpp4* mRNA expression (**Supplemental Figure 2.2H**), and, consistent with previous reports<sup>34</sup>, systemic fasting plasma DPP4 activity and DPP4 protein levels (**Supplemental Figure 2.2, I and J**) were significantly decreased in *Dpp4*<sup>EC-/-</sup> mice compared with controls. Furthermore, a pyruvate tolerance test showed no change in HGP in HFHC-fed *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice previously administered saline or exendin-9-39 (**Figure 2.2, I and J**), consistent with our previous hyperglycemic-euglycemic clamp analyses<sup>34</sup>.

To directly determine how DPP4 in these different tissue settings governs incretin bioactivity and HGP, we measured the portal concentrations of DPP4 and incretins and also examined pyruvate tolerance in HFHC-fed *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice. Although portal DPP4 activity was unchanged (**Figure 2.2K**), DPP4 protein levels in the PV were significantly decreased in *Dpp4*<sup>EC-/-</sup> mice (**Figure 2.2L**). Furthermore, levels of active GLP-1 and active GIP were unchanged in the portal circulation in *Dpp4*<sup>EC-/-</sup> mice, but systemic concentrations of incretins were increased 2.5-fold (GLP-1) or trended 2-fold higher (GIP) in samples isolated by CP (**Figure 2.2M and N**). Levels of insulin and glucagon at either sampling site (**Figure 2.2O and P**) were unaltered. Together, these results suggest that the reduction in HGP observed in HFHC-fed *Dpp4*<sup>-/-</sup> mice is driven by the loss of *Dpp4* in hepatocytes and not Tie2<sup>+</sup> EC populations. Furthermore, this improved suppression of HGP is associated with elevated incretin concentrations within the PV, not the systemic circulation.

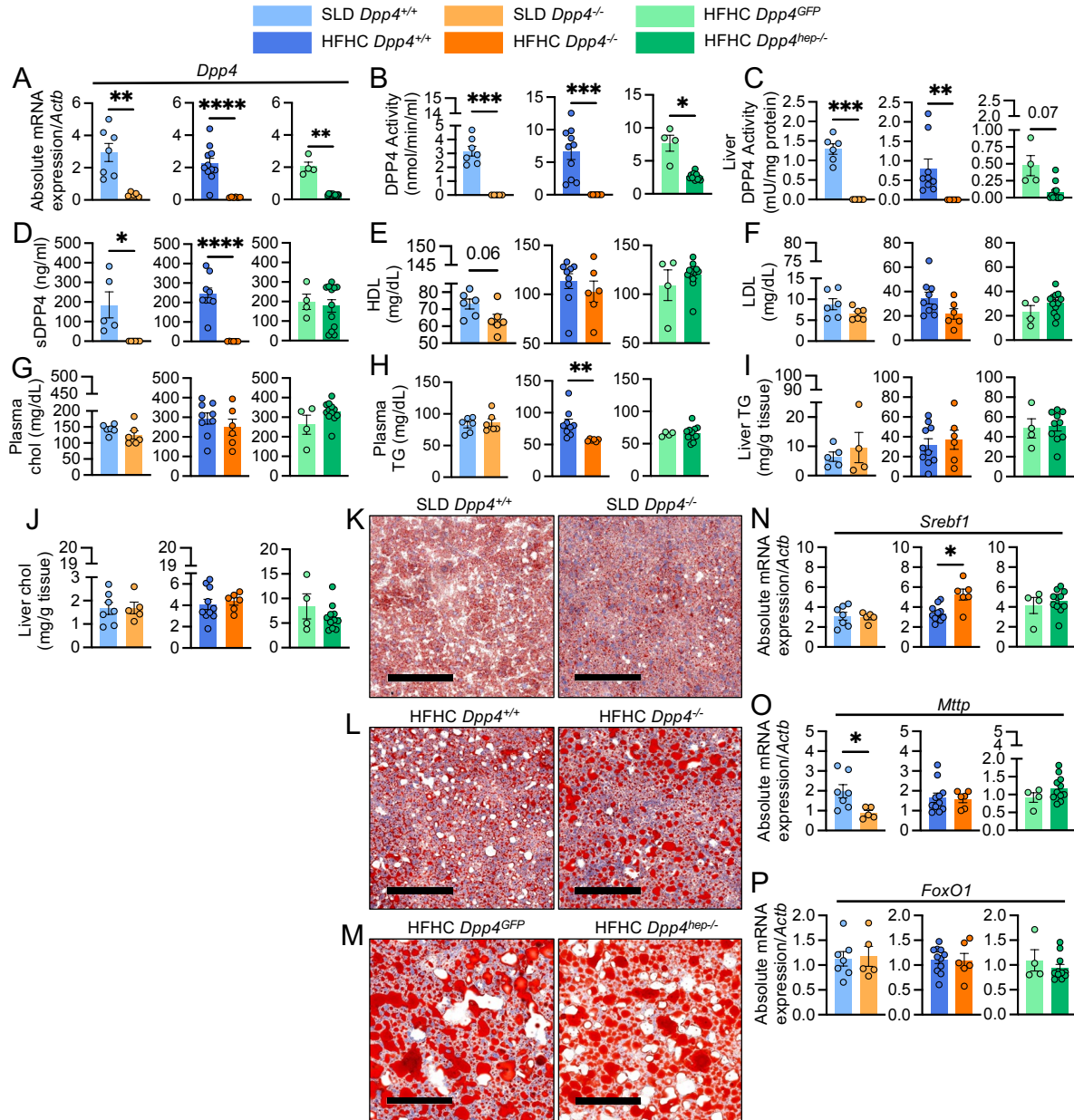


**Figure 2.2: Hepatic glucose production is decreased in *Dpp4*<sup>hep-/-</sup> but not *Dpp4*<sup>EC-/-</sup> via GLP-1 and GIP dependent pathways.** Blood glucose prior to and during a pyruvate tolerance test (PTT) following (A) saline or (B) Exendin-9-39 i.p. injection and resulting area under the curve (AUC) in *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=4) mice. (C) DPP4 activity, (D) concentration, (E) active GLP-1, (F) active GIP, (G) insulin and (H) glucagon from the portal vein (PV) and cardiac puncture (CP) 15 minutes after glucose bolus in *Dpp4*<sup>GFP</sup> (n=4-12) and *Dpp4*<sup>hep-/-</sup> (n=4-11) mice. Blood glucose prior to and during a pyruvate tolerance test (PTT) following (I) saline or (J) Exendin-9-39 i.p. injection and resulting area under the curve (AUC) in *Dpp4*<sup>EC+/+</sup> (n=7) and *Dpp4*<sup>EC-/-</sup> (n=6) mice. (K) DPP4 activity, (L) concentration, (M) active GLP-1, (N) active GIP, (O) insulin and (P) glucagon from the portal vein (PV) and cardiac puncture (CP) 15 minutes after glucose bolus in *Dpp4*<sup>EC+/+</sup> (n=7-13) and *Dpp4*<sup>EC-/-</sup> (n=6-11) mice. Data are presented as the means  $\pm$  SEM. Time-course data is analyzed by two-way ANOVA with Tukey's multiple comparisons post hoc test, remaining data analyzed by unpaired students t-test with Welch's correction, ns  $P < 0.05$ , \* $P = 0.01-0.05$ , \*\* $P = 0.001-0.01$ .

### 2.5.3 Dyslipidemia and liver steatosis are unaffected by the genetic elimination of *Dpp4* in aged, HFHC-fed mice

Insulin resistance, de novo lipogenesis, and dysregulated blood glucose are key hallmarks in the progression of NAFLD as part of the multiple-hit hypothesis<sup>49</sup>. To determine whether the reduction in HGP observed following the deletion of *Dpp4* from the whole animal or liver only can prevent NAFLD progression, we fed aged 6-month-old mice either a standard laboratory diet (SLD) or an HFHC diet for 24 weeks. In a small subset of aged mice (n = 2/group), we performed similar PV cannulations and CPs and observed trends consistent with those shown in Figure 2 (**Supplemental Table 2.2**). Analysis of *Dpp4* mRNA in whole liver extracts revealed elimination in all expected genotypes (**Figure 2.3A**). DPP4 activity in plasma and liver was absent from *Dpp4*<sup>-/-</sup> on SLD and HFHC diet and reduced by 60% and 75% in *Dpp4*<sup>hep-/-</sup> mice compared with controls (**Figure 2.3B and C**). Agreeing with our previous results<sup>22</sup>, deletion of hepatocyte *Dpp4* led to sustained reductions in plasma and hepatic DPP4 activities, with little change in circulating DPP4 protein levels (**Figure 2.3D**). Deletion of hepatocyte-specific DPP4 in these aged mice did not affect glucose tolerance as only whole-body deletion of DPP4 increased systemic active GLP-1 and led to reduced blood glucose excursion during oral glucose tolerance test (**Supplemental Figure 2.3A and B**), consistent with previous work<sup>22,23</sup>. Glycogen concentrations were unchanged in all settings (**Supplemental Figure 2.3C**). In both SLD-fed and HFHC-fed *Dpp4*<sup>-/-</sup> mice, lack of *Dpp4* did not affect HDL, LDL, or total cholesterol levels in plasma (**Figure 2.3E–G**). However, fasting plasma triglycerides were significantly reduced in *Dpp4*<sup>-/-</sup> mice (**Figure 2.3H**). Biochemical measurement and histochemical analysis with Oil Red O staining in livers revealed no differences in neutral lipid

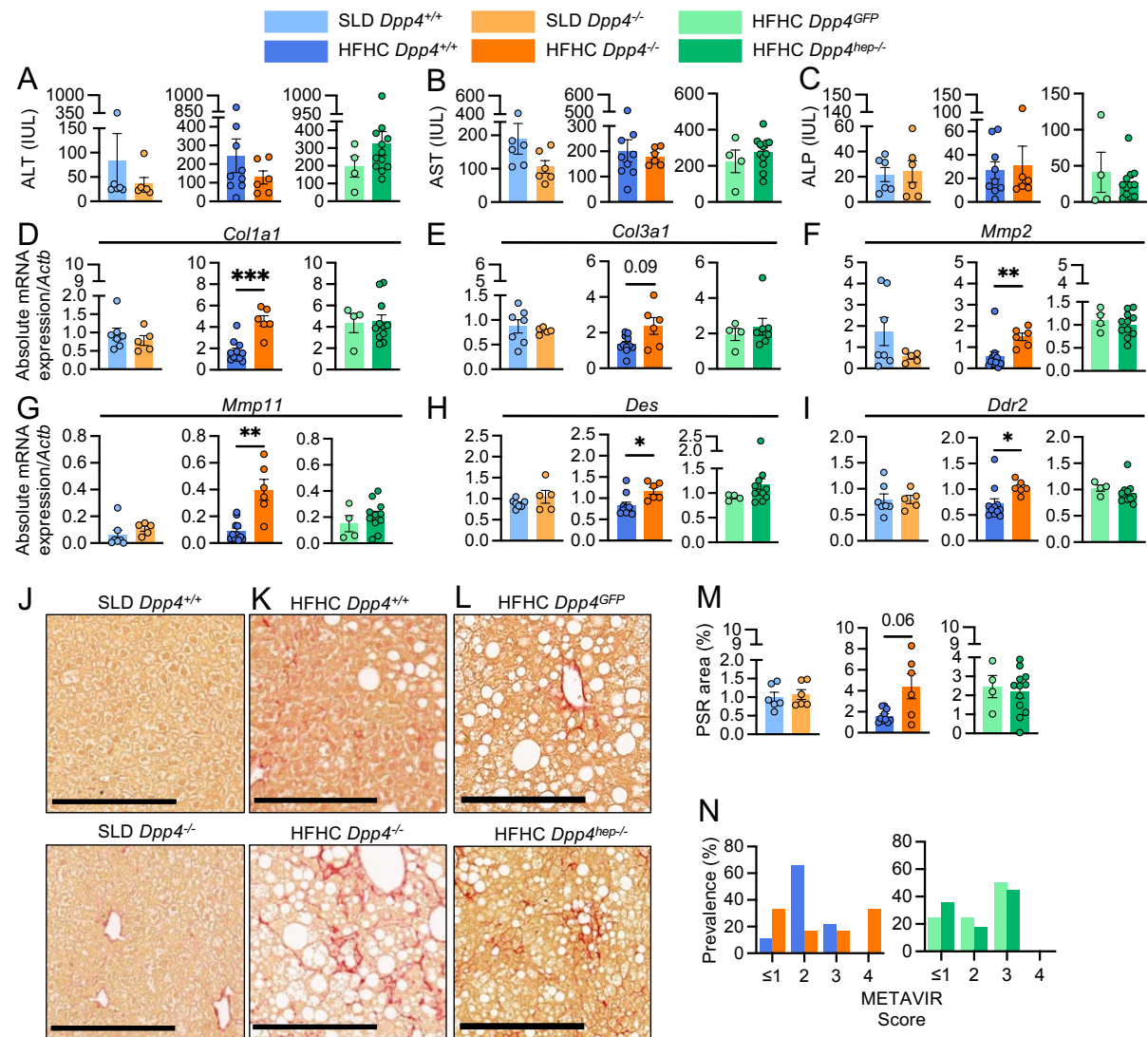
concentrations between genotypes (**Figure 2.3I–M**). Hepatic gene expression analysis also revealed that sterol regulatory element-binding transcription factor 1 (*Srebf1*) mRNA expression was significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice but unchanged in SLD-fed mice and HFHC-fed *Dpp4*<sup>hep-/-</sup> mice, compared with controls (**Figure 2.3N**). Microsomal triglyceride transfer protein (*Mttp*) mRNA expression was significantly decreased in SLD-fed *Dpp4*<sup>-/-</sup> mice compared with controls but unchanged in all genotypes under HFHC feeding (**Figure 2.3O**). In contrast, hepatic Forkhead box protein O1 (*FoxO1*) expression was unchanged between all genotypes under both diets (**Figure 2.3P**). Taken together, these data suggest that hepatic lipid accumulation is largely unaffected by whole-body or hepatocyte-specific elimination of *Dpp4* gene in aged, SLD- or HFHC-fed mice.



**Figure 2.3: Plasma and liver lipid profiles remain largely unchanged between genotypes.** (A) Hepatic *Dpp4* mRNA abundance (relative to *Actb*) (B) Plasma DPP4 activity. (C) Liver DPP4 activity. (D) Plasma DPP4 protein. (E) Plasma high-density lipoprotein (HDL), (F) low-density lipoprotein (LDL), (G) plasma cholesterol (chol), (H) plasma triglycerides (TG), (I) liver TG mass and (J) total cholesterol mass. Representative images of liver stained with Oil Red O in (K) SLD-fed *Dpp4*<sup>+/+</sup> (n=5-7) and *Dpp4*<sup>-/-</sup> (n=4-6) mice, (L) HFHC-fed *Dpp4*<sup>+/+</sup> (n=9-11) and *Dpp4*<sup>-/-</sup> (n=6) mice and (M) HFHC-fed *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=10-11) mice (scale bar = 200µm). Hepatic mRNA abundance (relative to *Actb*) of (N) *Srebf1*, (O) *Mttp* and (P) *FoxO1*. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns *P* < 0.05, \* *P* = 0.01-0.05, \*\* *P* = 0.001-0.01, \*\*\* *P* = 0.0001-0.001 and \*\*\*\* *P* < 0.0001.

#### 2.5.4 Systemic, not hepatic, loss of *Dpp4* in aged mice increases hepatic fibrosis

Soluble, circulating DPP4 has been shown to be a marker of liver fibrosis<sup>50</sup>. Thus, we examined if systemic and hepatic loss of *Dpp4* in mice affected liver fibrosis. Liver damage markers, such as alanine aminotransferase (ALT), aspartate transaminase (AST), and alkaline phosphatase, were not significantly different between all groups and their respective controls (**Figure 2.4, A–C**). Liver size normalized to tibia length was also unchanged (data not shown). To our surprise, mRNA levels of fibrosis markers, including *Col1a1*, *Col3a1*, *Mmp2*, *Mmp11*, *Des*, and *Ddr2*, were elevated in HFHC-fed *Dpp4*<sup>-/-</sup> mouse livers (**Figure 2.4, D–I**), suggesting worsening fibrosis in the *Dpp4*<sup>-/-</sup> mice. However, this elevated gene expression was not observed in *Dpp4*<sup>hep-/-</sup> mouse livers. No changes of expression were noted in fibrosis and hepatic stellate cell activation factors, *Mmp9*, *Gfap* and *Vim*, between any of the genotypes (**Supplemental Figure 2.4, A–C**) while both *Lrat* and *Pcdh7* were significantly reduced in SLD-fed *Dpp4*<sup>-/-</sup> mice compared with controls (**Supplemental Figure 2.4, D and E**). Consistent with gene expression, visualization of collagen with Picrosirius red staining revealed that HFHC-fed *Dpp4*<sup>-/-</sup> mice had elevated fibrotic area in the liver, whereas it was relatively unchanged in *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice (**Figure 2.4, J–M**). Supporting increased fibrosis, blinded meta-analysis of histological data in viral hepatitis (METAVIR) histopathological scoring of liver samples revealed a shift of 33% in *Dpp4*<sup>-/-</sup> mice to level 4 relative to littermate controls, while no *Dpp4*<sup>hep-/-</sup> mice were scored in this range (**Figure 2.4N**). Overall, these data suggest that systemic, not hepatic, loss of *Dpp4* increases liver fibrosis.



**Figure 2.4: Fibrosis-related genes are up-regulated in HFHC-fed *Dpp4*<sup>-/-</sup> but not *Dpp4*<sup>hep-/-</sup> mice.** (A) Alanine aminotransferase (ALT), (B) aspartate transaminase (AST) and (C) alkaline phosphatase (ALP). Liver mRNA abundance (relative to *Actb*) of (D) *Col1a1*, (E) *Col3a1*, (F) *Mmp2*, (G) *Mmp11*, (H) *Des* and (I) *Ddr2*. Representative images of liver stained with Picrosirius Red and quantitation of red-stained pixels in (J) SLD-fed *Dpp4*<sup>+/+</sup> (n=6-7) and *Dpp4*<sup>-/-</sup> (n=5-6) mice, (K) HFHC-fed *Dpp4*<sup>+/+</sup> (n=9-11) and *Dpp4*<sup>-/-</sup> (n=6) mice and (L) HFHC-fed *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=11-12) mice (scale bar = 250um) and (M) quantitation of red-stained pixels. (N) Liver METAVIR score prevalence. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns *P*<0.05, \* *P*=0.01-0.05, \*\* *P*=0.001-0.01, \*\*\* *P*=0.0001-0.001.

### 2.5.5 Global, but not hepatic, loss of DPP4 increases expression of genes associated with adaptive immunity, inflammasome, and senescence-associated genes and pathways

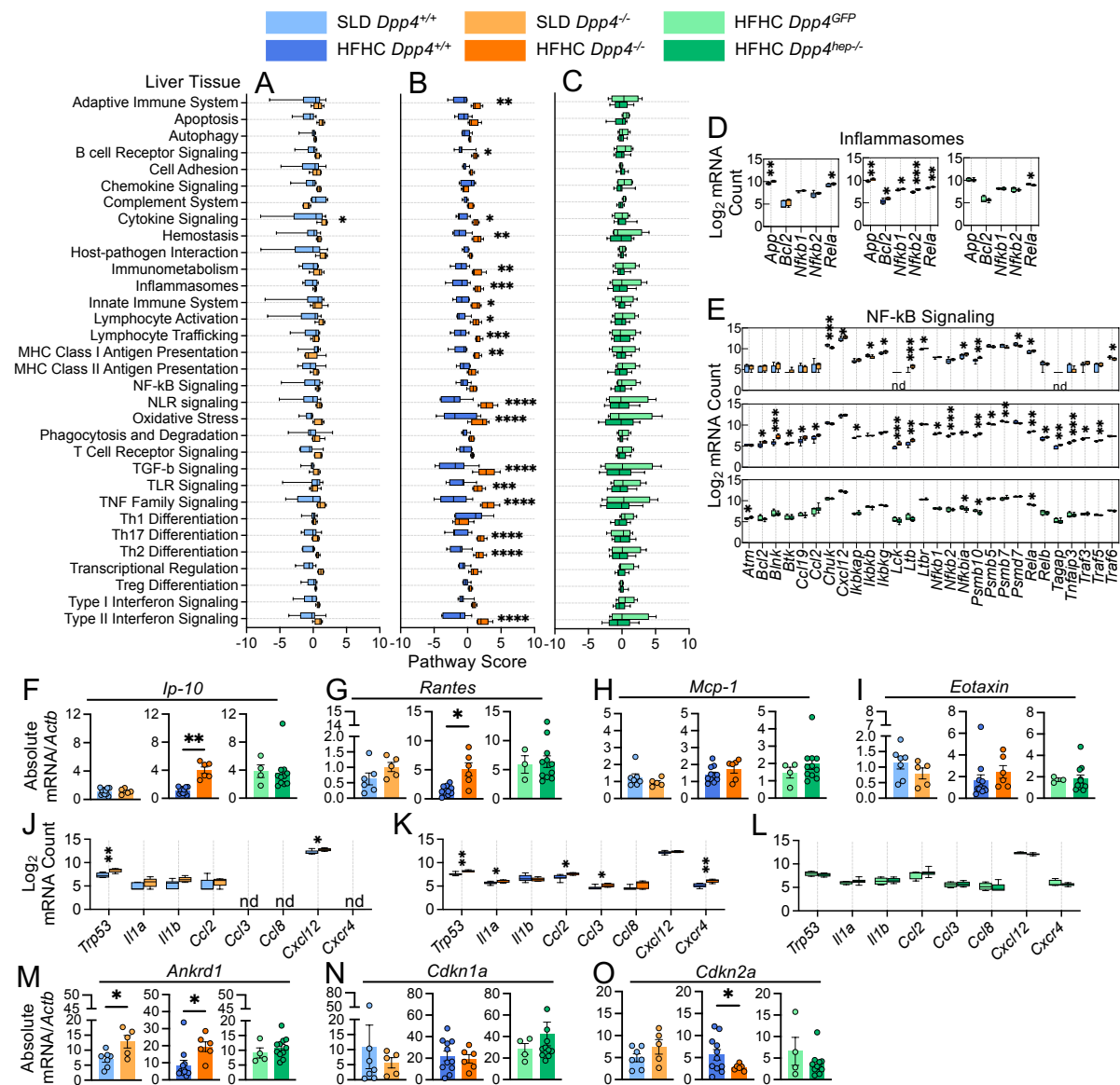
To gain molecular insights into the inflammatory responses in the liver mediated by loss of *Dpp4*, we conducted NanoString mRNA analysis on liver tissue using an immunology panel of over 500 immune-related genes. All *Dpp4*<sup>hep-/-</sup> mice were confirmed by quantitative real-time PCR (qRT-PCR) with primers specific for the recombined *Dpp4* flox sites (**Figure 2.3A**), given the location of the flox deletion site toward the C-terminal end of the *Dpp4* transcript and modest reduction in gene expression detected by NanoString probes (**Supplemental Figure 2.5A**). Supervised hierarchical clustering analysis of differentially expressed genes in *Dpp4*<sup>-/-</sup> mice revealed a distinct cluster of genes that were upregulated in SLD- and HFHC-fed *Dpp4*<sup>-/-</sup> mice compared with *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>GFP</sup> versus *Dpp4*<sup>hep-/-</sup> (**Supplemental Figure 2.5B and C**). We identified differentially expressed genes that were distinct and overlapping among SLD-fed *Dpp4*<sup>-/-</sup>, HFHC-fed *Dpp4*<sup>-/-</sup>, and HFHC-fed *Dpp4*<sup>hep-/-</sup> livers (**Supplemental Figure 2.5D**). Pathway analysis in each comparison was performed, showing that only cytokine signaling in SLD-fed *Dpp4*<sup>-/-</sup> mice was significantly upregulated compared with *Dpp4*<sup>+/+</sup> mice (**Figure 2.5A**). Notably, 18 pathways, including adaptive and innate immune pathways, inflammasome, Toll-like receptor signaling, oxidative stress, and TGF- $\beta$  signaling, were all significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> compared with *Dpp4*<sup>+/+</sup> mice (**Figure 2.5B**). Consistent with no difference in liver fibrosis (**Figure 2.4H**), all pathways were indistinguishable between HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mouse livers (**Figure 2.5C**), suggesting that hepatocyte DPP4 was not influencing the immunological

response. We validated these results by immunostaining for the top differentially expressed transcript, Marco, in mice fed the HFHC diet. Consistent with the NanoString analysis, Marco staining was significantly reduced in the HFHC-fed *Dpp4*<sup>-/-</sup> mouse livers compared with controls (**Supplemental Figure 2.6A–G**). Additionally, Marco expression in *Dpp4*<sup>GFP</sup> mice exhibited a spread of high- and low-expressing livers, which was recapitulated with immunostaining (**Supplemental Figure 2.6C, D, F, and G**). When we probed gene expression within the inflammasome pathway, whose activation is a contributing factor in the initial progression of NAFLD<sup>51</sup>, we found that all genes (*App*, *Bcl2*, *Nfkb1*, *Nfkb2*, and *Rela*) were significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice (**Figure 2.5D**). In contrast, only *App* and *Rela* were significantly upregulated in SLD-fed *Dpp4*<sup>-/-</sup> mice compared with controls, and *Rela* was significantly downregulated in *Dpp4*<sup>hep-/-</sup> mice (**Figure 2.5D**). Similarly, 19 of 28 NF-κB signaling pathway genes were significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice, whereas many genes were unchanged, or downregulated, in SLD-fed *Dpp4*<sup>-/-</sup> mice and HFHC-fed *Dpp4*<sup>hep-/-</sup> mice, compared with respective controls (**Figure 2.5E**). To further complement this analysis, we analyzed mRNA expression of known chemokine substrates of DPP4 in whole liver extracts. Consistent with its role in NAFLD progression<sup>52</sup>, *Ip-10* (*Cxcl10*) gene expression was significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice compared with controls but unchanged in SLD-fed mice and HFHC-fed *Dpp4*<sup>hep-/-</sup> mice compared with controls (**Figure 2.5F**). Expression of the gene regulated on activation, T cell expressed, and secreted (*RANTES*; *Ccl5*), which is associated with severe liver fibrosis<sup>53</sup>, was also significantly upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice (**Figure 2.5G**), whereas no changes in *Mcp-1* (*Ccl2*) or *Eotaxin* (*Ccl11*) gene expression were noted

(**Figure 2.5, H and I**). In contrast, gene expression of *Ip-10*, *RANTES*, *Mcp-1*, and *Eotaxin* were unchanged between HFHC-fed *Dpp4<sup>GFP</sup>* and *Dpp4<sup>hep-/-</sup>* mice (**Figure 2.5, F–I**).

Cellular senescence has been identified to be involved in the transition from liver steatosis to a more severe phenotype involving hepatocyte ballooning and elevated fibrosis<sup>54</sup>. DPP4 has been identified on the surface of senescent cells, preferentially sensitizing them to cytotoxicity by NK cells<sup>55</sup>. Therefore, we were prompted to evaluate gene expression of senescence-associated secretory phenotype (SASP) factors<sup>56</sup> in our models. Our liver NanoString analysis identified increased expression of *Trp53* in both SLD-fed and HFHC-fed, aged *Dpp4<sup>-/-</sup>* compared with their respective controls (**Figure 2.5J and K**), which was unchanged in *Dpp4<sup>hep-/-</sup>* mice compared with *Dpp4<sup>GFP</sup>* mice (**Figure 2.5L**). We additionally measured genes associated with p53 signaling<sup>57,58</sup>. The mRNA level of *Ankrd1* was increased in SLD- and HFHC-fed *Dpp4<sup>-/-</sup>*, but unchanged in *Dpp4<sup>hep-/-</sup>*, compared with controls (**Figure 2.5M**). *Cdkn1a* expression was unchanged across both diets, and all genotypes (**Figure 2.5N**), while *Cdkn2a* was significantly decreased in HFHC-fed *Dpp4<sup>-/-</sup>* mice only (**Figure 2.5O**). When we analyzed protein levels of chemokine and cytokine SASPs, 8 weeks after starting the diet, CXCL1 levels were significantly increased in SLD-fed *Dpp4<sup>-/-</sup>* mice (**Supplemental Figure 2.7A**), while IL-6 was significantly increased in HFHC-fed *Dpp4<sup>-/-</sup>* mice (**Supplemental Figure 2.7B**). Other plasma cytokines, including IL-1 $\beta$ , IFN- $\gamma$ , IL-10, and IL-2, were unchanged (**Supplemental Figure 2.7, C–H**). However, in HFHC-fed mice, IL-4 was significantly decreased in *Dpp4<sup>-/-</sup>* mice compared with controls (**Supplemental Figure 2.7I**), while it was increased in *Dpp4<sup>hep-/-</sup>* as was IL-5 at both 8 weeks and endpoint

(**Supplemental Figure 2.7I** and **G**). Few other significant changes were noted in plasma or within liver tissue at endpoint (**Supplemental Figure 2.7J–Y**).

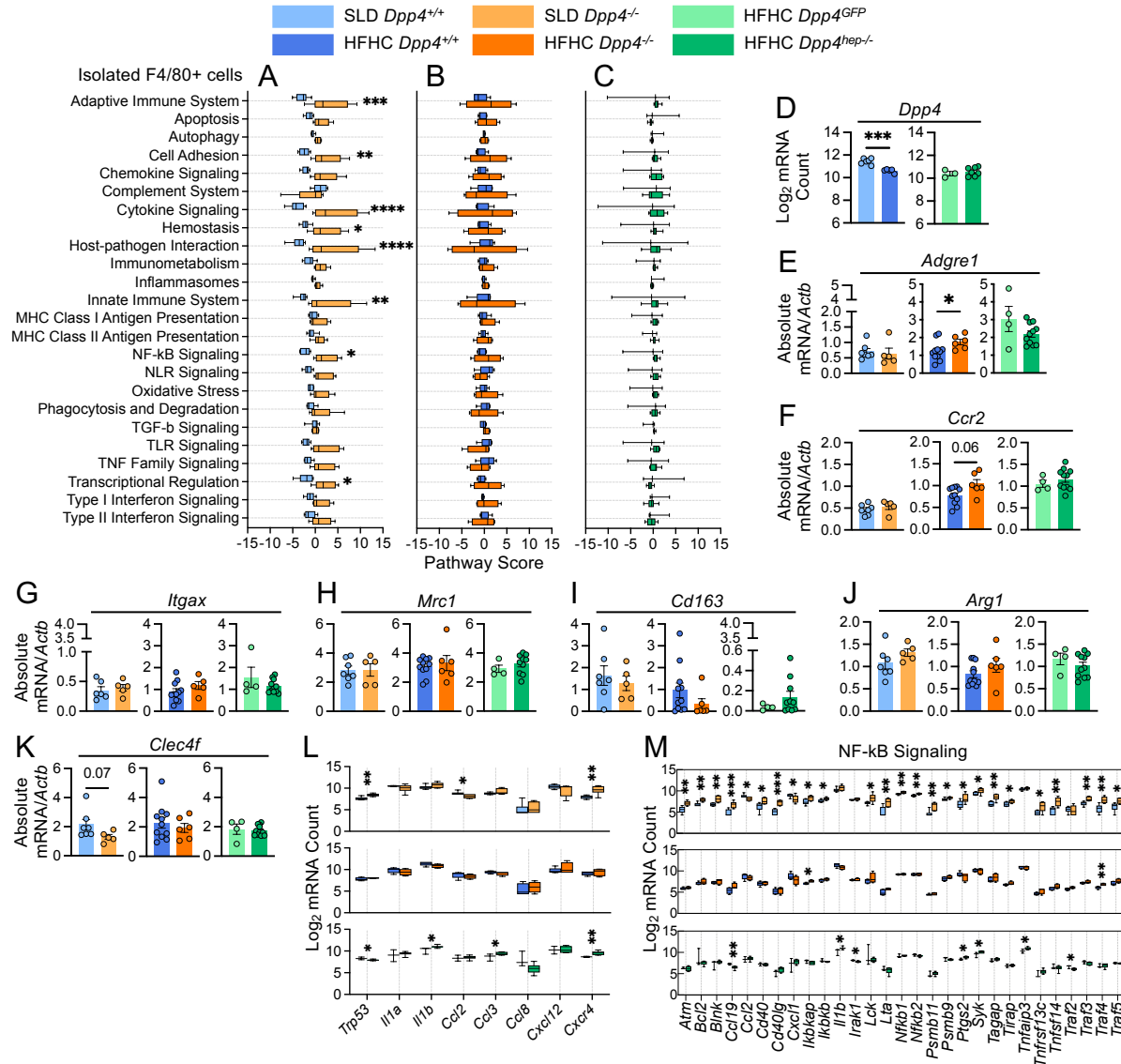


**Figure 2.5: In whole liver tissue, immune-related genes and pathways are up-regulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice, but unchanged in *Dpp4*<sup>hep-/-</sup> mice.** Pathway scores of immunological pathways in liver tissue of (A) SLD-fed *Dpp4*<sup>+/+</sup> (n=7) and *Dpp4*<sup>-/-</sup> (n=5) mice, (B) HFHC-fed *Dpp4*<sup>+/+</sup> (n=11) and *Dpp4*<sup>-/-</sup> (n=6) mice and (C) HFHC-fed *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=11) mice. Log<sub>2</sub> normalized mRNA counts of genes associated with (D) inflammasome pathways and (E) NF-kB signaling pathways. Liver mRNA abundance (relative to *Actb*) of known DPP4 substrates and chemokines/cytokines of (F) *Ip-10*, (G) *Rantes*, (H) *Mcp-1* and (I) *Eotaxin* in liver tissue. Log<sub>2</sub> normalized mRNA counts of senescence associated signaling phenotype (SASP) genes in liver tissue of (J) SLD-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice, (K) HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice and (L) HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice. Liver mRNA abundance (relative to *Actb*) of SASP genes (M) *Ankrd1*, (N) *Cdkn1a* and (O) *Cdkn2a*. Box and whisker plots: box extends from the 25th to 75th percentiles, the whiskers go down to the smallest value and up to the largest. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $p < 0.05$ , \*  $P = 0.01-0.05$ , \*\*  $P = 0.001-0.01$ , \*\*\*  $P = 0.0001-0.001$  and \*\*\*\*  $P < 0.0001$ . nd = not detected.

### 2.5.6 Immune-related genes are upregulated in F4/80<sup>+</sup> cells of SLD-fed *Dpp4*<sup>-/-</sup> mice, but HFHC feeding reduces DPP4 expression in F4/80<sup>+</sup> cells

Roles of both liver-resident macrophages and recruited monocyte-derived macrophages in NAFLD progression<sup>59</sup> and liver fibrosis<sup>60</sup> have been established. Additionally, DPP4 is known to be upregulated when macrophages are polarized with proinflammatory stimuli and implicated in macrophage polarization and activation to mediate inflammation<sup>61</sup>. We therefore probed if liver-resident macrophages were critical in driving the increased inflammation in livers of mice with global *Dpp4* deletion. We isolated F4/80<sup>+</sup> cells from the liver and conducted NanoString mRNA analysis using the same immunology panel described above. Surprisingly, a large cluster of immune-related genes were upregulated in F4/80<sup>+</sup> cells of SLD-fed *Dpp4*<sup>-/-</sup> mice compared with controls. However, these same genes were not differentially expressed in HFHC-fed *Dpp4*<sup>-/-</sup> mice (**Supplemental Figure 2.8A and C**) whereas in HFHC-fed *Dpp4*<sup>hep-/-</sup> mice, 2 distinct clusters were revealed to be significantly altered compared with controls (**Supplemental Figure 2.8B and C**). Pathway analysis revealed significant increases in NF-κB signaling, adaptive and innate immune system, and cytokine signaling (**Figure 2.6A**) in SLD-fed *Dpp4*<sup>-/-</sup> mice compared with controls. However, no pathways were significantly altered in HFHC-fed *Dpp4*<sup>-/-</sup> (**Figure 2.6B**) and *Dpp4*<sup>hep-/-</sup> mice (**Figure 2.6C**). In the isolated F4/80<sup>+</sup> cells, unexpectedly, *Dpp4* was downregulated in HFHC-fed *Dpp4*<sup>+/+</sup> mice compared with SLD-fed *Dpp4*<sup>+/+</sup> mice. Its expression was unchanged between HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice, verifying deletion was restricted to hepatocytes (**Figure 2.6D**). To further understand potential differences in F4/80<sup>+</sup> cells' composition within the liver, we assessed differences in the abundance of transcripts associated with

characterized populations. We found *Adgre1* was upregulated in HFHC-fed *Dpp4*<sup>-/-</sup> mice (**Figure 2.6E**), and *Ccr2* trended toward increase in HFHC-fed *Dpp4*<sup>-/-</sup> mice compared with controls. These markers remained unchanged in SLD-fed *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>hep-/-</sup> mice versus their respective controls (**Figure 2.6F**). However, no differences in F4/80 immunostaining were noted (**Supplemental Figure 2.9A–E**) between the HFHC-fed groups. Additionally, no changes were observed in macrophage polarization and population markers, *Itgax* (**Figure 2.6G**), *Mrc1* (**Figure 2.6H**), *Cd163* (**Figure 2.6I**), *Arg1* (**Figure 2.6J**), and *Clec4f* (CLEC4F) (**Figure 2.6K**), between genotypes and their respective controls. Consistent with these results, CLEC4F immunostaining revealed no differences between HFHC-fed groups (**Supplemental Figure 2.9A–D and F**). *Trp53* was upregulated in SLD-fed *Dpp4*<sup>-/-</sup> and downregulated in HFHC-fed *Dpp4*<sup>hep-/-</sup>, while *Cxcr4* was upregulated in both (**Figure 2.6L**). SLD-fed *Dpp4*<sup>-/-</sup> mice had significant changes in many components of the NF- $\kappa$ B signaling pathway, but few of these patterns were observed in HFHC-fed *Dpp4*<sup>-/-</sup> or *Dpp4*<sup>hep-/-</sup> mice (**Figure 2.6M**). These data reveal an unexpected, complex relationship between DPP4 and liver-resident F4/80<sup>+</sup> cells' immunological profiles associated with diet composition.



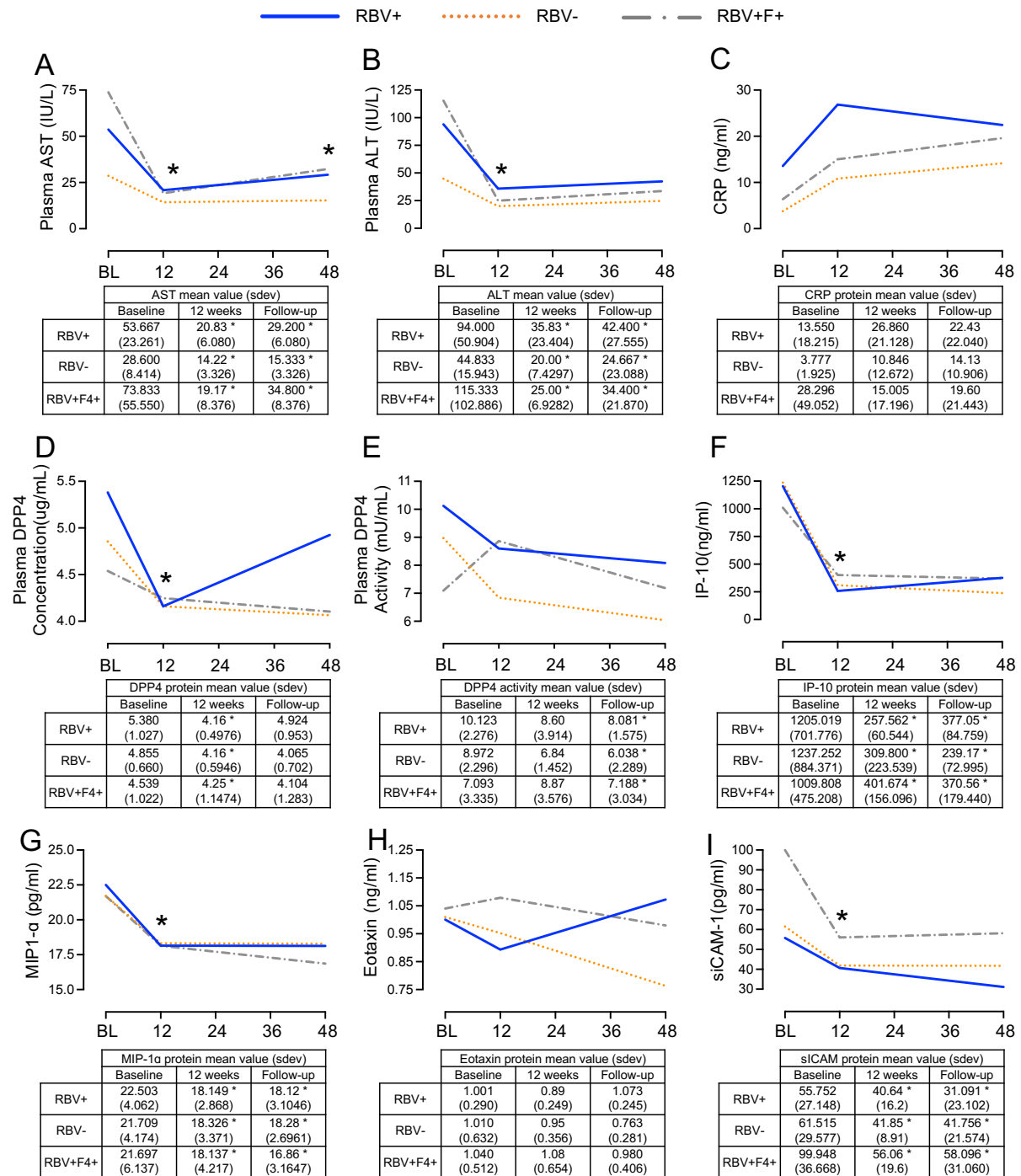
**Figure 2.6: In hepatic F4/80+ cells, immune related genes and pathways are up-regulated in SLD-fed *Dpp4*<sup>-/-</sup> mice, but unchanged in HFHC-fed *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>hep-/-</sup> mice.** Pathway scores of immunological pathways in F4/80+ cells isolated from liver tissue of (A) SLD-fed *Dpp4*<sup>+/+</sup> (n=6) and *Dpp4*<sup>-/-</sup> (n=6) mice, (B) HFHC-fed *Dpp4*<sup>+/+</sup> (n=5) and *Dpp4*<sup>-/-</sup> (n=4) mice and (C) HFHC-fed *Dpp4*<sup>GFP</sup> (n=3) and *Dpp4*<sup>hep-/-</sup> (n=7) mice. (D) Log<sub>2</sub> normalized mRNA count of *Dpp4* in F4/80+ cells isolated from liver. Liver mRNA abundance (relative to *Actb*) of (E) *Adgre1* and (F) *Ccr2*. Liver mRNA abundance (relative to *Actb*) of (G) *Itgax*, (H) *Mrc1*, (I) *Cd163*, (J) *Arg1* and (K) *Clec4f*. (L) Log<sub>2</sub> normalized mRNA counts of senescence associated signaling proteins (SASPs) in F4/80+ cells. Log<sub>2</sub> normalized mRNA counts of genes associated with (M) NF-κB signaling pathway in F4/80+ cells in SLD-fed *Dpp4*<sup>+/+</sup> (n=7) and *Dpp4*<sup>-/-</sup> (n=5) mice, HFHC-fed *Dpp4*<sup>+/+</sup> (n=11) and *Dpp4*<sup>-/-</sup> (n=5-6) mice and HFHC-fed *Dpp4*<sup>GFP</sup> (n=4) and *Dpp4*<sup>hep-/-</sup> (n=11) mice. Box and whisker plots: box extends from the 25th to 75th percentiles, the whiskers go down to the smallest value and up to the largest. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns *P*>0.05, \**P*=0.01-0.05, \*\**P*=0.001-0.01, \*\*\**P*=0.0001-0.001 and \*\*\*\**P*<0.0001. nd = not detected.

### 2.5.7 Liver-specific insults affect circulating DPP4 protein concentrations

Given its strong correlation with adipose tissue accumulation and obesity in both humans and mice, soluble, circulating DPP4 was initially characterized as an adipokine<sup>13,22</sup>. Recent studies with adipocyte-specific targeting of DPP4 have determined that although adipocytes shed a small amount of DPP4<sup>22,62</sup>, hepatocytes account for the significant elevation in DPP4 observed in high-fat diet feeding and metabolic dysregulation<sup>22,24</sup>. Further, liver DPP4 expression is elevated in NAFLD<sup>20,21</sup>. Comprehensive studies in cultured hepatocytes have determined that a combination of leptin and palmitic acid stimulates a 6-fold increase in *Dpp4* mRNA expression<sup>63</sup>. To test the necessity of adiposity and peripheral insulin resistance in vivo for elevated enzymatically active, circulating DPP4, we assessed plasma DPP4 activity in HFHC-fed *Pemt*<sup>-/-</sup> mice, which are a lean model of hepatomegaly and hepatic steatosis due to disruption in de novo synthesis of choline<sup>64</sup> (**Supplemental Figure 2.10A**). *Pemt*<sup>-/-</sup> mice do not develop obesity with high-fat feeding, retain insulin sensitivity, and have lower leptin concentrations compared with littermate controls<sup>64,65</sup>. Notably, systemic DPP4 activity was increased 4-fold relative to controls (**Supplemental Figure 2.10B**), suggesting dysregulation of hepatic lipid pathways is related to increased DPP4 activity, independent of the development of obesity. Unexpectedly, *Dpp4* mRNA level in the liver was unchanged (**Supplemental Figure 2.10C**). However, mRNA expression of candidate sheddases was significantly increased, including *Mmp9*, *Mmp2*, and *Adamst*, but not *Adam17* (**Supplemental Figure 2.10D–G**).

In addition to steatosis, other liver-specific insults associated with elevated circulating DPP4 include HCV<sup>66</sup>. Chronic HCV's association with metabolic disease has been established<sup>67</sup>. We have recently shown that in patients with HCV treated

with paritaprevir/ritonavir/ombitasvir/dasabuvir (PrOD), with or without ribavirin, fasting glucose, insulin, and Homeostatic Model Assessment of Insulin Resistance (HOMA-IR) are unchanged during treatment and follow-up after treatment<sup>43</sup>. We now sought to investigate whether elevated DPP4 levels are reversed following successful therapy for viral clearance in patients with metabolic dysfunction (HOMA-IR > 2) that persists through the treatment and follow-up period. Plasma AST (**Figure 2.7A**) and ALT (**Figure 2.7B**) significantly decreased in all treatment groups and were maintained at decreased levels during follow-up. Not surprisingly, given the lack of change in metabolic disease parameters, high variability and no significant changes were observed in C-reactive protein concentrations (**Figure 2.7C**). Consistent with other studies utilizing IFN- $\alpha$  treatment<sup>68,69</sup>, DPP4 concentration in plasma significantly decreased within all PrOD regimens from baseline to 12 weeks posttreatment (**Figure 2.7D**). In comparison, DPP4 activity substantially decreased with ribavirin treatment from baseline to follow-up in ribavirin-treated patients (**Figure 2.7E**). A similar trend to DPP4 protein was observed with known DPP4 substrates IP-10 (**Figure 2.7F**) and macrophage inflammatory protein 1 $\alpha$  (MIP-1 $\alpha$ ) (**Figure 2.7G**). However, Eotaxin, another substrate, was unaffected (**Figure 2.7H**). Soluble intracellular adhesion molecule 1 (sICAM-1) was significantly decreased with treatment (**Figure 2.7I**). Taken together, DPP4 concentration and activity decrease with HCV treatment and viral clearance. However, this occurs independently of changes in metabolic parameters.



**Figure 2.7: Independent of metabolic parameters, DPP4 concentration and activity decrease with HCV clearance and viral treatment.** Changes in plasma (A) AST, (B) ALT, (C) CRP levels, (D) DPP4 concentration, (E) DPP4 activity and changes in plasma cytokines and chemokines that are known substrates of DPP4, including (F) IP-10, (G) MIP1-a, (H) Eotaxin and (I) siCAM in patients (n=5-6) with HOMA-IR >2 treated for HCV infection. Time points: BL = baseline, week 12 = end of treatment; follow up = 24 to 48 weeks after treatment. Groups: RBV+ = hepatitis C (HCV) treatment containing ribavirin in participants without cirrhosis, RBV- = ribavirin free HCV treatment in participants without cirrhosis, RBV+FIB+ = HCV treatment containing ribavirin in participants with cirrhosis. To remove the variance in trait values attributed to sex and age differences, a linear regression model compared the retrieved residuals (adjusted trait values) using unpaired t-test. Data are presented as the mean  $\pm$  SD and  $P < 0.05$  was considered statistically significant.

## 2.6 Discussion

Potential of GLP-1 action through receptor agonists has demonstrated efficacy in treating metabolic disease<sup>70,71</sup>; however, our knowledge of the effects of DPP4 elimination to potentiate endogenous GLP-1 action within the context of chronic liver disease progression is limited. The present data demonstrate that eliminating hepatocyte DPP4 in HFHC-fed mice decreased DPP4 activity and increased intact incretins in the portal circulation and reduced HGP. These data are also consistent with patients in which DPP4 inhibitors (DPP4i's) decrease HGP and are associated with reductions in glucagon<sup>26,45</sup>. In contrast, in HFHC-fed *Dpp4*<sup>EC-/-</sup>, we report increased levels of active GLP-1 in the systemic circulation and no effect on HGP as assessed by hyperinsulinemic-euglycemic clamp<sup>34</sup>.

Studies in mice have also demonstrated that intact GLP-1R signaling within the portal circulation is integral to glucose sensing<sup>72</sup>. This is interesting given that postprandial GLP-1 levels measured in the lymph are 5–6 times higher relative to sampling performed in portal plasma<sup>47</sup>. Consistent with our data identifying different regulation of incretin bioactivity and modulation of glucose metabolism with DPP4 in hepatocytes versus Tie2+ cells, recent reports have determined that elevated levels of active portal GLP-1 are disconnected from the classic definition of the incretin effect as increased portal circulation of GLP-1 does not potentiate nutrient-stimulated insulin secretion<sup>73,74</sup>. In studies performed in rats, samples taken 20 minutes following a high-fat diet meal showed elevated GLP-1 in the lymph collected from the mesenteric lymph duct rather than the PV, while no difference was observed after a low-fat meal<sup>75</sup>. Circulating DPP4 activity is lower in lymph than in plasma<sup>47,75</sup>. However, lymphatic ECs have been reported to express DPP4, and modulation of levels with siRNA

affects migration and function<sup>76</sup>. Therefore, our current study is consistent with a model where, during HFHC diet–induced metabolic dysregulation, the deletion of *Dpp4* within Tie2<sup>+</sup> cells increases the abundance of GLP-1 delivered to the systemic circulation, enabling the incretin effect, and improves oral glucose tolerance but does not affect HGP. This is in contrast to *Dpp4* in hepatocytes, which when deleted in HFHC-fed mice, increases GLP-1 bioactivity within the portal circulation and improves insulin-mediated suppression of HGP. Our data align with results from *Hif1α<sup>hep-/-</sup>* mice, demonstrating that elevation in DPP4 through activation of hepatocyte HIF-1α reduces active GLP-1 in the portal circulation<sup>63</sup>. Additionally, Baumeier *et. al.*<sup>25</sup> demonstrated that hepatic *Dpp4* overexpression results in decreased active, glucose-stimulated GLP-1 in the vena cava after liver passage. Recent studies have documented that GLP-1R engagement in the portal circulation is reduced under high-fat diet–feeding conditions<sup>77</sup>, suggesting together with our data that multiple mechanisms converge to control GLP-1 action in the hepatic portal circulation, which may contribute to glucose dysregulation in mice upon high-fat feeding.

Elevated concentrations of plasma DPP4 are associated with liver disease severity and fibrosis<sup>78</sup>. Consistent with this, hepatocyte-specific overexpression of DPP4 in mice results in increased hepatic steatosis, liver enzymes, and markers of inflammation<sup>25</sup>. In the current study, we report DPP4 was elevated 4-fold in *Pemt<sup>-/-</sup>* mice, which have both hepatomegaly and non-alcoholic steatohepatitis<sup>64</sup>, demonstrating that in addition to obesity, liver-specific insults can induce the release of DPP4. Surprisingly, however, complete genetic elimination of *Dpp4* or hepatocyte-specific elimination in aged mice resulted in no changes in liver enzymes and the degree of steatosis. Consistent with our results, using liver-specific knockdown of

DPP4 via therapeutic siRNA, in obese and diabetic *db/db* mice, no effect on liver enzymes or glucose tolerance is observed<sup>23</sup>. Both Varin *et. al.* and Ghorpade *et. al.* reported modest impact on the liver with long-term targeting strategies<sup>22,24</sup>, suggesting that acute treatments targeting DPP4 more readily influence mouse lipid metabolism than long-term deletion of DPP4, which may be prone to metabolic adaptation.

In mice, DPP4i's decrease liver fibrosis<sup>79,80</sup>; however, this was not recapitulated by genetically eliminating *Dpp4* as Picrosirius red staining revealed a trend toward increased fibrosis, and expression of *Col1a1* and *Col3a1* was increased in *Dpp4*<sup>-/-</sup> mice. Chronic liver inflammation and immune reactions often precede fibrosis<sup>81</sup>; therefore, we assessed mRNA expression of immune-related genes. Under HFHC-fed conditions, livers of *Dpp4*<sup>-/-</sup> mice but not *Dpp4*<sup>hep-/-</sup> mice exhibited upregulation of transcripts associated with the inflammasome and markers of NF-κB signaling, pathways characterized to be activated in NAFLD<sup>82,83</sup>. This was surprising given that DPP4i's have been reported to suppress NF-κB activation<sup>84,85</sup>. These results support important differences obtained by enzymatic inhibition versus complete deletion of the DPP4 protein.

Cellular senescence has been proposed as a key factor in NAFLD progression<sup>86</sup>. Further, DPP4 has been identified as a surface protein that is enriched in senescent cells<sup>55</sup>, and modulation of DPP4 in presenescent WI-38 cells<sup>55</sup> or in vascular endothelial cells<sup>87</sup> reduces markers of senescence. Additionally, senescence induced by glucocorticoid treatment, known to increase DPP4 transcriptionally<sup>88</sup>, can be modulated by inhibition of DPP4 activity<sup>89</sup>. In our study, lifelong deletion of DPP4 in aged mice led to upregulation of *Trp53*, a component of the senescent machinery<sup>90</sup>. Additionally, the expression of *Ankrd1*, *Il1a*, *Ccl2*, and *Ccl3* was increased in HFHC-

fed *Dpp4*<sup>-/-</sup> mice. A molecular link between p53 and DPP4 has been established in which p53 antagonizes ferroptosis by blocking DPP4 activity<sup>91</sup>. However, the mechanistic link between DPP4, p53, cellular senescence, and liver fibrosis could not be deduced from this study and warrants further investigation.

Elevated DPP4 is also observed in other chronic liver diseases, such as HCV, in addition to NAFLD<sup>15</sup>. Consistent with data from patients who received IFN treatment<sup>68</sup>, plasma DPP4 concentrations, liver enzymes, and cytokine levels were reduced after resolution of infection with direct-acting antiviral therapy with or without ribavirin. DPP4 activity varied between treatment groups at baseline but overall remained decreased from baseline at follow-up in all. Additionally, plasma AST, ALT, IP-10, MIP-1 $\alpha$ , and sICAM-1 all decreased with treatment. However, these changes were not associated with changes in glucose regulation<sup>43</sup>.

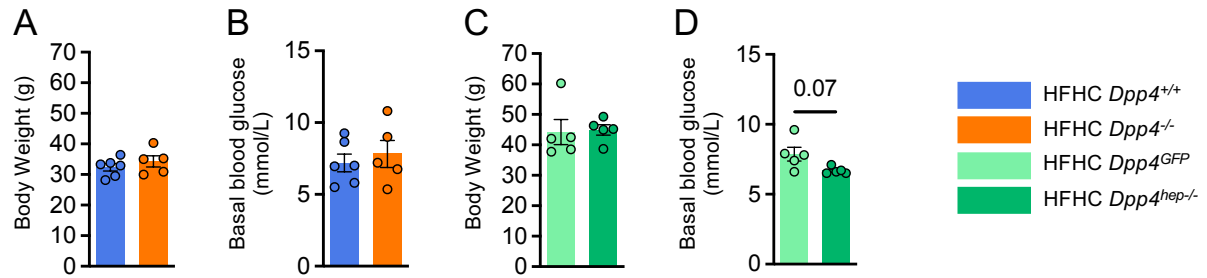
We acknowledge several shortcomings to our study, including that the effects of exendin-9-39 cannot exclude the potential for signaling of glucagon through the GLP-1R<sup>92-95</sup>, in addition to active GLP-1<sup>96</sup>. Additionally, while mice remained unrestrained during the hyperinsulinemic-euglycemic clamps, they were sampled by the tail vein, which may contribute differential physiological responses to stress across genotypes. In addition, many of our inferences are dependent on transcript levels rather than direct protein quantitation due to the availability and reliability of relevant antibodies.

In summary, we have identified hepatocyte-derived *Dpp4* as a key factor in regulating the bioactivity of GLP-1 in the PV and extended these findings to demonstrate that its elimination results in a reduction in HGP. However, despite the elevation in GLP-1 and improvements in hepatic insulin sensitivity, we demonstrate a

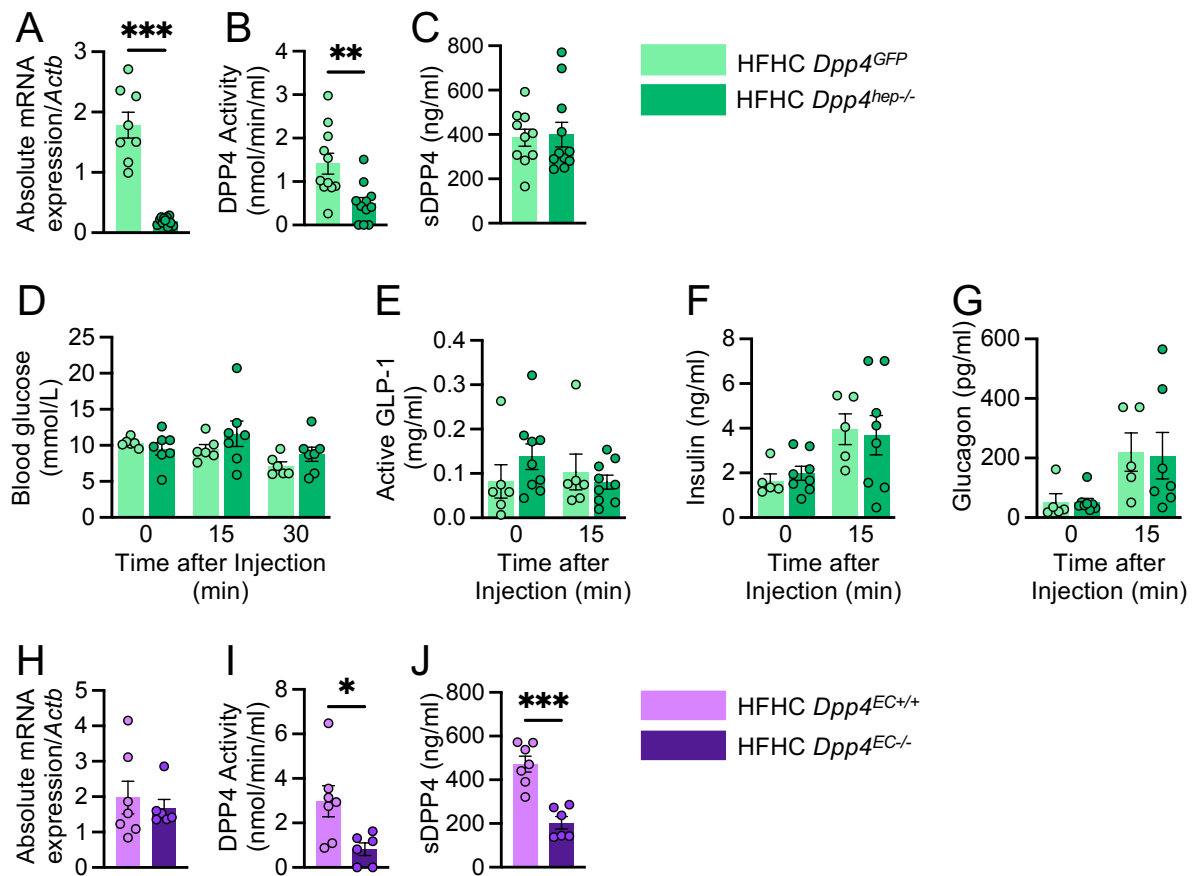
disconnect as markers of lipid metabolism, fibrosis, and inflammation were unchanged or worsened in mice.

## **2.7 Acknowledgements**

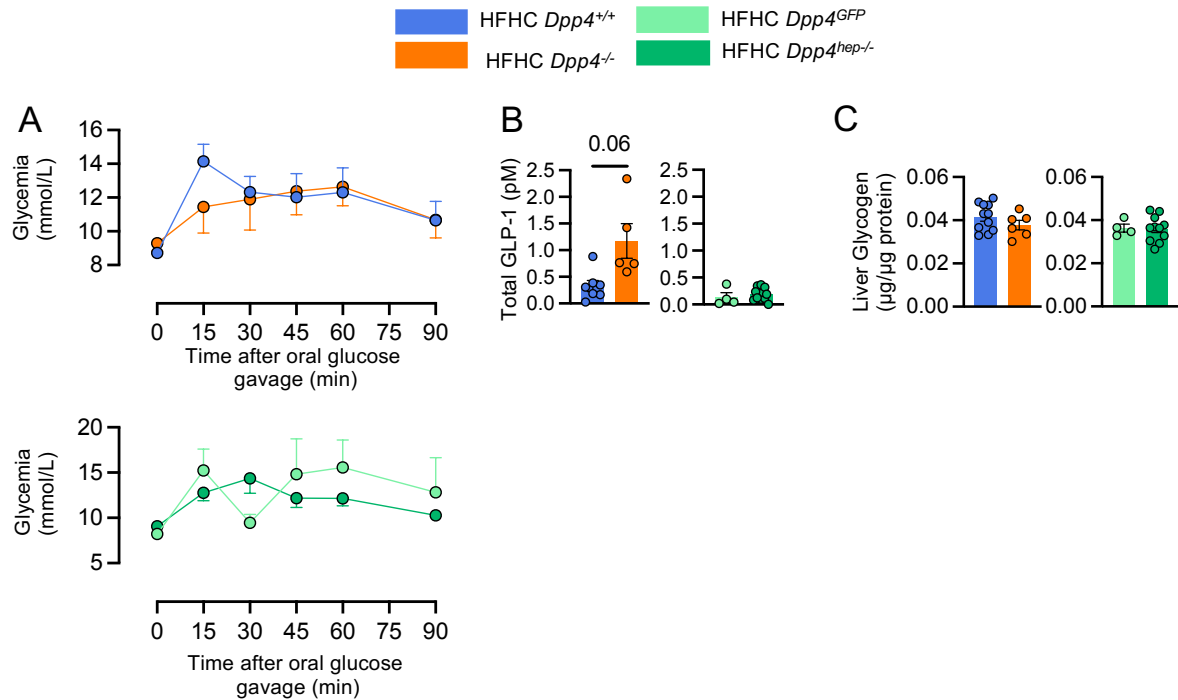
We would like to thank Majid Nikpay for statistical support; Xiaoling Zhao and the Stewart Whitman Core Histopathology Laboratory for sample preparation, sectioning, and staining; and The Centre for Phenogenomics for plasma analysis. EEM is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. The Canadian Institutes of Health Research (CIHR) and Diabetes Canada supported this work (ARJ-162628, Project Grant 156136 and New Investigator award to EEM and Project Grant 148634 and New Investigator award 141981 to MDF). NAT is supported by a University of Ottawa Heart Institute endowment scholarship. BV was supported by a CIHR postdoctoral fellowship. CAAL is supported by an Ontario Graduate Scholarship. SMP and AMC are each supported by a CIHR Master's Award. The graphical abstract was generated with BioRender (publication license: ET24NGTPGG).



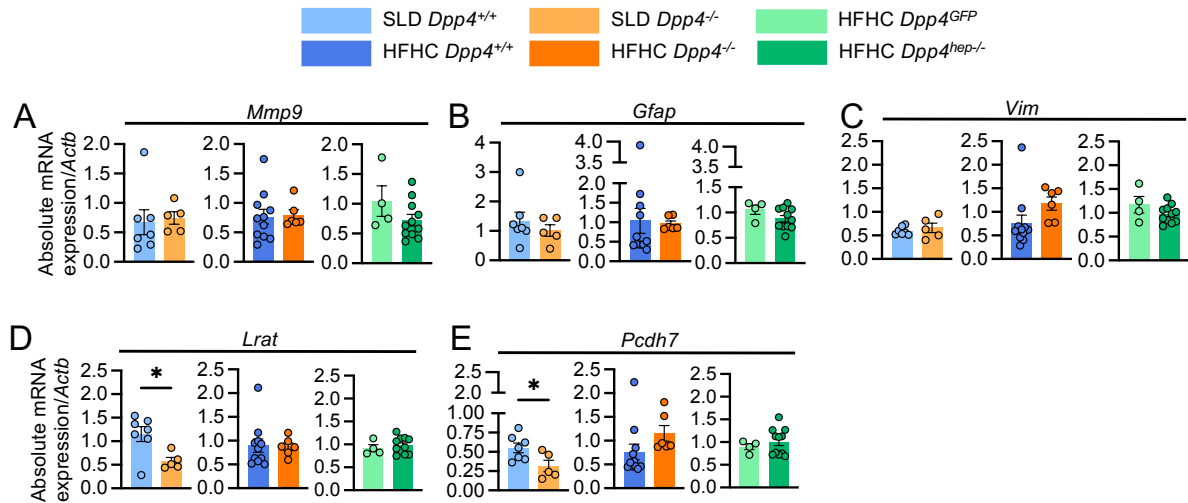
**Supplemental Figure 2.1: Body weight and blood glucose of mice used for hyperinsulinemic-euglycemic clamp experiments.** Body weight and basal blood glucose in (A-B) HFHC-fed  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , and (C-D)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  mice, respectively, used for hyperinsulinemic-euglycemic clamp experiments. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P > 0.05$ .



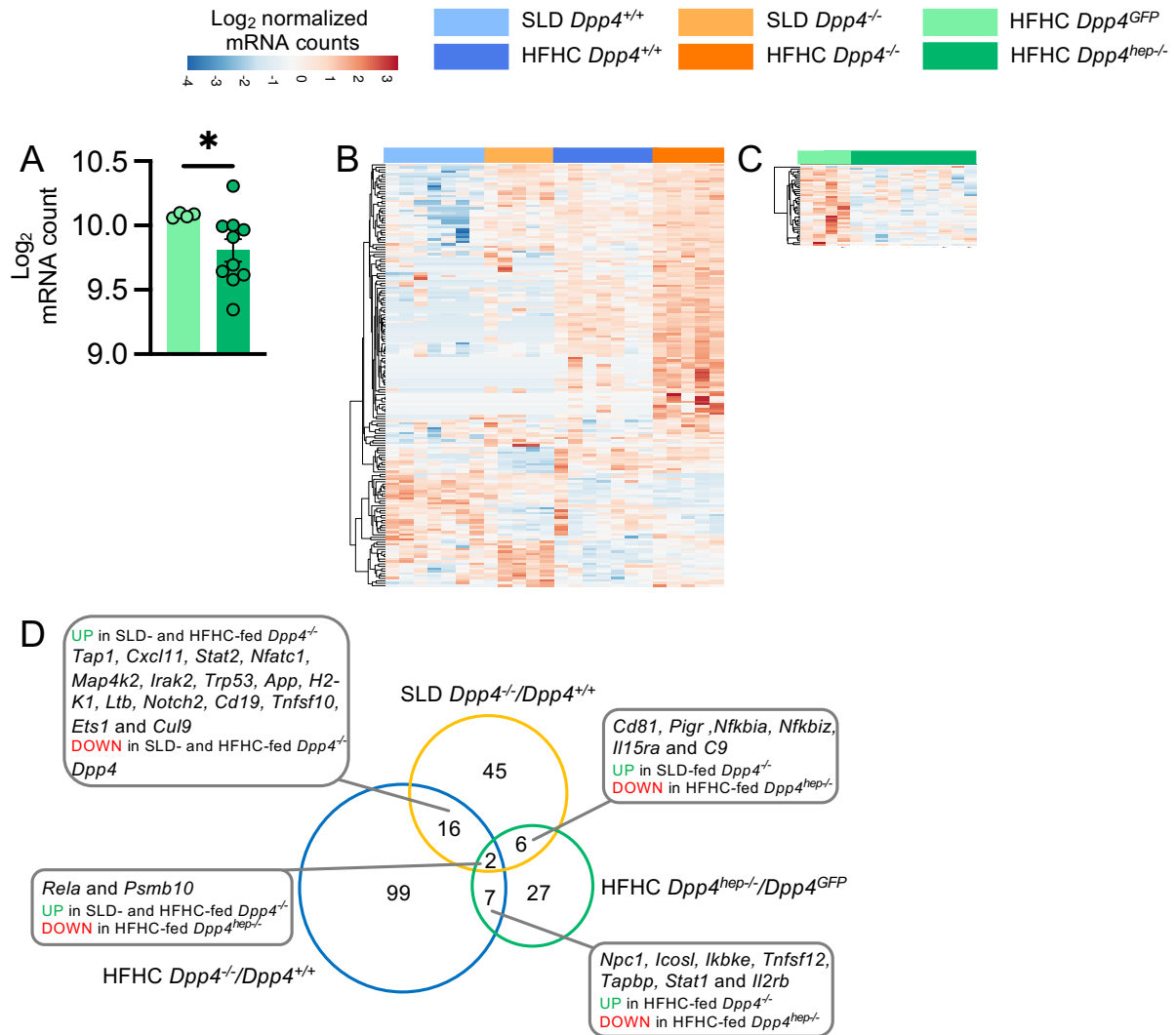
**Supplemental Figure 2.2: Biochemical analyses of blood collected during arginine tolerance test.** (A) *Dpp4* mRNA abundance in the liver (relative to *Actb*), (B) fasting plasma DPP4 activity, and (C) plasma DPP4 protein in *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice. (D) Blood glucose, (E) active GLP-1, (F) insulin and (G) glucagon during an arginine tolerance test at baseline, 15- and 30-minutes after intraperitoneal injection in *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice fed a HFHC diet for 4 weeks. (H) *Dpp4* mRNA abundance in the liver (relative to *Actb*), (I) fasting plasma DPP4 activity and (J) plasma DPP4 protein in *Dpp4*<sup>EC+/+</sup> mice and *Dpp4*<sup>EC-/-</sup> mice. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P < 0.05$ , \* $P = 0.01-0.05$ , \*\* $P = 0.001-0.01$ , \*\*\* $P = 0.0001-0.001$ .



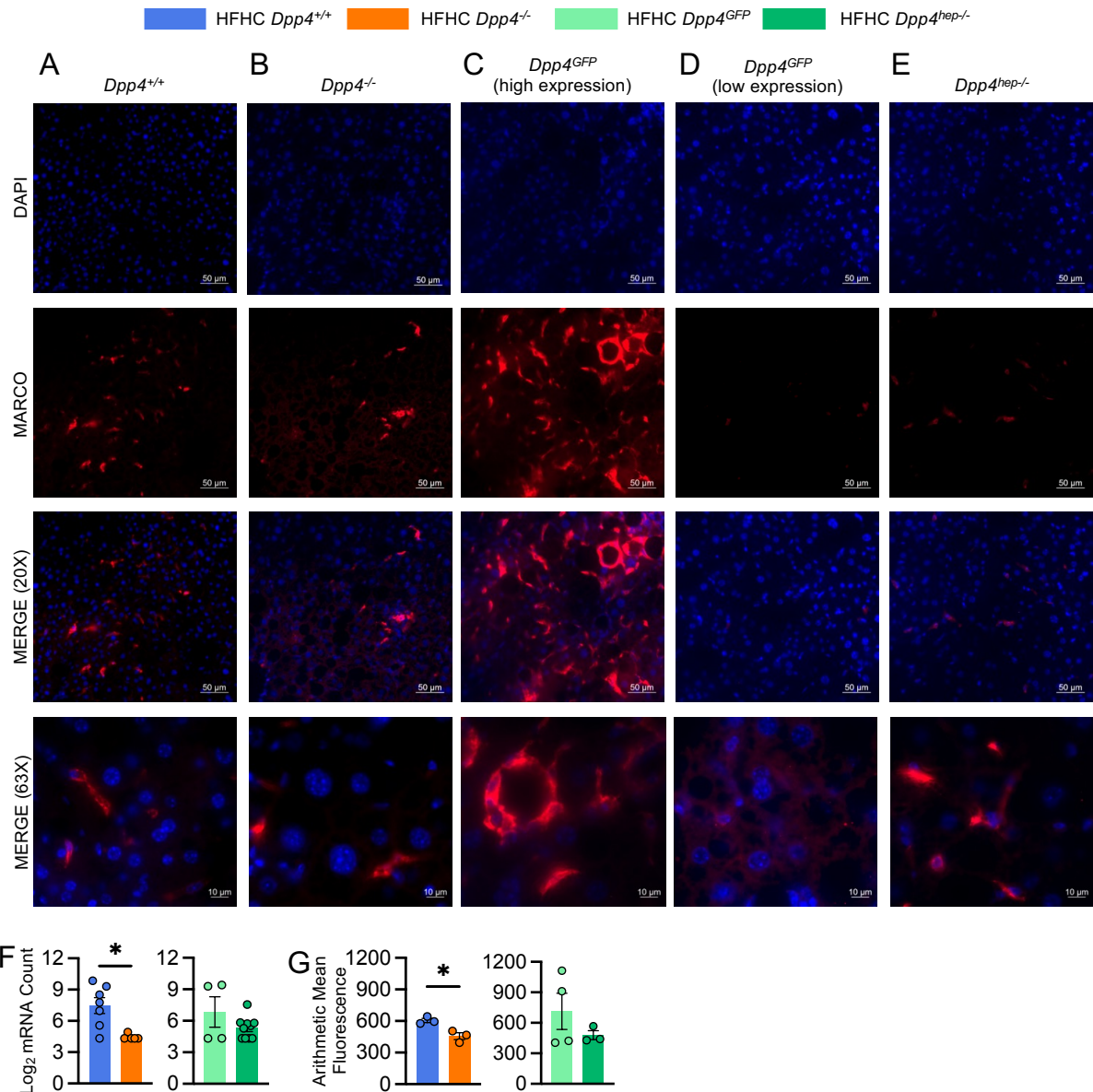
**Supplemental Figure 2.3: OGTT, post-glucose total GLP-1 and liver glycogen.** (A) Oral glucose tolerance test in HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice and HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice. (B) Total GLP-1 15-minutes post-oral glucose gavage in HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice and HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice. (C) Liver glycogen content in HFHC-fed mice after 24 weeks. Data are presented as the means ± SEM. Time-course data is analyzed by two-way ANOVA with Tukey's multiple comparisons post hoc test, remaining data analyzed by unpaired students t-test with Welch's correction, ns  $P < 0.05$ .



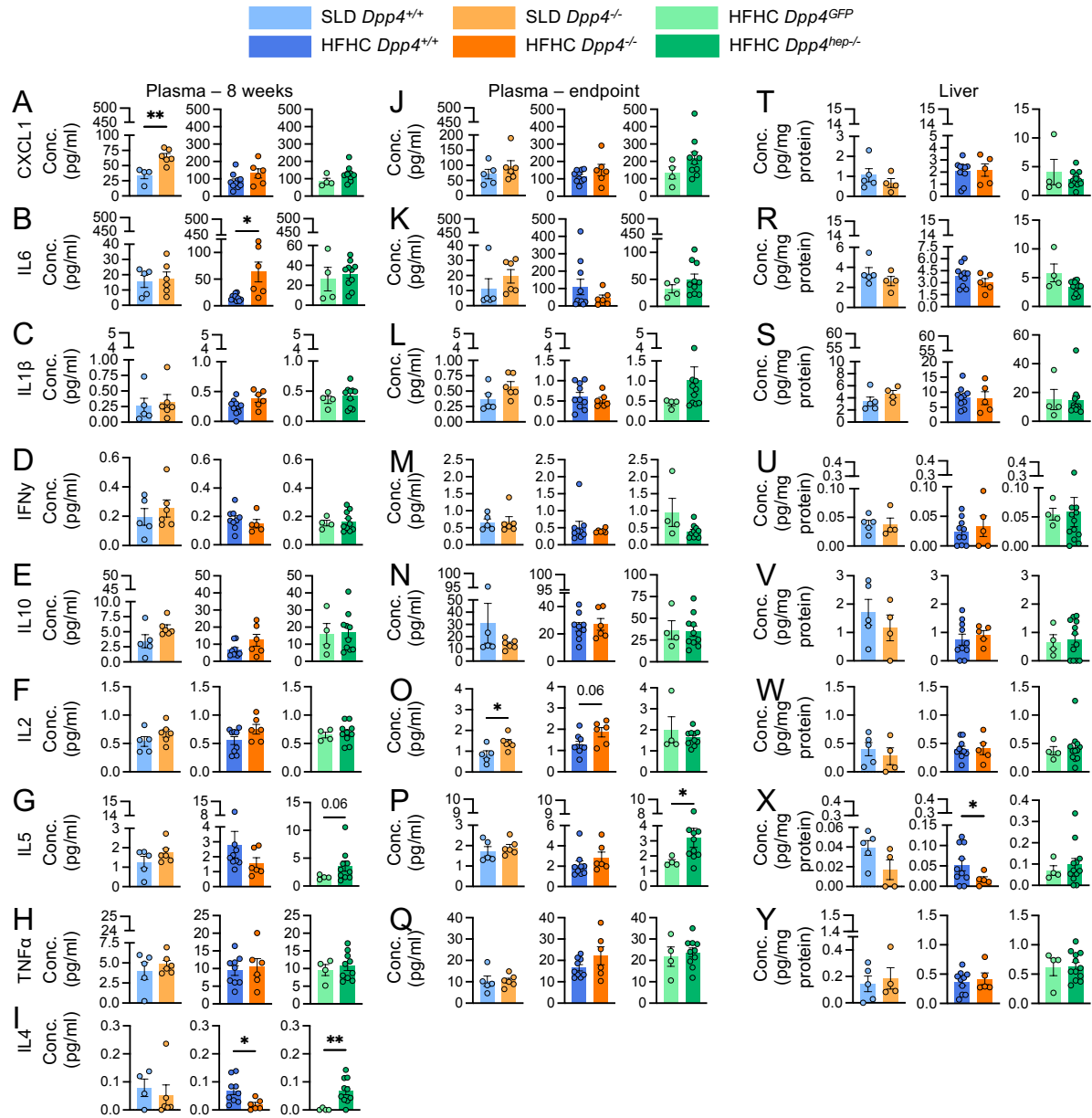
**Supplemental Figure 2.4: Liver mRNA abundance.** Liver mRNA abundance (relative to *Actb*) for (A) *Mmp9*, (B) *Gfap*, (C) *Vim*, (D) *Lrat* and (E) *Pcdh7*. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P < 0.05$ , \* $P = 0.01-0.05$ .



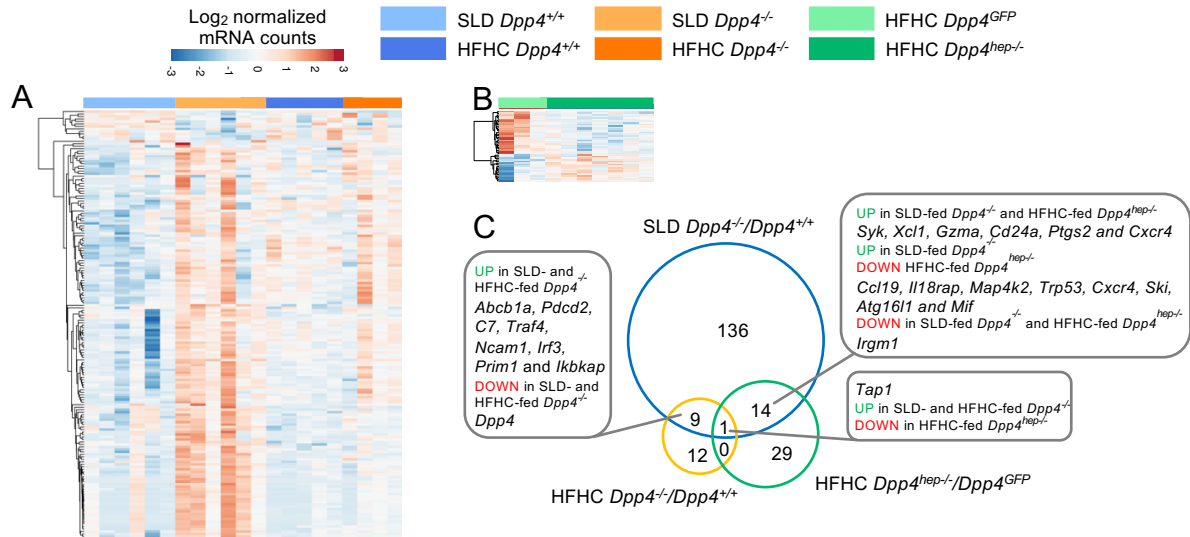
**Supplemental Figure 2.5: NanoString analysis of liver tissue.** (A) Log<sub>2</sub> mRNA count of *Dpp4* in liver tissue. Supervised hierarchical cluster analysis of significantly differentially expressed genes in liver tissue from (B) SLD- and HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice, and (C) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice, using the correlation distance method with average linkage, coloured by the log<sub>2</sub> normalized mRNA count. (D) Venn diagram depicting number of genes identified as significantly differentially expressed in each comparison and overlap. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P > 0.05$  and  $*P = 0.01-0.05$ .



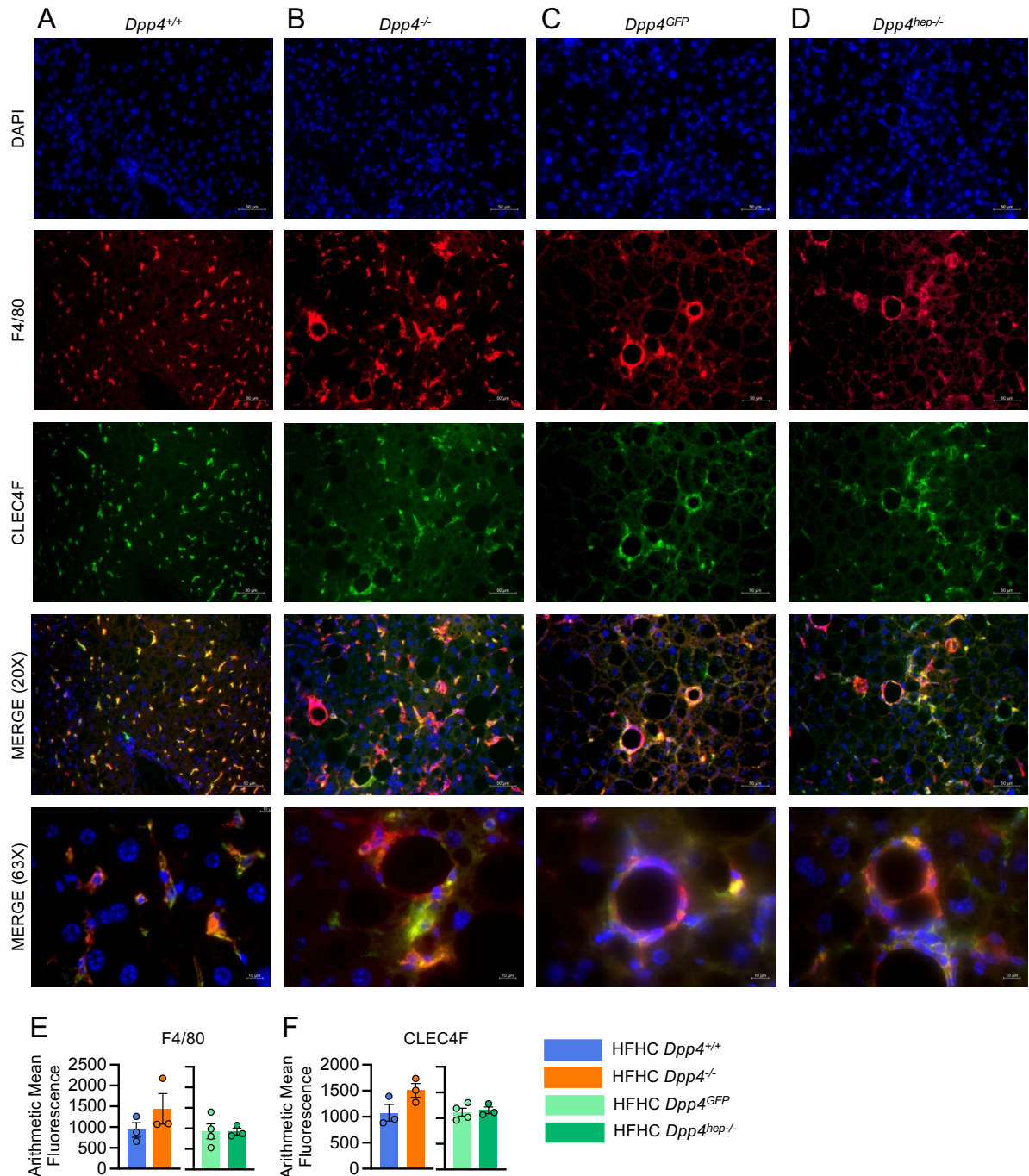
**Supplemental Figure 2.6: Liver MARCO immunofluorescence microscopy.** Representative immunofluorescent images of MARCO in liver at 20X and 63X magnification for (A) HFHC-fed *Dpp4*<sup>+/+</sup>, (B) HFHC-fed *Dpp4*<sup>-/-</sup>, (C) HFHC-fed *Dpp4*<sup>GFP</sup> (high expression sample), (D) HFHC-fed *Dpp4*<sup>GFP</sup> (low expression sample) and (E) *Dpp4*<sup>hep-/-</sup> mice (scale bar at 20X = 50μm, scale bar at 63X = 10μm; 6 regions of interest, n=3-4). (F) NanoString log<sub>2</sub> mRNA count for *Marco* (n=4-11). (G) Arithmetic mean fluorescence of MARCO. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns *P*>0.05, \**P*=0.01-0.05.



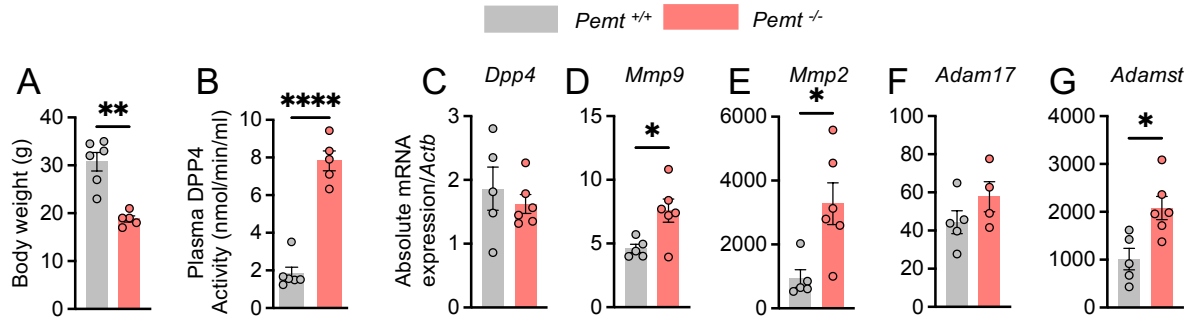
**Supplemental Figure 2.7: Plasma concentrations of chemokines and cytokines.** Plasma concentration of chemokines in cytokines at (A-I) 8 weeks in plasma, (J-Q) endpoint in plasma and (R-Y) in liver tissue homogenates. Data are presented as the mean  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, \* $P=0.01-0.05$ , \*\* $P=0.001-0.01$ .



**Supplemental Figure 2.8: NanoString analysis of F4/80<sup>+</sup> cells isolated from liver tissue.** Supervised hierarchical cluster analysis of significantly differentially expressed genes in F4/80<sup>+</sup> cells isolated from liver tissue from (A) SLD- and HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice, and (B) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice, using the correlation distance method with average linkage, coloured by the log<sub>2</sub> normalized mRNA count. (C) Venn diagram depicting number of genes identified as significantly differentially expressed in each comparison and overlap.



**Supplemental Figure 2.9: Liver immunofluorescence images of F4/80 and CLEC4F.** Representative immunofluorescent images of F4/80 and CLEC4F in liver at 20X and 63X magnification for **(A)** HFHC-fed *Dpp4*<sup>+/+</sup>, **(B)** HFHC-fed *Dpp4*<sup>-/-</sup>, **(C)** HFHC-fed *Dpp4*<sup>GFP</sup> and **(D)** *Dpp4*<sup>hep-/-</sup> mice (scale bar at 20X = 50um, scale bar at 63X = 10um; 6 regions of interest, n=3-4). Arithmetic mean fluorescence of **(E)** F4/80 and **(F)** CLEC4F. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P > 0.05$ .



**Supplemental Figure 2.10: Body weight, DPP4 activity and sheddase mRNA abundance in *Pemt*<sup>-/-</sup> mice.** (A) Body weight, (B) plasma DPP4 activity. Liver mRNA abundance of (C) *Dpp4*, (D) *Mmp9*, (E) *Mmp2*, (F) *Adam17* and (G) *Adamst* in *Pemt*<sup>+/+</sup> or *Pemt*<sup>-/-</sup> mice. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns  $P > 0.05$ , \* $P = 0.01-0.05$ , \*\* $P = 0.001-0.01$ , \*\*\* $P = 0.0001-0.001$  and \*\*\*\* $P < 0.0001$ .

**Supplemental Table 2.1: List of qRT-PCR primers.**

| Oligonucleotides                                                                                                 | Source             | Identifier    |
|------------------------------------------------------------------------------------------------------------------|--------------------|---------------|
| A disintegrin and metallopeptidase domain 17 ( <i>Adam17</i> )                                                   | Applied Biosystems | Mm01231069_m1 |
| A disintegrin-like and metallopeptidase (reprolysin type) with thrombospondin type 1 motif, 1 ( <i>Adamts1</i> ) | Applied Biosystems | Mm01344169_m1 |
| Adhesion G protein-coupled receptor E1 ( <i>Adgre1</i> )                                                         | Applied Biosystems | Mm00802529_m1 |
| Ankyrin repeat domain 1 (cardiac muscle) ( <i>Ankrd1</i> )                                                       | Applied Biosystems | Mm00496512_m1 |
| Arginase, liver ( <i>Arg1</i> )                                                                                  | Applied Biosystems | Mm00475988_m1 |
| C-type lectin domain family 4, member f ( <i>Clec4f</i> )                                                        | Applied Biosystems | Mm00443934_m1 |
| CD163 antigen ( <i>Cd163</i> )                                                                                   | Applied Biosystems | Mm00474091_m1 |
| Chemokine (C-C motif) ligand 11 ( <i>Ccl11</i> , <i>Eotaxin</i> )                                                | Applied Biosystems | Mm00441238_m1 |
| Chemokine (C-C motif) ligand 2 ( <i>Ccl2</i> , <i>Mcp-1</i> )                                                    | Applied Biosystems | Mm00441242_m1 |
| Chemokine (C-C motif) ligand 5 ( <i>Ccl5</i> , <i>Rantes</i> )                                                   | Applied Biosystems | Mm01302427_m1 |
| Chemokine (C-C motif) receptor 2 ( <i>Ccr2</i> )                                                                 | Applied Biosystems | Mm04207877_m1 |
| Chemokine (C-X-C motif) ligand 10 ( <i>Cxcl10</i> , <i>Ip-10</i> )                                               | Applied Biosystems | Mm00445235_m1 |
| Collagen, type I, alpha 1 ( <i>Col1a1</i> )                                                                      | Applied Biosystems | Mm01302043_g1 |
| Collagen, type III, alpha 1 ( <i>Col3a1</i> )                                                                    | Applied Biosystems | Mm00802331_m1 |
| Cyclin-dependent kinase inhibitor 1A (P21) ( <i>Cdkn1a</i> )                                                     | Applied Biosystems | Mm00432448_m1 |
| Cyclin-dependent kinase inhibitor 2A ( <i>Cdkn2a</i> )                                                           | Applied Biosystems | Mm00494449_m1 |
| Dipeptidyl peptidase-4 ( <i>Dpp4</i> )                                                                           | Applied Biosystems | Mm00494548_m1 |
| Forkhead box O1 ( <i>FoxO1</i> )                                                                                 | Applied Biosystems | Mm00490671_m1 |
| Glucagon receptor ( <i>Gcgr</i> )                                                                                | Applied Biosystems | Mm00433550_g1 |
| Glucokinase ( <i>Gck</i> )                                                                                       | Applied Biosystems | Mm00439129_m1 |
| Glucose-6-phosphatase, catalytic ( <i>G6pc</i> )                                                                 | Applied Biosystems | Mm00839363_m1 |
| Glycogen synthase kinase 3 beta ( <i>Gsk3b</i> )                                                                 | Applied Biosystems | Mm00444911_m1 |
| Insulin-like growth factor 1 ( <i>Igf1</i> )                                                                     | Applied Biosystems | Mm01233960_m1 |
| Insulin-like growth factor I receptor ( <i>Igf1r</i> )                                                           | Applied Biosystems | Mm00802831_m1 |
| Integrin alpha X ( <i>Itgax</i> )                                                                                | Applied Biosystems | Mm00498707_m1 |
| Liver glycogen phosphorylase ( <i>Pygl</i> )                                                                     | Applied Biosystems | Mm01289790_m1 |
| Mannose receptor, C type 1 ( <i>Mrc1</i> )                                                                       | Applied Biosystems | Mm01329359_m1 |
| Matrix metallopeptidase 2 ( <i>Mmp2</i> )                                                                        | Applied Biosystems | Mm00439498_m1 |
| Matrix metallopeptidase 9 ( <i>Mmp9</i> )                                                                        | Applied Biosystems | Mm01240562_g1 |
| Microsomal triglyceride transfer protein ( <i>Mttp</i> )                                                         | Applied Biosystems | Mm00435015_m1 |
| Phosphoenolpyruvate carboxykinase 1, cytosolic ( <i>Pck1</i> )                                                   | Applied Biosystems | Mm01247058_m1 |
| Sterol regulatory element binding transcription factor 1 ( <i>Srebf1</i> )                                       | Applied Biosystems | Mm01138344_m1 |

**Supplemental Table 2.2: DPP4 plasma parameters, *Dpp4* liver mRNA expression and CP and PV analyses in aged, HFHC-fed mice.**

|                                                   | <i>Dpp4</i> <sup>GFP</sup> | <i>Dpp4</i> <sup>hep-/-</sup> |
|---------------------------------------------------|----------------------------|-------------------------------|
| Fasting plasma DPP4 activity (nmol/min/ml)        | 0.86                       | 0.38                          |
| Fasting plasma DPP4 concentration (ng/ml)         | 250.50                     | 370.50                        |
| Absolute <i>Dpp4</i> mRNA Expression/ <i>Actb</i> | 1.24                       | 0.17                          |
| PV plasma DPP4 concentration (ng/ml)              | 159.50                     | 185.50                        |
| PV plasma active GLP-1 (mg/ml)                    | 1.29                       | 4.55                          |
| CP plasma active GLP-1 (mg/ml)                    | 0.18                       | 0.53                          |
| PV plasma active GIP (pM)                         | 6.33                       | 27.17                         |
| CP plasma active GIP (pM)                         | 1.50                       | 5.17                          |
| PV plasma insulin (ng/ml)                         | 5.37                       | 3.47                          |
| CP plasma insulin (ng/ml)                         | 2.31                       | 2.77                          |
| PV plasma glucagon (pg/ml)                        | 86.54                      | 32.63                         |
| CP plasma glucagon (pg/ml)                        | 10.20                      | 12.15                         |

## 2.8 References

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### **3 Elimination of hepatocyte-derived DPP4 downregulates cardiac immune- and collagen-related genes but does not alter cardiac function in aged mice**

Authors: **Natasha A. Trzaskalski**, Melissa M. Dann, Antonio Hanson, Branka Vulesevic, Evgenia Fadzeyeva, Natasha Jeraj, Ilka Lorenzen-Schmidt, Rick Seymour & Erin E. Mulvihill

#### **3.1 Author Contributions**

Conceptualization: EEM; Methodology: EEM; Formal Analysis: NAT, MMD, BV; Investigation: NAT, MMD, AH, BV, EF, NJ, ILS, RS, EEM; Resources: EEM; Writing – Original Draft: NAT, BV, EEM; Writing – Review and Editing: all authors; Supervision: EEM; Project Administration: EEM; Funding Acquisition: EEM.

### 3.2 Abstract

**Background:** In Canada, 20% of the population is living with metabolic syndrome, effectively increasing their risk of MASLD and cardiovascular disease. The relationship between MASLD and cardiovascular disease is well characterized, however, the underlying mechanism is incompletely understood. Interestingly, hepatocyte specific genetic elimination or silencing via siRNA of DPP4 prevents liver fibrosis and adipose tissue inflammation. DPP4 elimination from the liver has both hepatic and extra-hepatic implications, however, how this affects the heart remains to be investigated. This study will evaluate how diastolic function and molecular signatures of HF, like inflammation and fibrosis, are affected by hepatocyte-specific genetic elimination of *Dpp4*.

**Methods:** *Dpp4*<sup>+/+</sup>, *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>flox/flox</sup> mice injected with an AAV to selectively eliminate DPP4 from hepatocytes (*Dpp4*<sup>hep-/-</sup>), and respective controls (*Dpp4*<sup>GFP</sup>), were aged and fed a HFHC diet for 24 weeks. Mice underwent 2D echocardiography as well as pulsed-wave and tissue doppler echocardiography. Further, speckle-tracking strain analysis was conducted to detect diastolic dysfunction. Differential mRNA analysis using the NanoString platform and qRT-PCR were conducted using ventricular tissue to assess immunological pathway expression, and hypertrophy, modeling-related, senescence and metabolism gene expression.

**Results:** Immunological pathway analysis of ventricular tissue revealed downregulation of 12 immune-related pathways in *Dpp4*<sup>hep-/-</sup> mice, including apoptosis and chemokine and cytokine signaling, however, this was not observed in *Dpp4*<sup>-/-</sup> mice. Further, fibrosis and ECM modeling-related genes, *Col1a1*, *Col3a1*, *Ctgf* and *Myh7*, were significantly upregulated in *Dpp4*<sup>-/-</sup> mice, but unchanged in *Dpp4*<sup>hep-/-</sup>

mice, compared to controls. Interestingly, cardiac hypertrophy and systolic and diastolic function evaluated with echocardiography were unchanged.

**Conclusions:** Immune-related pathways are downregulated in *Dpp4<sup>hep-/-</sup>* mice, while fibrosis genes are significantly upregulated in *Dpp4<sup>-/-</sup>* mice, compared to respective controls. Despite these molecular changes, cardiac hypertrophy, systolic and diastolic function were unchanged with systemic and organ specific loss of *Dpp4*.

**New and Noteworthy:** We demonstrated that hepatocyte-specific *Dpp4* genetic elimination protected mice with significant decreases in the mRNA expression of inflammation genes involved in immune-related pathways, like *Ccl11* (*eotaxin-1*), *Cxcl10* (*Ip-10*) and *Tnf*, as well as collagen genes in myocardial tissue, suggesting a better prognosis irrespective of function. Interestingly, these molecular changes did not improve cardiac dysfunction between genotypes and their controls, evaluated via systolic and diastolic measurements. These findings suggest that reducing hepatocyte-derived DPP4 is sufficient to alter molecular perturbations in the heart resulting from age and HFHC feeding.

### 3.3 Introduction

Currently, 1 in 5 Canadians are living with metabolic syndrome; a cluster of risk factors which increase the risk of cardiovascular disease (CVD) and type 2 diabetes (T2D) in adults<sup>1</sup>. Metabolic dysfunction-associated steatotic liver disease (MASLD) is the most recent acronym introduced to appropriately describe hepatic lipid accumulation with at least one of five cardiovascular risk factors, including obesity, hyperglycemia and hypertriglyceridemia<sup>2</sup>. Interestingly, MASLD appears to have extrahepatic consequences which can contribute to CVD progression<sup>3,4</sup>. In fact, CVD is the leading cause of death in individuals with fatty liver disease (40-45%)<sup>5</sup>. These individuals are also predisposed to atherogenic dyslipidemia that contributes to increasing the risk of atherosclerosis and the development of unstable plaques<sup>6</sup>. Interestingly, growing evidence suggests that MASLD, independent of well-established cardiovascular risk factors, can still contribute significantly to CVD<sup>7</sup>, and may be linked to the release of proinflammatory and profibrogenic factors and mediators<sup>8-11</sup>. Currently there is only one FDA approved drug for the later stages of MASLD<sup>12</sup>, metabolic dysfunction-associated steatohepatitis (MASH), but unfortunately, there are no pharmacological agents approved for early intervention. However, agents used to regulate dysglycemia in diabetes have recently become of interest, mainly since insulin resistance precedes, and is essential to, hepatocellular lipid accumulation<sup>13,14</sup>.

The liver, adipose tissue, and skeletal muscle, secrete proteins, respectively called hepatokines, adipokines and myokines, which influence biological functions in both local and peripheral tissues resulting in inter-tissue crosstalk<sup>15,16</sup>. One such hepatokine<sup>17,18</sup>, previously regarded as an adipokine<sup>19,20</sup>, is dipeptidyl peptidase-4

(DPP4). It selectively cleaves N-terminal dipeptides from substrates, the most well-characterized being the incretin hormones glucagon-like peptide-1 (GLP-1) and glucose-dependent insulintropic polypeptide (GIP), which are quickly inactivated preventing postprandial insulin secretion<sup>21-23</sup>. In line with this, we recently revealed increased active GLP-1 in the portal vein and reduced hepatic glucose production with genetic elimination of hepatocyte-derived *Dpp4* (*Dpp4<sup>hep-/-</sup>*) in mice<sup>24</sup>.

Through hepatocyte-targeted siRNA, Ghorpade *et al.*<sup>18</sup> silenced hepatocyte *Dpp4* and demonstrated reduced adipose tissue inflammation and insulin resistance in obese mice. Consistent with these findings, in *Dpp4<sup>hep-/-</sup>* mice, Varin *et al.*<sup>17</sup> showed decreased inflammation in liver and adipose tissue but had no effect on glucose tolerance. Conversely, Baumeier *et al.*<sup>25</sup> overexpressed hepatic *Dpp4* which resulted in adipose tissue inflammation, hypercholesteremia, hepatic steatosis and insulin resistance which was linked to reduced levels of active vena cava GLP-1 compared to portal vein GLP-1, suggesting inactivation by hepatocyte-derived DPP4. In the context of fatty liver disease, Gorgens *et al.*<sup>26</sup> revealed that hepatocyte specific siRNA mediated *Dpp4* knockout reduced hepatic steatosis with no change in glucose metabolism in *db/db* mice. We have also recently shown reduced hepatic fibrosis and mRNA expression of immunological pathways in *Dpp4<sup>hep-/-</sup>* mice<sup>24</sup>.

However, despite consistent evidence for reducing inflammation in liver and adipose tissue, the impact of hepatocyte-derived DPP4 in cardiovascular disease progression remains to be evaluated. Here, we investigate the role of hepatic DPP4 in ventricular function and preventing cardiac inflammation and fibrosis in a diet-induced model of hepatic steatosis.

### 3.4 Methods

#### 3.4.1 Animals

Animals were cared for in accordance with the Canadian Guide for the Care and Use of Laboratory animals (CCAC). All experimental procedures were approved under AUP#2909 and AUP#2029 by the University of Ottawa Animal Care. Male mice were housed under a 12-h light/dark cycle and maintained on high fat, high cholesterol (HFHC; 42% kcal from fat, 42.7% kcal from carbohydrates, 15.2% kcal from protein, 0.2% weight from cholesterol: TD88137, Envigo) diet. Male, whole body *Dpp4*<sup>-/-</sup> mice, on a C57BL6 background, have been described<sup>27,28</sup>. To generate *Dpp4*<sup>hep-/-</sup> mice, male *Dpp4*<sup>flox/flox</sup> adult mice were *i.v.* injected with 1.5x10<sup>11</sup> GC per mouse of AAV8.TBG.pi.egfp.wpre.bgh (AAV-GFP; control virus, #105535-AAV8) or AAV8.TBG.PI.CRE.rBG (AAV-Cre; #107787-AAV8) prior to the onset of HFHC diet feeding. AAV constructs were obtained from the University of Pennsylvania Vector Core Lab (gift from James M. Wilson, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA). B6.Cg-Tg(Tek-cre) 1Ywa/J mice were obtained from The Jackson Laboratory (Strain #: 008863, RRID: IMSR\_JAX:008863) and bred with *Dpp4*<sup>flox/flox</sup> to generate *Dpp4*<sup>EC-/-</sup> mice. To assess cardiac dysfunction, experiments were performed in 16–28-week-old mice fed a HFHC diet for 24 weeks sacrifice where tissue collection was performed at 40-52 weeks of age, as previously described<sup>29</sup>.

#### 3.4.2 NanoString mRNA Analysis

NanoString (NanoString Technologies, Inc., Seattle WA) nCounter® Mouse Immunology Panel (catalog# XT-CSO-MIM1-12), were used where 100ng RNA was incubated with reporter and capture probes, consisting of 547 immunology-related mouse genes and 14 internal reference controls, for 16h at 65°C as described<sup>24</sup>.

Raw gene expression data were analyzed using NanoString's software, nSolver v4.0.70, with the Advanced Analysis Module v2.0.115 with background subtraction. Genes with counts below a threshold of 20 were excluded from subsequent analysis. Data normalization was completed on background-subtracted samples using both the internal positive controls and selected consistent housekeeping genes used across all analyses in ventricular tissue: *Rpl19*, *Ppia*, *Eef1g*, *Oaz1*, *Gusb*, *Tbp*, *G6pdx*, *Tubb5*, *Polr2a*, *Sdha*, *Gapdh* and *Polr1b*. Significant differential gene expression was determined through analyses using nSolver, which employs multivariate linear regression models to identify significant genes (mixture negative binomial, simplified negative binomial, or log-linear model). Raw mRNA counts were log<sub>2</sub> transformed and significance was determined using a T-test. Statistically significant, differentially expressed genes were identified as those with a p-value <0.05. Ratios of log<sub>2</sub> normalized transcript count data were generated for ventricular tissue from HFHC-fed *Dpp4*<sup>-/-</sup> mice vs baseline HFHC-fed WT mice and HFHC-fed *Dpp4*<sup>hep-/-</sup> mice vs baseline HFHC-fed *Dpp4*<sup>GFP</sup> mice.

Pathway scores generated from nSolver Advanced Analysis were standardized by Z-scaling. ClustVis<sup>30</sup> was used to perform supervised hierarchical clustering analysis and principal components analysis of log<sub>2</sub> transformed transcript count data and Z-scaled pathway scores.

### **3.4.3 Plasma analysis**

Analysis for plasma ALT, AST, ALP, TG, cholesterol, LDL and HDL were performed by the Pathology Core at Toronto Centre for Phenogenomics. The Beckman Coulter AU480 clinical chemistry analyzer was used in combination with appropriate reagents (ALT, AST, TG, cholesterol, LDL, and HDL), calibrators

(Beckman Coulter Lyophilized Chemistry Calibrator Levels 1 and 2), and quality control materials (Bio-Rad Liquid Assayed Multiquant 1 and 3).

#### **3.4.4 RNA isolation, cDNA and qRT-PCR**

Flash-frozen mouse hearts, without atria, were powdered and homogenized with TriReagent (Sigma Aldrich, St. Louis, MO) using a TissueLyser II system (Qiagen), and total RNA was extracted using manufacturer's protocol. Reverse transcription was performed with the Applied Biosystems™ High-Capacity cDNA Reverse Transcription Kit. cDNA was subsequently used to assess mRNA expression by qRT-PCR (QuantStudio 5 System, Thermo Fisher Scientific) with TaqMan Fast Advanced Master Mix (Thermo Fisher Scientific, 4444557) and TaqMan Gene Expression Assays (Thermo Fisher Scientific). The specific gene expression assays used are listed (**Supplemental Table 3.1**). Quantification of transcript levels was performed by the standard curve method, and expression levels for each gene were normalized to *Gapdh*.

#### **3.4.5 Echocardiography: Image Acquisition**

Echocardiographic images were acquired with the Vevo 3100 high frequency small animal ultrasound system (FUIJIFILM VisualSonics, Toronto, Canada), using either the MX400 or MX550D linear array transducers. Mice were anesthetized with 1-2% isoflurane in 2 L/min oxygen and positioned supine on a heated physiological monitoring platform, to collect respiration and ECG traces. Body temperature was monitored using a rectal thermometer and maintained between 36°C and 38°C. Images of the left ventricle were taken at 16 weeks and 24 weeks after HFHC-feeding, using parasternal long axis view in 2D brightness mode (B-mode). To further assess

diastolic function of the left ventricle, pulsed wave and tissue doppler were used to measure mitral valve inflow and mitral annulus velocity, respectively, in an apical four-chamber view of the heart. E and A peaks were measured from 1-3 consecutive heart beats between breaths.

#### **3.4.6 Strain Analysis**

Echocardiographic images in long-axis view were analyzed for longitudinal and radial strain and strain rate using Vevo Strain software (Visualsonics). Three consecutive cardiac cycles free of respiratory artifacts were analyzed. Epi- and endocardium of the heart were manually traced at the time of end-diastole in the first cycle and adjusted to ensure accurate tracking of the epi- and endocardial borders throughout the three cycles. The software determined an average time course of strain and strain rate at 49 evenly spaced points along the perimeter of epi- and endocardium. Since it is difficult for technical reasons to detect strains accurately in the apical region, the apex was excluded from the analysis, leaving 34 points along the anterior and posterior myocardium, as previously described<sup>31</sup>. The average strain and strain rate time course was calculated from these points in each of the directions (longitudinal and radial) and the appropriate maxima/minima chosen to represent systolic and diastolic strain and strain rate, respectively.

#### **3.4.7 Statistics**

All data were plotted and statistical analysis were performed using GraphPad Prism (version 9.5.1). Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, between genetic knockouts and respective controls (*Dpp4*<sup>+/+</sup> vs *Dpp4*<sup>-/-</sup> mice, and *Dpp4*<sup>GFP</sup> vs *Dpp4*<sup>hep-/-</sup> mice). Statistical differences between groups were evaluated by  $P < 0.05$  was considered statistically

significant.

### 3.5 Results

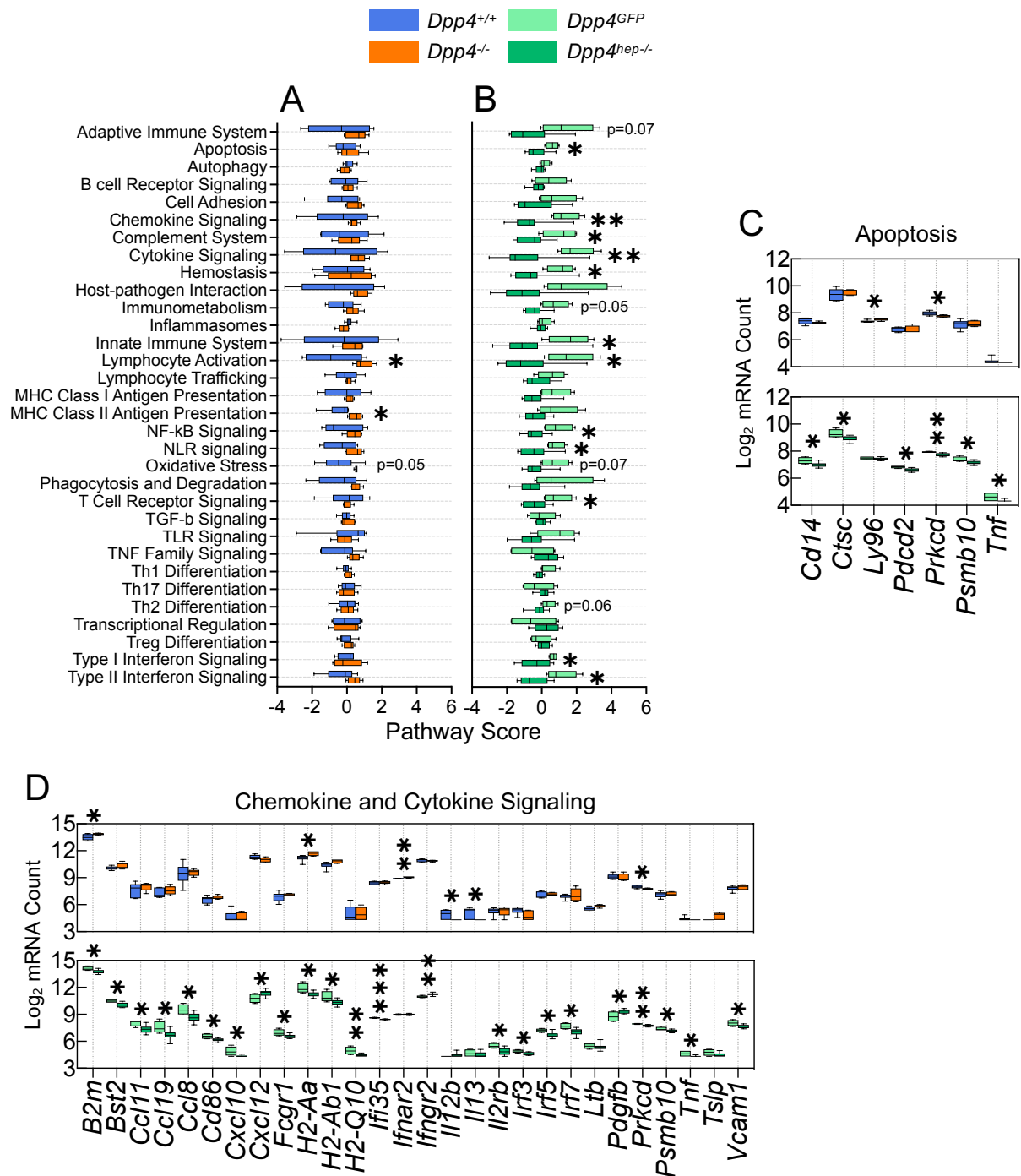
#### 3.5.1 In ventricular tissue, immune-related genes and pathways are largely downregulated in HFHC-fed *Dpp4<sup>hep-/-</sup>* mice

Plasma biochemical analysis revealed no significant changes between *Dpp4<sup>GFP</sup>* and *Dpp4<sup>hep-/-</sup>* mice, however, triglycerides and glucose were significantly decreased in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* mice (**Table 3.1**)<sup>29</sup>. Further, log<sub>2</sub> normalized mRNA counts, and plasma and liver DPP4 activity were significantly decreased in *Dpp4<sup>-/-</sup>* mice, while only plasma DPP4 activity was significantly decreased in *Dpp4<sup>hep-/-</sup>* mice (**Table 3.1**)<sup>24</sup>. Pathway analysis conducted on gene expression in ventricular tissue collected from *Dpp4<sup>+/+</sup>* mice (wild-type, WT), *Dpp4<sup>-/-</sup>* mice (global *Dpp4* deletion), hepatocyte-specific *Dpp4* knockout mice (*Dpp4<sup>hep-/-</sup>*) and their respective controls, *Dpp4<sup>GFP</sup>* mice fed a high-fat high-cholesterol (HFHC) diet for 24 weeks, revealed distinct and opposing patterns. Only two pathways were significantly upregulated in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* controls: lymphocyte activation and MHC class II antigen presentation (**Figure 3.1A**). In contrast, 12 pathways were significantly downregulated in *Dpp4<sup>hep-/-</sup>* mice compared to their respective controls, *Dpp4<sup>GFP</sup>* mice (**Figure 3.1B**). This includes chemokine and cytokine signaling, apoptosis and NF-κB signaling. In addition, adaptive immune system, immunometabolism, oxidative stress and Th2 differentiation pathways were all trending downwards in *Dpp4<sup>hep-/-</sup>* mice compared to their respective controls, *Dpp4<sup>GFP</sup>* mice (**Figure 3.1B**). Further, individual apoptosis related genes were either unchanged or upregulated in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* controls, including

*Ly96* (**Figure 3.1C**). In *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice, almost all genes were significantly downregulated including *Cd14* and *Tnf* (**Figure 3.1C**). This pattern was maintained when we looked at chemokine and cytokine signaling (**Figure 3.1D**). Most genes were significantly differentially downregulated in *Dpp4<sup>hep-/-</sup>* mice compared to their respective controls, *Dpp4<sup>GFP</sup>* mice, and these same genes were either unchanged or upregulated in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* controls.

**Table 3.1: Biochemical analysis of liver tissue and plasma of aged HFHC-fed *Dpp4*<sup>+/+</sup>, *Dpp4*<sup>-/-</sup>, *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice.** Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, between genetic knockouts and respective controls (*Dpp4*<sup>+/+</sup> vs *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>GFP</sup> vs *Dpp4*<sup>hep-/-</sup>), ns  $p > 0.05$ , \* $p = 0.01-0.05$ , \*\* $p = 0.001-0.01$ , \*\*\* $p = 0.0001-0.001$  and \*\*\*\* $p < 0.0001$ .

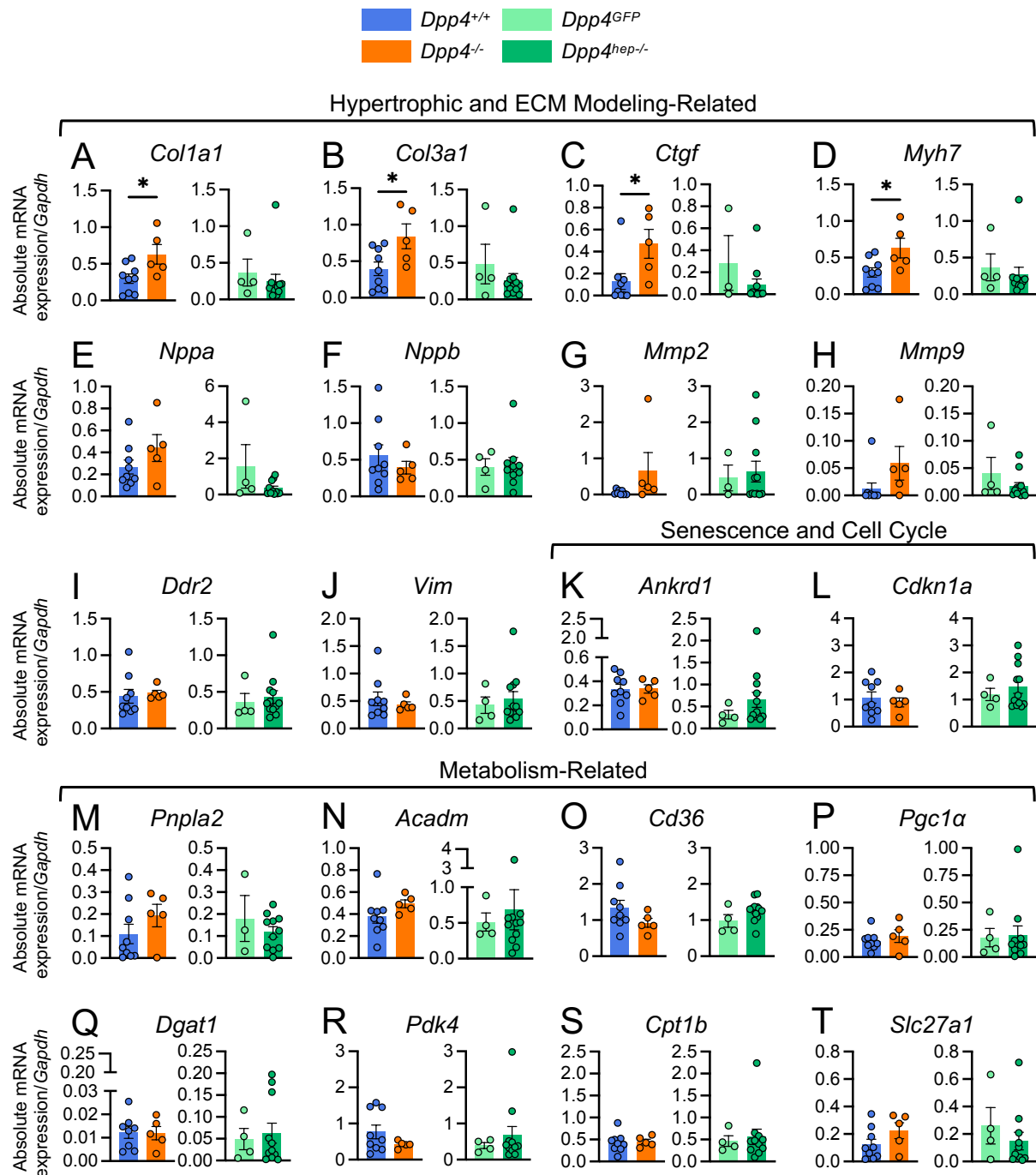
|                                           | <i>Dpp4</i> <sup>+/+</sup> | <i>Dpp4</i> <sup>-/-</sup> | <i>Dpp4</i> <sup>GFP</sup> | <i>Dpp4</i> <sup>hep-/-</sup> |
|-------------------------------------------|----------------------------|----------------------------|----------------------------|-------------------------------|
| ALT (IU/L)                                | 244.66 $\pm$ 95.2          | 128.26 $\pm$ 38.6          | 194.125 $\pm$ 58.6         | 325.85 $\pm$ 66.4             |
| AST (IU/L)                                | 202.21 $\pm$ 46.5          | 180.40 $\pm$ 15.3          | 224.675 $\pm$ 62.6         | 273.13 $\pm$ 26.4             |
| ALP (IU/L)                                | 26.95 $\pm$ 7.7            | 31.35 $\pm$ 17.7           | 41.15 $\pm$ 27.7           | 27.64 $\pm$ 6.3               |
| Cholesterol (mg/dL)                       | 293.60 $\pm$ 30.2          | 249.66 $\pm$ 44.8          | 261.35 $\pm$ 48.7          | 320.01 $\pm$ 17.7             |
| HDL (mg/dL)                               | 113.52 $\pm$ 8.0           | 102.71 $\pm$ 11.9          | 109.20 $\pm$ 15.6          | 126.55 $\pm$ 7.6              |
| TG (mg/dL)                                | 82.82 $\pm$ 7.4            | 56.95 $\pm$ 0.8 **         | 64.87 $\pm$ 1.6            | 66.32 $\pm$ 3.0               |
| LDL (mg/dL)                               | 34.71 $\pm$ 5.1            | 21.64 $\pm$ 4.6            | 23.26 $\pm$ 5.1            | 29.23 $\pm$ 3.2               |
| Glucose (mg/dL)                           | 373.88 $\pm$ 17.4          | 314.58 $\pm$ 35.4 *        | 290.45 $\pm$ 14.3          | 335.35 $\pm$ 33.2             |
| Body weight (g)                           | 49.07 $\pm$ 2.9            | 52.71 $\pm$ 4.6            | 49.49 $\pm$ 3.2            | 54.39 $\pm$ 2.1               |
| Plasma DPP4 Activity (nmol/min/ml)        | 6.60 $\pm$ 1.20            | 0.00 $\pm$ 0.00 ***        | 7.67 $\pm$ 1.21            | 2.63 $\pm$ 0.14 *             |
| Liver DPP4 Activity (nmol/min/mg protein) | 0.73 $\pm$ 0.23            | 0.00 $\pm$ 0.00 **         | 0.47 $\pm$ 0.15            | 0.08 $\pm$ 0.04               |



**Figure 3.1:** In *Dpp4*<sup>hep-/-</sup> mice, immune-related genes and pathways are downregulated compared to controls, but relatively unchanged in *Dpp4*<sup>-/-</sup> mice. Scores of immunological pathways in ventricular tissue of (A) HFHC-fed *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice and (B) HFHC-fed *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice. Log<sub>2</sub> normalized mRNA counts of genes associated with (C) apoptosis and (D) chemokine and cytokine signaling. Box and whisker plots: box extends from the 25th to 75th percentiles, the whiskers go down to the smallest value and up to the largest. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, ns  $p < 0.05$ , \* $p = 0.01-0.05$ , \*\* $p = 0.001-0.01$ , \*\*\* $p = 0.0001-0.001$ .

### **3.5.2 Fibrosis related genes, but not hypertrophy or metabolism related genes, are upregulated in *Dpp4*<sup>-/-</sup> mice and unchanged in *Dpp4*<sup>hep-/-</sup> mice**

Since immunological pathways and genes were downregulated in *Dpp4*<sup>hep-/-</sup> mice, we evaluated hypertrophy, fibrosis and metabolism related genes using qRT-PCR in ventricular tissue to determine if there were additional molecular changes. Interestingly, pro-fibrotic genes *Col1a1* (**Figure 3.2A**), *Col3a1* (**Figure 3.2B**), *Ctgf* (**Figure 3.2C**) and *Myh7* (**Figure 3.2D**) were all significantly upregulated in *Dpp4*<sup>-/-</sup> mice compared to *Dpp4*<sup>+/+</sup> controls, however, unchanged in *Dpp4*<sup>hep-/-</sup> mice. Interestingly, additional hypertrophy and fibrosis related genes, including *Nppa* (**Figure 3.2E**), *Nppb* (**Figure 3.2F**), *Mmp2* (**Figure 3.2G**), *Mmp9* (**Figure 3.2H**), *Ddr2* (**Figure 3.2I**) and *Vim* (**Figure 3.2J**) were all unchanged between groups. Similarly, senescence and cell cycle genes *Ankrd1* (**Figure 3.2K**) and *Cdkn1a* (**Figure 3.2L**) were also unchanged. Following these trends, all metabolism related genes involved in fatty acid oxidation and glucose metabolism were unchanged between genotypes, including *Pnpla2* (**Figure 3.2M**), *Acadm* (**Figure 3.2N**), *Cd36* (**Figure 3.2O**), *Pgc1a* (**Figure 3.2P**), *Dgat1* (**Figure 3.2Q**), *Pdk4* (**Figure 3.2R**), *Cpt1b* (**Figure 3.2S**) and *Slc27a1* (**Figure 3.2T**).



**Figure 3.2: Fibrosis-related genes are upregulated in *Dpp4*<sup>-/-</sup> mice and unchanged in *Dpp4*<sup>hep-/-</sup> mice, but no differences were detected in senescence and metabolism-related genes.** Ventricular mRNA abundance (relative to *Gapdh*) of hypertrophic and ECM modeling-related genes (**A**) *Col1a1*, (**B**) *Col3a1*, (**C**) *Ctgf*, (**D**) *Myh7*, (**E**) *Nppa*, (**F**) *Nppb*, (**G**) *Mmp2* (**H**) *Mmp9*, (**I**) *Ddr2* and (**J**) *Vim*. Senescence and cell cycle genes (**K**) *Ankrd1* and (**L**) *Cdkn1a*. Metabolism-related genes (**M**) *Pnpla2*, (**N**) *Acadm*, (**O**) *Cd36*, (**P**) *Pgc1α*, (**Q**) *Dgat1*, (**R**) *Pdk4*, (**S**) *Cpt1b* and (**T**) *Slc27a1*. Data are presented as the means ± SEM, analyzed by unpaired students t-test with Welch's correction, ns p<0.05, \*p=0.01-0.05, \*\*p=0.001-0.01.

### 3.5.3 Ventricular remodelling and systolic function are unchanged in *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>hep-/-</sup> mice compared to respective controls

Immune and inflammation-related genes and associated pathway analysis were significantly reduced in ventricular tissue from *Dpp4*<sup>hep-/-</sup> mice. Additionally, *Col1a1* and *Col3a1* were also induced in *Dpp4*<sup>-/-</sup> mice, prompting us to perform echocardiography to assess gross remodeling and assess if molecular changes translated to a functional cardiac phenotype. Heart weight normalized to both total body weight, and tibia length were unchanged between groups. Similarly end diastolic and end systolic left ventricular mass were unchanged (**Table 3.2**). Interventricular septal thickness at diastole was trending downwards in *Dpp4*<sup>hep-/-</sup> mice and unchanged in *Dpp4*<sup>-/-</sup> mice, however at systole, there was no change. The left ventricular internal diameter was unchanged between genotypes at both diastole and systole, and the left ventricular posterior wall (LVPW) thickness was unchanged at diastole in both genotypes (**Table 3.2**). Interestingly, however, at systole, LVPW thickness significantly increased in *Dpp4*<sup>-/-</sup> mice, along with overall thickness, which were both unchanged in *Dpp4*<sup>hep-/-</sup> mice. These structural changes and altered immune and fibrosis molecular signatures, however, did not translate to altered systolic function, as left ventricular ejection fraction (LVEF), stroke volume (SV) and cardiac output (CO) were all unchanged (**Table 3.2**).

**Table 3.2: Physiological and systolic echocardiographic analyses reveal no differences between genotypes and their controls.** HW = heart weight, BW = body weight, TL = tibia length, EDLVM = end diastolic left ventricular mass, ESLVM = end systolic left ventricular mass, IVS = interventricular septal thickness, LVID = left ventricular internal diameter, LVPW = left ventricular posterior wall thickness, HR = heart rate, LVEF = ejection fraction, SV = stroke volume, CO = cardiac output, d = diastole, s = systole and bpm = beats per minute. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, between genetic knockouts and respective controls (*Dpp4*<sup>+/+</sup> vs *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>GFP</sup> vs *Dpp4*<sup>hep-/-</sup>), ns p<0.05, \*p=0.01-0.05.

|            |        | <i>Dpp4</i> <sup>+/+</sup> | <i>Dpp4</i> <sup>-/-</sup> | <i>Dpp4</i> <sup>GFP</sup> | <i>Dpp4</i> <sup>hep-/-</sup> |
|------------|--------|----------------------------|----------------------------|----------------------------|-------------------------------|
| HW/BW      | mg/g   | 3.69 $\pm$ 0.21            | 3.9 $\pm$ 0.46             | 3.86 $\pm$ 0.23            | 3.44 $\pm$ 0.18               |
| HW/TL      | mg/mm  | 7.88 $\pm$ 0.55            | 9.16 $\pm$ 0.7             | 7.78 $\pm$ 0.47            | 8.29 $\pm$ 0.28               |
| EDLVM      | mg     | 111 $\pm$ 7.06             | 106.4 $\pm$ 10.74          | 105.33 $\pm$ 11.09         | 92.77 $\pm$ 3.14              |
| ESLVM      | mg     | 106 $\pm$ 7.29             | 104 $\pm$ 12               | 102 $\pm$ 11.37            | 94.44 $\pm$ 4.69              |
| IVS,d      | mm     | 1.08 $\pm$ 0.04            | 1.18 $\pm$ 0.06            | 1.09 $\pm$ 0.1             | 0.93 $\pm$ 0.03               |
| IVS,s      | mm     | 1.53 $\pm$ 0.05            | 1.64 $\pm$ 0.07            | 1.54 $\pm$ 0.16            | 1.35 $\pm$ 0.05               |
| LVID,d     | mm     | 4.21 $\pm$ 0.14            | 4.01 $\pm$ 0.32            | 4.07 $\pm$ 0.16            | 4.24 $\pm$ 0.1                |
| LVID,s     | mm     | 2.92 $\pm$ 0.18            | 2.54 $\pm$ 0.31            | 2.84 $\pm$ 0.13            | 2.91 $\pm$ 0.12               |
| LVPW,d     | mm     | 0.92 $\pm$ 0.04            | 0.98 $\pm$ 0.07            | 0.92 $\pm$ 0.06            | 0.87 $\pm$ 0.03               |
| LVPW,s     | mm     | 1.24 $\pm$ 0.06            | 1.46 $\pm$ 0.07 *          | 1.27 $\pm$ 0.09            | 1.17 $\pm$ 0.04               |
| Thickening | mm     | 0.31 $\pm$ 0.04            | 0.47 $\pm$ 0.02 *          | 0.35 $\pm$ 0.03            | 0.29 $\pm$ 0.02               |
| HR         | bpm    | 481.61 $\pm$ 17.14         | 482.14 $\pm$ 12.8          | 516.83 $\pm$ 16.62         | 456.81 $\pm$ 20.63            |
| LVEF       | %      | 56.32 $\pm$ 4.12           | 58.21 $\pm$ 4.49           | 49.41 $\pm$ 4.08           | 50.61 $\pm$ 2.68              |
| SV         | uL     | 36.7 $\pm$ 2.32            | 37.12 $\pm$ 4.23           | 33.8 $\pm$ 4.87            | 33.81 $\pm$ 1.71              |
| CO         | mL/min | 17.43 $\pm$ 1.17           | 17.61 $\pm$ 1.83           | 17.53 $\pm$ 2.35           | 15.2 $\pm$ 0.78               |

### 3.5.4 Strain and strain rate are unaltered between genotypes

We used 2D strain analysis, a more sensitive measure of systolic function<sup>32</sup>, to identify sub-clinical left ventricular dysfunction. Endocardial global longitudinal strain (GLS) was unchanged between genotypes, however, at aortic valve closure (AVC) radial strain (RS) was significantly increased in *Dpp4*<sup>-/-</sup> mice, while longitudinal strain (LS) was significantly decreased in *Dpp4*<sup>hep-/-</sup> mice (**Table 3.3**). Consistently, radial strain rate at peak ejection (RSR at PE) was significantly increased in *Dpp4*<sup>-/-</sup> mice unchanged in *Dpp4*<sup>hep-/-</sup> mice, and no further changes were observed in longitudinal strain rate at peak ejection (LSR at PE) in either group. At systole, time-to-peak (TTP) RS was trending upwards in *Dpp4*<sup>hep-/-</sup> mice but unchanged in *Dpp4*<sup>-/-</sup> mice (**Table 3.3**). Additional systolic measurements, including RS, TTP RSR, RSR and TTP LS were all unchanged. LS however, was trending downwards in *Dpp4*<sup>hep-/-</sup> mice and unchanged in *Dpp4*<sup>-/-</sup> mice, but TTP LSR and LSR were unchanged in both genotypes. When averaged across 34 segments along the myocardium of the left ventricle, average diastolic radial strain (aDRS) was significantly decreased in *Dpp4*<sup>hep-/-</sup> mice and unchanged in *Dpp4*<sup>-/-</sup> mice, however, average diastolic longitudinal strain (aDLS), average diastolic radial and longitudinal strain rate were unchanged between genotypes (**Table 3.3**).

**Table 3.3: Echocardiographic strain analysis in mice after 6-months of HFHC feeding, reveals no differences between genotypes.** GLS = global longitudinal strain, RS = radial strain, LS = longitudinal strain, AVC = aortic valve closure, RSR = radial strain rate, LSR = longitudinal strain rate, PE = peak ejection, TTP = time-to-peak, a = average, DRS = diastolic radial strain, DLS = diastolic longitudinal strain, DRSR = diastolic radial strain rate, DLSR = diastolic longitudinal strain rate and n/a = not applicable. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, between genetic knockouts and respective controls (*Dpp4*<sup>+/+</sup> vs *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>GFP</sup> vs *Dpp4*<sup>hep-/-</sup>), ns p<0.05, \*p=0.01-0.05, \*\*p=0.001-0.01.

|           |       | <i>Dpp4</i> <sup>+/+</sup> | <i>Dpp4</i> <sup>-/-</sup> | <i>Dpp4</i> <sup>GFP</sup> | <i>Dpp4</i> <sup>hep-/-</sup> |
|-----------|-------|----------------------------|----------------------------|----------------------------|-------------------------------|
| GLS       | %     | -17.06 $\pm$ 1.8           | -19.45 $\pm$ 1.4           | -12.32 $\pm$ 3.25          | -15.13 $\pm$ 1.12             |
| RS at AVC | %     | 27.13 $\pm$ 2.95           | 38.38 $\pm$ 4.24 *         | 25.56 $\pm$ 2.84           | 25.71 $\pm$ 1.25              |
| LS at AVC | %     | -13.16 $\pm$ 2.46          | -12.4 $\pm$ 3.77           | -6.65 $\pm$ 3.42           | -13.83 $\pm$ 1.38 *           |
| RSR at PE | 1/sec | 5.88 $\pm$ 0.43            | 8.83 $\pm$ 1.08 *          | 6.84 $\pm$ 0.55            | 5.69 $\pm$ 0.47               |
| LSR at PE | 1/sec | -4.31 $\pm$ 0.79           | -3.88 $\pm$ 0.91           | -2.91 $\pm$ 0.53           | -5.35 $\pm$ 0.77              |
| TTP RS    | ms    | 54.03 $\pm$ 1.9            | 57.2 $\pm$ 4.43            | 52.33 $\pm$ 2.2            | 60.83 $\pm$ 2.06              |
| RS        | %     | 31.77 $\pm$ 3.79           | 39.1 $\pm$ 4.15            | 27 $\pm$ 2.72              | 28.73 $\pm$ 1.65              |
| TTP RSR   | ms    | 24.62 $\pm$ 1.94           | 26 $\pm$ 2.84              | 29 $\pm$ 1.28              | 28.52 $\pm$ 2.54              |
| RSR       | 1/sec | 8.43 $\pm$ 0.83            | 9.6 $\pm$ 1.09             | 7.83 $\pm$ 0.08            | 7.53 $\pm$ 0.36               |
| TTP LS    | ms    | 55.09 $\pm$ 3.47           | 62.35 $\pm$ 9.88           | 61.04 $\pm$ 3.77           | 65.29 $\pm$ 4.3               |
| LS        | %     | -14.58 $\pm$ 1.19          | -14.06 $\pm$ 1.23          | -11.05 $\pm$ 1.15          | -14.36 $\pm$ 0.77             |
| TTP LSR   | ms    | 40.68 $\pm$ 3.46           | 50.72 $\pm$ 7.55           | 47.41 $\pm$ 1.95           | 57.25 $\pm$ 5.07              |
| LSR       | 1/sec | -8.93 $\pm$ 0.68           | -8.41 $\pm$ 0.89           | -7.95 $\pm$ 0.32           | -8.14 $\pm$ 0.52              |
| aDRS      | n/a   | -0.8 $\pm$ 0.2             | -0.71 $\pm$ 0.43           | -0.29 $\pm$ 0.15           | -1.6 $\pm$ 0.29 **            |
| aDLS      | n/a   | 1.1 $\pm$ 0.38             | 0.96 $\pm$ 0.8             | 0.64 $\pm$ 0.41            | 1.35 $\pm$ 0.3                |
| aDRSR     | 1/sec | -10.27 $\pm$ 1.06          | -12.99 $\pm$ 1.58          | -9.08 $\pm$ 0.49           | -10.58 $\pm$ 0.61             |
| aDLSR     | 1/sec | 4.81 $\pm$ 0.56            | 4.75 $\pm$ 1               | 3.92 $\pm$ 1.07            | 5.31 $\pm$ 0.83               |

### 3.5.5 Pulsed wave and tissue doppler echocardiography revealed no differences in *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>hep-/-</sup> mice

In addition to strain analysis, we used pulsed wave and tissue doppler echocardiography to assess diastolic function further. Isovolumetric contraction and relaxation time were unchanged between genotypes. In line with this, mitral valve atrial contraction (A) and rapid filling (E) velocities were unchanged between genotypes. Similarly, mitral annular velocity during atrial contraction (A') and rapid filling (E') were also unchanged between genotypes. Further, the resulting ratios were all unchanged between genotypes, including E'/A', E/A and E/E'. However, there is a trend towards mild diastolic dysfunction in *Dpp4*<sup>hep-/-</sup> mice indicated by reduced E'/A' and E/A ratios compared to *Dpp4*<sup>GFP</sup> control mice<sup>33</sup> (**Table 3.4**).

**Table 3.4: Echocardiographic pulsed wave doppler and tissue doppler analysis reveals no differences between genotypes after 6-months of HFHC-feeding.** A' = Tissue doppler mitral valve A' wave, E' = Tissue doppler mitral valve E' wave, IVCT = isovolumetric contraction time, IVRT = isovolumetric relaxation time, MV A = mitral valve A wave, MV E = mitral valve E wave, HR = heart rate, BPM = beats per minute and n/a = not applicable. Data are presented as the means  $\pm$  SEM, analyzed by unpaired students t-test with Welch's correction, between genetic knockouts and respective controls (*Dpp4*<sup>+/+</sup> vs *Dpp4*<sup>-/-</sup> and *Dpp4*<sup>GFP</sup> vs *Dpp4*<sup>hep-/-</sup>), ns p<0.05.

|         |      | <i>Dpp4</i> <sup>+/+</sup> | <i>Dpp4</i> <sup>-/-</sup> | <i>Dpp4</i> <sup>GFP</sup> | <i>Dpp4</i> <sup>hep-/-</sup> |
|---------|------|----------------------------|----------------------------|----------------------------|-------------------------------|
| A'      | mm/s | -24.93 $\pm$ 2.25          | -26.19 $\pm$ 2.84          | -25.74 $\pm$ 3.3           | -32.91 $\pm$ 2.49             |
| E'      | mm/s | -33.36 $\pm$ 4.05          | -37.42 $\pm$ 4.07          | -39.44 $\pm$ 8.08          | -40.12 $\pm$ 4.09             |
| IVCT    | ms   | 13.97 $\pm$ 0.93           | 14.24 $\pm$ 1.42           | 13.77 $\pm$ 0.58           | 15.87 $\pm$ 1.05              |
| IVRT    | ms   | 13.42 $\pm$ 0.88           | 15.31 $\pm$ 1.23           | 11.89 $\pm$ 0.69           | 13.84 $\pm$ 0.8               |
| MV A    | mm/s | 609.12 $\pm$ 33.89         | 692.14 $\pm$ 96.9          | 617.59 $\pm$ 84.55         | 645.53 $\pm$ 27.56            |
| MV E    | mm/s | 781.97 $\pm$ 16.35         | 874.03 $\pm$ 58.22         | 811.13 $\pm$ 45.03         | 777.54 $\pm$ 30.76            |
| E'/A'   |      | 1.35 $\pm$ 0.11            | 1.43 $\pm$ 0.08            | 1.52 $\pm$ 0.17            | 1.24 $\pm$ 0.09               |
| MV E/A  |      | 1.31 $\pm$ 0.07            | 1.25 $\pm$ 0.12            | 1.38 $\pm$ 0.19            | 1.21 $\pm$ 0.04               |
| MV E/E' |      | 25.86 $\pm$ 2.44           | 24.19 $\pm$ 2.62           | 22.62 $\pm$ 3.44           | 21.09 $\pm$ 2.11              |
| HR      | bpm  | 519.1 $\pm$ 16.95          | 517.2 $\pm$ 16.7           | 574.75 $\pm$ 12.37         | 499.72 $\pm$ 14.87            |

### 3.6 Discussion

Altered levels of several hepatokines like adiponectin<sup>34</sup>, fetuin-A, fibroblast (FGF-21)<sup>35</sup>, alpha-1-microglobulin<sup>36</sup> and selenoprotein P<sup>37</sup> have been implicated in CVD progression via disturbances in inflammation, fibrosis and adverse LV remodeling. DPP4 has been previously established as a hepatokine<sup>18,25</sup>, however, its role in CVD progression remains elusive. Circulating DPP4 levels are significantly increased in mice and in humans in metabolic disease and obesity<sup>17-19,38</sup>. Importantly, hepatocyte-derived DPP4 has been identified as a pro-inflammatory peptide, while regulation of GLP-1 bioactivity and regulation of glucose has been ascribed to endothelial cell-derived DPP4<sup>17</sup>. Chronic inflammation and systemic disruption of metabolic homeostasis such as that observed in obesity and MASLD are established precursors to diastolic HF<sup>39</sup>. The present data demonstrate that elimination of *Dpp4* specifically in hepatocytes in aged, HFHC-fed mice significantly reduces immune-related genes (i.e. *Tnf*, *Il12b* and *Mcp-2* (*Ccl8*)) and associated pathways in ventricular tissue compared to their controls, *Dpp4*<sup>GFP</sup>. This is not observed in *Dpp4*<sup>-/-</sup> mice compared to *Dpp4*<sup>+/+</sup> mice, which is consistent with previous reports in euglycemic *Dpp4*<sup>-/-</sup> mice after trans-aortic constriction (TAC) surgery, where markers of inflammation were significantly increased<sup>40</sup>. Similar to our findings, silencing hepatocyte specific *Dpp4* in diet induced obese (DIO) mice effectively suppressed visceral adipose tissue (VAT) inflammation identified by decreased *Il6*, *Mcp-1/Ccl2*, *Tnfa* and *Il1b* mRNA expression<sup>18</sup>. Our results are additionally supported by Varin *et. al.*<sup>17</sup> where mRNA expression of inflammatory cytokines (*Il1b*, *Il2*, *Il12b* and *Mcp-1/Ccl2*) was decreased in epididymal white adipose tissue in HFHC-fed *Dpp4*<sup>hep-/-</sup> mice. These results, collectively with our data, reveal a significant inflammatory role of hepatocyte derived

DPP4 in peripheral tissue, namely ventricular tissue.

Myocardial fibrosis and stiffening in diastolic HF are linked to increased mortality in clinical studies, making it an important therapeutic target<sup>41</sup>. We recently revealed increased hepatic fibrosis in aged, HFHC-fed *Dpp4*<sup>-/-</sup> mice, which was not observed in *Dpp4*<sup>hep-/-</sup> mice, suggesting an anti-fibrotic role for DPP4, but not that derived from hepatocytes<sup>29</sup>. Consistently, we report that hypertrophic and modeling-related genes, *Col1a1*, *Col3a1*, *Ctgf* and *Myh7* were significantly increased in *Dpp4*<sup>-/-</sup> mice compared to *Dpp4*<sup>+/+</sup>, however there was no change in *Dpp4*<sup>hep-/-</sup> mice compared to *Dpp4*<sup>GFP</sup> mice. Our findings are supported by others who use DPP4 inhibitors and systemic genetic elimination. Mulvihill *et. al.*<sup>40</sup> found that fibrosis was decreased in euglycemic *Dpp4*<sup>-/-</sup> mice, however, inducers and markers of fibrosis were elevated in aged, diabetic, HFD-fed DPP4 inhibitor (MK-0626) treated mice after TAC surgery. In contrast, Shigeta *et. al.*<sup>42</sup> demonstrated that both systemic genetic elimination and inhibition with vildagliptin reversed interstitial fibrosis and decreased connective tissue growth factor levels induced by streptozotocin induced diabetes after TAC surgery in male Fischer 344 rats. Our results are further contested by studies which use pharmacological inhibition rather than genetic elimination, generally in younger, euglycemic rodents. In 4-week-old C57BL6/J mice fed a high-fat/high-fructose western diet treated with MK-0626 for 16 weeks, inhibition ameliorated diet induced cardiac fibrosis and oxidant stress<sup>43</sup>. Vildagliptin exerted a similar cardioprotective phenotype in C57BL6/J mice after TAC surgery, both myocardial apoptosis and fibrosis were attenuated<sup>44</sup>. This improvement in fibrosis, or lack thereof, with pharmacological inhibition versus genetic elimination of *Dpp4* may be a result of its enzymatic and non-enzymatic capacity. DPP4 is reported to cleave collagen<sup>45</sup>,

however, it has also been shown to bind to extracellular matrix (ECM) components, like fibronectin, and form complexes with fibroblast activation protein to degrade ECM<sup>46-48</sup>. Interestingly, linagliptin can block DPP4 and ECM component interactions, preventing signal transduction<sup>49</sup> and prevent fibroblast activation<sup>50</sup>. Systemic genetic elimination of *Dpp4* is hypothesized to prevent fibroblast activation and ECM degradation as previously described<sup>40,42</sup>, this was not directly assessed in this study, however, we saw no changes in ECM remodelling-related genes (*Mmp2*, *Mmp9*, *Ddr2* and *Vim*). We also see no change in fibrosis in our *Dpp4<sup>hep-/-</sup>* mice, this may suggest that DPP4 from the liver, in aged, HFHC-fed mice, may be pro-fibrotic, and its genetic elimination is cardioprotective. Therefore, further investigation to elucidate organ and tissue specific DPP4 function are warranted.

Molecular changes like inflammation and fibrosis prevent the myocardium from relaxing properly, leading to concentric hypertrophy, inadequate ventricular filling, and less blood perfusion to the periphery<sup>51</sup>. In our study, we see only modest hypertrophy in our *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* mice, where both left ventricular posterior wall thickness, and overall thickening, are significantly increased with no differences in cardiac function like LVEF. This is not observed in our *Dpp4<sup>hep-/-</sup>* mice, however. Consistent with our findings, Shigeta *et. al.*<sup>42</sup> reported significantly increased LV hypertrophy via posterior wall thickness in *Dpp4<sup>-/-</sup>* mice after TAC surgery compared to controls, while cardiac function was unchanged. Similarly, Mulvihill *et. al.*<sup>40</sup> revealed that in aged, diabetic mice treated with MK-0626 possessed modest cardiac hypertrophy and impaired cardiac function.

### 3.7 Limitations

Left ventricular stiffness and inflexibility, preventing adequate relaxation during diastole is prevalent in patients with T2D and typically precedes systolic dysfunction<sup>52</sup>. We observed no improvements in diastolic function with systemic or hepatocyte specific *Dpp4* genetic elimination. The present study used HFHC feeding and age to facilitate cardiac dysfunction. However, this was not sufficient to induce a measurable phenotype, similar to previous studies that illustrate resilience among C57BL/6J mice<sup>53</sup>; the background genotype of the mice used in this study which develop liver disease rapidly on this diet<sup>54,55</sup>. Despite extensive lard-based HFD-feeding and saturated fat-rich diet feeding cardiac dysfunction was not observed. Further, high-fat feeding did result in cardiac hypertrophy, however, fibrosis and diastolic dysfunction were unchanged<sup>53</sup>.

The use of diet-induced HF does not indicate whether *Dpp4* genetic elimination could preserve cardiac function. Future studies could use a surgical intervention, such as trans-aortic constriction, to induce pressure overload and uncover subtle functional changes otherwise not revealed in our less invasive protocol. Further, this study was not designed to completely illuminate the non-enzymatic role that DPP4 may have in diastolic HF. Future studies could employ *in vitro* binding assays with primary isolated cardiomyocytes and inflammatory and fibrotic binding partners like adenosine deaminase (ADA), caveolin-1 and fibrinogen.

Considering the lack of systolic and diastolic changes in our study, we could additionally employ stress echocardiography by administering dobutamine to mice. This has previously been identified as a technique in clinical and pre-clinical research that can reveal subtle changes in cardiac function which may otherwise remain

hidden<sup>56</sup>. Interestingly, in patients with ischemic CVD and preserved LV function, a single dose of sitagliptin (100 mg) significantly improved LVEF during a stress echo with dobutamine at rest, at peak stress and 30 minutes afterwards<sup>57</sup>.

### **3.8 Conclusion**

In summary, we have demonstrated that eliminating hepatocyte-derived *Dpp4* decreases immunological pathway expression in cardiac tissue, however, systemic elimination does not. Hypertrophy and modeling-related gene expression are significantly increased in *Dpp4*<sup>-/-</sup> mice, however, this is not observed in *Dpp4*<sup>hep-/-</sup> mice, compared to controls. Metabolism and senescence-related genes are unchanged in both genotypes, compared to their respective controls. Despite changes in inflammation and fibrosis, there were no changes in cardiac hypertrophy, systolic and diastolic function.

### **3.9 Acknowledgements**

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**Supplemental Table 3.1: List of qRT-PCR primers, from Applied Biosystems.**

| Oligonucleotides                                                                         | Identifier    |
|------------------------------------------------------------------------------------------|---------------|
| Collagen, type I, alpha 1 ( <i>Col1a1</i> )                                              | Mm00801666_g1 |
| Collagen, type I3 alpha 1 ( <i>Col1a1</i> )                                              | Mm00802331_m1 |
| Connective tissue growth factor ( <i>Ctgf</i> )                                          | Mm01192931_g1 |
| Myosin, heavy polypeptide 7, cardiac muscle, beta ( <i>Myh7</i> )                        | Mm00600555_m1 |
| Natriuretic peptide type A ( <i>Nppa</i> )                                               | Mm01255747_g1 |
| Natriuretic peptide type B ( <i>Nppb</i> )                                               | Mm01255770_g1 |
| Matrix metalloproteinase 2 ( <i>Mmp2</i> )                                               | Mm00439498_m1 |
| Matrix metalloproteinase 9 ( <i>Mmp9</i> )                                               | Mm01240562_g1 |
| Discoidin domain receptor family, member 2 ( <i>Ddr2</i> )                               | Mm00445614_m1 |
| Vimentin ( <i>Vim</i> )                                                                  | Mm01333430_m1 |
| Ankyrin repeat domain 1 (cardiac muscle) ( <i>Ankrd1</i> )                               | Mm00496512_m1 |
| Cyclin-dependent kinase inhibitor 1A (P21) ( <i>Cdkn1a</i> )                             | Mm00432448_m1 |
| Patatin-like phospholipase domain containing 2 ( <i>Pnpla2</i> )                         | Mm00503040_m1 |
| Acyl-Coenzyme A dehydrogenase, medium chain ( <i>Acadm</i> )                             | Mm01323360_g1 |
| CD36 antigen ( <i>Cd36</i> )                                                             | Mm00432403_m1 |
| Peroxisome proliferative activated receptor, gamma, coactivator 1 alpha ( <i>Pgc1α</i> ) | Mm01208835_m1 |
| Diacylglycerol O-acyltransferase 1 ( <i>Dgat1</i> )                                      | Mm00515643_m1 |
| Pyruvate dehydrogenase kinase, isoenzyme 4 ( <i>Pdk4</i> )                               | Mm01166879_m1 |
| Carnitine palmitoyltransferase 1b, muscle ( <i>Cpt1b</i> )                               | Mm00487191_g1 |
| Solute carrier family 27 (fatty acid transporter), member 1 ( <i>Slc27a1</i> )           | Mm00449511_m1 |

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## **4 Reduced DPP4 activity in combination metformin and sitagliptin treated *Dpp4<sup>hep-/-</sup>* mice improves left ventricular ejection fraction after LAD-ligation**

Authors: **Natasha A. Trzaskalski**, Jessica Hursti, Natasha Jeraj, Branka Vulesevic & Erin E. Mulvihill

### **4.1 Author Contributions**

Conceptualization: EEM; Methodology: EEM; Formal Analysis: NAT, JH; Investigation: NAT, JH, NJ, BV, EEM; Resources: EEM; Writing – Original Draft: NAT, EEM; Writing – Review and Editing: NAT, EEM; Supervision: EEM; Project Administration: EEM; Funding Acquisition: EEM.

## 4.2 Abstract

**Background:** Currently, there are 11.7 million Canadians living with diabetes or prediabetes, and cardiovascular events, including ischemic heart disease, are a major cause of death. Dipeptidyl peptidase-4 (DPP4) inhibitors (DPP4i's) are a safe and effective therapy for the treatment of type 2 diabetes (T2D) which inhibit the enzymatic action of DPP4 to prevent degradation of the incretin hormones. Meta-regression analysis of DPP4 inhibitor cardiovascular endpoint trials demonstrated combination therapy with metformin was cardioprotective. Interestingly, DPP4i treatment increases circulating levels of DPP4 protein. Preclinical studies suggest metformin reduces DPP4 shedding from organ depots into circulation, while concomitantly increasing levels of active glucagon-like peptide-1 (GLP-1). These data collectively demonstrate a role for enzymatic and non-enzymatic DPP4 in cardiovascular disease progression, however, how this happens, remains to be revealed.

**Hypothesis:** Metformin reduces DPP4i-induced increases in circulating DPP4 protein which effectively reduces inflammation and ischemic heart disease progression. Further, metformin will reduce circulating DPP4 protein to a greater extent in *Dpp4<sup>hep-/-</sup>* mice, compared to *Dpp4<sup>EC-/-</sup>*, as previous literature revealed endothelial cells as the main cellular target of metformin.

**Methods and Results:** Twenty-five week old wildtype (*Dpp4<sup>+/+</sup>*), whole-body DPP4 knockout (*Dpp4<sup>-/-</sup>*), mice containing LoxP sites in *Dpp4* injected with AAV8 containing a hepatocyte-specific promoter driving Cre (*Dpp4<sup>hep-/-</sup>* mice) or control (*Dpp4<sup>GFP</sup>* mice), and endothelial cell-specific knockout (*Dpp4<sup>EC-/-</sup>*) and control (*Dpp4<sup>EC+/+</sup>*) mice were fed a high-fat high-cholesterol diet and treated with sitagliptin (DPP4 inhibitor; 5mg/kg/day), metformin (200 mg/kg/day), or combination therapy. Mice were then

subjected to left anterior descending ligation surgery to induce a permanent ischemic injury. Prior to, and after surgery, we performed echocardiography to assess cardiac function and myocardial kinesis. Both before and after LAD-ligation, LVEF was improved in *Dpp4<sup>hep-/-</sup>* mice with DT treatment, while myocardial kinesis was maintained in *Dpp4<sup>EC-/-</sup>* mice, compared to respective controls. Interestingly, DPP4 activity and soluble protein levels were dissociated; DPP4 activity dropped 1-week after surgery and rose again at endpoint, while protein levels continued to increase throughout the experiment. Further, our results revealed that DPP4 is dynamically regulated; hepatocytes contribute to the active circulating DPP4 pool, while endothelial cells contribute to overall protein levels.

**Conclusions:** With DT treatment, *Dpp4<sup>hep-/-</sup>* mice experienced improved cardiac function before and after LAD-ligation compared to *Dpp4<sup>GFP</sup>* mice. Further, myocardial kinesis was improved in *Dpp4<sup>EC-/-</sup>* mice. These data suggest that metformin and DPP4 inhibitors are cardioprotective in the absence of hepatocyte-derived DPP4. Future studies will investigate the molecular basis of this functional improvement, primarily focusing on the cardioprotective actions of active GLP-1.

### 4.3 Introduction

Given the dramatic increase in cardiovascular disease (CVD) risk in patients with type 2 diabetes (T2D), drugs developed to treat hyperglycemia must be proven to have cardiovascular safety<sup>1</sup>. The Food and Drug Administration (FDA) has therefore imposed recommendations that all drug therapies for the treatment of T2D approved after 2008 undergo extensive cardiovascular characterization evaluating major cardiovascular endpoints<sup>2</sup>. Metformin is the first-line glucose-lowering therapy prescribed, which reduces hepatic glucose production<sup>3</sup>, increases insulin sensitivity<sup>4</sup> and potentiates glucagon-like polypeptide 1 (GLP-1) secretion<sup>5-7</sup>. Dipeptidyl peptidase-4 (DPP4) inhibitors (DPP4i's) are a typical second-line therapy<sup>8</sup> that effectively prevent cleavage and inactivation of the incretin hormones GLP-1 and glucose-dependent insulintropic polypeptide (GIP) so they may interact with their respective receptors in the pancreas to regulate post-prandial insulin secretion and glucagon secretion<sup>9,10</sup>. However, DPP4 is multifaceted; endothelial cell-derived DPP4 is implicated in glucose regulation while hepatocyte-derived DPP4 appears to be pro-inflammatory affecting both local and peripheral tissue<sup>11-13</sup>. To date, only systemic genetic elimination has been investigated in CVD, which reveals cardioprotection with genetic ablation of *Dpp4* in mice and rats<sup>14-17</sup>. Further, pharmaceutical DPP4 inhibition has also demonstrated cardioprotection in preclinical studies in young mice, however this is not sustained in aged mice<sup>14-16,18</sup>.

Cardiovascular outcome trials (CVOT) of DPP4i's have reported neutral effect, in major adverse cardiovascular events (MACE)<sup>19</sup>. However, a meta-analysis of three DPP4i CVOT's revealed prevalent metformin use was associated with a favorable trend towards cardioprotection, or a reduction in MACE when treated in combination

with a DPP4i (HR 0.92)<sup>20</sup>. Notably, circulating, soluble DPP4 (sDPP4) and DPP4 activity is significantly increased in individuals with T2D and reduced with metformin use<sup>21,22</sup>. Since metformin does not directly inhibit enzymatic DPP4 activity, metformin associated reductions have instead been credited to reduced total sDPP4 levels<sup>21,23,24</sup>.

Baggio *et. al.*<sup>25</sup> determined metformin reduces circulating levels of DPP4 in combination with DPP4i's in mice. However, this was not recapitulated in humans, where despite significant DPP4 inhibition, protein levels were unchanged. Dual therapy (DT) with sitagliptin reduced circulating DPP4 levels significantly compared to sitagliptin alone, specifically bone marrow-derived DPP4, suggesting this compartment is dynamically regulated by DPP4i's<sup>25</sup>. Further, when *Dpp4* was selectively genetically eliminated in hematopoietic and endothelial cells (*Dpp4*<sup>EC-/-</sup>), treatment with sitagliptin reduced basal DPP4 activity and protein levels compared to wildtype (WT) controls<sup>25</sup>. After *Dpp4*<sup>EC-/-</sup> mice received a bone marrow transplant from WT mice, this decrease was attenuated<sup>25</sup>. In addition, recent data shows upregulation of circulating levels of sDPP4 after administration of a DPP4i. Namely, endothelial cells are the main contributor of sDPP4, as *Dpp4*<sup>EC-/-</sup> mice experience a significant decrease in enzyme concentration which is not increased with DPP4i's<sup>11</sup>. These studies collectively demonstrate a complex relationship between sDPP4, DPP4 activity and concomitant DPP4i and metformin use. However, the molecular target of these pharmaceuticals and their CV benefit, namely in *Dpp4* genetic knockout models, has yet to be fully elucidated.

## 4.4 Methods

### 4.4.1 Animals

Studies were performed according to protocols approved by the University of Ottawa Animal Care Committee and in accordance with guidelines of the Canadian Council on Animal Care. Animals were cared for in accordance with the Canadian Guide for the Care and Use of Laboratory animals (CCAC). All experimental procedures were approved under AUP# 2909 and AUP# 2029 by the University of Ottawa Animal Care and Veterinary Service. Male mice were housed under a 12-h light/dark cycle and maintained on standard laboratory diet (SLD, 22% kcal from fat, 55% kcal from carbohydrates, 23% kcal from protein, 0% cholesterol; 2019, Envigo) until experimental use. Whole body *Dpp4*<sup>-/-</sup> mice, on a C57BL6 background, have been described<sup>26,27</sup>. To generate *Dpp4*<sup>hep-/-</sup> mice, 21-week-old *Dpp4*<sup>flox/flox</sup> mice were *i.v.* injected with 1.5x10<sup>11</sup>GC/mouse of AAV8.TBG.pi.egfp.wpre.bgh (AAV-GFP; control virus, #105535-AAV8) or AAV8.TBG.PI.CRE.rBG (AAV-Cre; #107787-AAV8) prior to the onset of HFHC diet feeding. AAV constructs were obtained from the University of Pennsylvania Vector Core Lab as a generous gift from James M. Wilson (Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA). B6.Cg-Tg(Tek-cre) 1Ywa/J mice were obtained from The Jackson Laboratory (Strain #: 008863, RRID: IMSR\_JAX:008863) and bred with *Dpp4*<sup>flox/flox</sup> to generate *Dpp4*<sup>EC-/-</sup> mice.

### 4.4.2 Pig Heart Resection and *Ex Vivo* Processing

The pigs in this study were large domestic animals (Yorkshire/Landrace/Duroc crossbreed) acquired from Panmure Farms at approximately 3 months of age. Pigs were intubated under general anesthesia and maintained on a ventilator. An incision

was made at the third and fourth intercostal space and the ribs were retracted to reveal the heart. The pericardium was dissected, and the aorta and pulmonary vein were cross clamped. The heart was excised and perfused with a peristaltic pump using cold 1X PBS, *ex vivo*, for approximately 10 minutes. The heart was subsequently dissected, and tissue was flash frozen with liquid nitrogen and stored at -80°C.

#### **4.4.3 Mouse Diets and Drug Treatments**

At 25 weeks of age, mice started on a high fat, high cholesterol (HFHC; 42% kcal from fat, 42.7% kcal from carbohydrates, 15.2% kcal from protein, 0.2% weight from cholesterol; TD.88137, Envigo) diet *ad libitum*. After 6 weeks, at 31 weeks of age, mice were stratified and weight matched into one of four treatment groups; water as the control, sitagliptin (5 mg/kg/day; Abcam, Biovision, ab142101), metformin (hydrochloride) (200 mg/kg/day; Cayman Chemical, 13118) and DT (sitagliptin 5 mg/kg/day + metformin 200 mg/kg/day). Drugs were administered via drinking water in opaque water bottles and changed twice a week. Mice were weighed every week and dosages were adjusted as necessary based on weight gain.

#### **4.4.4 Left Anterior Descending (LAD)-Ligation Surgery**

LAD-ligation was performed as previously described<sup>28</sup>. Briefly, slow-release buprenorphine (1.2 mg/kg) was administered prior to surgery. Mice (35 weeks old) were anesthetized with 2-3% isoflurane and intubated with an endotracheal tube and ventilation was maintained at 200 breaths/min using a small animal ventilator and pure oxygen. At the level of the third and fourth intercostal space, an incision was made, and the ribs are retracted, at which point the pericardium is dissected and the left ventricle (LV) is exposed. An 8-0 nylon suture was passed under the LAD coronary

artery mid-way down the LV and tied off to produce an infarct. The chest was closed with a 6-0 visorb suture using a purse-string suture. During recovery mice were provided with supplemental heat and allowed to self-extubate. Further, mice received supplemental oxygen and postoperative analgesia (buprenorphine, 0.5 mg/kg/ip). Successful LAD-ligation was determined via echocardiography where mice were excluded from further analysis if the difference from baseline to post-MI was <10%.

#### **4.4.5 Echocardiography Image Acquisition**

Echocardiographic images were acquired as previously described<sup>28</sup> using the Vevo3100 high-frequency small animal ultrasound system using the MX400 linear array transducer (FUJIFILM VisualSonics, Toronto, Canada). Images were taken at baseline prior to LAD-ligation and 4 weeks post-surgery using a parasternal long axis (PSLAX) 2D B-mode approach. Mice were maintained on isoflurane (3-4%) for the duration of the imaging, body temperature was monitored via rectal probe and ECG was obtained via 4-lead ECG needle electrodes (Scintica Instrumentation Inc., 380-0002-02).

#### **4.4.6 Echocardiographic Functional Analysis and Infarct Size Quantification**

Manual LV contours were completed by tracing the endocardium at end-systole and end-diastole using the Vevo Lab LV trace function as previously described<sup>28</sup>. Infarct size quantification was completed using the manual distance function. At end-diastole, the endocardium was traced starting and ending at the aortic root and ventricle junction and defined as the Total Endocardial Segment. Tracing was repeated on the portion of the endocardium without movement or contractility (akinetic length), or with reduced movement or contractility (hypokinetic length). The akinetic and hypokinetic length was presented as a percentage, calculated as:

$$\text{Akinetic or Hypokinetic Length (\%)} = \frac{\text{Akinetic/Hypokinetic Segment (mm)}}{\text{Total Endocardial Length (mm)}} \times 100$$

#### 4.4.7 Blood and Tissue Collection

Pre- and post- surgical tail vein blood samples were collected in EDTA-coated capillary microvette tubes. Terminal blood samples were obtained via cardiac puncture after sacrifice with CO<sub>2</sub> inhalation and collected in 1.5 mL micro-centrifuge tubes with 25 mM EDTA. DPP4 activity was assessed using fluorometric assay (substrate: 10mM H-Gly-Pro-AMC HBr, Bachem cat. #I-1225, standard: AMC, Bachem cat. #Q-1025). DPP4 protein (sDPP4) level was measured using DPPIV/CD26 DuoSet ELISA kit (DY954; R&D System) following the manufacturer's instructions. Plasma GDF-15 was assessed to evaluate metformin action using GDF-15 DuoSet ELISA kit (DY6385; R&D Systems) following the manufacturer's instructions. Chemokines and cytokines were also assessed using Mesoscale kit V-Plex® Proinflammatory Panel 1 (K15048D).

#### 4.4.8 RNA isolation, cDNA and qRT-PCR

Frozen mouse liver, ventricular tissue and pig heart tissue were homogenized with TriReagent (Sigma Aldrich, cat# T9424) using a TissueLyser II system (Qiagen). Total RNA was extracted using manufacturer's protocol and reverse transcription was performed with the Applied Biosystems™ High-Capacity cDNA Reverse Transcription kit (cat# 4368813). cDNA was then used to assess mRNA expression by qRT-PCR (QuantStudio 5 System, Thermo Fisher Scientific) with TaqMan Fast Advanced Master Mix (Thermo Fisher Scientific, 4444557) and TaqMan Gene Expression Assays (Thermo Fisher Scientific). The specific gene expression assays used are listed (**Supplemental Table 4.1**). Quantification of transcript levels was performed by

the standard curve method, and expression levels for each gene were normalized to *Actb* ( $\beta$ -actin).

#### **4.4.9 Statistical Analysis**

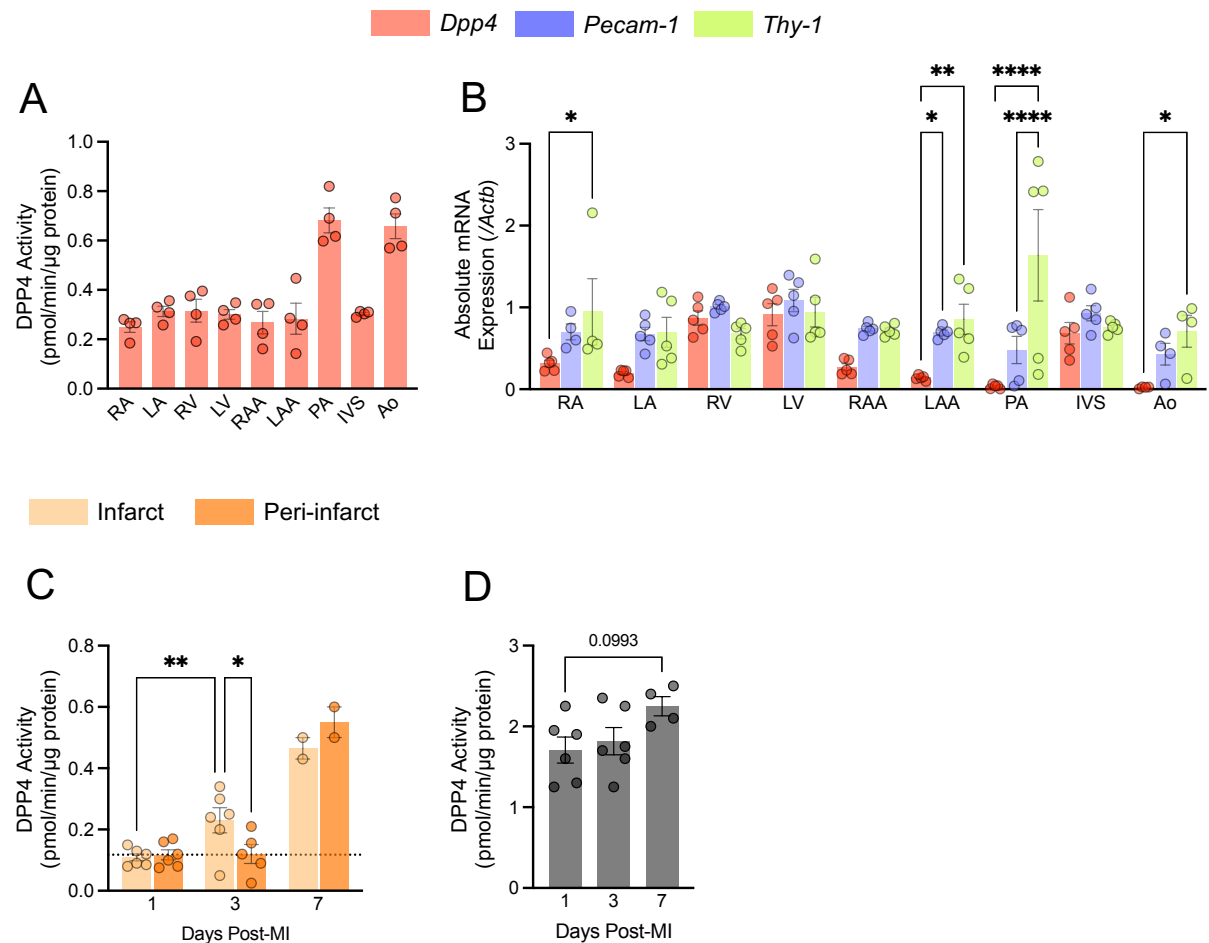
All data were plotted and statistically analyzed using GraphPad Prism (version 10.1.1). Data are expressed as mean  $\pm$  standard error of the mean (SEM). Statistical significance is defined as  $P < 0.05$  between groups.

### **4.5 Results**

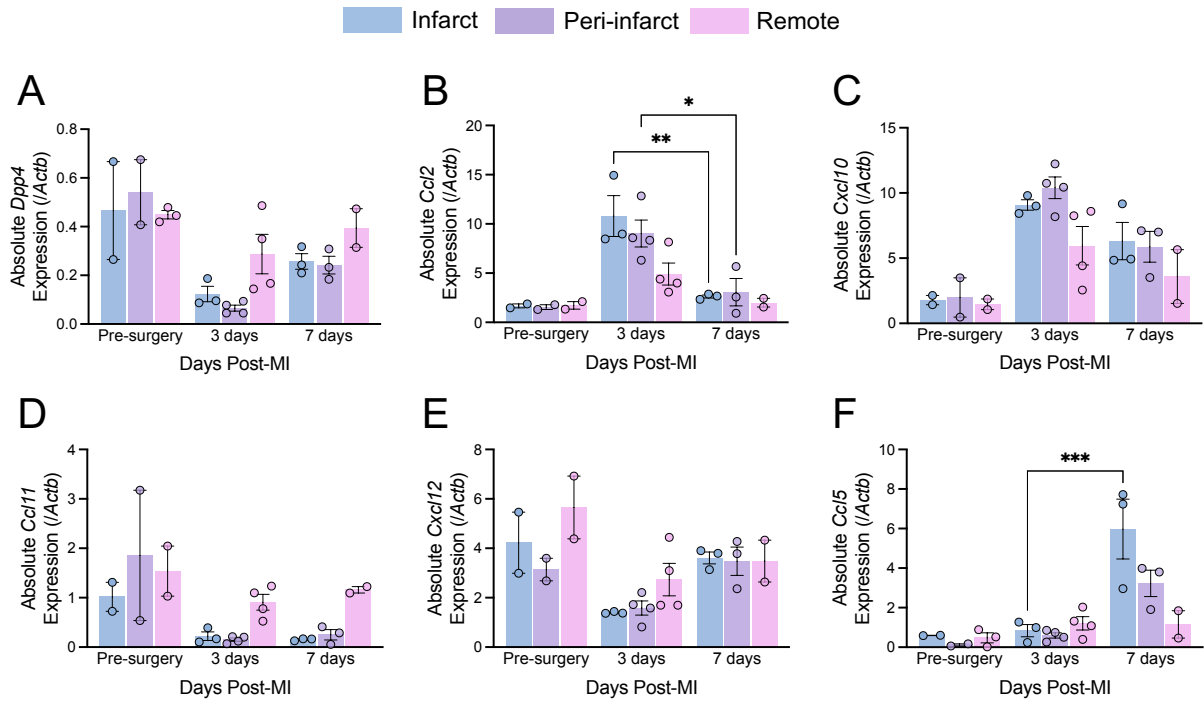
#### **4.5.1 DPP4 in porcine hearts is associated with endothelial cells and increases in the infarct in murine hearts**

We, and others, have previously reported that hepatocyte-derived DPP4 is a pro-inflammatory hepatokine while endothelial cell-derived DPP4 is glucoregulatory<sup>11-13,29</sup>. We explored DPP4 activity and mRNA expression in individual parts of a pig heart and found that DPP4 activity (**Figure 4.1A**) associated with *Pecam-1* mRNA expression, a marker of endothelial cells (**Figure 4.1B**), suggesting endothelial cell derived DPP4 may be directly present in the heart, as expression in cardiomyocytes is very low or absent. We also confirmed this finding by probing the single cell transcriptomics of the heart via the Tabula Muris<sup>30</sup>, which showed increased *Dpp4* expression in endocardial cells, specialized endothelial cells of the heart<sup>31</sup>. Additionally, we evaluated regulation in response to injury and found increased DPP4 activity in the infarcted and peri-infarcted region in murine hearts post-MI up to 7 days. DPP4 activity was increased significantly from 1 to 3 days post-MI in the infarct region, however, at 3 days was significantly higher than activity in the peri-infarcted region (**Figure 4.1C**). The infarcted region upon tissue collection was defined as the

myocardium that was thin and white, while the peri-infarct was approximately 2-3 mm in thickness, incorporating the transition of the infarct. Interestingly there was a modest trend for increased liver DPP4 activity 1 to 7 days post-MI (**Figure 4.1D**). Additionally, we assessed mRNA expression of *Dpp4* and its CV-related substrates prior to, and after MI surgery. *Dpp4* expression decreased from baseline to 3 days post-MI, in the peri-infarct region (**Figure 4.2A**). In contrast, *Ccl2* (*Mcp-1*; **Figure 4.2B**)<sup>16,32</sup> and *Cxcl10* (*Ip-10*; **Figure 4.2C**)<sup>33</sup> mRNA expression increased from baseline to 3 days post-MI in the infarct and peri-infarct regions, and decreased again after 7 days, especially *Cxcl10* expression. However, *Ccl5* (*RANTES*; **Figure 4.2D**)<sup>34</sup> and *Ccl11* (*Eotaxin*; **Figure 4.2E**)<sup>35</sup> expression followed a similar trend to *Dpp4*, decreasing 3 days post-MI compared to baseline, while *Cxcl12* (*Sdf-1*)<sup>15,36,37</sup> expression, increased in the infarcted region 7 days post-MI (**Figure 4.2F**). Collectively, these data ultimately prompted us to further our investigation of the cardioprotective effects of DT in mice post-MI, however, with hepatocyte- and endothelial cell-specific *Dpp4* genetic ablation.



**Figure 4.1: DPP4 is increased in endothelial cell associated cardiac structures in porcine hearts and post-MI in murine hearts. (A)** DPP4 activity and **(B)** *Dpp4*, *Pecam-1* and *Thy-1* absolute mRNA expression in dissected parts of porcine heart. RA = right atrium, LA = left atrium, RV = right ventricle, LV = left ventricle, RAA = right atrial appendage, LAA = left atrial appendage, PA = pulmonary artery, IVS = interventricular septum and Ao = aorta. DPP4 activity in the **(C)** infarct, peri-infarct (dotted line represents baseline ventricular DPP4 activity) and **(D)** liver tissue at the times indicated post-MI in *Dpp4*<sup>+/+</sup> mice. Data are presented as means ± SEM analyzed by a two-way ANOVA, and a one-way ANOVA in (D), with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001.



**Figure 4.2: *Dpp4* and DPP4 substrate mRNA expression fluctuate acutely post-MI.** mRNA expression of (A) *Dpp4*, (B) *Ccl2*, (C) *Cxcl10*, (D) *Ccl11*, (E) *Cxcl12* and (F) *Ccl5* in the infarct, peri-infarct, remote or representative section, in hearts harvested prior to, 3-days and 7-days after LAD-ligation in WT mice. Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \* $p=0.01-0.05$ , \*\* $p=0.001-0.01$ , \*\*\* $p=0.0001-0.001$ .

#### 4.5.2 DPP4 activity and protein are significantly reduced in *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice, respectively, prior to LAD-ligation

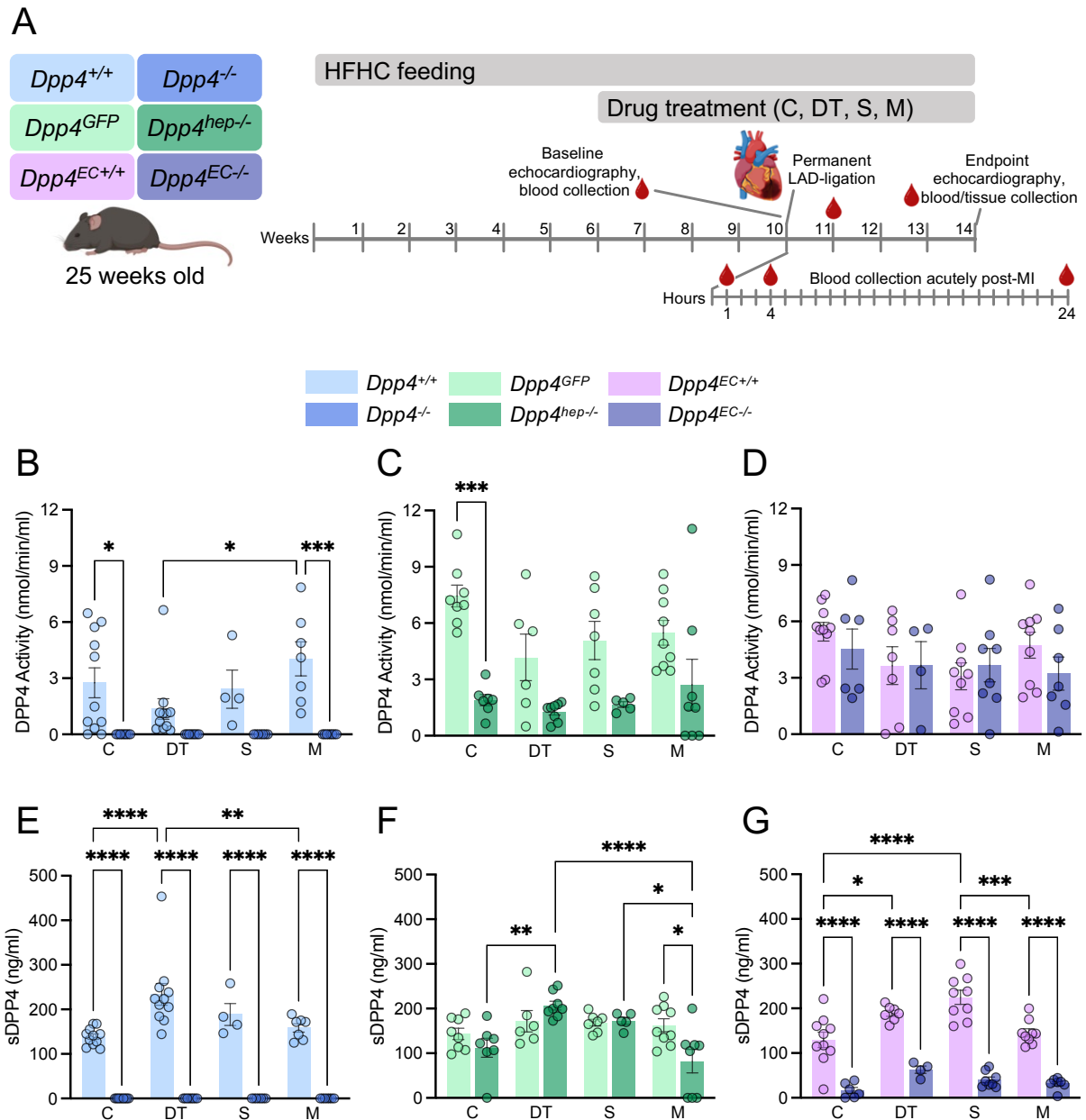
Previous studies report that metformin reduces DPP4 activity via protein reduction in mice, and DT with DPP4i's has a cardioprotective effect clinically<sup>20,21,23,25</sup>. Further, as previously described, DPP4 possessed different capabilities depending on its cellular origin, therefore, in addition to pharmaceutical treatment we incorporated systemic genetic *Dpp4* elimination (*Dpp4<sup>-/-</sup>*), hepatocyte-specific elimination (*Dpp4<sup>hep-/-</sup>*) and endothelial cell-specific elimination (*Dpp4<sup>EC-/-</sup>*), in mice. These mice were aged, fed a HFHC-diet then treated with either sitagliptin, metformin or DT in their drinking water, with water as the control. We confirmed metformin bioactivity by evaluating GDF-15 protein levels, which increased by 29% in circulation with metformin use, as previously described (**Supplemental Figure 4.1**)<sup>38</sup>. Echocardiography was performed before and 4 weeks after LAD-ligation, while tail vein blood was collected prior to surgery, as well as 1, 4, 24 hours and 7 days post-MI, and finally at sacrifice (**Figure 4.3A**).

When we assessed DPP4 activity and sDPP4 levels at baseline, prior to LAD-ligation but after 4 weeks of drug treatment, we found a dissociation between these measurements within *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice and their respective controls. As anticipated, plasma DPP4 activity and sDPP4 levels were not detected in our *Dpp4<sup>-/-</sup>* model, confirming the genotype (**Figure 4.3B, E**). Interestingly, DPP4 activity was significantly reduced in control treated *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice (**Figure 4.3C**), however sDPP4 levels were unchanged (**Figure 4.3F**). In contrast, the opposite was evident in *Dpp4<sup>EC-/-</sup>* mice compared to *Dpp4<sup>EC+/+</sup>* mice with control treatment; there was no change in DPP4 activity (**Figure 4.3D**) while sDPP4 levels

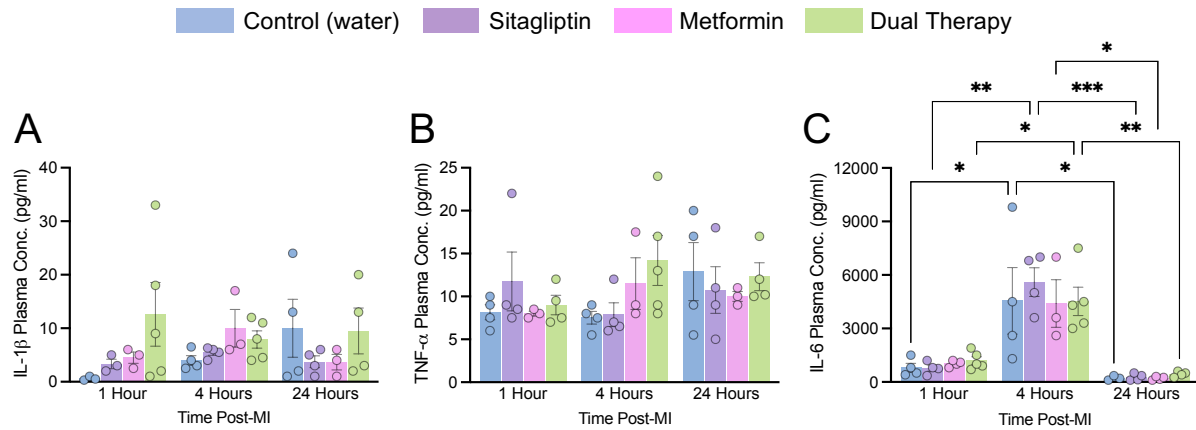
were significantly reduced (**Figure 4.3G**). DPP4 activity and sDPP4 levels changed further with the introduction of DT, sitagliptin and metformin pharmaceutical treatments. DPP4 activity was significantly reduced by 68% in DT treated *Dpp4*<sup>+/+</sup> mice compared to metformin treated mice as anticipated (**Figure 4.3B**), however, the opposite was observed with protein levels, where DT significantly increased sDPP4 by 41% compared to control treatment (**Figure 4.3E**). sDPP4 levels in *Dpp4*<sup>+/+</sup> mice were similar to those reported previously in humans with sitagliptin and metformin treatment<sup>25</sup>. DPP4 activity was significantly reduced in control-treated (by 70%) *Dpp4*<sup>hep-/-</sup> mice compared to *Dpp4*<sup>GFP</sup> mice (**Figure 4.3C**). Interestingly, only metformin treatment in *Dpp4*<sup>hep-/-</sup> mice significantly reduced sDPP4 by half compared to *Dpp4*<sup>GFP</sup> mice (**Figure 4.3F**). DPP4 protein was also significantly reduced in metformin treated *Dpp4*<sup>hep-/-</sup> mice compared to those treated with sitagliptin (by 57%) and DT (by 65%), and protein was reduced in control-treated mice compared to DT-treated mice (**Figure 4.3F**). In contrast, DPP4 activity was unchanged between *Dpp4*<sup>EC-/-</sup> mice and *Dpp4*<sup>EC+/+</sup> mice with all drug treatments (**Figure 4.3D**). Interestingly however, sDPP4 was significantly reduced with all drug treatments between *Dpp4*<sup>EC-/-</sup> mice and *Dpp4*<sup>EC+/+</sup> mice (**Figure 4.3G**). *Dpp4*<sup>EC+/+</sup> mice treated with DT and sitagliptin had significantly higher plasma DPP4 protein by 31% and 42%, respectively, compared to controls. Further, sitagliptin treated *Dpp4*<sup>EC+/+</sup> mice had 35% higher sDPP4 compared to those treated with metformin (**Figure 4.3G**).

Additionally, to further evaluate if upregulation of DPP4 substrates *Ccl2*, *Cxcl10* and *Ccl5* had an impact on inflammatory cytokine levels with pharmaceutical treatment, we treated a separate cohort of *Dpp4*<sup>+/+</sup> mice with sitagliptin, metformin and DT with water as the control. There were no significant differences in plasma

concentrations of  $\text{IL-1}\beta$  (**Figure 4.4A**) and  $\text{TNF-}\alpha$  (**Figure 4.4B**), between drug treatments or between timepoints. However, IL-6 was significantly increased 4-hours post-MI, with no differences between treatment groups (**Figure 4.4C**). Here we show that hepatocyte-derived DPP4 drives DPP4 activity, while endothelial cell-derived DPP4 contributes to sDPP4 levels.



**Figure 4.3: Baseline plasma DPP4 activity and sDPP4 are dynamically regulated in *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice.** Experimental timeline, highlighting drug treatment LAD-ligation echocardiography and blood collection timepoints. Plasma DPP4 activity in (**B**) *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>*, (**C**) *Dpp4<sup>GFP</sup>* and *Dpp4<sup>hep-/-</sup>* and (**D**) *Dpp4<sup>EC+/+</sup>* and *Dpp4<sup>EC-/-</sup>* mice, and DPP4 protein concentration in (**E**) *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>*, (**F**) *Dpp4<sup>GFP</sup>* and *Dpp4<sup>hep-/-</sup>* and (**G**) *Dpp4<sup>EC+/+</sup>* and *Dpp4<sup>EC-/-</sup>* mice, after 10 weeks of HFHC feeding, 4 weeks of drug treatment and prior to LAD-ligation. Drug treatments: C = control (water), DT = dual therapy (sitagliptin and metformin combination), S = Sitagliptin, M = Metformin. Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \* $p=0.01-0.05$ , \*\* $p=0.001-0.01$ , \*\*\* $p=0.0001-0.001$  and \*\*\*\* $p<0.0001$ .



**Figure 4.4: Plasma IL-1 $\beta$ , TNF- $\alpha$  and IL-6 are unaltered with drug treatment in *Dpp4*<sup>+/+</sup> mice post-MI.** (A) IL-1 $\beta$ , (B) TNF- $\alpha$  and (C) IL-6 in WT mice, 1-, 4- and 24-hours after LAD-ligation after treatment with control (water), sitagliptin, metformin and DT (sitagliptin and metformin). Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001.

#### **4.5.3 With DT treatment, LVEF is improved in *Dpp4<sup>hep-/-</sup>* mice prior to MI, and ESV and EDV are reduced post-MI**

Considering the changes in DPP4 activity and sDPP4 between genotypes and pharmaceutical treatment, we continued with our evaluation of cardiac function, prior to and after LAD-ligation. Interestingly, no changes in LVEF, stroke volume (SV), cardiac output (CO), end systolic volume (ESV) and end diastolic volume (EDV) were detected between *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>* mice at baseline or endpoint with all drug treatments, with the exception of reduced heart rate (HR) in *Dpp4<sup>-/-</sup>* compared to *Dpp4<sup>+/+</sup>* mice at baseline. However, with DT treatment, HR was reduced in *Dpp4<sup>hep-/-</sup>* mice compared to controls pre- and post-MI, and in turn, this translated to a decrease in CO post-MI. In addition, LVEF was significantly increased in *Dpp4<sup>hep-/-</sup>* mice at baseline alongside reduced ESV and EDV. At baseline with DT treatment, *Dpp4<sup>EC-/-</sup>* mice had higher SV and CO compared to controls, with no improvement in LVEF, interestingly. Sitagliptin treatment only resulted in reduced baseline CO in *Dpp4<sup>EC-/-</sup>* mice compared to controls. HR at baseline and LVEF post-MI were significantly increased with metformin treatment in *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice, while ESV was significantly reduced post-MI. Lastly, metformin treatment in *Dpp4<sup>EC-/-</sup>* mice reduced baseline SV and CO compared to *Dpp4<sup>EC+/+</sup>* mice. Together, these results reveal modest improvements in cardiac function with DT even at baseline, exemplified by increased LVEF in *Dpp4<sup>hep-/-</sup>* compared to *Dpp4<sup>GFP</sup>* mice, and increased SV and CO in *Dpp4<sup>EC-/-</sup>* compared to *Dpp4<sup>EC+/+</sup>* mice. Interestingly, this was not evident with the no treatment control, or sitagliptin and metformin monotherapy only improved post-MI LVEF in *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice (**Table 4.1**).

**Table 4.1: Ventricle dimensions are improved in *Dpp4<sup>hep-/-</sup>* with DT after LAD-ligation.** LV cardiac hypertrophy and function *in vivo* assessed using 2D echocardiography prior to LAD-ligation, after 10-weeks of HFHC-feeding and 4-weeks of pharmacological treatment (referred to as baseline) and 4-weeks after LAD-ligation, 14-weeks of HFHC-feeding and 8-weeks of pharmacological treatment (referred to as post-MI), in *Dpp4<sup>+/+</sup>*, *Dpp4<sup>-/-</sup>*, *Dpp4<sup>GFP</sup>*, *Dpp4<sup>hep-/-</sup>*, *Dpp4<sup>EC+/+</sup>* and *Dpp4<sup>EC-/-</sup>* male mice. Data are presented as means  $\pm$  SEM, within treatment groups between genetic knockouts and their respective controls, data were analyzed by student's t test, \*p=0.01-0.05. BPM = beats per minute.

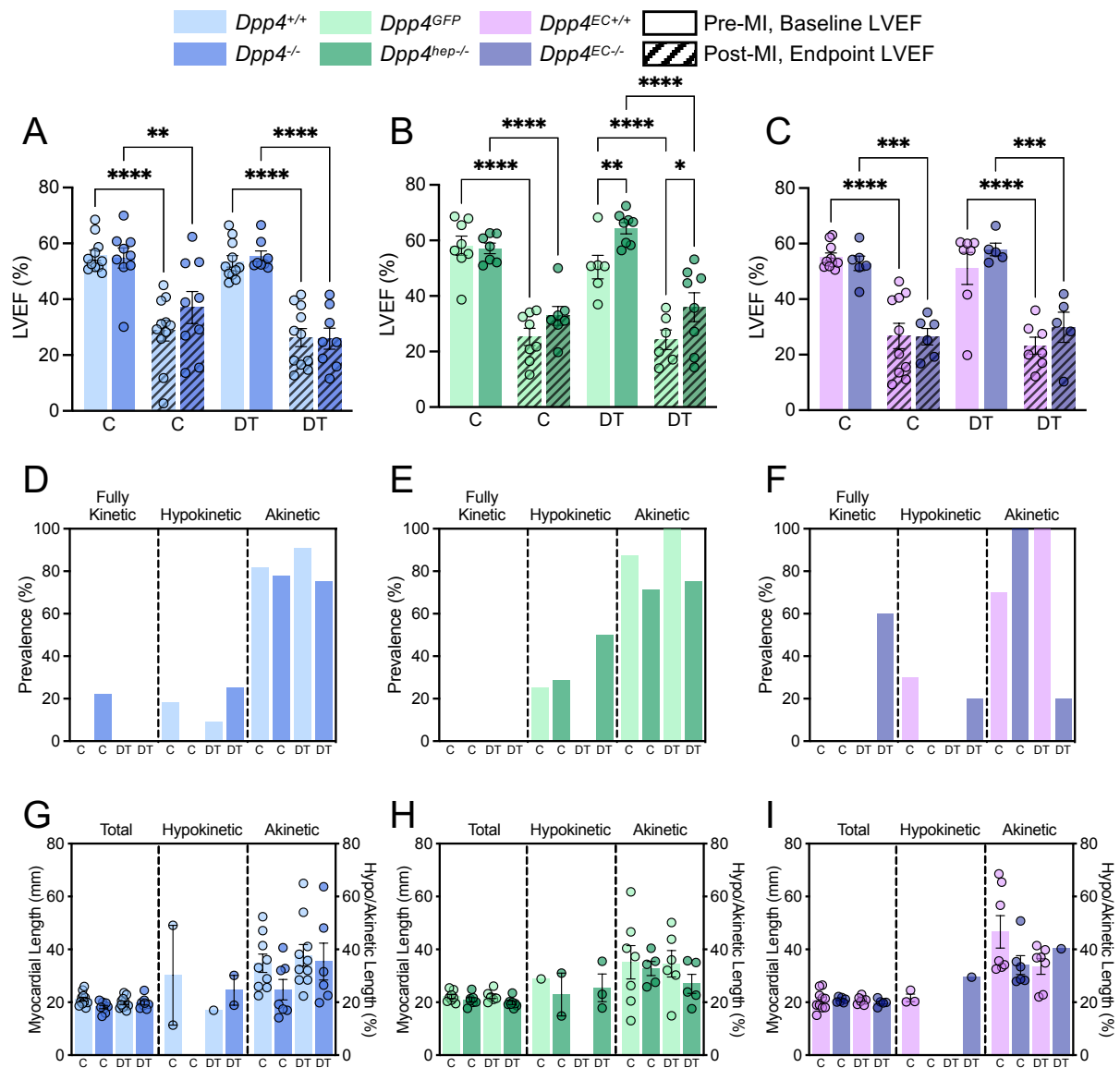
|                                        |                              | Heart Rate (BPM)       |                      | Ejection Fraction (%) |                     | Stroke Volume ( $\mu$ L) |                  | Cardiac Output (mL/min) |                    | End Systolic Volume ( $\mu$ L) |                     | End Diastolic Volume ( $\mu$ L) |                    |
|----------------------------------------|------------------------------|------------------------|----------------------|-----------------------|---------------------|--------------------------|------------------|-------------------------|--------------------|--------------------------------|---------------------|---------------------------------|--------------------|
|                                        |                              | Baseline               | Post-MI              | Baseline              | Post-MI             | Baseline                 | Post-MI          | Baseline                | Post-MI            | Baseline                       | Post-MI             | Baseline                        | Post-MI            |
| Control (water)                        | <i>Dpp4<sup>+/+</sup></i>    | 570.82 $\pm$ 24.14     | 549.68 $\pm$ 15.34   | 55.69 $\pm$ 1.84      | 28.77 $\pm$ 3.71    | 37.06 $\pm$ 2.61         | 26.49 $\pm$ 2.66 | 21.47 $\pm$ 2.35        | 14.57 $\pm$ 1.61   | 29.07 $\pm$ 1.54               | 84.31 $\pm$ 17.51   | 66.13 $\pm$ 3.25                | 110.81 $\pm$ 15.86 |
|                                        | <i>Dpp4<sup>-/-</sup></i>    | 551.22 $\pm$ 21.21     | 515.32 $\pm$ 19.83   | 54.75 $\pm$ 3.6       | 37.03 $\pm$ 5.71    | 34.1 $\pm$ 1.76          | 23.11 $\pm$ 2.18 | 18.62 $\pm$ 0.78        | 11.7 $\pm$ 0.9     | 29.39 $\pm$ 3.83               | 48.11 $\pm$ 9.31    | 63.5 $\pm$ 2.97                 | 71.23 $\pm$ 8.48   |
|                                        | <i>Dpp4<sup>GFP</sup></i>    | 457.83 $\pm$ 42.9      | 527.82 $\pm$ 28.01   | 58.08 $\pm$ 3.45      | 25.25 $\pm$ 3.08    | 47.06 $\pm$ 3.75         | 32.83 $\pm$ 3.7  | 21.48 $\pm$ 2.72        | 17.14 $\pm$ 1.99   | 34.6 $\pm$ 3.93                | 110.91 $\pm$ 22.53  | 81.67 $\pm$ 5.44                | 143.75 $\pm$ 24.27 |
|                                        | <i>Dpp4<sup>hep-/-</sup></i> | 451.94 $\pm$ 38.3      | 484.64 $\pm$ 16.94   | 57.12 $\pm$ 1.9       | 32.81 $\pm$ 3.4     | 43.26 $\pm$ 4.74         | 30.25 $\pm$ 2.26 | 19.72 $\pm$ 2.74        | 14.79 $\pm$ 1.53   | 33.02 $\pm$ 4.23               | 67.1 $\pm$ 9.18     | 76.29 $\pm$ 8.41                | 97.36 $\pm$ 10.23  |
|                                        | <i>Dpp4<sup>EC+/+</sup></i>  | 505.19 $\pm$ 23.21     | 542.79 $\pm$ 13.87   | 55.24 $\pm$ 1.41      | 26.73 $\pm$ 4.56    | 36.57 $\pm$ 3.03         | 26.9 $\pm$ 1.55  | 18.45 $\pm$ 1.66        | 14.71 $\pm$ 1.07   | 29.4 $\pm$ 2.16                | 110.95 $\pm$ 27.91  | 65.97 $\pm$ 4.78                | 137.85 $\pm$ 28.24 |
|                                        | <i>Dpp4<sup>EC-/-</sup></i>  | 523.95 $\pm$ 10.93     | 568.37 $\pm$ 20.57   | 52.87 $\pm$ 2.59      | 26.45 $\pm$ 2.97    | 36.76 $\pm$ 2.37         | 31.63 $\pm$ 3.31 | 19.2 $\pm$ 1.12         | 17.76 $\pm$ 1.6    | 32.82 $\pm$ 2.44               | 90.8 $\pm$ 9.43     | 69.58 $\pm$ 3.31                | 122.43 $\pm$ 9.49  |
| Dual Therapy<br>(Metformin+Stigilptin) | <i>Dpp4<sup>+/+</sup></i>    | 571.44 $\pm$ 10.45     | 569.91 $\pm$ 8.9     | 53.56 $\pm$ 2.06      | 26.24 $\pm$ 3.24    | 32.05 $\pm$ 1.8          | 22.59 $\pm$ 2.34 | 18.31 $\pm$ 1.1         | 12.94 $\pm$ 1.4    | 28.77 $\pm$ 2.95               | 72.46 $\pm$ 11.8    | 60.82 $\pm$ 4.36                | 95.06 $\pm$ 11.92  |
|                                        | <i>Dpp4<sup>-/-</sup></i>    | 557.63 $\pm$ 11.77     | 554.85 $\pm$ 15.67   | 55.41 $\pm$ 1.89      | 25.88 $\pm$ 3.75    | 33.01 $\pm$ 3.72         | 23.21 $\pm$ 2.69 | 18.38 $\pm$ 2.13        | 12.78 $\pm$ 1.33   | 25.74 $\pm$ 1.51               | 80.43 $\pm$ 19.69   | 58.76 $\pm$ 4.72                | 103.64 $\pm$ 20.61 |
|                                        | <i>Dpp4<sup>GFP</sup></i>    | 555.15 $\pm$ 13.11     | 550.27 $\pm$ 8.42    | 50.41 $\pm$ 4.22      | 24.24 $\pm$ 3.53    | 41.31 $\pm$ 6.3          | 33.88 $\pm$ 1.63 | 22.65 $\pm$ 2.98        | 18.6 $\pm$ 0.73    | 39.41 $\pm$ 4.02               | 123.38 $\pm$ 25.5   | 80.73 $\pm$ 7.22                | 157.27 $\pm$ 26.06 |
|                                        | <i>Dpp4<sup>hep-/-</sup></i> | 470.26 $\pm$ 13.46 *** | 482.64 $\pm$ 18.12 * | 64.42 $\pm$ 2.02 **   | 35.94 $\pm$ 5.19    | 50.9 $\pm$ 3.64          | 29.77 $\pm$ 3.34 | 23.9 $\pm$ 1.83         | 14.21 $\pm$ 1.49 * | 28.76 $\pm$ 3.51               | 60.02 $\pm$ 10.55 * | 79.67 $\pm$ 6.49                | 89.79 $\pm$ 9.28 * |
|                                        | <i>Dpp4<sup>EC+/+</sup></i>  | 530.58 $\pm$ 24.33     | 582.31 $\pm$ 23.09   | 51.06 $\pm$ 5.78      | 23.22 $\pm$ 3.06    | 26.92 $\pm$ 3.84         | 25.91 $\pm$ 4.2  | 14.17 $\pm$ 2.13        | 15.5 $\pm$ 2.91    | 27.76 $\pm$ 5.4                | 87.03 $\pm$ 10.04   | 54.69 $\pm$ 6.5                 | 112.95 $\pm$ 11.56 |
|                                        | <i>Dpp4<sup>EC-/-</sup></i>  | 555.91 $\pm$ 9.5       | 571.64 $\pm$ 20.74   | 57.89 $\pm$ 2.28      | 29.86 $\pm$ 5.41    | 42.05 $\pm$ 3.43 *       | 24.6 $\pm$ 3.9   | 23.49 $\pm$ 2.28 *      | 14.11 $\pm$ 2.39   | 30.86 $\pm$ 3.36               | 63.42 $\pm$ 12.28   | 72.92 $\pm$ 6.26                | 88.02 $\pm$ 10.48  |
| Stigilptin                             | <i>Dpp4<sup>+/+</sup></i>    | 570.82 $\pm$ 16.98     | 549.68 $\pm$ 12.78   | 55.69 $\pm$ 0.86      | 28.77 $\pm$ 4.57    | 37.06 $\pm$ 1.82         | 26.49 $\pm$ 2.26 | 21.47 $\pm$ 0.9         | 14.57 $\pm$ 1.06   | 29.07 $\pm$ 1.61               | 84.31 $\pm$ 17.5    | 66.13 $\pm$ 3.27                | 110.81 $\pm$ 16.49 |
|                                        | <i>Dpp4<sup>-/-</sup></i>    | 551.22 $\pm$ 6.28 *    | 515.32 $\pm$ 22.76   | 54.75 $\pm$ 3.52      | 37.03 $\pm$ 3.55    | 34.1 $\pm$ 3.33          | 23.11 $\pm$ 2.07 | 18.62 $\pm$ 1.88        | 11.7 $\pm$ 1.07    | 29.39 $\pm$ 3.4                | 48.11 $\pm$ 20.19   | 63.5 $\pm$ 4.72                 | 71.23 $\pm$ 19.98  |
|                                        | <i>Dpp4<sup>GFP</sup></i>    | 457.83 $\pm$ 19.69     | 527.82 $\pm$ 55.98   | 58.08 $\pm$ 4.52      | 25.25 $\pm$ 5.5     | 47.06 $\pm$ 3.88         | 32.83 $\pm$ 4.08 | 21.48 $\pm$ 2.54        | 17.14 $\pm$ 2.68   | 34.6 $\pm$ 4.87                | 110.91 $\pm$ 13.76  | 81.67 $\pm$ 6.72                | 143.75 $\pm$ 13.31 |
|                                        | <i>Dpp4<sup>hep-/-</sup></i> | 451.94 $\pm$ 17.59     | 484.64 $\pm$ 22.43   | 57.12 $\pm$ 6.09      | 32.81 $\pm$ 2.88    | 43.26 $\pm$ 4.71         | 30.25 $\pm$ 1.95 | 19.72 $\pm$ 2.59        | 14.79 $\pm$ 1.02   | 33.02 $\pm$ 5.76               | 67.1 $\pm$ 12.47    | 76.29 $\pm$ 7.26                | 97.36 $\pm$ 13.94  |
|                                        | <i>Dpp4<sup>EC+/+</sup></i>  | 555.77 $\pm$ 15        | 544.95 $\pm$ 15.35   | 53.58 $\pm$ 1.98      | 26.3 $\pm$ 3.04     | 40.59 $\pm$ 3.23         | 26.67 $\pm$ 3.57 | 22.28 $\pm$ 1.4         | 14.42 $\pm$ 1.92   | 35.79 $\pm$ 3.99               | 77.35 $\pm$ 9.31    | 76.39 $\pm$ 6.85                | 104.03 $\pm$ 10.53 |
|                                        | <i>Dpp4<sup>EC-/-</sup></i>  | 534.38 $\pm$ 20.67     | 561.04 $\pm$ 12.56   | 53.21 $\pm$ 2.64      | 21.41 $\pm$ 2.36    | 32.16 $\pm$ 2.66         | 23.51 $\pm$ 2.45 | 16.99 $\pm$ 1.22 *      | 13.31 $\pm$ 1.67   | 30.55 $\pm$ 5.15               | 92.2 $\pm$ 11.36    | 62.72 $\pm$ 7.56                | 115.71 $\pm$ 11.64 |
| Metformin                              | <i>Dpp4<sup>+/+</sup></i>    | 539.14 $\pm$ 24.25     | 532.18 $\pm$ 21.55   | 58.63 $\pm$ 3.11      | 28.02 $\pm$ 5.2     | 34.98 $\pm$ 2.7          | 25.89 $\pm$ 3.4  | 18.72 $\pm$ 1.41        | 13.65 $\pm$ 1.87   | 24.86 $\pm$ 2.81               | 80.08 $\pm$ 16.46   | 59.83 $\pm$ 4.05                | 105.98 $\pm$ 15.04 |
|                                        | <i>Dpp4<sup>-/-</sup></i>    | 560.31 $\pm$ 14.89     | 542.99 $\pm$ 22.35   | 53.88 $\pm$ 6.17      | 21.78 $\pm$ 5.68    | 37.36 $\pm$ 3.68         | 22.98 $\pm$ 2.26 | 20.78 $\pm$ 1.81        | 12.43 $\pm$ 1.24   | 34.3 $\pm$ 6.07                | 125.61 $\pm$ 31.04  | 71.67 $\pm$ 5.89                | 148.6 $\pm$ 29.77  |
|                                        | <i>Dpp4<sup>GFP</sup></i>    | 454.8 $\pm$ 26.1       | 566.88 $\pm$ 34.84   | 55.94 $\pm$ 4.65      | 22.6 $\pm$ 2.09     | 33.09 $\pm$ 2.37         | 23.69 $\pm$ 2.03 | 15.04 $\pm$ 1.36        | 13.25 $\pm$ 1.14   | 27.82 $\pm$ 5.14               | 84.03 $\pm$ 7.04    | 60.91 $\pm$ 4.56                | 107.72 $\pm$ 7.46  |
|                                        | <i>Dpp4<sup>hep-/-</sup></i> | 541.15 $\pm$ 21.38 *   | 553.8 $\pm$ 35.52    | 61.91 $\pm$ 2.99      | 35.51 $\pm$ 2.96 ** | 33.63 $\pm$ 2.34         | 27.44 $\pm$ 2.21 | 18.01 $\pm$ 1.02        | 14.78 $\pm$ 0.69   | 20.88 $\pm$ 2.33               | 52.02 $\pm$ 5.71 ** | 54.52 $\pm$ 3.3                 | 79.47 $\pm$ 6.59   |
|                                        | <i>Dpp4<sup>EC+/+</sup></i>  | 513.79 $\pm$ 23.68     | 528.58 $\pm$ 12.07   | 55.34 $\pm$ 2.66      | 32.89 $\pm$ 4.77    | 40.83 $\pm$ 2.88         | 33.77 $\pm$ 3.73 | 20.9 $\pm$ 1.63         | 17.71 $\pm$ 1.87   | 35.15 $\pm$ 5.64               | 77.48 $\pm$ 11.84   | 75.98 $\pm$ 8.05                | 111.26 $\pm$ 10.32 |
|                                        | <i>Dpp4<sup>EC-/-</sup></i>  | 517.26 $\pm$ 13.3      | 539.58 $\pm$ 22.75   | 61.89 $\pm$ 4.33      | 25.13 $\pm$ 2.99    | 36.14 $\pm$ 3.12 *       | 26.27 $\pm$ 2.32 | 18.63 $\pm$ 1.53 *      | 14.23 $\pm$ 1.56   | 22.96 $\pm$ 3.5                | 89.09 $\pm$ 17.86   | 59.11 $\pm$ 4.37                | 115.37 $\pm$ 18.01 |

#### 4.5.4 Cardiac function is rescued with DT treatment pre- and post-MI in *Dpp4<sup>hep-/-</sup>* mice, without altering myocardial kinesis

Across all genotypes and treatments, LVEF was largely decreased (approximately 40-50%) as a result of the LAD-ligation surgery, as expected (**Figure 4.5A-C, Supplemental Figure 4.2A-C**). This decrease was modestly, but not significantly, attenuated in control treated *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* mice post-MI as previously reported by Sauv   *et. al.*<sup>14</sup> (**Figure 4.5A**). Interestingly, LVEF did not differ between DT treated *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>* mice, however function decreased by 30% with DT compared to control treatment in *Dpp4<sup>-/-</sup>* mice, which is not consistent with human studies<sup>20</sup> (**Figure 4.5A**). There were no differences detected between *Dpp4<sup>GFP</sup>* and *Dpp4<sup>hep-/-</sup>* mice with control treatment. Most notably however, DT treatment in *Dpp4<sup>hep-/-</sup>* mice resulted in higher LVEF compared to *Dpp4<sup>GFP</sup>* mice, at baseline and post-MI, suggesting that eliminating *Dpp4* peripherally from the liver and modulating endothelial cell-derived *Dpp4* effectively rescues function (**Figure 4.5B**). Similar to *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>* mice, no significant differences were detected between *Dpp4<sup>EC+/+</sup>* and *Dpp4<sup>EC-/-</sup>* mice with both control and DT treatment (**Figure 4.5C**). When we assessed the effects of sitagliptin and metformin monotherapy on LVEF, we detected no change between *Dpp4<sup>+/+</sup>* and *Dpp4<sup>-/-</sup>* mice with drug treatment at baseline or endpoint (**Supplemental Figure 4.2A**). However, at endpoint, we did observe a significant increase in LVEF with metformin treatment in *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice, suggesting a cardioprotective mechanism independent of hepatocyte-specific DPP4 (**Supplemental Figure 4.2B**). This was confirmed as we saw no change between *Dpp4<sup>EC-/-</sup>* and *Dpp4<sup>EC+/+</sup>* mice with sitagliptin and metformin treatment (**Supplemental Figure 4.2C**).

Our group has previously described *in vivo* infarct measurement; it is comparable to the gold standard histological analysis, with the added benefit that tissue may be instead used for molecular analyses<sup>28</sup>. We employed this strategy and evaluated the prevalence of fully kinetic myocardia with full movement, hypokinetic myocardia with decreased movement, and akinetic myocardia with infarcts that do not move. Approximately 20% of control treated *Dpp4*<sup>-/-</sup> mice had fully kinetic myocardia, while 18% of *Dpp4*<sup>+/+</sup> mice had hypokinetic hearts (**Figure 4.5D**). DT treatment resulted in 10% and 25% prevalence of hypokinetic myocardia in *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup> mice, respectively, however the majority were akinetic (**Figure 4.5D**). Control and DT treated *Dpp4*<sup>hep-/-</sup> mice had more hypokinetic hearts compared to *Dpp4*<sup>GFP</sup> mice, but the majority again were akinetic (**Figure 4.5E**). This is in contrast to DT treated *Dpp4*<sup>EC-/-</sup> mice where almost 60% of hearts are fully kinetic (**Figure 4.5F**), and interestingly not associated with rescued LVEF. Further, all DT treated *Dpp4*<sup>EC+/+</sup> mice had akinetic hearts, however 30% of these mice had hypokinetic myocardia with control treatment (**Figure 4.5F**). With sitagliptin monotherapy, 50% of *Dpp4*<sup>+/+</sup> mice had hypokinetic hearts and the remainder were akinetic, while metformin monotherapy treatment was beneficial in *Dpp4*<sup>-/-</sup> mice resulting in approximately 40% hypokinetic hearts (**Supplemental Figure 4.2D**). Interestingly, sitagliptin and metformin monotherapy resulted in an even distribution of *Dpp4*<sup>hep-/-</sup> mice with hypokinetic and akinetic hearts, making both treatments superior in these mice compared to control *Dpp4*<sup>GFP</sup> mice (**Supplemental Figure 4.2E**). Metformin-treated *Dpp4*<sup>EC-/-</sup> mice all had akinetic hearts, while sitagliptin treatment resulted in approximately 30% hypokinetic hearts, however, both sitagliptin and metformin treatment were beneficial to *Dpp4*<sup>EC+/+</sup> mice, resulting in 35-55% hypokinetic hearts

(**Supplemental Figure 4.2F**). Interestingly, we did not observe significant differences between genotypes within treatment groups when we assessed total endocardial left ventricular length (**Figure 4.5G-I, Supplemental Figure 4.2G-I**). Additionally, we did not detect significant differences in infarct sizes between genotypes with all drug treatments, despite improved function in DT treated *Dpp4<sup>hep-/-</sup>* mice pre- and post-MI, and metformin treated *Dpp4<sup>hep-/-</sup>* mice, post-MI (**Figure 4.5G-I, Supplemental Figure 4.2G-I**). With DT treatment, more *Dpp4<sup>hep-/-</sup>* mice had hypokinetic hearts while no mice with metformin treatment did; all hearts were akinetic. Therefore, we may deduce that the cardioprotective phenotype with DT treatment is partially due to rescued myocardial kinesis, however, in both cases, metformin treatment with or without the addition of sitagliptin is targeting endothelial cell-specific DPP4 and modulating local effects in the heart.



**Figure 4.5: DT treatment improved ejection fraction, but did not improve myocardial kinesis or change average infarct size in *Dpp4*<sup>hep-/-</sup> mice.** LVEF at baseline (plain bars) after 10-weeks of HFHC-feeding and 4 weeks of pharmacological treatment and at endpoint (patterned bars), 4-weeks after LAD-ligation, and after 14-weeks of HFHC-feeding and 8-weeks of pharmacological treatment, in (A) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (B) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (C) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> male mice. Prevalence of fully kinetic, hypokinetic and akinetic myocardium after LAD-ligation in (D) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (E) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (F) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> male mice. Total endocardial left ventricle length (left Y-axis), and hypokinetic and akinetic proportion (right y-axis) in (G) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (H) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (I) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> male mice. Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey's post-hoc test, \*p=0.01-0.05.

#### 4.5.5 DPP4 activity is dissociated from sDPP4 levels over time

We next evaluated changes in DPP4 activity and sDPP4 levels from baseline prior to MI (10 weeks), to 1-week post-MI (11 weeks) until endpoint (14 weeks). Interestingly, we found a consistent trend where DPP4 activity decreased 1-week post-MI from baseline but increased at endpoint. These fluctuations were not significant between timepoints in control treated *Dpp4*<sup>+/+</sup> mice (**Figure 4.6A**) and *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice (**Figure 4.6B**), however, these trends were significant in *Dpp4*<sup>EC+/+</sup> mice (**Figure 4.6C**). DT treatment in *Dpp4*<sup>+/+</sup> resulted in significant increases at endpoint compared to baseline and 1-week post-MI (**Figure 4.6D**). Similar trends were observed in *Dpp4*<sup>hep-/-</sup> mice with DT treatment, as well as a significant decrease between baseline and 1-week post-MI (**Figure 4.6E**). In *Dpp4*<sup>GFP</sup> mice however, a significant change was only observed between 11 and 14 weeks (**Figure 4.6E**). DT treatment significantly increased DPP4 activity after surgery to endpoint in *Dpp4*<sup>EC+/+</sup> mice, while a trend was observed in *Dpp4*<sup>EC-/-</sup> mice (**Figure 4.6F**). With sitagliptin treatment, DPP4 activity increased after surgery up to endpoint in *Dpp4*<sup>+/+</sup> mice (**Supplemental Figure 4.3A**). Activity was significantly reduced in *Dpp4*<sup>hep-/-</sup> mice from before to after surgery, and to endpoint (**Supplemental Figure 4.3B**). Similarly, a decrease was also observed in *Dpp4*<sup>GFP</sup> mice from before to after surgery, and there was a trend for increase from the 1-week post-MI timepoint to endpoint (**Supplemental Figure 4.3B**). This was also observed in *Dpp4*<sup>EC-/-</sup> mice, albeit the change from 11 to 14 weeks was significant (**Supplemental Figure 4.3C**). Interestingly however, there were no significant differences between timepoints in any genotypes with metformin treatment, with only a trend observed in *Dpp4*<sup>GFP</sup> mice from 1-week post-MI to endpoint for increased activity (**Supplemental Figure 4.3D-F**).

In our evaluation of plasma sDPP4 levels, the majority of control and treated mice experienced a similar trend to varying degrees; sDPP4 increased from before surgery (10 weeks) to 1-week after (11 weeks), and further from 1-week post MI to endpoint (14 weeks). This was significantly evident in *Dpp4*<sup>+/+</sup> mice where sDPP4 was increased from 10 to 14 weeks and from 11 to 14 weeks (**Figure 4.6G**). From 10 to 14 weeks, there was a significant increase in sDPP4 in *Dpp4*<sup>GFP</sup> mice. *Dpp4*<sup>hep-/-</sup> mice, however, experienced a significant increase from 10 to 11 weeks (**Figure 4.6H**). Interestingly, sDPP4 levels were significantly increased from 10 to 11 weeks and from 10 to 14 weeks in *Dpp4*<sup>GFP</sup> mice, while a significant increase was observed in *Dpp4*<sup>EC-/-</sup> mice only from baseline to endpoint (**Figure 4.6I**). In contrast to DPP4 activity analysis and control treatment sDPP4 measurements, DT treatment did not significantly alter sDPP4 levels in *Dpp4*<sup>+/+</sup> mice (**Figure 4.6J**) or *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice, however there was a trend for increase from 10 to 14 weeks in *Dpp4*<sup>GFP</sup> mice (**Figure 4.6K**). In comparison, DT treated *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice saw a trend for increase in sDPP4 levels from baseline (10 weeks) to endpoint (14 weeks) (**Figure 4.6L**). Comparable to DT treatment, sitagliptin treatment did not result in any major fluctuations in *Dpp4*<sup>+/+</sup> mice (**Supplemental Figure 4.3G**), however sDPP4 levels increased from 10 weeks and 11 weeks to endpoint in *Dpp4*<sup>hep-/-</sup> mice, and was trending up in *Dpp4*<sup>GFP</sup> mice from before to after MI (**Supplemental Figure 4.3H**). In all three comparisons between timepoints, 10 to 11, 10 to 14 and 11 to 14 weeks, *Dpp4*<sup>EC-/-</sup> mice saw increased sDPP4 levels with sitagliptin treatment (**Supplemental Figure 4.3I**). Compared to sitagliptin treated *Dpp4*<sup>+/+</sup> mice, those treated with metformin did in fact experience a significant increase in sDPP4 levels from prior to surgery to endpoint (**Supplemental Figure 4.3J**). Similarly, sDPP4 levels

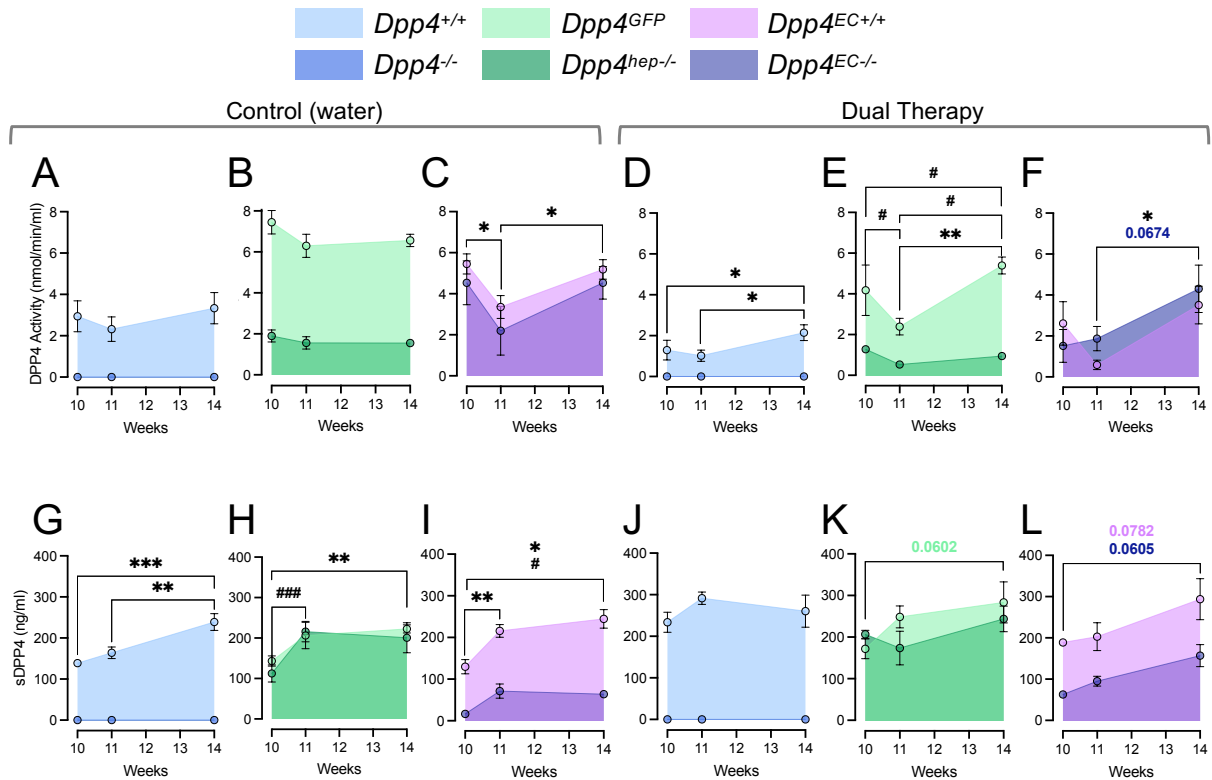
were increased in *Dpp4<sup>GFP</sup>* mice from before MI to endpoint, and there was a trend for increase from before to after surgery in *Dpp4<sup>hep-/-</sup>* mice (**Supplemental Figure 4.3K**). Lastly, in *Dpp4<sup>EC-/-</sup>* mice, sDPP4 increased from before to 1-week after surgery with metformin treatment (**Supplemental Figure 4.3L**). sDPP4 levels increased and were statistically higher in *Dpp4<sup>EC+/+</sup>* mice from 1-week post MI to endpoint, and from before surgery to endpoint as well (**Supplemental Figure 4.3L**). These data illustrate a dissociation between DPP4 activity and sDPP4 protein over the timeline of the experiment. DPP4 activity appears to be affected heavily by surgery, however it is restored before the end of the experiment. In contrast sDPP4 levels continue to rise from baseline at 10 weeks, through 11 weeks (1-week post- MI), and up until endpoint, signifying that sDPP4 is not affected by surgery, rather increases with continued HFHC-feeding instead.

We then evaluated DPP4 activity and sDPP4 levels between genotypes at 1-week post-MI (11 weeks) and endpoint 4 weeks post-MI (14 weeks). DPP4 activity was significantly reduced in *Dpp4<sup>-/-</sup>* mice versus *Dpp4<sup>+/+</sup>* mice with control and metformin treatment, while DT and sitagliptin treatment reduced activity in *Dpp4<sup>+/+</sup>* mice thereby no significant differences were observed (**Supplemental Figure 4.4A**). Additionally, consistent with a model of genetic elimination, 7 days after LAD-ligation, DPP4 activity and protein was undetectable in *Dpp4<sup>-/-</sup>* (**Supplemental Figure 4.4A**). DPP4 activity was significantly lower in sitagliptin, and DT treated *Dpp4<sup>GFP</sup>* mice compared to control treated mice (**Supplemental Figure 4.4B**). Further, *Dpp4<sup>hep-/-</sup>* mice had lower DPP4 activity than *Dpp4<sup>GFP</sup>* mice treated with water and metformin, however no significant changes were detected between sitagliptin, and DT treated mice (**Supplemental Figure 4.4B**). DPP4 activity was significantly reduced in

*Dpp4*<sup>EC+/+</sup> mice with DT treatment compared to water, sitagliptin and metformin, however no significant changes were observed between controls and *Dpp4*<sup>EC-/-</sup> mice (**Supplemental Figure 4.4C**). This did not associate with circulating DPP4 protein, which was significantly increased in DT treated *Dpp4*<sup>+/+</sup> mice compared to all other drug treatments by 27-44% (**Supplemental Figure 4.4D**). Interestingly, no significant changes were found between *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>GFP</sup> mice, or between treatment groups, when we assessed sDPP4 (**Supplemental Figure 4.4E**). Lastly, sDPP4 was significantly reduced between *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice treated with water and sitagliptin (**Supplemental Figure 4.4F**).

At endpoint, *Dpp4*<sup>-/-</sup> mice had no detectable levels of DPP4 activity and soluble protein (**Supplemental Figure 4.5A, D**). Metformin treatment significantly increased DPP4 activity in *Dpp4*<sup>+/+</sup> mice compared to those treated with DT (**Supplemental Figure 4.5A**). Activity was also significantly reduced between *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>GFP</sup> mice with all treatments, but no differences were detected between treatments (**Supplemental Figure 4.5B**). In contrast, no significant changes were noted between *Dpp4*<sup>EC-/-</sup> and *Dpp4*<sup>EC+/+</sup> mice with respect to plasma DPP4 activity (**Supplemental Figure 4.5C**). sDPP4 levels did not fluctuate with drug treatments in *Dpp4*<sup>+/+</sup> mice (**Supplemental Figure 4.5D**), or in *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> mice (**Supplemental Figure 4.5E**). DPP4 protein levels were significantly reduced in control treated *Dpp4*<sup>EC-/-</sup> mice compared to controls, while all other drug treatment groups were trending down (**Supplemental Figure 4.5F**). While the same general trend exists in DPP4 activity and sDPP4 levels from baseline to post-MI at 11 and 14 weeks, there are subtle differences between drug treatment groups at 11 weeks, 1 week-post MI. Namely, DT treatment appears to reduce DPP4 activity among all genotype control

mice (*Dpp4*<sup>+/+</sup>, *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>EC+/+</sup> mice). Further, the same trend exists at these timepoints and at baseline; DPP4 activity and protein are differentially regulated by *Dpp4* genetic elimination from hepatocytes and endothelial cells, respectively.



**Figure 4.6: DPP4 activity and sDPP4 levels increase at endpoint after MI surgery.** Plasma DPP4 activity in (A) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (B) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (C) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, and DPP4 protein concentration in (G) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (H) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (I) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice with control (water) treatment. Plasma DPP4 activity in (D) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (E) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (F) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, and DPP4 protein concentration in (J) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (K) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (L) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice with DT treatment. The 10-week time point includes 10 weeks of HFHC-feeding and 4 weeks of treatment. The 11-week time point includes 11 weeks of HFHC-feeding and 5 weeks of treatment and is 1 week after LAD-ligation. The 14-week time point includes 14 weeks of HFHC-feeding and 8 weeks of treatment and is 4 weeks after LAD-ligation. Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with repeated measures and a Tukey post-hoc multiple comparisons correction reported as adjusted p value. Significant differences in *Dpp4*<sup>+/+</sup>, *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>EC+/+</sup>, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001. Significant differences in *Dpp4*<sup>-/-</sup>, *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>EC-/-</sup>, #p=0.01-0.05, ###p=0.001-0.01 and ####p=0.0001-0.001. Trends are reported as adjusted p values in the colour of their respective genotype.

## 4.6 Discussion

DT treatment with DPP4i's and metformin has demonstrated cardiovascular superiority compared to DPP4i monotherapy, which have shown neutral effects in CVOTs<sup>20</sup>. The mechanism has been explored<sup>25,39</sup>, however, how DPP4 reductions in enzymatic activity and sDPP4 levels affect post-MI healing have not been investigated. Firstly, the present data reveal a complex relationship between DPP4 source and enzymatic activity or sDPP4 fluctuations as previously described by Varin *et. al.*<sup>11</sup>. In our study at baseline, DPP4 activity was reduced by 71% in *Dpp4<sup>hep-/-</sup>* mice compared to *Dpp4<sup>GFP</sup>* mice, while sDPP4 levels were reduced by 88% in *Dpp4<sup>EC-/-</sup>* mice versus *Dpp4<sup>EC+/+</sup>* mice. DPP4i bioavailability varies wildly between drugs, ranging from 30-85%<sup>40-44</sup>. This in turn translates to incomplete DPP4 inhibition, resulting in 50-85% inhibition in the few studies that evaluate this; typically DPP4 inhibition is evaluated via reductions in fasting glucose or increases in active GLP-1 in clinical studies, even at low concentrations<sup>44-47</sup>. Most pre-clinical studies evaluate DPP4i mechanisms and effects by complete inhibition, here, we model clinical studies instead for more translational findings.

We cannot overlook partial inhibition of DPP4 as a mechanism to cardioprotection, as intestinal specific inhibitory concentrations of DPP4i's improved glucose tolerance and increased insulin secretion even though plasma DPP4 activity was not affected<sup>48</sup>. Interestingly, these results were still GLP-1 dependent, exemplified via genetic deletion of the GLP-1 receptor which prevented the glycemic benefit of sitagliptin<sup>48</sup>. Gut-derived DPP4, which is localized to capillaries near the enteroendocrine cells that give rise to GLP-1 and glucagon-dependent insulinotropic polypeptide (GIP), can inactivate these hormones immediately after release<sup>49</sup>. With

inhibition at low doses, intestinal DPP4 is enzymatically inactivated thereby preserving GLP-1 and GIP activity<sup>48</sup>. Additionally, beyond reducing sDPP4, metformin can increase GLP-1 secretion<sup>24,50,51</sup>, which is already increased with inflammation after MI as well<sup>52,53</sup>. Of importance, endothelial cell-derived DPP4 is implicated in glucoregulation and genetic elimination results in significantly increased levels of intact GLP-1<sup>11</sup>. There is substantial evidence to show cardiovascular benefit of intact GLP-1, via reduced inflammation and ischemic injury, as well as improved endothelial function<sup>54</sup>. Further, reduced GIP levels have been revealed as a predictor of poor outcome in high-risk patients with acute MI<sup>55</sup>. Therefore, we will assess active and total levels of GLP-1 and GIP in our study to determine if our findings are dependent on their cardioprotective actions.

Our preliminary studies did not reveal a precise mechanism behind our positive findings in LVEF improvement in *Dpp4<sup>hep-/-</sup>* mice, and an increased number of *Dpp4<sup>EC-/-</sup>* mice with fully kinetic hearts. Interestingly, we observed no significant functional improvement in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* mice, which contrasts previous reports<sup>14,16</sup>. We did observe a trend for maintained function from baseline to endpoint in *Dpp4<sup>-/-</sup>* mice compared to *Dpp4<sup>+/+</sup>* mice with control treatment, however. Overall, this data suggests that reductions in DPP4 activity and protein can improve function and reduce infarcts sizes to varying degrees, respectively. Our findings in *Dpp4<sup>-/-</sup>* mice is in line with studies that report lack of improvement in high-fat diet-fed, diabetic mice treated with MK-0626 after trans-aortic constriction surgery to induce pressure overload<sup>16</sup>. In contrast to the study conducted by Sauve *et. al.*<sup>14</sup>, our mice were aged and treated with lower concentrations of drugs, which may account for discrepancies, however a true mechanism was not uncovered in the current study.

Most notably, we saw a significant improvement in LVEF in DT treated *Dpp4<sup>hep-/-</sup>* mice, both pre- and post-MI. This group was more responsive to treatment compared to both *Dpp4<sup>-/-</sup>* mice and *Dpp4<sup>EC-/-</sup>* mice, suggesting endothelial cell-derived DPP4 is the target of metformin action potentially. Additionally, metformin targets the liver via its organ specific organ cation transporter 1 (OCT1). We have previously shown that the liver is central to obesity where *Pemt<sup>-/-</sup>* mice do not gain weight but do have steatotic livers, and in this case DPP4 activity was increased 4-fold<sup>29</sup>. It is possible that metformin improved liver lipid metabolism<sup>56</sup> in our study, to impact endothelial cell-derived DPP4, or hepatocyte-derived DPP4 in *Dpp4<sup>EC-/-</sup>* mice to improve myocardial kinesis. Future experiments will investigate lipid metabolism pathways and myocardial fuel metabolism to determine how this affected ventricular function.

Additionally, we observed a relationship between DPP4 activity and protein which was not completely linear. DPP4 activity was reduced 1-week post-MI and increased thereafter, while DPP4 protein levels steadily rose from prior to surgery, to endpoint 4 weeks after LAD-ligation. This data may suggest a role for active DPP4 after infarction at which point cytokines and chemokines also fluctuate, as we have also shown.

#### **4.7 Limitations**

This study does not fully investigate the contribution of DPP4 expression on immune cells not targeted by Tie2-Cre which may be acutely increased after MI. Future studies could employ flow-cytometry to investigate how systemic or organ and cell specific genetic ablation as well as pharmaceutical treatment, affect DPP4 expression on immune cells, and further investigate inflammation and resolution.

## 4.8 Conclusion

In summary, we have demonstrated that prior to MI, *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice have moderately superior cardiac dimensions and function, respectively, compared to their controls, after HFHC-feeding and metformin or DT, respectively. Post-MI, similar trends persist, illustrating CV improvement in *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice, however, only *Dpp4<sup>EC-/-</sup>* mice have significantly smaller akinetic lengths. Future studies will elaborate on the mechanisms behind these improvements, focusing on GLP-1 and acute post-infarct inflammation characterization. Future studies will evaluate the infarct, peri-infarct and remote parts of the ventricle for changes in remodelling and fibrosis associated genes, as well as protein levels of cytokines and chemokines. In circulation, we will evaluate active and total GLP-1 as well as GIP and pro-inflammatory and pro-resolving DPP4 substrates.

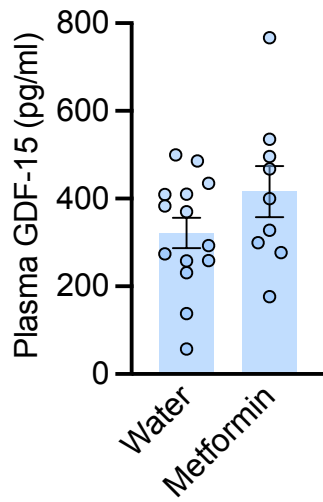
## 4.9 Acknowledgements

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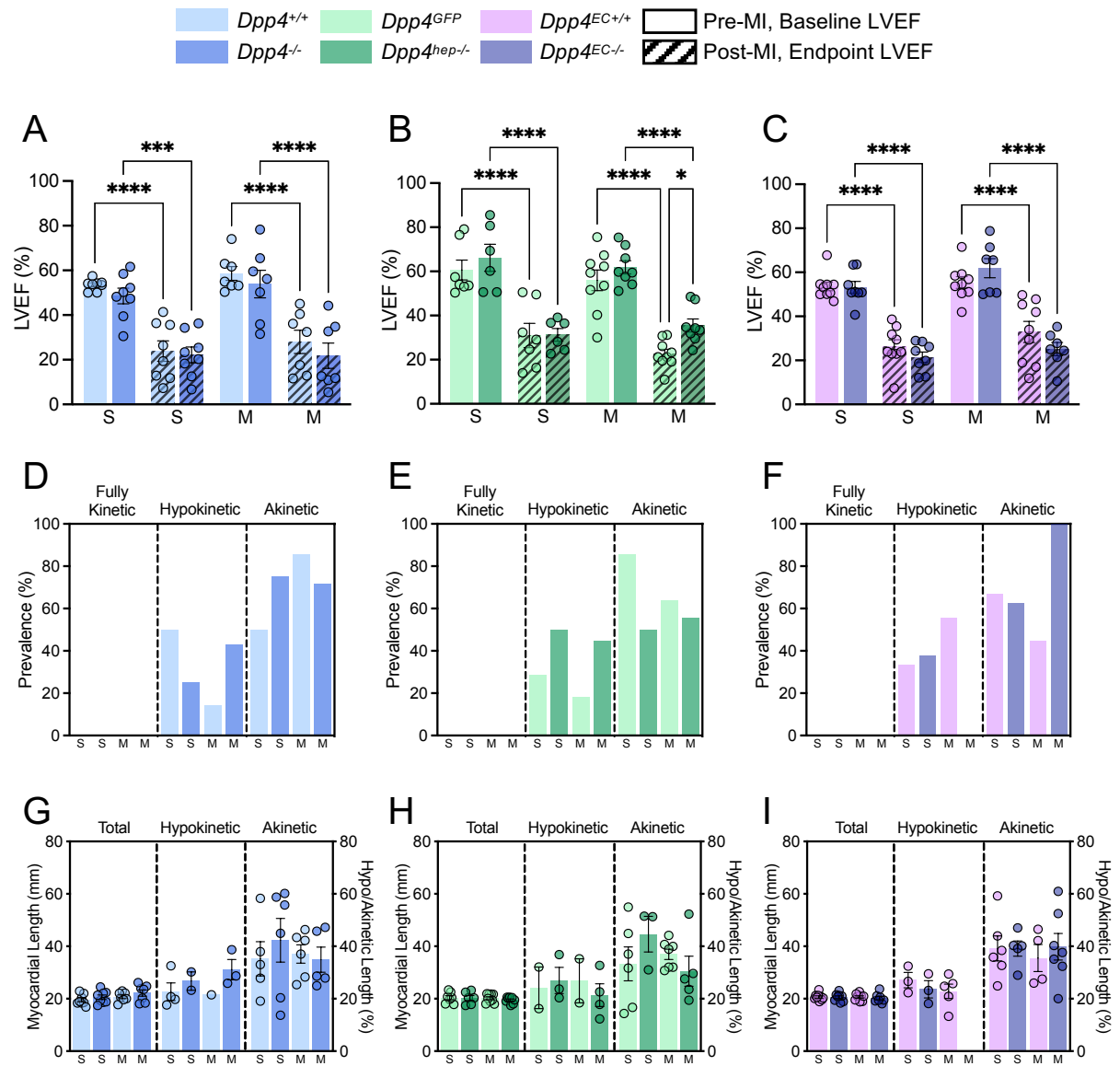
supported by a CIHR masters award. BV was supported by a CIHR postdoctoral fellowship.

**Supplemental Table 4.1: List of qRT-PCR primers, from Applied Biosystems.**

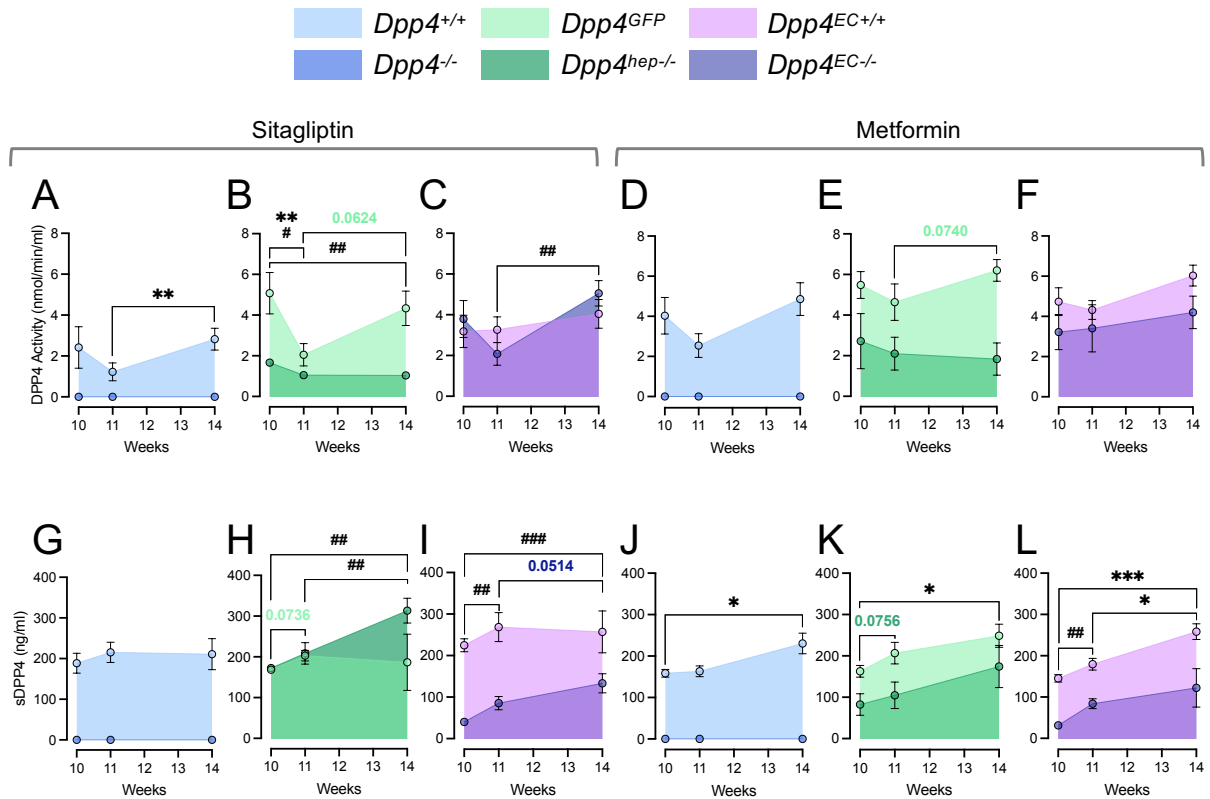
| <b>Oligonucleotides</b>                                               | <b>Identifier</b> |
|-----------------------------------------------------------------------|-------------------|
| Swine dipeptidyl peptidase-4 ( <i>Dpp4</i> )                          | Ss03383683_u1     |
| Swine platelet endothelial cell adhesion molecule-1 ( <i>Pecam1</i> ) | Ss03392600_u1     |
| Swine <i>Thy1</i>                                                     | Ss03376963_u1     |
| Murine chemokine (C-C motif) ligand 2 ( <i>Ccl2</i> )                 | Mm00441242_m1     |
| Murine chemokine (C-X-C motif) ligand 10 ( <i>Cxcl10</i> )            | Mm00445235_m1     |
| Murine chemokine (C-C motif) ligand 11 ( <i>Ccl11</i> )               | Mm00441238_m1     |
| Murine chemokine (C-X-C motif) ligand 12 ( <i>Cxcl12</i> )            | Mm00445553_m1     |
| Murine chemokine (C-C motif) ligand 5 ( <i>Ccl5</i> )                 | Mm01302427_m1     |



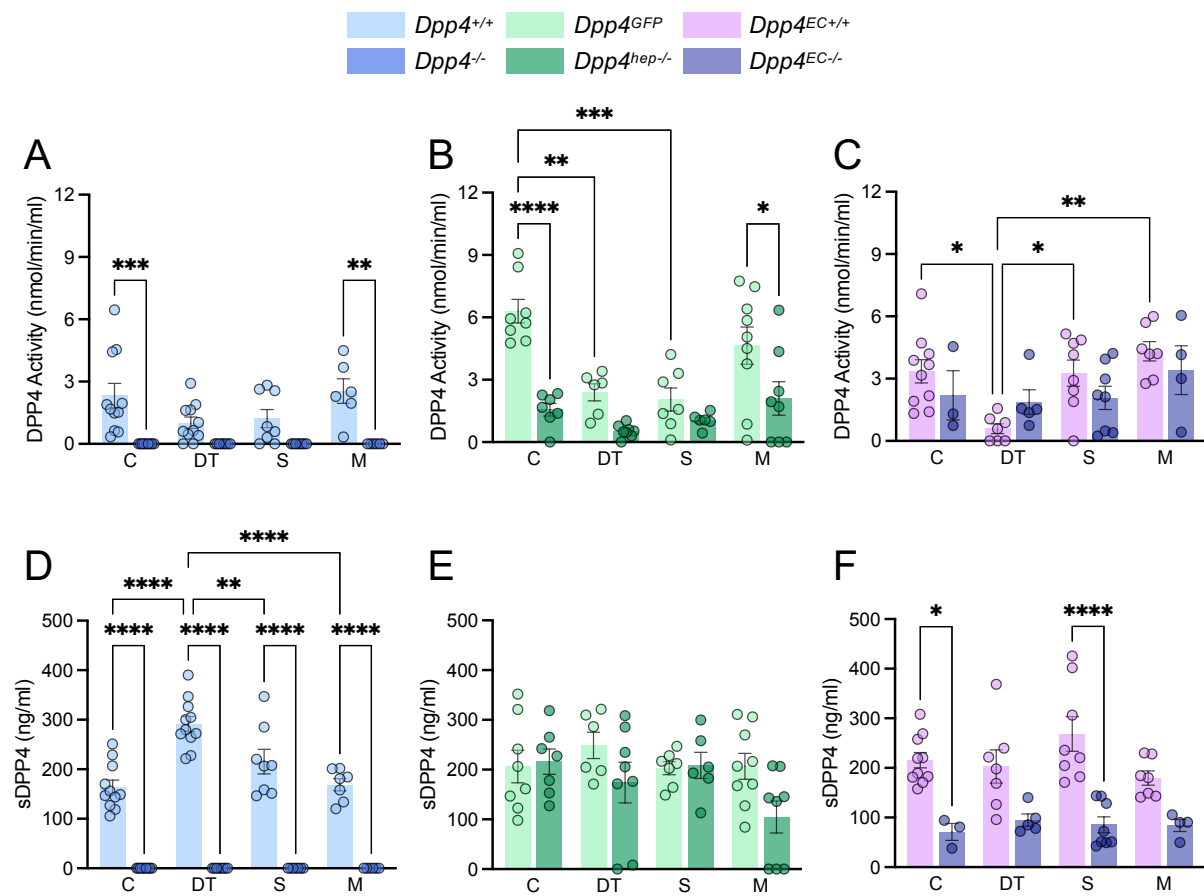
**Supplemental Figure 4.1: Plasma GDF-15 is increased with metformin treatment.** Plasma GDF-15 levels in control (water) or metformin treated *Dpp4*<sup>+/+</sup> mice



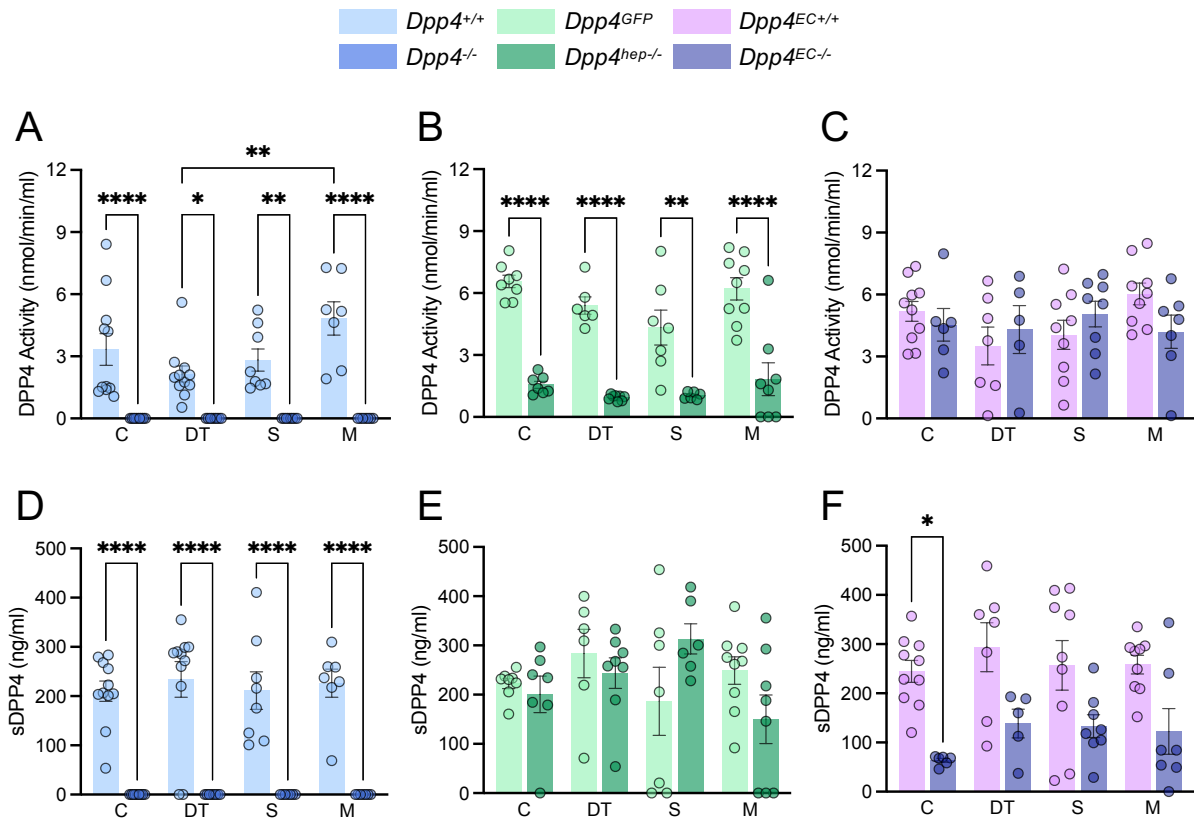
**Supplemental Figure 4.2: Metformin treatment in  $Dpp4^{EC-/-}$  and  $Dpp4^{hep-/-}$  mice does not rescue myocardial kinesis, or reduce infarct size.** LVEF at baseline (plain bars) after 10-weeks of HFHC-feeding and 4 weeks of pharmacological treatment and at endpoint (patterned bars) after 4-weeks after LAD-ligation, 14-weeks of HFHC-feeding and 8-weeks of pharmacological treatment, in (A)  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , (B)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  and (C)  $Dpp4^{EC+/+}$  and  $Dpp4^{EC-/-}$  male mice. Prevalence of fully kinetic, hypokinetic and akinetic myocardium after LAD-ligation in (D)  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , (E)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  and (F)  $Dpp4^{EC+/+}$  and  $Dpp4^{EC-/-}$  male mice. Total endocardial left ventricle length (left Y-axis), and hypokinetic and akinetic proportion (right y-axis) in (G)  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , (H)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  and (I)  $Dpp4^{EC+/+}$  and  $Dpp4^{EC-/-}$  male mice. Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey's post-hoc test, \*p=0.01-0.05.



**Supplemental Figure 4.3: DPP4 activity and sDPP4 over time in sitagliptin and metformin treated mice.** Plasma DPP4 activity in (A) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (B) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (C) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, and DPP4 protein concentration in (G) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (H) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (I) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice with sitagliptin treatment. Plasma DPP4 activity in (D) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (E) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (F) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, and DPP4 protein concentration in (J) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (K) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (L) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice with metformin treatment. The 10-week time point includes 10 weeks of HFHC-feeding and 4 weeks of treatment. The 11-week time point includes 11 weeks of HFHC-feeding and 5 weeks of treatment and is 1 week after LAD-ligation. The 14-week time point includes 14 weeks of HFHC-feeding and 8 weeks of treatment and is 4 weeks after LAD-ligation. Data are presented as means ± SEM analyzed by a two-way ANOVA with repeated measures and a Tukey post-hoc multiple comparisons correction reported as adjusted p value. Significant differences in *Dpp4*<sup>+/+</sup>, *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>EC+/+</sup>, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001. Significant differences in *Dpp4*<sup>-/-</sup>, *Dpp4*<sup>hep-/-</sup> and *Dpp4*<sup>EC-/-</sup>, #p=0.01-0.05, ##p=0.001-0.01 and ###p=0.0001-0.001. Trends are reported as adjusted p values in the colour of their respective genotype.



**Supplemental Figure 4.4: Seven days post-MI, DPP4 activity is reduced, but sDPP4 levels are maintained.** Plasma DPP4 activity in (A) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (B) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (C) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, and DPP4 protein concentration in (D) *Dpp4*<sup>+/+</sup> and *Dpp4*<sup>-/-</sup>, (E) *Dpp4*<sup>GFP</sup> and *Dpp4*<sup>hep-/-</sup> and (F) *Dpp4*<sup>EC+/+</sup> and *Dpp4*<sup>EC-/-</sup> mice, after 14 weeks of HFHC feeding, 8 weeks of drug treatment and 4 weeks following LAD-ligation. Drug treatments: C = control (water), S = Sitagliptin, M = Metformin and DT = dual therapy (Sitagliptin and Metformin combination). Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001.



**Supplemental Figure 4.5: Four weeks post-MI, DPP4 activity and sDPP4 levels resemble baseline trends.** Plasma DPP4 activity in (A)  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , (B)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  and (C)  $Dpp4^{EC+/+}$  and  $Dpp4^{EC-/-}$  mice, and DPP4 protein concentration in (D)  $Dpp4^{+/+}$  and  $Dpp4^{-/-}$ , (E)  $Dpp4^{GFP}$  and  $Dpp4^{hep-/-}$  and (F)  $Dpp4^{EC+/+}$  and  $Dpp4^{EC-/-}$  mice, after 14 weeks of HFHC feeding, 8 weeks of drug treatment and 4 weeks following LAD-ligation. Drug treatments: C = control (water), S = Sitagliptin, M = Metformin and DT = dual therapy (Sitagliptin and Metformin combination). Data are presented as means  $\pm$  SEM analyzed by a two-way ANOVA with a Tukey post-hoc multiple comparisons correction reported as adjusted p value, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001.

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## 5 General Discussion

In tandem with the rise in obesity and an aging population, the prevalence of metabolic disease has been climbing steadily<sup>1</sup>. As of 2023, 30% of Canadians live with diabetes or prediabetes, costing individuals with T2D up to \$10,000 a year in direct pharmaceutical, medical and hospital visit associated costs<sup>2</sup>. Complications of metabolic syndrome and T2D include NAFLD or MAFLD/MASLD, which is present in up to 70% of individuals with T2D<sup>3</sup>. Most importantly, these individuals are also over three times more likely to be hospitalized with CVD, which is a major cause of death as well<sup>4</sup>. Bearing in mind the considerable overlap between these diseases, identifying common disturbed pathways is of significant interest. Most notably, DPP4 is a pharmacological target to treat T2D<sup>5</sup>, however, its involvement in metabolic and CVD is still emerging. For example, DPP4 is a Middle East respiratory syndrome coronavirus receptor, which made it a point of investigation at the height of the COVID-19 pandemic<sup>6-8</sup>. In addition, DPP4 enzymatic activity positively correlates with hyperglycemia in patients with T2D<sup>9</sup>, and hepatic expression is increased in patients with MASLD<sup>10</sup> and HCV<sup>11</sup> and remodelling and inflammation in CVD<sup>12</sup>. However, DPP4 involvement in metabolic disease progression, namely in molecular processes such as inflammation, fibrosis and lipid metabolism are incompletely understood.

Consistent with numerous studies, this thesis highlights the complexity of DPP4 biology in disease. In Chapter 2, we reveal that hepatocyte-derived DPP4 is directly involved in regulating HGP via regulation of GLP-1 bioactivity. Further, consistent with previous reports<sup>13</sup> we determined that DPP4 cleavage of incretin hormones in the portal vein versus systemic circulation, represents a reservoir of DPP4 that could be targeted for more efficient HGP reduction and preservation of intact incretin

hormones. The GLP-1 receptor is expressed in the portal vein<sup>14,15</sup> which stimulates vagal afferent nerve activity to mediate glucose tolerance in rats<sup>16</sup>. In addition, direct GLP-1 portal vein infusion decreases the size of ongoing meals in rats, evidently independent of the vagus nerve<sup>17</sup>. Other gut-derived peptides like cholecystokinin octapeptide<sup>18</sup> and DPP4 substrate PYY<sup>19,20</sup>, are also implicated in inducing satiety via the portal vein, identified with direct infusion<sup>21</sup>. Our results in tandem with these studies reveal a regulatory role for DPP4 of gut-derived peptides in the portal vein to regulate glucose.

In addition, previous reports illustrate reduced plasma triglyceride levels in patients with T2D with DPP4 inhibition with sitagliptin<sup>22</sup>, which was replicated in obese *Dpp4*<sup>-/-</sup> mice. Further, we were also the first to show a significant 4-fold increase in plasma DPP4 activity concurrent with lipid pathway dysregulation but independent of obesity, in a lean MASLD model using *Pemt*<sup>-/-</sup> mice. Previous reports consistently show a direct correlation between obesity and increased DPP4 activity<sup>23</sup>, but here we reveal that hepatomegaly and hepatic steatosis due to *de novo* choline synthesis may be another factor in DPP4 enzyme activity regulation. DPP4 has been previously described as an adipokine<sup>24</sup>, however, this data reveal that it is most likely related to hepatic accumulation of FAs and not addition of adipose tissue. Similarly, in patients with HCV treated with ribavirin, DPP4 activity and protein decreased with reduced viral load, but metabolic parameters like fasting glucose, insulin and HOMA-IR were unchanged<sup>25</sup>. DPP4 activity is increased in metabolic disease and decreases with resolution or improvement<sup>24</sup>. Considering individuals with HCV experience increased lipogenesis, and impaired lipid degradation and export<sup>26</sup>, our data further infer a relationship between DPP4 and hepatic lipid metabolism. However, our findings

highlight a disconnect between infection resolution, metabolism and DPP4 activity and protein levels. Instead, our data emphasize that inflammation may be an additional driver of DPP4 versus metabolic factors alone in individuals with HCV. Even in the absence of obesity, our *Pemt*<sup>-/-</sup> mice also experienced an increase in DPP4 activity, and previous reports show increased hepatic inflammation in this mouse model<sup>27</sup>. Therefore, the inflammatory aspects of metabolic disease may be driving DPP4 expression, increased enzymatic activity and sDPP4 levels.

DPP4 has been previously recognized as a regulator of immune cells, notably as a costimulatory molecule of T cell signal transduction<sup>28</sup>, and associated with inflammation<sup>29,30</sup>. We show that systemic *Dpp4* elimination increases expression of immune-related pathways, which was unchanged in *Dpp4*<sup>hep-/-</sup> mice, compared to controls. Previous studies support our findings that with HFHC-feeding, systemic *Dpp4* elimination does not result in reduced cytokine and chemokine mRNA expression. However, multiple reports contrast our findings in *Dpp4*<sup>hep-/-</sup> mice, which typically show reduced inflammation<sup>13,31,32</sup>, however, this discrepancy could be explained by the use of aged mice in our case, versus young mice used in previous literature. Our mice were approximately 1-year-old at time of sacrifice which may have affected DPP4 activity. This has been previously described in *Dpp4*<sup>EC-/-</sup> mice; in 8-20 week old mice, with regular chow and HFD-feeding, DPP4 activity was significantly reduced compared to controls, however in 25-30 week old mice, this reduction was not maintained<sup>31</sup>. These results, in concert with ours, underline a dynamic relationship between increased age and DPP4 activity which has been previously described<sup>31</sup>, but has yet to be thoroughly explored as an age-related factor in disease progression. Throughout this thesis we modelled human disease by using aged mice, rather than

younger, relatively healthy mice. Chapter 2 illustrated the role of hepatocyte-derived DPP4 in HGP and showed a clear disconnect between DPP4 in lipid metabolism, fibrosis and inflammation.

It has been well documented that hepatocyte-derived DPP4 exerts local and peripheral actions, including reducing hepatic steatosis and inflammation, and AT inflammation and insulin resistance in its absence<sup>13,31,32</sup>. However, despite the strong incidence of CVD in individuals with T2D, the potential implications of hepatocyte-derived DPP4 in the heart have not been evaluated. In our second study in Chapter 3, we revealed that mRNA expression of immune-related pathways and protein levels were reduced in *Dpp4*<sup>hep-/-</sup> mice, while *Col1a1* and *Col3a1* mRNA expression was increased in *Dpp4*<sup>-/-</sup> mice, and trending down in *Dpp4*<sup>hep-/-</sup> mice, compared to controls. Interestingly regardless of molecular changes, systolic and diastolic function were unchanged in both genotypes compared to controls. We were the first to illustrate that hepatocyte-derived DPP4 can influence ventricular molecular pathways in inflammation. However, fibrosis-related gene expression was only increased by systemic *Dpp4* genetic elimination, suggesting that DPP4 originating from other places besides hepatocytes is involved in this process, and that DPP4 is indispensable in regulating pathophysiological fibrosis. Further, we observed no differences in cardiac function. This may be due to the fact we relied on high-fat, high-cholesterol diet-induced cardiac dysfunction rather than a surgical insult, such as TAC surgery. Mice with a C57BL/6J background, such as in our studies, are protected from diet-induced cardiac functional impairment even when cardiac hypertrophy and FA metabolism are increased<sup>33</sup>. Further, in previous studies, euglycemic *Dpp4*<sup>-/-</sup> mice exhibited reduced fibrosis and cardioprotection, despite increased mRNA expression

of inflammation-related genes, however, this was not recapitulated in older, diabetic mice, underscoring the effect of age and suggesting that differences in cardiac function may have been evident at earlier timepoints<sup>34</sup>. Nonetheless, the studies described in Chapter 2 and 3, together solidify the complicated and incompletely explored field of DPP4 biology in cardiometabolic disease. We reveal more evidence that suggests DPP4 action is dynamic depending on its site of origin; whereby inflammation and immune-related genes and pathways were reduced in *Dpp4*<sup>hep-/-</sup> mouse hearts.

Given the progressive nature of T2D, medications are typically added in sequence to effectively manage hyperglycemia<sup>35</sup>. However, the molecular impact of concomitant pharmaceutical therapy use has not been evaluated in preclinical models. Recent studies have shown that sDPP4 levels are increased with DPP4i use in mice<sup>31</sup>. Conversely, metformin appears to reduce DPP4 shedding into circulation<sup>36</sup>. Interestingly this is not recapitulated in human samples where despite 50-85% reduction in DPP4 activity in a subset of patients prescribed 100 mg/day (the typical dose), sDPP4 levels do not change, even with metformin<sup>36</sup>. In our case, we had range of inhibition from 15-56% inhibition, depending on genotype, and despite this we still had modest increases in sDPP4 levels with administration of sitagliptin. Among the SAVOR TIMI-53<sup>37</sup>, TECOS<sup>38</sup> and EXAMINE<sup>39</sup> DPP4i CVOTs, there was a range of DPP4i dosage, from below, and up to, the average dose. DPP4 activity is not reported in large CVOTs, but based on other smaller clinical studies<sup>40-42</sup> we can deduce that DPP4 activity is not reduced to zero as typically seen in many mouse studies. Therefore, our lower dose of DPP4i, sitagliptin, in our mouse studies better recapitulates what is seen in the CVOTs and what would be expected with DPP4

inhibition and DT treatment with metformin in terms of sDPP4 levels. Further, DT treatment with DPP4i's and metformin is cardioprotective, as described in a meta-regression analysis that compared prevalent metformin users to DPP4i monotherapy<sup>43</sup>. In addition, there is evidence to suggest that DPP4 in bone marrow (Tie2+ cells) is the depot which is affected by metformin<sup>36</sup>, however, how this translates into a cardioprotective phenotype still has not been investigated. In Chapter 4, we showed that with DT treatment (metformin and sitagliptin), LVEF was rescued prior to and after LAD-ligation in *Dpp4<sup>hep-/-</sup>* mice, compared to control, while *Dpp4<sup>EC-/-</sup>* mice had a greater number of mice with fully kinetic hearts. Additionally, we observed a dissociated relationship between DPP4 activity and sDPP4 proteins, where activity decreases after surgery and goes back to baseline levels, at endpoint, while sDPP4 levels continue to increase from baseline, through to endpoint even after surgery. Thus far however, we have not investigated further to identify the mechanism behind this phenotype. We predict that it is due to GLP-1 action in the heart that is moderated via both pharmacological and genetic reduction in DPP4 that effectively decreases both activity and protein levels. Several studies have revealed a significant cardioprotective phenotype with GLP-1RA's, namely by increasing myocardial glucose uptake and use, reducing oxidative stress, cardiomyocyte apoptosis, inflammation and fibrosis<sup>44-47</sup>. GLP-1 is increased with inflammation and after MI<sup>48,49</sup>, and considering the cardioprotective phenotype of GLP-1RA's, we believe this is the most likely mechanism leading to the modest protection observed. We plan to explore these mechanisms in our study and evaluate active and total GLP-1, GIP and other substrate levels, acutely post-MI, at 1-, 4-, 24 hours and 7 days after surgery. These timepoints should capture the acute sterile inflammation phase and resolution/repair

phase<sup>50</sup>. This study is novel as it attempts to determine which cellular depot of DPP4 is implicated in the cardioprotection observed with DT treatment, via murine genetic models.

## **5.1 The Future of DPP4 Research**

### **5.1.1 DPP4 Substrates: Tip of the Iceberg**

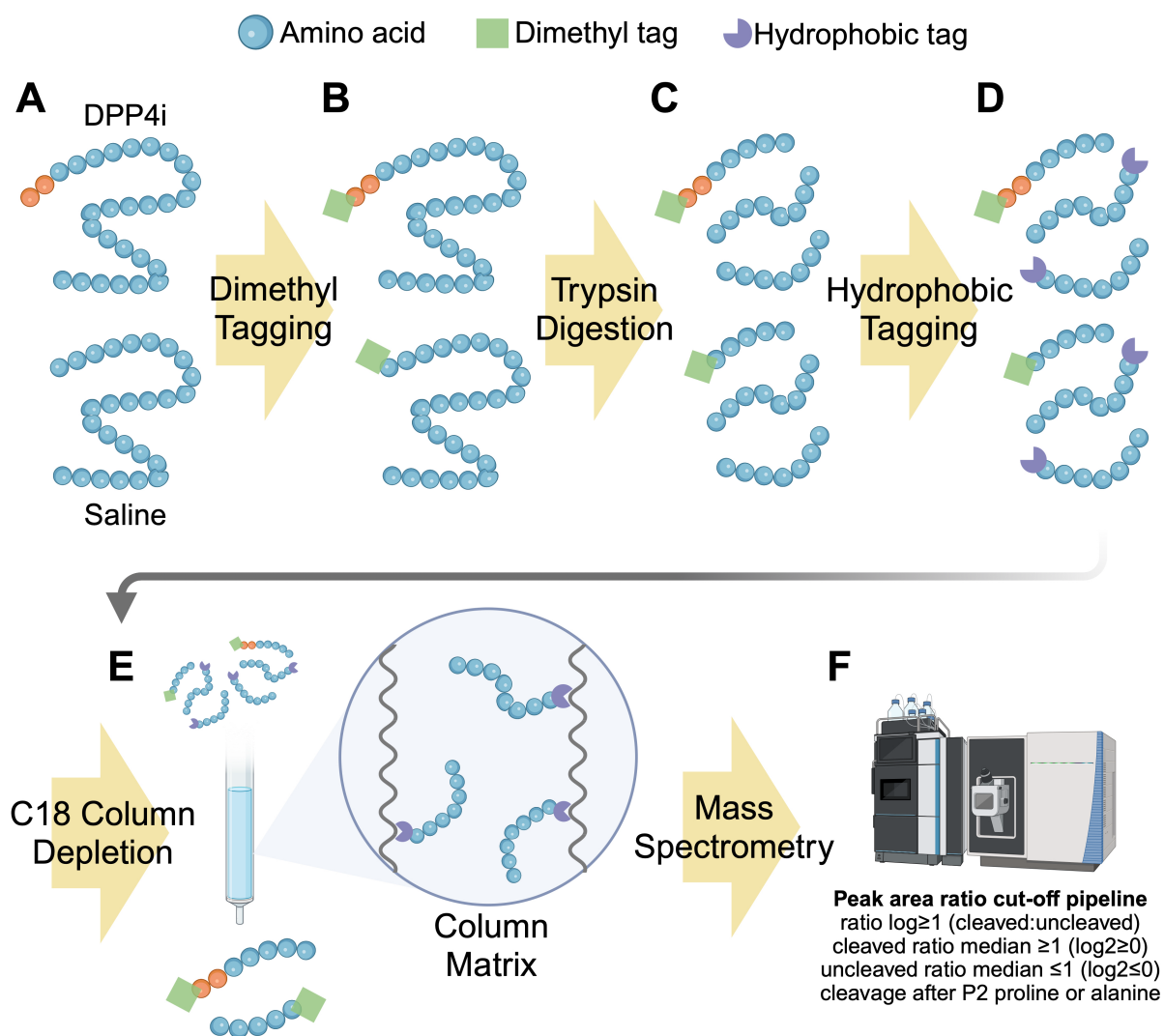
Our studies coupled with previous literature clearly revealed the complexity of DPP4 biology, including its activity throughout the circulatory system, i.e. portal vs. systemic circulation, and between organ systems. Therefore, further exploration of these reservoirs and other potential DPP4 targets is necessary. The majority of DPP4 substrates have been characterized as pharmacological substrates, while only a handful have been confirmed as physiological substrates. Considering DPP4 substrates have illustrated utility as pharmacological agents, such as in the case of GLP-1RA's, identifying additional substrates is of great interest. Further, as acknowledged in this thesis and by others, DPP4 plays a role in disease pathophysiology beyond T2D, revealing a gap in therapeutic potential. As previously mentioned, DPP4 cleaves only two amino acids from the N-terminal, making identification of cleaved and intact proteins difficult due to the lack of sensitivity of current approaches. Therefore, in collaboration with Dr. Anne-Claude Gingras' lab (Lunenfeld-Tanenbaum Research Institute, Toronto, ON), we tested and compared Hydrophobic Tagging-Assisted N-termini Enrichment (HYTANE)<sup>51</sup> (**Figure 5.1**) and Terminal Amine Isotopic Labelling of Substrates (TAILS)<sup>52,53</sup> coupled with analysis by liquid chromatography with tandem mass spectrometry (LC-MS/MS) to enrich, identify and distinguish DPP4-generated neo-N-termini from other peptides in complex biological samples, including intestinal tissue (detailed methods in Appendix 2). After

testing, HYTANE proved to be superior, yielding fewer total peptides, the majority of which were N-termini (**Figure 5.2A**). After applying this method to ventricular tissue from dysglycemic mice after TAC surgery, we revealed 5 putative substrates: apolipoprotein C1 (ApoC1) (**Figure 5.2B, C**), growth hormone binding protein (GHBP) (**Figure 5.4A, B**), ependymin related 1 (EPDR1) (**Figure 5.5A**), insulin-like growth factor-binding protein 1 (IGFBF) (**Figure 5.6A**) and transforming growth factor  $\beta$  induced (TGFB1) (**Figure 5.7A**).

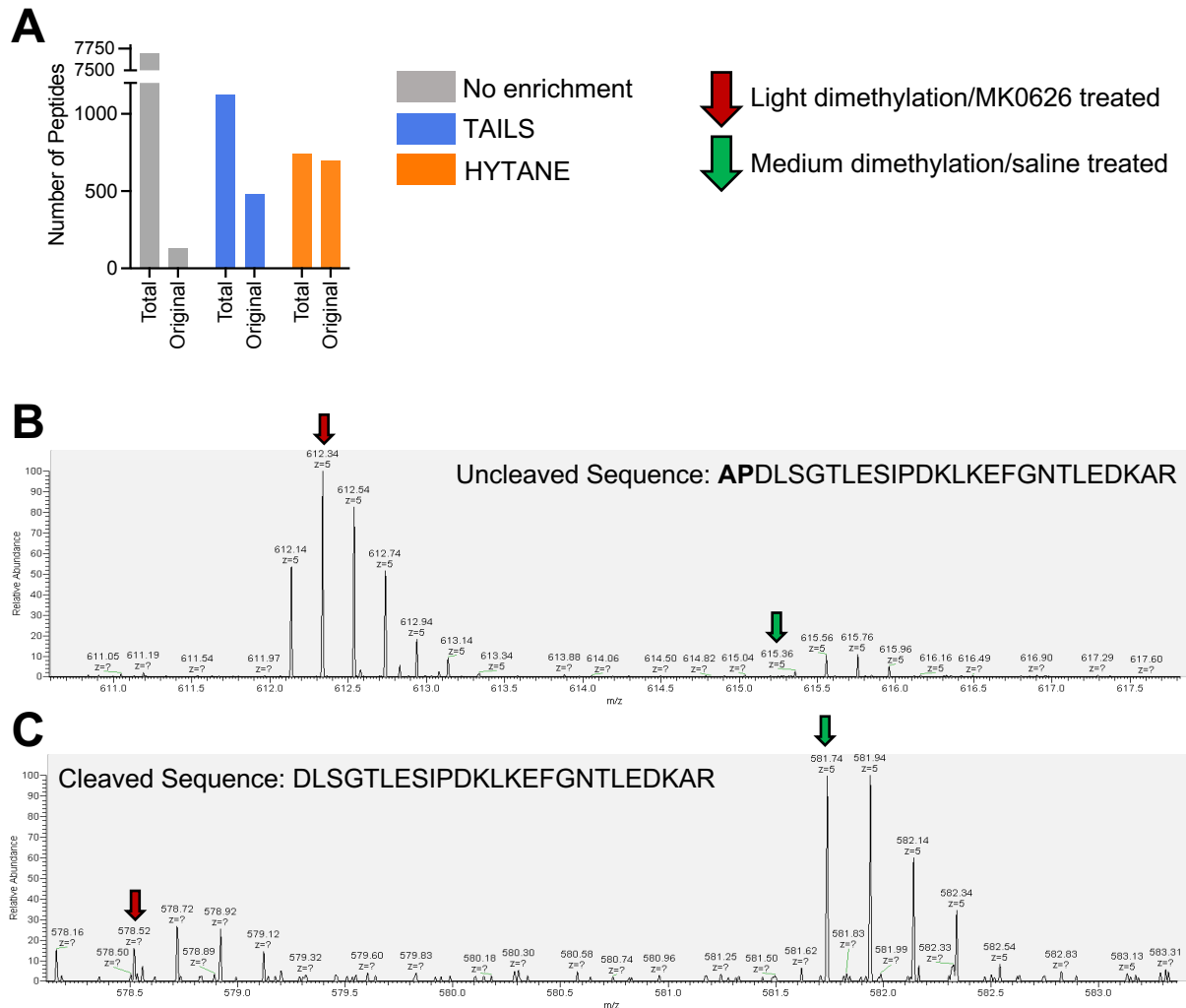
We then validated these as pharmacological substrates by incubating each peptide with recombinant DPP4 and analyzing samples using mass spectrometry to identify cleavage (**Figure 5.3A, 5.3B, 5.4C, 5.4D, 5.7B and 5.7C**). Interestingly, we identified sequential DPP4 dipeptide cleavage of EPDR1 (**Figure 5.5B, C**) and IGFBP1 (**Figure 5.6B, C**), determining these peptides lose 4 amino acids from their N-terminus.

Further, we revealed that truncated ApoC1 does not inhibit cholesterol ester transfer protein (CETP) as efficiently as full length ApoC1 or torcetrapib, a pharmaceutical CETP inhibitor (**Figure 5.8**) suggesting a biological function to DPP4 cleavage. Further experiments have been designed to complete the biological validation for the remaining putative substrates. Ultimately, we aim to inject mice with recombinant protein resistant to DPP4 cleavage after HF or MI to determine the cardioprotective capacity, in a similar manner to GLP-1RA's. Literature investigating DPP4 substrates in CVD suggests that many, including GLP-1<sup>54</sup>, SDF-1<sup>55,56</sup> and HMGB1<sup>57</sup>, are cardioprotective when infused as a cleavage resistant peptide or with DPP4i treatment. Conversely, other substrates lose their cardioprotective phenotype

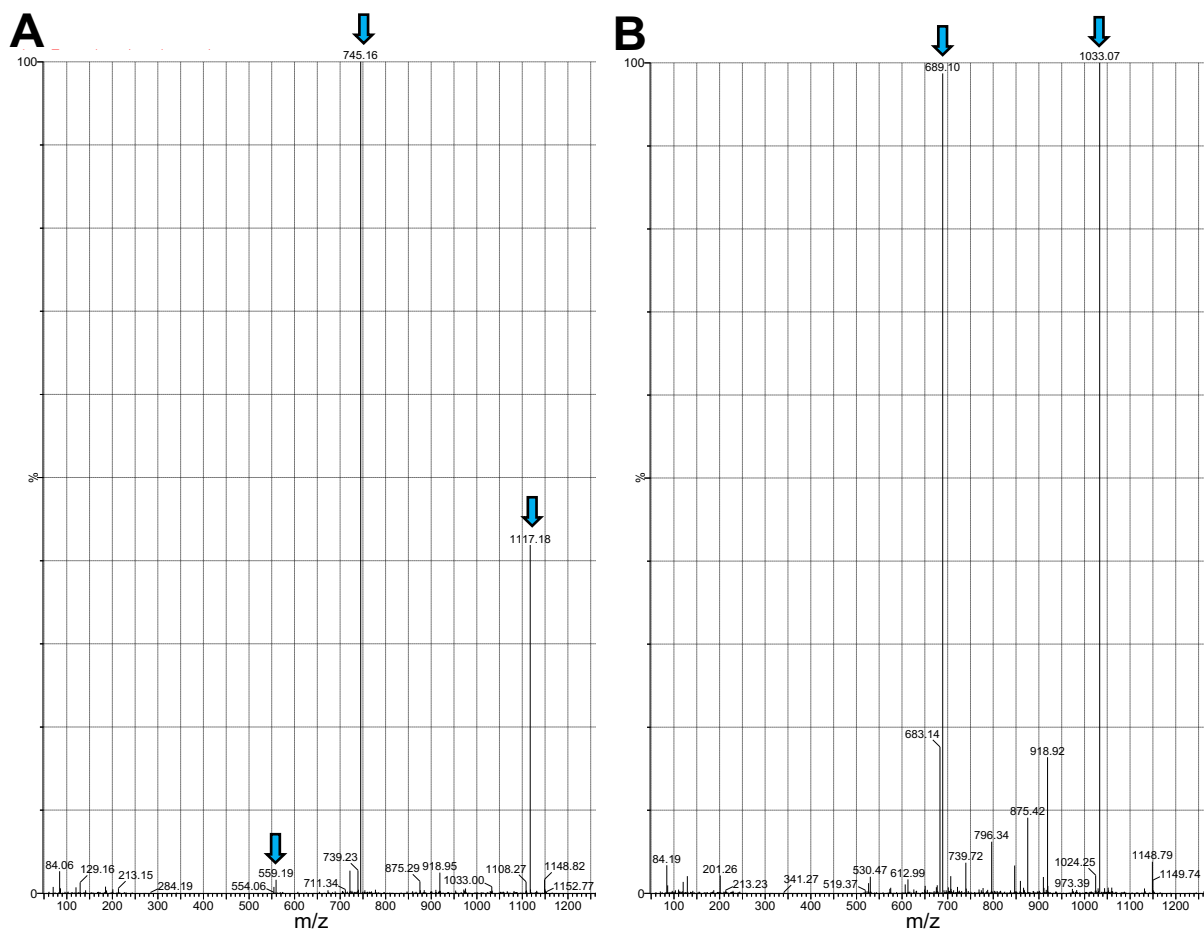
with cleavage, like brain natriuretic peptide (BNP). BNP [1-32] is cleaved by DPP4 to BNP [3-32] leading to reduced vasodilatory activity<sup>58</sup>. Therefore, future studies will evaluate if these putative substrates are also cardioprotective at suprapharmacological levels or detrimental to CV health when cleaved.



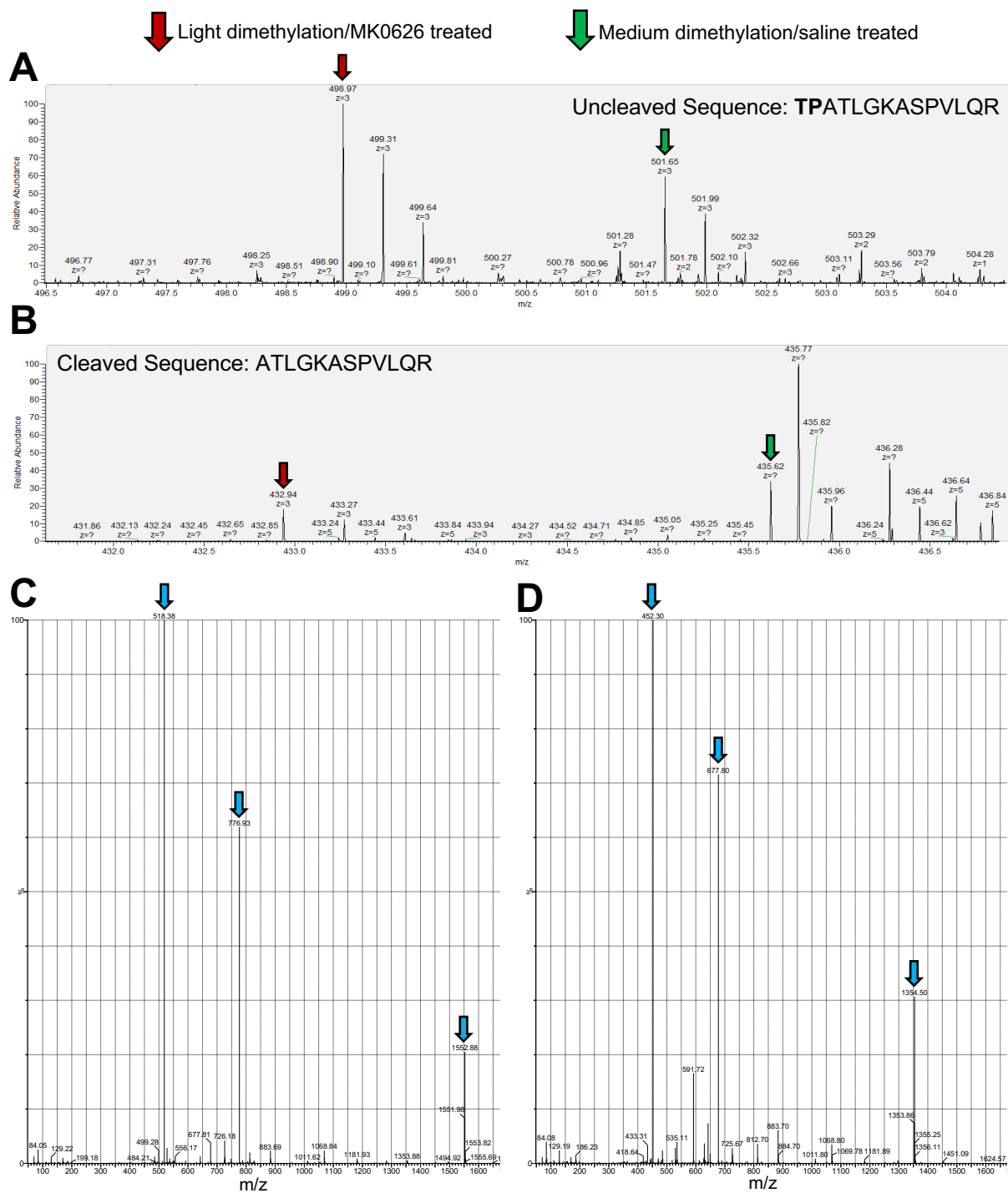
**Figure 5.1: DPP4 substrate discovery can be improved via hydrophobic tagging-assisted N-termini enrichment.** Mice were fed a high-fat diet for 3 months and injected with streptozotocin to induce dysglycemia. Subsequently, mice were treated with either saline or MK0626, a DPP4 inhibitor, and subjected to TAC surgery to induce pressure overload. **(A)** 10 weeks later mice were sacrificed, and plasma was collected for HYTANE analysis. **(B)** Samples are separately isotopically labeled with either light or heavy dimethyl tags. **(C)** Plasma samples were pooled together, and trypsin digested. **(D)** Neo-N-termini generated after trypsin digestion were hydrophobically tagged. **(E)** Original N-termini were negatively selected via C18 column depletion. Peptide fragments with a hydrophobic tag will bind to the column matrix, while dimethyl tagged peptides pass through. **(F)** Dimethyl tagged peptides were then fractionated, identified and quantified using high-pH and analyzed by nano-LC-MS/MS data with an Eksigent 425 HPLC and a Thermo Scientific™ Orbitrap Fusion™ Lumos™ Mass Spectrometer. Using a strict cut-off pipeline, 5 putative substrates were identified.



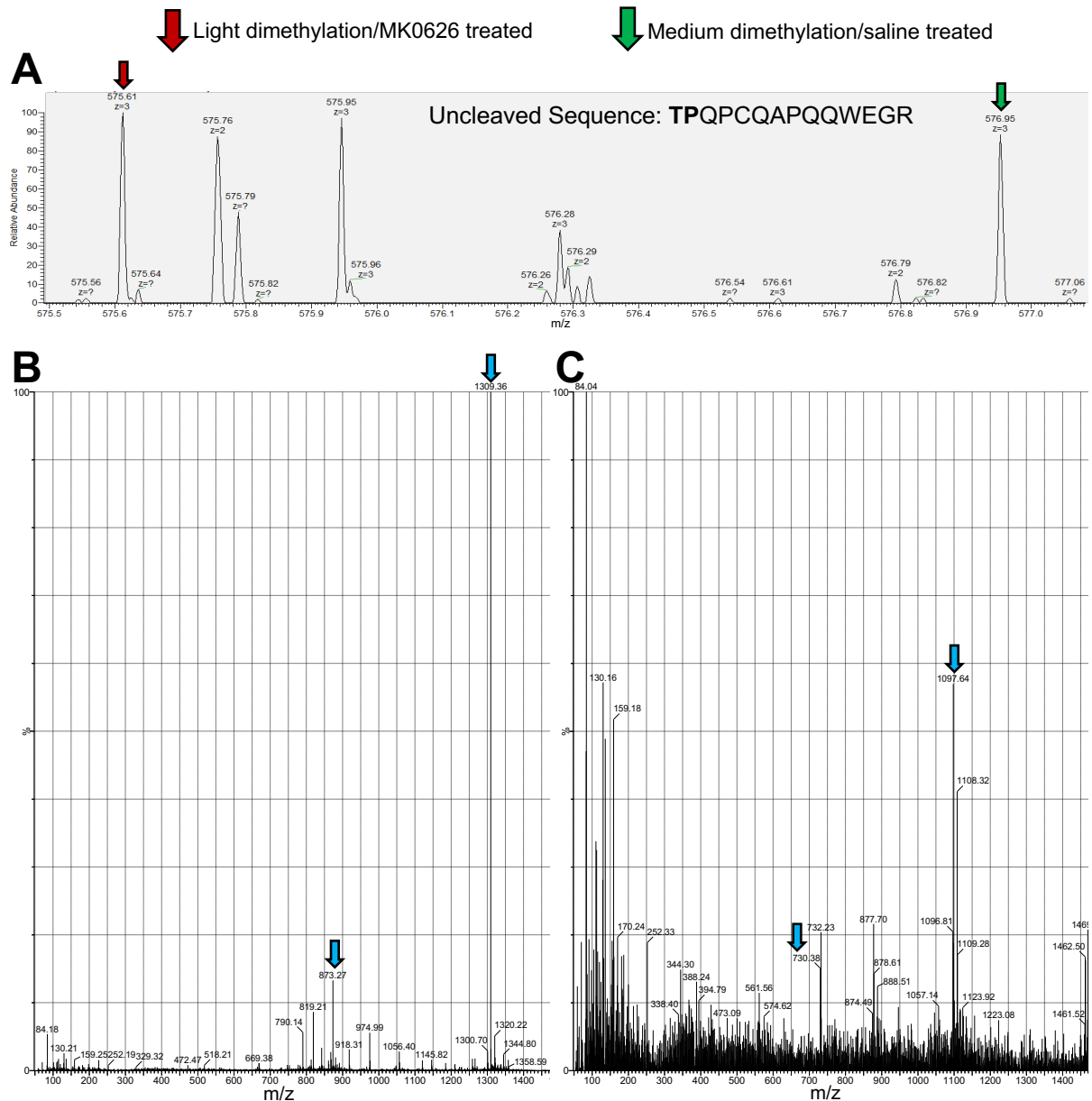
**Figure 5.2: HYTANE is a superior method for DPP4 substrate discovery.** (A) peptide yield of total (neo- and original peptides) and only original peptides with no enrichment, TAILS and HYTANE n-terminal enrichment strategies in gut tissue. Mass spectrum of ApoC1 (B) uncleaved and (C) cleaved sequence, depicting light (red arrow) and medium (green arrow) dimethylation of peptides from ventricular tissue of MK0626 (DPP4i) and saline treated mice. Samples were collected from mice that were fed a HFD for 3 months and injected with streptozotocin to induce dysglycemia and subjected to TAC surgery to induce pressure overload.



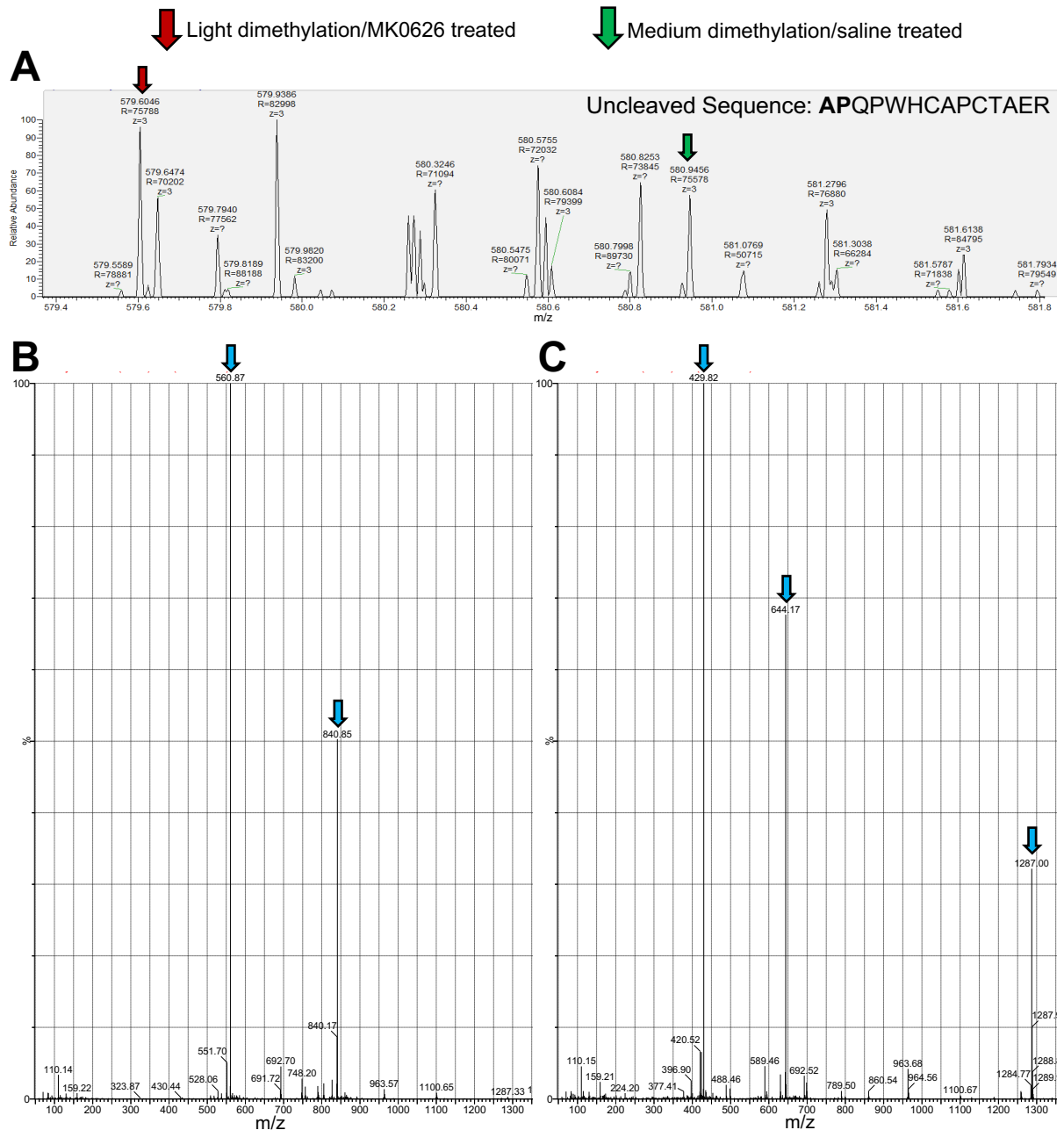
**Figure 5.3: DPP4 cleaves ApoC1 *in vitro*.** Mass spectrum of (A) full length and (B) DPP4-cleaved ApoC1 after *in vitro* incubation.



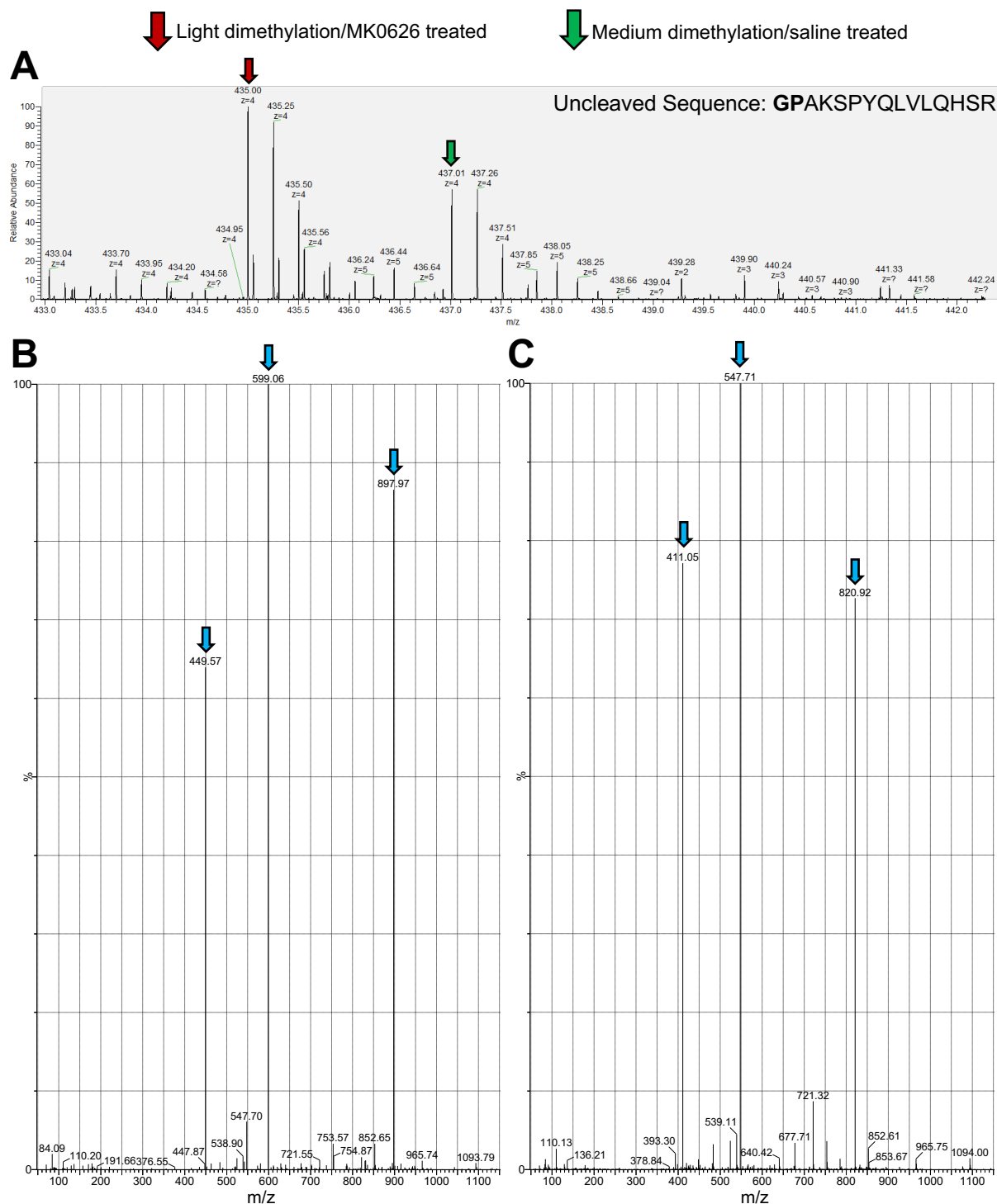
**Figure 5.4: DPP4 cleaves GHBP, identified by HYTANE.** Mass spectrum of GHBP (A) uncleaved and (B) cleaved sequence, depicting light (red arrow) and medium (green arrow) dimethylation of peptides from ventricular tissue of MK0626 (DPP4i) and saline treated mice. Samples were collected from mice that were fed a HFD for 3 months and injected with streptozotocin to induce dysglycemia and subjected to TAC surgery to induce pressure overload. Mass spectrum of (C) full length and (D) DPP4-cleaved ApoC1 after *in vitro* incubation.



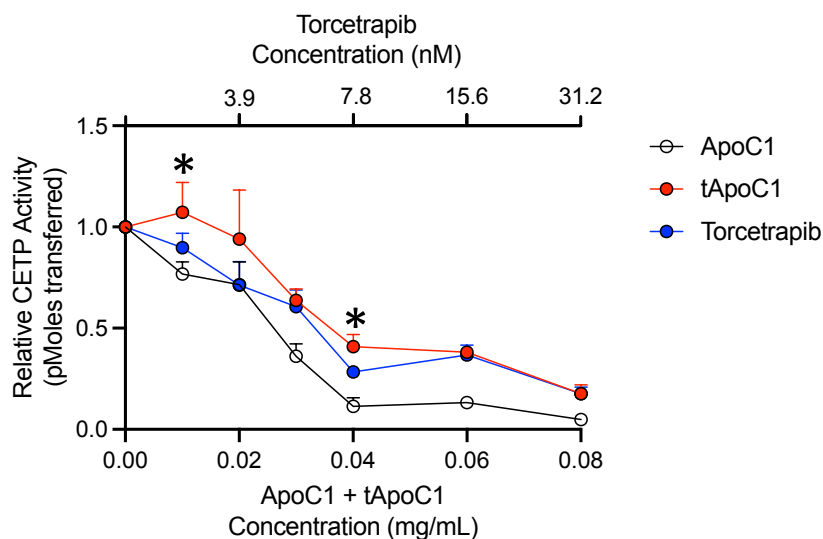
**Figure 5.5: DPP4 cleaves EDPR1, sequential cleavage was identified during validation.** Mass spectrum of EPDR1 (**A**) uncleaved sequence, depicting light (red arrow) and medium (green arrow) dimethylation of peptides from ventricular tissue of MK0626 (DPP4i) and saline treated mice. Samples were collected from mice that were fed a HFD for 3 months and injected with streptozotocin to induce dysglycemia, and subjected to TAC surgery to induce pressure overload. Mass spectrum of (**B**) full length and (**C**) DPP4-cleaved EPDR1 after *in vitro* incubation.



**Figure 5.6: DPP4 cleaves IGFBP1, sequential cleavage was identified during validation.** Mass spectrum of IGFBP1 (**A**) uncleaved sequence, depicting light (red arrow) and medium (green arrow) dimethylation of peptides from ventricular tissue of MK0626 (DPP4i) and saline treated mice. Samples were collected from mice that were fed a HFD for 3 months and injected with streptozotocin to induce dysglycemia, and subjected to TAC surgery to induce pressure overload. Mass spectrum of (**B**) full length and (**C**) DPP4-cleaved IGFBP1 after *in vitro* incubation.



**Figure 5.7: DPP4 cleaves TGFBI *in vivo*.** Mass spectrum of TGFBI (**A**) uncleaved sequence, depicting light (red arrow) and medium (green arrow) dimethylation of peptides from ventricular tissue of MK0626 (DPP4i) and saline treated mice. Samples were collected from mice that were fed a HFD for 3 months and injected with streptozotocin to induce dysglycemia and subjected to trans-aortic constriction surgery to induce pressure overload. Mass spectrum of (**B**) full length and (**C**) DPP4-cleaved TGFBI after *in vitro* incubation.



**Figure 5.8: DPP4 cleavage of ApoC1 reduces its CETP inhibition capacity.** Total CETP activity in healthy human plasma with ApoC1, truncated ApoC1 (tApoC1) and CETP inhibitor Torcetrapib. Data are presented as means  $\pm$  SEM analyzed with two-way ANOVA and Tukey post-hoc multiple comparisons correction reported as adjusted p value, for ApoC1 vs tApoC1, \*p=0.01-0.05, \*\*p=0.001-0.01, \*\*\*p=0.0001-0.001 and \*\*\*\*p<0.0001, Torcetrapib vs tApoC1, #p=0.01-0.05, ##p=0.001-0.01, ###p=0.0001-0.001 and ####p<0.0001.

### 5.1.2 DPP4 Enzymatic Activity is Altered by Post-Translational Modifications

The studies presented in this thesis and others have demonstrated that DPP4 enzymatic activity and protein levels are susceptible to pharmaceutical and genetic-induced variations. For example, we and Varin *et. al.*<sup>31</sup> show that activity is significantly reduced in *Dpp4<sup>hep-/-</sup>* mice, while protein levels are significantly reduced in *Dpp4<sup>EC-/-</sup>* mice. There are multiple PTMs, such as glycosylation<sup>59-61</sup>, sialylation<sup>62</sup> and oxidation<sup>63</sup>, that could affect activity, and we believe this is the mechanism that ultimately affects organ and tissue specific variations. To confirm this hypothesis, future studies will employ mass spectrometry (immunoprecipitation coupled with Orbitrap mass spectrometry) to evaluate how PTMs vary between genotypes, namely in *Dpp4<sup>hep-/-</sup>* and *Dpp4<sup>EC-/-</sup>* mice versus their respective controls. This information could be exploited for diagnostic purposes as described by Noels *et. al.*<sup>63</sup>, where oxidation on DPP4 was evident in plasma samples from patients with poor outcomes or longer stays in the intensive care unit after coronary surgery with coronary bypass.

### 5.1.3 The Sheddases Responsible for Liberating Membrane-Bound DPP4 are Incompletely Understood

Thus far, DPP4 shedding has been proposed to be released by metalloproteinases (MMP1, MMP2, MMP14)<sup>64</sup> or KLK5<sup>65</sup>. However, these studies use *ex vivo*, *in vitro* and *in silico* methods to evaluate surface expression and there is a disconnect between cells which express these metalloproteinases and which release DPP4. Further, we<sup>25</sup> and others<sup>13,31,32</sup> have identified hepatocyte-derived DPP4 as the main depot responsible for excess circulating DPP4 in metabolic disease. The aforementioned studies evaluated DPP4 shedding in adipocytes, smooth muscle cells

and in circulatory CD4+ T cells<sup>65</sup>. Future studies should explore DPP4 shedding in the liver and evaluate this in a metabolic dysfunction setting as well.

## **5.2 Unexplored Sex-Based Differences: Exclusion of Female Mice**

Throughout this thesis, and in much of the works cited, male mice are exclusively used for experimental MASLD and CVD investigations. Female mice exhibit potential variability due to the estrous cycle and other reproductive hormones<sup>66-69</sup>, however, several studies have revealed no significant difference between males and females regarding this type of variability<sup>70,71</sup>. Metabolism varies significantly between the sexes; male mice exhibit more pronounced phenotypes in disease models, namely in obesity, T2D and CVD studies<sup>72</sup>. It is well documented that premenopausal women have less CVD and CV-related morbidity and mortality compared to men of the same age<sup>73</sup>; however, this cardioprotective phenotype is lost after menopause<sup>74,75</sup> suggesting it is related to gonadal sex hormones however this simplistic association has not held up with estrogen replacement therapy<sup>76</sup>. This is recapitulated in female mice, seemingly via reduced inflammation and preserved cardiac function overall<sup>77,78</sup>. Future studies should replicate our experiments with the addition of female mice to ensure that both sexes are included. Further, we could incorporate ovariectomized female mice to compare our findings in male mice, independent of female reproductive hormones.

## **5.3 Conclusions**

DPP4 has consistently been implicated in numerous diseases including T2D, MASLD, CVD and cancer. Its biology is complex as exemplified by tissue specific variations in enzymatic activity and protein expression that can fluctuate with pharmaceutical therapies like DPP4i's and metformin. DPP4 inhibition is mainly

exploited for treatment of T2D, however, as DPP4 biology is unravelled, it has the potential to be used to treat other diseases.

Our future experiments will allow us to influence the field of DPP4 biology. By unraveling the biochemical processes that are responsible for the cardioprotective phenotype with dual therapy treatment, we can finally determine how metformin regulates DPP4. Investigating how GLP-1, GIP and other DPP4 substrates fluctuate after MI, we can illuminate how DPP4 cleavage is implicated in inflammation, resolution and remodelling. We can exploit these findings to guide prescriptive clinical decisions to ensure individuals with CV risk factors are treated appropriately. Lastly, novel substrate discovery using HYTANE could reveal proteins which are involved in cardiometabolic disease, and lead to the development of new peptide therapies.

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## 6 Appendix 1

**Table 6.1: DPP4i CVOTs.** CI: confidence interval, hHF, hospitalization for heart failure, HR: hazard ratio, CAD: coronary artery disease, UACR: urine albumin-creatinine ratio.

| <b>Trial Name, Drug Name, Clinical Trial ID</b>                                                                                                                                          | <b>Trial Design</b>                                                                                                                                                                                                                                                                      | <b>Primary Endpoint</b>                                                                                                                         | <b>Results</b>                                                                                                                                      | <b>CVD Findings</b>                                                                                                                                                                                                                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>SAVOR-TIMI 53<sup>1</sup></b><br>(Saxagliptin, Onglyza)<br><br>Saxaglipton<br>Assessment of<br>Vascular Outcomes<br>Recorded in patients<br>with diabetes mellitus<br><br>NTC01107886 | Assigned 16,492 patients with T2D who had a history of, or were at risk for, CV events to receive 2.5/5mg/day of saxagliptin or placebo and followed them for a median of 2.1 years                                                                                                      | Time to first confirmed CV event (nonfatal MI, nonfatal ischaemic stroke or cardiovascular death)                                               | Did not affect the risk of ischemic cardiovascular events, reduced progressive albuminuria, irrespective of baseline renal function                 | No overall risk for cardiovascular events, but there was a 27% increase (3.5% vs 2.8%) in the rate of the first event of hHF and a potential increased risk for all-cause mortality                                                                                                      |
| <b>EXAMINE<sup>2</sup></b><br>(Alogliptin, Nesina)<br><br>Examination of<br>Cardiovascular<br>Outcomes with<br>Alogliptin versus<br>Standard of Care<br><br>NTC00968708                  | 5,380 patients with T2D and either an acute MI or unstable angina requiring hospitalization within the previous 15–90 days were randomized to alogliptin (6.25, 12.25, or 25 mg/day depending on estimated glomerular filtration rate) or placebo and followed for a median of 18 months | Percentage of participants with primary major adverse cardiac events:<br>Composite defined as CV-related death, nonfatal MI, or nonfatal stroke | Modest reductions in HbA1C were noted (by 36%), with no increase in hypoglycemia events. No increase in pancreatitis or pancreatic cancer was noted | 3.9% vs 3.3% placebo were hospitalized for HF, but not statistically significant (HR, 1.19), HF was not an end point of the study. CV death and hHF: 7.4% vs. 7.5%, p = 0.98. Did not increase risk of new HF re-hospitalizations, but slight increase in patients without prior history |
| <b>TECOS<sup>3</sup></b><br>(Sitaglipton, Januvia)                                                                                                                                       | 14,671 patients with T2D and prevalent                                                                                                                                                                                                                                                   | Time to first confirmed CV event: Composite                                                                                                     | Small difference in HbA1C levels (least-                                                                                                            | hHF occurred in 3.1% vs 3.1% (unadjusted HR,                                                                                                                                                                                                                                             |

|                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                  |                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Trial Evaluating Cardiovascular Outcomes with Sitagliptin</p> <p>NCT00790205</p>                                         | <p>atherosclerotic vascular disease were randomized to sitagliptin (50mg/day if baseline estimated glomerular filtration rate was between 30 and &lt;50 mL/min/1.73m<sup>2</sup>) or matching placebo and followed for a median of 2.9 years</p>                                                                                                               | <p>defined as CV-related death, nonfatal MI, nonfatal stroke, or unstable angina requiring hospitalization</p>                   | <p>squares mean difference for sitagliptin vs. placebo, -0.29 percentage points; 95% confidence interval [CI], -0.32 to -0.27). No significant between-group differences in rates of acute pancreatitis (P=0.07) or pancreatic cancer (P=0.32)</p>                                                   | <p>1.00; 95% CI, 0.83-1.19). No difference in total hHF events between the sitagliptin and placebo groups (unadjusted hazard ratio, 1.00; 95% CI, 0.80-1.25). Post-hHF all-cause death was similar in the sitagliptin and placebo groups (29.8% vs 28.8%, respectively), as was CV death (22.4% vs 23.1%, respectively)</p>  |
| <p><b>OPTIMUS Study</b><sup>4</sup><br/>(Gemigliptin, LG Gemiglo/Zemiglo), LG Life Sciences</p> <p>NCT02290301</p>          | <p>5,180 (Korean) patients with T2D with no recent (3 months prior) history of acute coronary syndrome or ischemic stroke, patients followed for 4 years until MACE, completion or drop out. Patients received gemigliptin mono-therapy, or gemigliptin with the addition of metformin, sulfonyleurea, insulin, some combination of these or 'other' drugs</p> | <p>Primary composite MACE: time to first confirmed CV event (nonfatal MI, nonfatal ischaemic stroke or cardiovascular death)</p> | <p>HbA1C levels were reduced by 0.94% in the monotherapy group compared to baseline after 6 months. Incidence of overall adverse events was 28.70%, most frequently reported was hyperlipidemia (2.25%). No cases of pancreatitis, increased blood amylase, lipase or bacteriuria were reported.</p> | <p>Primary composite MACE occurred in 26 patients within 12 months (estimated incidence 0.49%, 95% CI 0.29–0.69%) and in 54 patients within 54 months (estimated incidence 1.35%, 95% CI: 0.92–1.77%). In a subset analyses, MACE was lowest in the monotherapy group and highest in the gemigliptin+sulfonyleurea group</p> |
| <p><b>CAROLINA</b><sup>5,6</sup><br/>(Linagliptin, Tradjenta)</p> <p>CARDiovascular Outcome Trial of LINAgliptin Versus</p> | <p>6,033 patients with T2D at elevated cardiovascular risk (pre-existing CVD, diabetes organ damage) receiving</p>                                                                                                                                                                                                                                             | <p>Time to first occurrence of the primary composite endpoint; CV death (including fatal stroke and fatal MI), non-fatal MI</p>  | <p>Adjusted mean change in HbA1C favoured glimepiride over linagliptin, but overall there was no significant difference between the</p>                                                                                                                                                              | <p>Primary outcome occurred in 11.8% of the linagliptin group compared with 12.0% of the glimepiride group (p for noninferiority &lt; 0.001, p for superiority =</p>                                                                                                                                                         |

|                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                |                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Glimepiride in Type 2 Diabetes</p> <p>NCT01243424</p>                                                                                                                              | <p>usual care, compared to outcome against Glimepiride.</p> <p>randomized to 5mg/day linagliptin vs 1-4mg/day glimepiride, followed for 6.3 years</p>                                                                                                                                   | <p>(excluding silent MI) or non-fatal stroke</p>                                                                                                                               | <p>groups. Modest weight gain was reported with glimepiride, incidence of hypoglycemic events was lower in the linagliptin group</p>                                                                                                                                                      | <p>0.76), meeting the noninferiority criterion but not superiority</p>                                                                                                                                                                                                                                     |
| <p><b>OMNEON 018<sup>7</sup></b><br/>(Omarigliptin, MK-3102)</p> <p>NCT01703208</p> <p>Terminated early on December 12, 2017 (for business reasons not due to safety or efficacy)</p> | <p>4,202 patients with T2D and pre-existing vascular disease (CAD, ischemic cerebrovascular disease, atherosclerotic peripheral artery disease), randomized to omarigliptin (MK-3102) 25mg/week or matching placebo</p>                                                                 | <p>Time to first confirmed CV event: Composite defined as CV-related death, fatal or nonfatal MI, fatal or nonfatal stroke, all-cause mortality, confirmed hHF or CV death</p> | <p>After 142 weeks, the least-squares mean difference (omarigliptin vs. placebo) in HbA1C was -0.3% (95% CI -0.46, -0.14). The number of patients who experienced adverse or serious adverse effects, or discontinued treatment, was similar between groups</p>                           | <p>At follow-up (median 96 weeks), the primary MACE outcome occurred in 5.45% vs 5.43% of patients in the omarigliptin and placebo group, respectively, with a hazard ratio of 1.00 (95% CI, 0.77-1.29) hHF occurred in 0.95% and 1.57% of patients in the omarigliptin vs placebo group, respectively</p> |
| <p><b>CARMELINA<sup>8,9</sup></b><br/>(Linagliptin)</p> <p>Cardiovascular and Renal Microvascular Outcome Study With Linagliptin</p> <p>NCT01897532</p>                               | <p>7,003 patients with T2DM and elevated CV events, defined by albuminuria and previous macrovascular disease and/or impaired renal function with predefined UACR, randomized to 5mg/day or matching placebo on a background standard of care, with a median follow-up of 2.2 years</p> | <p>Time to first confirmed CV event: composite defined as CV-related death, nonfatal MI, nonfatal stroke, or unstable angina requiring hospitalization</p>                     | <p>Overall difference over the full study duration in HbA1C between linagliptin and placebo was -0.36% (95% CI, -0.42% to -0.29% based on least-square means), fewer patients treated with linagliptin had to increase or introduce insulin therapy, no notable differences in weight</p> | <p>The primary outcome occurred in 12.4% vs 12.1% of patients in the linagliptin and placebo groups, respectively (HR 1.02, 95% CI, 0.89-1.17). hHF occurred in 6% vs 6.5% of patients in the linagliptin or placebo group, respectively (HR 0.90, 95% CI, 0.74-1.08, <math>P=0.26</math>)</p>             |

**Table 6.2: Rodent research diet composition.** SLD: standard laboratory diet, HFHC; high-fat, high-cholesterol, kcal; kilocalorie.

|                                | <b>SLD</b><br><b>(2019, Inotiv)</b> | <b>HFHC</b><br><b>(TD.88137, Inotiv)</b> |
|--------------------------------|-------------------------------------|------------------------------------------|
| <b>Macronutrients (%)</b>      |                                     |                                          |
| Protein                        | 19.2                                | 17.3                                     |
| Carbohydrate                   | 44.9                                | 48.5                                     |
| Fat                            | 9.0                                 | 21.2                                     |
| <b>Macronutrients (% kcal)</b> |                                     |                                          |
| Protein                        | 23                                  | 15.2                                     |
| Carbohydrate                   | 55                                  | 42.7                                     |
| Fat                            | 22                                  | 42                                       |
| <b>Fatty acids (%)</b>         |                                     |                                          |
| Saturated fat                  | 1.2                                 | 12.8                                     |
| Monounsaturated fat            | 1.7                                 | 5.6                                      |
| Polyunsaturated fat            | 4.4                                 | 1.0                                      |
| 4:0 (Butyric)                  | –                                   | 2.1                                      |
| 6:0 (Caproic)                  | –                                   | 1.5                                      |
| 8:0 (Caprylic)                 | –                                   | 1.1                                      |
| 10:0 (Capric)                  | –                                   | 2.6                                      |
| 12:0 (Lauric)                  | –                                   | 3.3                                      |
| 14:0 (Myristic)                | –                                   | 10.6                                     |
| 16:0 (Palmitic)                | 0.9                                 | 28.9                                     |
| 16:1(Palmitoleic)              | –                                   | 1.5                                      |
| 18:0 (Stearic)                 | 0.2                                 | 12.5                                     |
| 18:1 (Oleic)                   | 1.7                                 | 20.9                                     |
| 18:2 (Linoleic)                | 3.9                                 | 2.3                                      |
| 18:3 (Linolenic)               | 0.4                                 | 0.7                                      |
| <b>Other (%)</b>               |                                     |                                          |
| Cholesterol                    | –                                   | 0.2                                      |

## 6.1 References

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## **7 Appendix 2: HYTANE Methods**

### **7.1 Blood and Tissue Collection**

Different biological replicate of heart and gut samples from WT and DPP4i treated mice were dissolved in 2 mL 6M guanidine hydrochloride with 4  $\mu$ L of 500  $\times$  stock of protease inhibitor cocktail (Cat #P8340, Sigma-Aldrich). The samples were sonicated at 4°C using three 10 second bursts with 10 s pauses at 35% amplitude and then centrifuged at 20,000 g at 4°C for 50 min. Protein concentration was determined by a BCA assay (Cat #500-0119, Bio-Rad). The supernatants were stored at -80°C. Different biological replicate of plasma samples from WT and DPP4i treated mice were diluted by 10 times in 2 mL 6M guanidine hydrochloride with 4  $\mu$ L of 500  $\times$  stock of protease inhibitor cocktail (Cat #P8340, Sigma-Aldrich). Protein concentration was determined by a BCA assay (Cat #500-0119, Bio-Rad).

### **7.2 Dimethylation of Primary Amines on Proteins**

Protein extracted from gut, heart and plasma of WT and DPP4i-treated mice was isotopically labeled at the N-termini and lysine residues by incorporating heavy and light dimethylation reagents as previously described but with minor modifications. 200  $\mu$ g proteins from WT and DPP4i treated samples dissolved in 6 M guanidine hydrochloride containing 100 mM TEAB (pH 8.2) were reduced by 10 mM DTT for 1 h at 56 °C, followed by alkylation of thiol group with 30 mM acrylamide for 1 h at room temperature. The excess acrylamide was extinguished with an additional 10mM DTT. After that, formaldehyde and sodium cyanoborohydride were added to a final concentration of 100 mM and 60 mM respectively for demethylation of free amines to DPP4i treated samples while deuterated formaldehyde and sodium cyanoborohydride were added to WT samples. The reaction was allowed to proceed overnight at 37 °C

before the addition of 10-fold excess of glycine to quench excess formaldehyde/deuterated formaldehyde and sodium cyanoborohydride.

### **7.3 Proteolytic Digestion**

The residual reagents were removed using FASP method according to previous report but with minor changes. Following dimethylation, the protein samples from WT and DPP4i treated samples were combined and transferred to centrifugal filters with 10K molecular weight cut off and washed four times with 6 M guanidine hydrochloride containing 1 M glycine and 50mM ammonium bicarbonate to remove residual reagents. Afterward, background solution was exchanged to 50 mM HEPES (pH 9) by centrifugation and the sample solution was subjected to proteolytic digestion with a substrate/trypsin ratio of 50:1 (m/m) for 12 h at 37 °C. After digestion, the filters were centrifuged at 15,000 g for 15 min, and the flow-through containing the peptides was collected. To increase peptide recovery from the membrane, the membrane was further washed with 100uL 50 mM HEPES (pH 9) and the flow-through from those two steps was then combined.

### **7.4 Hydrophobic Tagging of Internal Peptides**

The free amines of the internal peptides generated from trypsin digestion were further derivatized with hexadecanal to incorporate two long alkyl chains. 100 µL pure water, 40 µL 600 mM NaBH<sub>3</sub>CN (dissolved in normal propyl alcohol) and 1mL 10 mg/mL hexadecanal (dissolved in normal propyl alcohol) were added to the sample solution in sequence. The solution was incubated overnight at 50°C.

### **7.5 C18 Material Assisted-Depletion**

20 µL of freshly prepared NaBH<sub>3</sub>CN (600 mM) was added to the solution, and the solution was then dried in a Speed Vac Concentrator. The dried sample was

redissolved in 2% ACN containing 5% FA. The precipitated hexadecanal was removed by centrifugation at 14000 g for 15min. The supernatant was collected and loaded onto C18 trap column, followed by washing with 2% ACN containing 5% FA, after that, C18 trap column was washed with 60% ACN containing 5% FA to elute the N-terminal peptides. The eluate was collected and dried for further use.

## **7.6 High pH Fractionation**

The HYTANE enriched peptides were fractionated using the Pierce TM High pH Reversed-Phase Peptide Fractionation Kit (Cat #84868). Eight fractions were collected using: 10, 12.5, 15, 17.5, 20, 22.5, 25 and 50% acetonitrile. Those fractions were subsequently vacuum-centrifuged to dryness, resuspended in 10  $\mu$ L of 5% formic acid and half of it was analyzed by LC-MS/MS.

## **7.7 LC-ESI-MS/MS Analysis**

LC-MS/MS was performed using an Eksigent 425 nano Ultra HPLC system and a Thermo Scientific Orbitrap Fusion Lumos mass spectrometer. Samples were loaded using an autosampler directly onto a home-made 75  $\mu$ m ID  $\times$  15 cm packed tip column filled with C 18 particles (3  $\mu$ m, Reprosil). Digested peptides were separated with a 60 min or 90 min linear gradient from 4% solvent B (0.1% formic acid in acetonitrile) to 30% solvent B (0.1% formic acid in acetonitrile), at a flow rate of 200 nL/min. The run time was 120 minutes or 150 minutes for each fraction, including sample loading and column reconditioning. Data-dependent acquisition was performed using the Xcalibur 4.0 software in positive ion mode at a spray voltage of 2.5 kV. Survey spectra were acquired in the Orbitrap with a resolution of 120,000 and a mass range from 350 to 1500 m/z. For HCD scans, the collision energy was set at 35, maximum inject time was 50 ms and the AGC target was 1.0e5. We used an isolation window of 0.7 m/z.

ions from charge state +1 to +6 selected for MS/MS were dynamically excluded for 15 s after fragmentation.

## **7.8 Database Searching**

The Proteome Discoverer 2.1.0.81 software (Thermo Fisher Scientific) was used for analyses of RAW files. Searching was performed using The SEQUEST HT database search engine and the mouse proteome database (SwissProt TaxID=10090, 2016-05-11) downloaded from ProteinCenter supplemented with a list of common contaminants derived from ProteinCenter (PD\_Contaminants\_2015\_5). Both the forward and reversed databases were used for database search in order to evaluate the false discovery rates (FDRs); 50346 total entries were searched. Mass tolerances were set as 10 ppm for parent ions and 0.6Da for fragments. Propionamidation (71.037Da) on cysteine, light dimethylation (+28.031Da) or heavy demethylation (+32.056Da) on lysine were set as fixed modifications. Acetylation (+42.011Da) on peptide N-terminus, oxidation (+15.9949Da) on methionine, pyroglutamate on Glutamic acid (-17.027Da) and Glutamine (-18.011Da), light dimethylation (+28.031Da) or heavy demethylation (+32.056Da) on peptide N-terminus were set as variable modifications. To identify the neo-N-termini, semi-style Arg-C cleavage searches were applied with up to two missed cleavages. The results were filtered by the incorporated percolator in ProteomeDiscoverer to control the false discovery rate (FDR) less than 1%.

## **7.9 Bioinformatics Filtering**

As DPP4 is a classic serine protease which recognizes and cleaves the 2 N-terminal amino acid residues with the consensus sequence X-Pro, X-Ala or X-Ser, in the search results we defined the peptides with prior amino acid as Proline, Alanine

or Serine as “cleaved peptide” (eg. P.XXXXXX.X) while defined the peptides with second amino acid as Pro, Ala or Ser as “uncleaved peptide” (eg. X.XPXXXX.X). Then we classified these peptides into three categories: 1. “Cleaved/Uncleaved matched pair”: in this category, both cleaved sequence and its corresponding uncleaved sequence were identified; 2. “Cleaved only”: in this category, only cleaved sequence was identified; 3. “Uncleaved only”: only uncleaved sequence was identified. The peptides in these three categories were further filtered according to the following ratio criteria: 1. For “Cleaved/Uncleaved matched pair”: the log<sub>2</sub> ratio between cleaved and uncleaved should be larger or equal to 1, meanwhile, the Cleaved ratio should larger than 1 and uncleaved ratio should smaller than 1; 2. For “Cleaved only”, the log<sub>2</sub> cleaved ratio must be larger than 1 and there must be two ratio values among three biological replicates; 3. For “Uncleaved only”, the log<sub>2</sub> cleaved ratio must be smaller than 1 and there must be two ratio values among three biological replicates. After ratio cutoff, two criteria were finally applied: 1. the start position of the peptide sequence should be within 20 amino acids after signal peptide cleavage; 2. the peptide corresponding protein subcellular location should be secreted.

### **7.10 *In vitro* Peptide Cleavage Validation**

Custom peptides were purchased from Peptide 2.0 Inc., or synthesized by the BEaTS Peptide Synthesis Core (University of Ottawa Heart Institute, Ottawa, Canada). Full length or truncated versions of each peptide (0.1 mg/mL, diluted in sterile PBS) were incubated with recombinant human DPP4 (1 mU; Sigma-Aldrich, cat# D4943) for 25 hours at 37°C. Cleavage was identified via precursor and product ions.

### **7.11 Select Reaction Monitoring (SRM) Validation**

Qualitative analysis was accomplished on a Waters TQD UPLC-MS/MS equipped with electrospray ionization (ESI) operated in the positive ionization mode. Chromatographic separation was carried out using UPLC system; composed of Accela 1250 quaternary pump and Accela open autosampler. Software version was used to control all parameters of UPLC, MS and analysis of obtained data. Positive-ion mass spectrometric detection method with ESI and SRM mode was used. The optimized parameters were: turbo ion spray temperature of 400°C, capillary temperature of 270°C, auxiliary gas of 2 psi, sheath gas of 20 psi, ion spray voltage of 3500 V, and capillary offset of 35. The quadrupole mass spectrometer operated at the SRM mode was used for the monitoring of the transitions of molecular ions to the product ions, using the collision energies of 12-18 eV.

### **7.12 Cholesterol Ester Transfer Protein (CETP) Activity Assay**

ApoC1 is an effective endogenous inhibitor of CETP in healthy, normolipidemic patients<sup>1</sup>. Biological function of full length and cleaved ApoC1 was tested using a CETP activity assay kit (Sigma-Aldrich, Roar Biomedical, cat# MAK106), with human plasma as the CETP source. Inhibition was compared to Torcetrapib (Sigma-Aldrich, cat# PZ0170), a drug developed to treat hypercholesterolemia by inhibiting CETP.

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## **8 Appendix 3: Review Article – Dipeptidyl Peptidase-4 at the Interface Between Inflammation and Metabolism**

Authors: **Natasha A. Trzaskalski**, Evgenia Fadzeyeva and Erin E. Mulvihill

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### **8.1 Author Contributions**

The manuscript and figures were conceptualized and written by NAT, EF and EEM.

## 8.2 Abstract

Dipeptidyl peptidase-4 (DPP4) is a serine protease that rapidly inactivates the incretin peptides, glucagon-like peptide-1, and glucose-dependent insulintropic polypeptide to modulate postprandial islet hormone secretion and glycemia. Dipeptidyl peptidase-4 also has nonglycemic effects by controlling the progression of inflammation, which may be mediated more through direct protein-protein interactions than catalytic activity in the context of nonalcoholic fatty liver disease (NAFLD), obesity, and type 2 diabetes (T2D). Failure to resolve inflammation resulting in chronic subclinical activation of the immune system may influence the development of metabolic dysregulation. Thus, through both its cleavage and regulation of the bioactivity of peptide hormones and its influence on inflammation, DPP4 exhibits a diverse array of effects that can influence the progression of metabolic disease. Here, we highlight our current understanding of the complex biology of DPP4 at the intersection of inflammation, obesity, T2D, and NAFLD. We compare and review new mechanisms identified in basic laboratory and clinical studies, which may have therapeutic application and relevance to the pathogenesis of obesity and T2D.

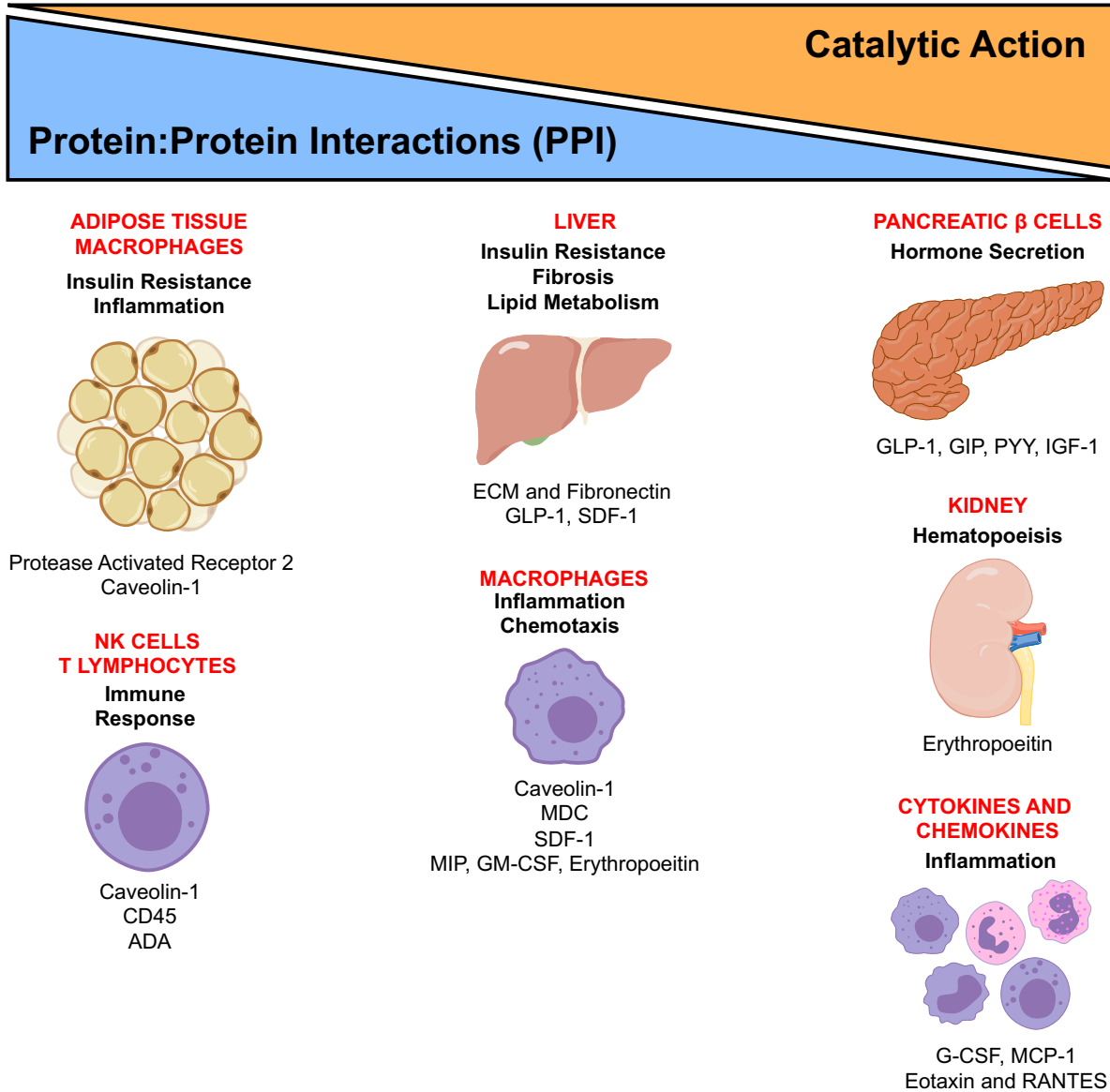
**Keywords:** Dipeptidyl peptidase-4, incretin hormones, inflammation, glycemia, insulin resistance, type 2 diabetes, nonalcoholic fatty liver disease

### 8.3 Introduction

Dipeptidyl peptidase-4 (DPP4) is a protease with a well-characterized role in regulating the bioactivity of gastrointestinal-derived peptide hormones, leading to significant implications for endocrine pathways<sup>1,2</sup>. Inhibition of DPP4-mediated degradation of gut hormones potentiates islet hormone secretion and enhances postprandial metabolism to successfully treat hyperglycemia in patients with type 2 diabetes (T2D)<sup>3</sup>. Dipeptidyl peptidase-4 has also cleaves and inactivates several chemokines and cytokines with a significant impact on inflammation and immune function<sup>4</sup>. Dipeptidyl peptidase-4 can also directly cleave the extracellular matrix and influence cell migration<sup>5,6</sup>.

Interestingly, both activation of intracellular signaling cascades regulating immune cell activation and its interaction within a complex to influence extracellular matrix proteolysis can occur independently of catalytic activity<sup>5,7</sup>. Therefore, dissection of the actions mediated by the catalytic activity and posttranslational regulation of its host of substrates versus those mediated by cleavage-independent or direct protein interactions with cell surface receptors, extracellular matrix, and cell signaling cascades is currently important given its emerging role as a biomarker of metabolic disease<sup>8</sup>. Recent research has placed these distinct functions at a direct intersection, where regulation of inflammation may influence the progression of dysglycemia, insulin resistance, obesity, and nonalcoholic fatty liver disease (NAFLD) (**Figure 1**). Here, we discuss and contrast the potential substrates identified with those experimental observations which appear to be independent of catalytic activity and high- light relevance for human disease.

# Metabolic Actions of DPP4



**Figure 8.1: Schematic illustrating the metabolic consequences and cellular specificity of dipeptidyl peptidase-4 regarding glucose tolerance and inflammation and the evidence indicated to date on substrate cleavage and regulation vs noncatalytic/direct protein-protein interactions as the mechanisms underlying these effects. ADA indicates adenosine deaminase; G-CSF, granulocyte colony-stimulating factor; GIP, glucose-dependent insulintropic polypeptide; GLP-1, glucagon-like peptide 1; GM-CSF, granulocyte macrophage colony-stimulating factor; IGF-1, insulin growth factor; MDC, macrophage-derived cytokine; MIP-1, macrophage inflammatory protein 1; PYY, peptide tyrosine tyrosine; SDF-1, stromal-derived factor 1.**

## 8.4 DPP4 Structure and Catalytic Activity

Dipeptidyl peptidase-4 is a type II cell surface exopeptidase with a classic serine triad defining the C-terminal catalytic active site and a single hydrophobic sequence anchoring the mostly extracellular protein (only 6 amino acids extend into the cytoplasm in the membrane)<sup>9</sup>. Dipeptidyl peptidase-4 belongs to the prolyl endopeptidase family, a group of atypical serine proteases with an active site consisting of the catalytic residues Ser630, Asp708, and His740, which hydrolyze a prolyl bond in substrate proteins<sup>2,9-13</sup>.

## 8.5 Transcriptional Control of DPP4

Dipeptidyl peptidase-4 contains a GC-rich sequence<sup>14,15</sup>. This region contains several consensus binding sites for transcriptional factors, including hepatocyte nuclear factor-1-beta (HNF1B)<sup>16</sup>, cut-like homeobox 1 (CUX1)<sup>17</sup> glucocorticoid receptor<sup>6</sup>, and specificity protein 1 (SP1)<sup>18</sup>, as well as several cytokines, such as interferon- $\gamma$  and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), which regulate *Dpp4* messenger RNA (mRNA) expression in a cell type-specific manner<sup>18</sup>. Promoter analysis has also identified consensus sites for nuclear factor kappa- light-chain-enhancer of activated B cells (NF- $\kappa$ B) and epidermal growth factor activating protein 1<sup>19</sup>. In HepG2 cells, incubation with high concentrations of glucose increases the expression of *Dpp4*<sup>20</sup> and has been confirmed by luciferase assays<sup>21,22</sup>. Positive correlations have been established with plasma DPP4 activity and fasting plasma glucose<sup>23</sup> and HbA1c<sup>24</sup>. However, this regulation may be more complicated in vivo as patients with improved glycemic control do not consistently experience a reduction in circulating DPP4<sup>25</sup>, and short-term treatment of mice with the glucose-lowering agent Exendin-4 does not reduce circulating DPP4 activity<sup>26</sup>. Incubation of HepG2 cells with insulin, palmitate,

oleate, or cholesterol did not result in increased DPP4 mRNA expression. In THP-1 macrophages, dexamethasone treatment significantly induced transcriptional upregulation of DPP4 due to the presence of two glucocorticoid responsive elements within the promoter<sup>9</sup>.

## **8.6 Posttranscriptional Regulation of DPP4**

Dipeptidyl peptidase-4 exerts enzymatic activity in both the membrane-anchored and circulating soluble form<sup>2,9,12</sup>, and it requires heterodimerization or homodimerization for catalytic function<sup>13</sup>. As a dimer, DPP4 selectively and preferentially cleaves a dipeptide from the N-terminus with a position 2 proline or alanine and a protonated amino terminus<sup>11</sup>. Dipeptidyl peptidase-4 can be post-translationally modified, including glycosylation (sialylation) at several sites responsible for targeting DPP4 to the apical membrane.<sup>27</sup> Particularly noteworthy is the N-glycosylation at the Asn319 site, which when mutated significantly reduces dimerization and catalytic activity<sup>28</sup>. In addition, DPP4 can also be oxidized, which reduces its activity<sup>29</sup>.

Dipeptidyl peptidase-4 is present on the membrane of parenchymal cells within metabolic organs, including hepatocytes, enterocytes, islets cell, and within endothelial cells and immune populations<sup>26,30</sup>. The level of expression depends on the cell type, differentiation state, and/or the activation state<sup>4,31,32</sup>. Dipeptidyl peptidase-4 can also be shed from the membrane and circulates throughout many bodily fluids<sup>33</sup>. Sheddases are a class of membrane-bound enzymes that can cleave transmembrane proteins and have been proposed for the release of DPP4 from the cell membrane into circulation as classic endothelial reticulum/Golgi secretion pathways are not involved<sup>34</sup>. Matrix metalloproteinases (MMP) 1, 2, 14, and 9 and Kallikrein-related

peptidase 5 (KLK5) have all been identified to have a role in shedding<sup>33</sup>. However, the contribution, regulation, and cell-type specificity of these sheddases to the regulation of soluble DPP4 and disease progression are currently unknown.

### **8.7 Direct Protein-Protein Interactions with DPP4**

In addition to its well-described peptidase activity, DPP4 also possesses noncatalytic functions through its interaction with ligands, including adenosine deaminase (ADA), caveolin-1<sup>35</sup>, extracellular matrix (collagen and fibronectin), and C-X-C chemokine receptor 4 (CXCR4)<sup>19</sup>. Dipeptidyl peptidase-4 is a co-stimulator for T-cell activation by interaction and activation of ADA. As adenosine is a potent suppressor of T-cell proliferation, inducing its degradation through increased ADA activity induces T-cell proliferation. However, studies using DPP4 with a mutation within the active site rendering it catalytically inactive or a mutant DPP4 unable to bind ADA, demonstrated that DPP4 induces T-cell proliferation through pathways independent of ADA and substrate degradation<sup>36</sup>. Dipeptidyl peptidase-4 has also been proposed to bind directly to CD45 to induce T-cell receptor signaling<sup>37</sup>. However, given that mice with genetic elimination of *Dpp4* or treatment with a highly selective DPP4 inhibitor (DPP4i) had comparable and robust primary and secondary antibody responses to T-dependent antigens provides compelling evidence that although DPP4 has a role in mediating T-cell activation, it is not absolutely required for T-cell-directed immune responses<sup>38</sup>. Evidence also exists that DPP4 physically interacts with caveolin-1 on antigen-presenting cells to induce aggregation and phosphorylation, which activates NF- $\kappa$ B<sup>39</sup>. In addition, DPP4 has been demonstrated to activate signaling on endothelial cells through direct interaction with the mannose 6 phosphate/ insulin-like growth factor 2 receptor<sup>40,41</sup>.

### **8.7.1 DPP4 and regulation of the bioactivity of incretin hormones**

The best-characterized substrates regulated by DPP4 catalytic activity are glucagon-like peptide-1 (GLP-1) and glucose- dependent insulinotropic polypeptide (GIP), which are responsible for the incretin effect or 60% of insulin secreted in response to nutrients<sup>42</sup>. Both the GLP-1 receptor (GLP-1R) and GIP receptor (GIPR) belong to the G-protein–coupled receptor B1 superfamily, which activates Gas proteins and stimulates cyclic adenosine monophosphate (cAMP) production upon ligand binding<sup>43</sup>. Both GLP-1 and GIP in circulation are degraded by DPP4 cleavage of the N-terminal two amino acids very efficiently, eliminating their glucoregulatory action due to a decrease in their respective receptor’s affinity<sup>1</sup>. Meal-induced spikes in circulating concentrations sufficient to reach the islet last only minutes<sup>44</sup>. Most degradation occurs within the vessels draining the mesentery and within the portal circulation and hepatic bed<sup>30,45-48</sup>. However, the ablation of osteoclasts through treatment with denosumab has been reported to reduce circulating DPP4 levels and raise GLP-1, suggesting many metabolic circumstances may influence DPP4 levels and incretin cleavage<sup>49</sup>. Inhibition of degradation of GLP-1 and GIP by pharmacological inhibitors preserves the bioactivity of active incretins, allowing direct activation of incretin receptors on the  $\beta$  cell to augment meal-stimulated insulin secretion. In addition, GLP-1 inhibits glucagon secretion through activation of GLP-1 receptors on  $\delta$  cells to stimulate somatostatin secretion<sup>50</sup>.

### **8.7.2 DPP4 inhibitors for the treatment of hyperglycemia in patients with T2D**

Dipeptidyl peptidase-4 inhibitors (DPP4i) are approved for the treatment of hyperglycemia in patients with T2D. They are highly selective for and lead to significant inhibition of the catalytic activity of DPP4, ultimately functioning by

preventing the degradation of the incretin hormones, stimulating post- prandial insulin secretion<sup>2,8</sup>, and reducing hepatic glucose production through lowered glucagon secretion<sup>51</sup>. Generally, the structures of DPP4i fall within 3 broad categories: (1) a substrate-like electrophilic group that can interact either covalently or noncovalently with the active binding site of DPP4, (2) non–substrate-like inhibitors, or (3) xanthine-based compounds<sup>52</sup>. DPP4i are relatively well tolerated as the risk of hypoglycemia is low, given the glucose dependence of incretin- mediated insulin release. Overall, DPP4i do not have any reported impact on body weight, blood pressure, or heart rate, and treatment results in small improvements in the aberrant lipid profile associated with T2D, including reduced triglycerides (TG) and increased high-density lipoprotein cholesterol<sup>53</sup>. All the cardiovascular outcome trials of DPP4i have reported significantly improved glycemic control and met the safety requirements of a neutral effect on major adverse cardiovascular events<sup>54-57</sup>, despite being powered to demonstrate the expected benefit on cardiovascular disease inferred from ample preclinical data (as reviewed elsewhere)<sup>58-62</sup>. In addition to glycemic control, DPP4i have also demonstrated to be effective erythropoiesis-stimulating agents for renal anemia through the preservation of active erythropoietin<sup>63,64</sup>. Also, although B-type natriuretic or brain peptide is a substrate for DPP4 cleavage, inhibition of DPP4 with linagliptin did not affect N-terminal pro–brain natriuretic peptide (BNP) levels or BNP in patients with T2D<sup>65</sup>. It has also demonstrated that sitagliptin treatment of healthy subjects does not potentiate vasodilation in response to BNP injection<sup>66</sup>.

## **8.8 DPP4 Inhibitors in Combination with Metformin**

DPP4i are often prescribed together or provided as a dual therapy when metformin is not sufficient to maintain euglycemia<sup>67-73</sup>. Patients who receive dual

therapy experience additive glucose-lowering and superior improvements in HbA1c<sup>74</sup>. Metformin has been demonstrated to mediate significant metabolic effects through its action within the gastrointestinal tract<sup>75,76</sup>. Preproglucagon (Gcg) is expressed in enteroendocrine L cells, which are dispersed throughout the duodenum but more concentrated toward the distal colon<sup>77,78</sup>. Glucagon-like peptide-1 is processed from the preproglucagon polypeptide by prohormone convertase 1/3 (PC1/3), and its secretion is stimulated by ingested nutrients<sup>44,79,80</sup>.

One proposed gut-based mechanism of action for metformin is to stimulate GLP-1 secretion directly from the L cell<sup>81</sup>. In addition, metformin may also enhance GLP-1R and GIPR expression within the  $\beta$  cell<sup>82,83</sup>. Consistent with increased secretion, nondiabetic, obese patients who received metformin monotherapy experience an increase in active GLP-1, and metformin did not alter the kinetics of GLP-1 degradation by DPP4<sup>84,85</sup>. Metformin is, therefore, an effective partner for additive therapy with a DPP4i. In addition to the superior lowering of HbA1c, dual therapy is a promising course of treatment for reducing adverse cardiovascular events. A recent post hoc subgroup analysis of the three cardiovascular outcome trials for DPP4i suggests that patients treated at baseline with metformin may derive cardiovascular benefit from DPP4i compared with metformin nonusers<sup>86,87</sup>.

### **8.8.1 Role of endothelial cell–derived DPP4 in glycemic regulation**

Both *Dpp4*<sup>-/-</sup> mice and F-344/DuCrj rats (deficient in DPP4 catalytic activity) demonstrate increased GLP-1 concentrations, higher insulin secretion, and improved postprandial glucose control<sup>88-90</sup>. Activation of the GLP-1R present on  $\beta$  cells regulates not only hormone secretion from endocrine cells potentiating glucose-stimulated insulin secretion and reducing glucagon secretion through stimulation of

somatostatin but also increases insulin synthesis,  $\beta$ -cell neogenesis, islet mass, and  $\beta$ -cell survival<sup>91-93</sup>. Dipeptidyl peptidase-4 is present on endothelial cells throughout the body, including those adjacent to the enteroendocrine L cells which produce GLP-1<sup>94</sup>. Consistent with these observations, enteric targeting of DPP4 by genetic elimination of *Dpp4* from endothelial cells or low pharmacological concentrations of DPP4 inhibitors can significantly increase circulating, active GLP-1 concentrations and improve glycemia<sup>8,30,95</sup>. The portal circulation and hepatic bed have also been identified as DPP4+ sites for GLP-1 degradation<sup>30,45-48,96</sup>. However, it is most likely endothelial cells within the portal system which regulate the cleavage and elimination of *Dpp4* specifically from hepatocytes or administration of a small interfering RNA (siRNA) to target *Dpp4* within hepatocytes failed to augment circulating incretin levels and improve glucose tolerance<sup>26,97</sup>.

The proGIP gene encodes the incretin hormone GIP, which is mainly expressed in the intestinal K cells.<sup>44</sup> Similar to GLP-1, PC1/3 converts a proGIP prohormone precursor into active GIP. In addition to being an incretin hormone, GIP signaling and GIPR have been shown to play a role in diet-induced obesity<sup>98</sup>, adipokine secretion<sup>99</sup>, lipoprotein lipase activity, and TG accumulation<sup>100</sup>. Degradation of significant amounts of GIP by DPP4 occurs within the gut by both endothelial and immune cell populations<sup>30</sup>.

### **8.8.2 Role of islet-derived DPP4 in glycemic regulation**

Recent evidence in mice genetically engineered to re-express *Gcg* has suggested that bioactive and glucoregulatory GLP-1 may be produced in the pancreas<sup>101</sup>. Dipeptidyl peptidase-4 protein expression has been observed in isolated human islets<sup>102</sup>, and GLP-1R antagonism blunts the DPP4i (linagliptin) improvement

in mice with *Gcg* expression restricted to the pancreas<sup>103</sup>, suggesting a potential pancreatic, paracrine circuit to control glycemia. However, further use of these models to re-express *Gcg* in the proximal and distal gut demonstrates gut-derived GLP-1 is indeed the dominant site of GLP-1, and pancreas perfusion studies with a DPP4i have demonstrated no impact on glycemia<sup>104</sup>. However, recently, *Dpp4* mRNA and DPP4 protein have been proposed to be expressed dynamically in late fetal stages of  $\beta$ -cell development, which coincide with the expression of GLP-1R and may regulate GLP-1-mediated signaling responses important for  $\beta$ -cell maturation<sup>105</sup>. The importance of preproglucagon-derived peptides in the control of islet cell communication through cAMP has been recently demonstrated<sup>106,107</sup>, and the addition of sitagliptin to metformin is associated with a lower rate of diabetes progression<sup>108</sup>. These data suggest that although an islet-DPP4-GLP-1 axis may not regulate whole-body glucose metabolism, it may regulate necessary islet-specific signaling important in  $\beta$ -cell function and survival.

Both the mRNA of proGIP and a biologically active, truncated form of GIP(1-30) have been localized to alpha cells of both mouse and human islets, which is stimulated and secreted in response to arginine<sup>109</sup>. The DPP4-mediated degradation product GIP(3-42) has also been demonstrated to act as a GIPR antagonist<sup>43</sup>. However, the importance of DPP4-mediated regulation of GIP within the islet is complicated by observations that in states of T2D, the GIPR undergoes desensitization and degradation<sup>110-113</sup>.

Peptide tyrosine tyrosine (PYY) is another DPP4 substrate secreted by endocrine L cells and pancreatic  $\alpha$  cells in response to glucose and is associated with insulin secretion<sup>114</sup>. Cleavage of PYY(1-36) by DPP4 leads to the creation of truncated

peptide, PYY(3-36), which exhibits altered receptor selectivity. Unlike PYY(1-36) that binds to all NPY receptor subtypes with equal affinity, the truncated PYY(3-36) exhibits high-affinity binding to the NPY2 receptor<sup>115</sup>. PYY(1-36) was shown to inhibit glucose-stimulated insulin secretion in isolated islets, whereas PYY(3-36) had no effect<sup>116</sup>. Also, administration of exogenous PYY(3-36) during an intraperitoneal glucose tolerance test has been shown to lower glycemia through GLP-1-mediated improvements in insulin secretion, whereas PYY(1-36) did not<sup>116-118</sup>. Further studies using native PYY (1-36) have demonstrated  $\beta$ -cell proliferation and protection from cytokine-induced apoptosis in BRIN BD11 and 1.1B4 cells<sup>119</sup>. As levels of intact and DPP4 cleavage products of PYY have been difficult to measure, the biological relevance of islet cleavage in the regulation of glucose is uncertain.

## **8.9 DPP4 as a Regulator of Immune Cells**

Consistent with the promoter analysis, altered expression of DPP4 in autoimmune and infectious diseases, hematological cancers, and tumors has been reviewed in detail elsewhere<sup>4,7,11,120</sup>. There are several significant differences between mice and humans in this regard, as DPP4 expression in the hematopoietic compartment differs between them. In human subjects, DPP4 is expressed predominantly by T cells, whereas in mice, dendritic cells, B cells, and natural killer cells also express significant levels of DPP4<sup>121</sup>. Lipopolysaccharide treatment of mice increases expression of DPP4 on macrophages 5- to 10-fold, suggesting acute activators of inflammation are associated with significantly increased DPP4 expression in circulating immune cells.<sup>22</sup> Consistent with this, the release of DPP4 is induced by treatment with TNF- $\alpha$  and with insulin<sup>122</sup>. The effects of low-grade, often subclinical inflammation observed in patients with metabolic disease offers less clarity

in the form of DPP4 expression on immune cell populations. Assessment of 14 controls versus 27 patients with confirmed atherosclerotic plaque identified that DPP4 is elevated on CD11b+ monocytes, and it correlates with plasma TG and cholesterol, but not with glucose or insulin concentrations<sup>123</sup>.

Peripheral blood mononuclear cells isolated from patients with T2D demonstrated no difference in DPP4 activity or gene expression<sup>124</sup>. It has been reproducibly demonstrated that a significant portion of circulating DPP4 originates from bone marrow–derived cells<sup>30,121,125,126</sup>, suggesting they are an essential reservoir of soluble DPP4. In patients with severe combined immunodeficiency, as well as studies in irradiated mice, the number of lymphocytes in circulation correlated with levels of circulating DPP4<sup>121</sup>. Additional evidence suggests DPP4 can derive from osteoclasts and signal through receptor activator of nuclear factor-kappa B ligand (RANKL) under circumstances of bone remodeling<sup>49</sup>. RNA-seq data have identified DPP4 as one of the factors produced by adipogenic marrow cells that negatively influence bone healing in response to age and high-fat diet feeding<sup>127</sup>. Nine-day treatment with sitagliptin increased the shift toward osteogenic progenitors in the tibia, suggestive of enzymatic activity regulating differentiation<sup>127</sup>.

#### **8.10 DPP4 Expression in Mature Adipocytes and Adipocyte Progenitors**

Increased DPP4 expression and activity have been consistently associated with obesity (increased body mass index and excess adipose tissue in humans)<sup>26,35,96,122,128</sup>. In addition, leptin concentrations and the size of adipocytes in both visceral and subcutaneous depots positively associate with DPP4, whereas adiponectin levels negatively correlate with circulating DPP4<sup>128</sup>. Given its strong correlation with increased adipose tissue accumulation, the origin of obesity-induced

circulating DPP4 was originally proposed to be the mature adipocyte<sup>122,128,129</sup>. Consistent with this idea, the genetic elimination of adipose tissue DPP4 triggers beneficial adipose tissue remodeling and improved hepatic insulin sensitivity in diet-induced obesity<sup>130</sup>. In addition, in 1-year-old obese mice fed a high-fat, high-cholesterol diet (HFHC), it was determined that a small portion of circulating DPP4 originates from adiponectin + cells<sup>26</sup>.

Recent single-cell RNA sequencing combined with elegant microscopy evidence suggests that DPP4 expression is limited in adipose tissue to multipotent progenitors, which exist within the reticular interstitium and give rise to intercellular adhesion molecule 1 (ICAM)+ and CD142+ preadipocytes<sup>131</sup>. Previous studies are consistent with *Dpp4* expression on progenitor populations as decreased DPP4 expression is associated with early steps in adipocyte differentiation, including adipocyte maturation<sup>132</sup>. However, it is possible that in states of obesity DPP4+, mesenchymal progenitor cells are depleted, which reduces the population of preadipocytes disrupting the required adipose tissue hyperplasia, shifting to maladaptive hypertrophy within visceral depots<sup>131</sup>. This concept requires further investigation.

### **8.11 DPP4 Within the Adipose Tissue Stromal Vascular Fraction**

Dipeptidyl peptidase-4 expression in adipose tissue is much more prevalent in the stromal vascular fraction than in the adipocyte fraction, particularly under conditions of high-fat feeding<sup>26</sup>. Increased DPP4 expression in obese humans and *ob/ob* mice is observed in populations of dendritic cells and macrophages isolated from visceral adipose tissue<sup>22</sup>. However, the increase in circulating DPP4 observed with increased adipose tissue accumulation is entirely unaffected in mice lacking DPP4 in CD45+ immune cell populations<sup>30</sup>, suggesting that the majority of

dysregulated circulating DPP4 due to obesity was not originating from CD45+ immune cells.

### **8.12 DPP4 as a Biomarker of Metabolic Liver Disease**

The liver has been proposed as a primary source of circulating DPP4<sup>133</sup>. Within the liver, DPP4 is expressed in both hepatocytes and nonparenchymal cells, yet obesity only increases *Dpp4* expression in hepatocytes<sup>134</sup>. Analysis by Baumeier *et al.*<sup>135</sup> calculated by total weight predicted that in mice, the majority of DPP4 protein release came from the liver. Several groups have identified that circulating DPP4 levels are also positively associated with NAFLD<sup>20,136</sup>. In humans, plasma DPP4 expression and activity are increased in patients with NAFLD and have a strong correlation with liver fat content<sup>135</sup>. Higher hepatic DPP4 expression and elevated plasma levels are observed in patients with NAFLD compared with healthy subjects<sup>20</sup>. Nonbiased, discovery-based proteome profiling in the plasma from patients with T2D, NAFLD, and cirrhosis demonstrated that DPP4 protein levels significantly correlated with concentrations of liver enzymes used currently as markers of liver damage, including alanine aminotransferase (ALT), aspartate transaminase (AST), alkaline phosphatase, and gamma-glutamyl transferase<sup>137</sup>. Indeed, methylation at CpG sites in the *Dpp4* southern shore has been reported to regulate the expression of *Dpp4* in the liver<sup>138</sup>, but not in adipose<sup>135</sup>, kidneys, or brain<sup>138</sup> of mice, suggesting liver-specific transcriptional regulation in disease states.

### **8.13 DPP4 as a Hepatokine**

Consistent with the role of hepatocyte-derived DPP4 in metabolic disease, Ghorpade *et al.*<sup>35</sup> identified DPP4 as a circulating casual factor downstream of hepatic CAMKII signaling, which promoted macrophage chemoattractant protein

(*Mcp-1*), interleukin 6 (*Il6*), *Tnf α*, and *Il-1β* expression and crown-like structures within visceral, but not inguinal or brown adipose tissue. Varin *et. al.*<sup>26</sup> confirmed these findings in *Dpp4<sup>Hep-/-</sup>* mice, which confirmed that the 40% increase in circulating DPP4 under high-fat diet feeding or obesity is hepatocyte-derived. Similarly, in the absence of hepatic *Dpp4*, reduced levels of F4/80 (*Adgre1*), *Il2*, C-C chemokine ligand 2 (*Ccl2*), and *Tnf-α* were observed in both the livers and gonadal adipose tissue of HFHC-fed *Dpp4<sup>Hep-/-</sup>* mice<sup>26</sup>. In both models, reductions in hepatic DPP4 resulted in improvements in whole-body insulin sensitivity, and the effects could not be replicated by treatment with a DPP4i<sup>26,35</sup>. The HFHC-fed mice treated with the DPP4i MK-0626 demonstrated no changes in cytokine expression in the liver, epididymal fat, or plasma. Also, patients with T2D treated for 4 weeks with the DPP4i sitagliptin failed to demonstrate any significant changes in circulating concentrations of inflammatory cytokines, chemokines, or growth factors<sup>139</sup>. Conversely, the gonadal white adipose tissue isolated from mice with a hepatocyte-specific overexpression of *Dpp4* (1.6-fold increase in hepatic protein and a 2-fold increase in circulating) demonstrated increased markers of macrophage infiltration (F4/80) and inflammatory cytokines (TNF-α and MCP-1) and increased leptin:adiponectin ratios<sup>135</sup>. Consistent with a direct effect on insulin sensitivity, insulin treatment of HepG2 hepatoma cells and primary mouse hepatocytes infected with adenovirus to overexpress DPP4 demonstrated a reduction in insulin-stimulated Akt phosphorylation<sup>135</sup>. Incubation of adipocytes, skeletal muscle cells, and smooth muscle cells with DPP4 reduced Akt phosphorylation induced by insulin in a dose-responsive manner, suggesting these signaling effects are direct<sup>122</sup>.

Hypoxia-inducible factor-1  $\alpha$  (*Hif1 $\alpha$* ) is also elevated in obesity, and hepatocyte-specific elimination of *Hif1 $\alpha$* , but not *Hif2 $\alpha$* , decreased the upregulation of hepatic *Dpp4* observed with an excess of adipose tissue<sup>96</sup>. These data suggest that hypoxia may be an initiating factor for the shedding of DPP4 and are consistent with the model proposed by Chowdhury *et. al.*<sup>140</sup>. Deletion of hepatocyte *Hif1 $\alpha$* , which prevents obesity-induced increases in hepatic DPP4, demonstrated increased active portal GLP-1 and improved glucose tolerance<sup>96</sup>. The lack of upregulation of *Dpp4* within the liver in *Hif1 $\alpha$ <sup>-/-</sup>* mice was associated with a decrease in phosphorylated p65 NF- $\kappa$ B levels<sup>96</sup>, confirming that elimination of the obesity-induced increase in hepatic DPP4 is linked to reduced inflammation. It has been difficult to dissect the temporal relationship between lipid accumulation, inflammation, and insulin resistance, as mice with hepatic overexpression of DPP4 exhibited increased liver TG accumulation and adipose tissue accumulation beginning at 20 weeks and persisting throughout the 30-week study. They also exhibited increases in fatty acid transporter CD36<sup>135</sup>. Elimination of *Dpp4* from hepatocytes with siRNA from mice with diet-induced obesity or *ob/ob* mice reduced circulating nonesterified fatty acids (NEFA), effects not replicated with sitagliptin treatment. However, both lipogenesis and oleic acid uptake were similar in both control and DPP4 over-expressing hepatocytes<sup>135</sup>, and genetic elimination of *Dpp4* specifically from hepatocytes did not lead to any changes in NEFA, TG, or liver lipid accumulation<sup>26</sup>. These data suggest aberrant communication between adipose tissue and the liver can be the main driver of DPP4's role in insulin resistance and inflammation. The main cell type identified to respond to liver-derived DPP4 is the adipose tissue macrophage (ATM). In vitro studies have also identified protease-activated receptor 2 (PAR2) as a potential target of soluble DPP4 action in

smooth muscle cells<sup>141</sup> and in cultured human coronary artery endothelial cells<sup>129</sup>. Ghorpade *et. al.*<sup>35</sup> demonstrated that both PAR2 and caveolin-1 signaling were instrumental in stimulating inflammation in ATM by using an intraperitoneal injection of siRNA encapsulated in micrometer-sized glucan shells to specifically target signaling in ATMs. In addition, they propose that activated factor X may signal synergistically with DPP4 and activate extracellular signal-regulated kinase (ERK1/2) and NF- $\kappa$ B to increase downstream expression mediators of inflammation, including MCP-1, IL-6, and TNF- $\alpha$ <sup>35</sup>. Indeed, disrupting protein-protein interactions with DPP4, but not DPP4 inhibitor treatment, reduced adipose tissue inflammation<sup>22</sup>, consistent with a noncatalytic mechanism of action.

#### **8.14 DPP4 Inhibitors and Metabolic Liver Disease**

Both diet-induced obese mice and *ob/ob* mice treated with the DPP4i linagliptin improved glycemic parameters and reduced the liver fat content and markers of inflammation, suggesting potentiation of DPP4 substrates may have short-term benefit on liver metabolism<sup>142</sup>. More recently, Kawakubo *et. al.*<sup>143</sup> treated a genetically obese melanocortin 4 receptor-deficient mouse (model of insulin resistance, hepatic steatosis, nonalcoholic steatosis hepatitis [NASH], and hepatocellular carcinoma) with a DPP4i which prevented the progression of simple steatosis to NASH, and decreased hepatic crown-like structures and expression of inflammatory and fibrosis-related genes. Also, in randomized control trials with patients treated with sitagliptin (100mg) for 1year, biopsy demonstrated reduced steatosis and ballooning<sup>144</sup>. In addition, in further studies, sitagliptin (100mg) decreased ALT and AST and improved histological scoring<sup>145</sup>. However, adding to the complexity of interpretation, randomized controlled trials of patients with liver disease where patients treated with alogliptin (25 mg/day

for 12 months)<sup>146</sup>, vildagliptin (50 mg, twice a day for 6 months)<sup>146</sup>, or sitagliptin (100 mg for 12 months)<sup>147</sup> reports confirm failure to provide any clear benefit.

### **8.15 DPP4 and Fibrosis**

Shigeta *et.al.*<sup>148</sup> discovered that the activity of the membrane-bound form of DPP4 is elevated in a diabetic rat model but reduced in their normoglycemic counterparts. As a result, circulating stromal-derived factor 1 (SDF-1), angiogenesis, and the number of CXC chemokine receptor–positive/vascular endothelial growth factor receptor–positive (CXCR+KDR+) endothelial progenitor cells were decreased, while there was an increase in fibrosis. The opposite was observed in *Dpp4*<sup>-/-</sup> and normoglycemic control rats<sup>148</sup>. CXCL12 signaling has been proposed to promote liver fibrosis by recruiting immune cell populations and has also been linked to the development of hepatocellular carcinoma<sup>149</sup>, although it is unclear to date whether DPP4i can potentiate this process. Dipeptidyl peptidase-4 has been demonstrated to define fibroblast populations within human skin biopsies<sup>150</sup>, and DPP4+ fibroblasts have been shown to express higher levels of myofibroblast markers<sup>151</sup> and collagen, but whether these pathways are relevant in liver disease remains to be determined. Treatment of mice predisposed to fibrosis by treatment with bleomycin or chronic graft versus host disease with DPP4i has resulted in reduced fibrosis and inflammation, and DPP4i have also been found to promote the migration of keratinocytes, and reduce collagen synthesis and deposition, limiting scar formation<sup>152</sup>.

### **8.16 Future Directions**

The substantial body of preclinical evidence in genetic mouse models linking cell-specific actions of DPP4 with insulin resistance, obesity, and NAFLD requires further confirmation and mechanistic studies in patient populations and human model

systems to confirm its role as a biomarker or causal agent in disease progression. The novel discovery of the fate of several DPP4+ progenitor cell populations illustrates additional metabolic pathways that may also contribute to the regulation of glucose, insulin sensitivity, and metabolic disease. These observations solidify the need for further elucidation of how DPP4 regulates these pathways—through catalytic activity and cleavage of substrate peptides or direct protein-protein interactions.

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## 10 Curriculum Vitae

### Natasha Trzaskalski M.Sc.

PhD Candidate  
University of Ottawa

#### Academic and Training Background

- 2018 – 2024**      **Ph.D. candidate, Faculty of Medicine, University of Ottawa**  
Supervisor: Dr. Erin. E. Mulvihill
- 2015 – 2018**      **M.Sc., Environmental and Life Sciences, Trent University**  
Supervisor: Dr. R.J. Neil Emery  
Thesis Title: The Effect of Carbon Sources on Growth, Hormone Metabolism and Lipid Synthesis in Heterotrophic Cultures of *Euglena gracilis*  
**Funded by:** NSERC CUI2I grant, in association with NobleGen Inc.
- 2010 – 2015**      **B.Sc. (Hons), Biochemistry and Molecular Biology, Trent University**  
Supervisor: Dr. R.J. Neil Emery  
Thesis Title: Cross-Talk Between Plant Growth Promoting Hormones: Cytokinins and Brassinosteroids

#### Funding

- 2021**      **University of Ottawa Heart Institute Endowed Award**  
\$40,000 over 2 years
- 2018 – 2022**      **Doctoral Admission Scholarship**  
\$60,000 over 4 years  
University of Ottawa
- 2015 – 2017**      **NSERC College and Community Innovation Program – College-University Idea to Innovation Grants (CUI2I)**  
\$40,000 over 2 years  
Trent University in collaboration with NobleGen Inc.

#### Honours and Awards

- 2023**      **Best Oral Presentation**  
BMI Seminar Day, University of Ottawa
- 2022**      **Dr. Yves Marcel Award for Best Basic Science Oral Presentation**

Ottawa Cardiovascular Research Day

- 2021**                      **Selected BCH student representative**  
Honourable mention for National Oral Presentation Award  
Canadian Student Health Research Forum 2021
- 2020**                      **Best Rapid Oral Presentation**  
Canadian Vascular & Lipid Virtual Summit 2020
- 2019**                      **Best Oral Presentation (Third Place)**  
BMI Seminar Day, University of Ottawa
- 2019**                      **Best Oral Presentation**  
Ottawa Institute of System Biology (OISB) Retreat, University of  
Ottawa
- 2012 – 2015**            **Dean's Honour Roll**  
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**Trzaskalski, N.**, Vulesevic, B., Nguyen, M.A., Jeraj, N., Fadzeyeva, E., Morrow, N., Hanson, A., Lorenzen-Schmidt, I., & Mulvihill, E.E. (2021). Liver-Specific Depletion of Dpp4 does not Prevent NAFLD Progression via Macrophage Polarization. ***Insulin 100.***

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| <b>Podium Presentations</b> |
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|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2023 | The Liver-Heart Nexus: Targeting the Liver to Spare the Heart<br><b>BMI Seminar Day</b> , Ottawa, Canada                                                                                                                                    |
| 2023 | DPP4 Depletion from Hepatocytes Downregulates Collagen and Immunological Pathway Expression In The Heart But Does Not Rescue Diastolic Function In An Aged, Obese Mouse Model<br><b>UOHI Work-In-Progress</b> , Ottawa, Canada              |
| 2022 | Combination Metformin and Sitagliptin Therapy Improves Cardiac Function and Conserves Kinesis in Aged, Obese <i>Dpp4<sup>EC-/-</sup></i> Mice after MI<br><b>Canadian Lipid and Vascular Summit</b> , Whistler, Canada                      |
| 2022 | Metabolic Multitool: How Hepatocyte DPP4 Impacts Liver Disease<br><b>BMI Symposium</b> , Montebello, Quebec<br>3 Minute Rapid Fire Oral Presentation                                                                                        |
| 2022 | DPP4 Depletion from Hepatocytes Downregulates Collagen and Immunological Pathway Expression In The Heart But Does Not Rescue Diastolic Function In An Aged, Obese Mouse Model<br><b>Ottawa Cardiovascular Research Day</b> , Ottawa, Canada |
| 2020 | Elimination of Hepatocyte Specific <i>Dpp4</i> Does Not Alter Markers of Liver Disease Progression In Aged, High Fat, High Cholesterol-fed Mice                                                                                             |

## Canadian Vascular & Lipid Virtual Summit 2020

- 2020 Elimination of Hepatocyte Specific *Dpp4* Does Not Alter Markers of Liver Disease Progression In Aged, High Fat, High Cholesterol-fed Mice  
**Work in Progress (WIP) Trainee Seminars**, Ottawa, Canada
- 2020 Elimination of Hepatocyte Specific *Dpp4* Does Not Alter Markers of Liver Disease Progression in Aged, High Fat, High Cholesterol-fed Mice  
**Ottawa Cardiovascular Research Day**  
*Selected for oral presentation, cancelled due to COVID-19*
- 2019 Identification of Cardioactive Dipeptidyl Peptidase-4 Substrates  
**OISB Retreat**, Cornwall, Ontario
- 2018 Cut it out: Cardiovascular Implications of Dipeptidyl Peptidase-4 Cleavage  
**Work in Progress (WIP) Trainee Seminars**, Ottawa, Canada

## Poster Presentations

- 2023 The Liver-Heart Nexus: Targeting the Liver to Spare the Heart  
**Canadian Lipid and Vascular Summit**
- 2023 It Takes Two: Modulation of Cardiovascular Function with Combination DPP4 Inhibitor and Metformin Treatment  
**Ottawa Cardiovascular Research Day**
- 2023 The Liver-Heart Nexus: Targeting the Liver to Spare the Heart  
**Canadian Society for Molecular Biosciences: Metabolic Regulation of Cell Signaling**
- 2022 It Takes Two: Modulation of Cardiovascular Function with Combination DPP4 Inhibitor and Metformin Treatment  
**The Metabolic Physiology Meeting**
- 2021 Liver-specific depletion of DPP4 reduces hepatic gluconeogenesis but does not improve disease progression or inflammation in a mouse model of NALFD  
**Canadian Student Health Research Forum**
- 2021 Elimination of hepatocyte specific *Dpp4* decreases hepatic gluconeogenesis but does not alter markers of liver disease progression in aged, high fat, high cholesterol-fed mice  
**Ottawa Cardiovascular Research Day**

- 2021 Liver-specific depletion of DPP4 does not prevent NAFLD progression via macrophage polarization  
**Insulin 100**
- 2020 Liver-Specific Depletion of *Dpp4* Reduces Hepatic Gluconeogenesis but does Not Prevent NAFLD Progression  
**Diabetes Canada Conference**
- 2020 Elimination of Hepatocyte Specific *Dpp4* Does Not Alter Markers Of Liver Disease Progression In Aged, High Fat, High Cholesterol-fed Mice  
**Montreal Diabetes Research Centre (MDRC) Conference**, Montreal, Quebec
- 2019 Poster Presentation: Identification of Novel Natural Substrates of Dipeptidyl Peptidase-4 in Cardiovascular Disease  
**Canadian Vascular & Lipid Summit 2019**
- 2019 Identification of Novel Natural Substrates of Dipeptidyl Peptidase-4 in Cardiovascular Disease  
**BMI Seminar Day**, University of Ottawa, Ottawa
- 2019 Poster Presentation: Identification of Novel Natural Substrates of Dipeptidyl Peptidase-4 in Cardiovascular Disease  
**Ottawa Heart Conference 2019: Big Data in Cardiovascular Disease**, Ottawa
- 2019 Identification of Novel Natural Substrates of Dipeptidyl Peptidase-4 in Cardiovascular Disease  
**Montreal Diabetes Research Centre (MDRC) Conference**, Montreal, Quebec
- 2018 N-terminal Enrichment Based Proteomics: Revealing the DPP4 Proteome  
**Ottawa Heart Conference 2018: Precision Medicine in Cardiovascular Disease**, Ottawa
- 2018 N-terminal Enrichment Based Proteomics: Revealing the DPP4 Proteome  
**Ottawa Cardiovascular Research Day 2018**, Ottawa, Canada
- 2018 N-terminal Enrichment Based Proteomics: Revealing the DPP4 Proteome  
**Biochemistry, Microbiology and Immunology (BMI) Symposium**, Montebello, Quebec

### **Mentoring Activities**

|             |                                                                                                                 |
|-------------|-----------------------------------------------------------------------------------------------------------------|
| 2024        | Invited HOSA Guest Speaker<br>Virtual presentation to high school students regarding a graduate school in STEM  |
| 2018 – 2023 | TMM Thesis and Rotation Student Mentoring<br>5 students, 2 which joined the lab as graduate students afterwards |
| 2018 – 2019 | Graduate Mentor, DEGREE Mentoring Program<br>University of Ottawa                                               |
| 2018 – 2020 | Session Coordinator, Let's Talk Science – Encounters Canada<br>University of Ottawa Heart Institute             |

### **Committee and Professional Memberships**

|                |                                                                                              |
|----------------|----------------------------------------------------------------------------------------------|
| 2023           | Trainee Member, Canadian Society for Molecular Biosciences (CSMB)                            |
| 2021           | Steering Committee, Toronto-Ottawa Heart Summit                                              |
| 2020 – 2021    | Chair, UOHI Trainee Committee<br>University of Ottawa Heart Institute                        |
| 2019 - 2020    | Co-Chair, UOHI Trainee Committee<br>University of Ottawa Heart Institute                     |
| 2019, 2021     | Steering Committee, Ottawa Heart Research Day<br>University of Ottawa Heart Institute        |
| 2020 – present | Trainee Member, Diabetes Canada                                                              |
| 2018 – 2019    | Work in Progress Coordinator, UOHI Trainee Committee<br>University of Ottawa Heart Institute |