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**Requirement Based Test Suite Generation Using Dependence Analysis**

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# Requirement Based Test Suite Generation Using Dependence Analysis

Olfa Chemli

A thesis submitted to

The Faculty of Graduate and Postdoctoral Studies of the University of Ottawa

in partial fulfillment of the requirements of the degree of

Masters in Computer Science\*

School of Information Technology and Engineering

University of Ottawa

Ottawa, Ontario, Canada

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\* The Masters program in Computer Science is a joint program with Carleton University, administrated by the Ottawa Carleton Institute for Computer Science



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## Abstract

Requirement-based automated test case generation has been advocated as a model-based technique for generating a test suite related to individual requirements. The method proposed in this thesis consists in an approach of automating the generation of requirement-based test suites from requirements modeled by an Extended Finite State Machine (EFSM) and using EFSM Dependence Analysis. Two types of dependencies are identified between elements of the EFSM model and used to identify interactions among these elements. Patterns of interactions that affect a requirement under test are then developed to generate a test suite related to this requirement.

This thesis proposes algorithms to identify data and control dependencies in the EFSM model, algorithms to use EFSM dependencies in the generation of patterns of interactions related to a requirement under test and algorithms to derive a test suite related to these patterns of interactions. Also, a *Test Suite Generation* program (TSG) has been developed based on these algorithms and case studies have been performed to confirm the generation of efficient test suites for individual requirements.

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## Table of Contents

Chapter 1 Introduction .....	1
1.1 Background .....	1
1.2 Contribution of the Thesis .....	2
1.3 Organization of the Thesis .....	3
Chapter 2 Related Work.....	5
2.1 A Review of Test Generation Techniques .....	5
2.1.1 Random Test Generation Techniques .....	6
2.1.2 Path-oriented Test Generation Techniques .....	7
2.1.2.1 Symbolic Execution Approach for Test Data Generation .....	7
2.1.2.2 Execution Based Approach for Test Data Generation .....	8
2.1.3 Goal-oriented Test Generation Techniques .....	9
2.2 Model Based Testing .....	11
2.2.1 Formal Specification.....	11
2.2.2 Extended Finite State Machine Model.....	12
2.3 Testing Criteria .....	17
2.3.1 Control Flow Testing Criteria .....	19
2.3.2 Data Flow Testing Criteria.....	22
2.3.2.1 Data Flow Related Concepts.....	22
2.3.2.2 Other Data Flow Related Concepts.....	27
Chapter 3 Dependence Analysis in the EFSM Model .....	30
3.1 Static Dependence Analysis in the EFSM Model.....	30
3.1.1 Data Dependence .....	31
3.1.2 Control Dependence.....	32
3.1.3 Static EFSM Dependence Graph (SDG).....	34
3.2 SDG Generation Algorithm .....	35
3.2.1 EFSM Internal Data Structure .....	35
3.2.2 SDG Data Structure .....	39
3.2.3 Data Dependence Identification.....	40
3.2.4 Control Dependence Identification .....	41
3.2.5 SDG Generation Algorithm .....	42
Chapter 4 Test Generation Approach Based on Static Dependence Analysis.....	46
4.1 Static Interaction Patterns .....	47
4.2 Overview of the Proposed Test Generation Method.....	49
4.2.1 Construction of $S_r$ , the Set of SIPs for Requirement $r$ .....	50
4.2.2 Construction of $TS_r$ , the Test Suite for Requirement $r$ .....	58
4.3 $S_r$ Generation Algorithm .....	59
4.4 $TS_r$ Generation Algorithm.....	66
Chapter 5 Implementation of the Proposed Test Suite Generation Method .....	69
5.1 TSG Overview .....	70
5.2 Input File Formats.....	72
5.3 Output File Formats .....	74
5.4 TSG Program .....	76
Chapter 6 Case Studies .....	81
6.1 ATM Case Study.....	81

6.2 Vending Machine Case Study.....	84
6.3 Cruise Control Case Study.....	86
Chapter 7 Conclusions .....	90
7.1 Final Remarks .....	90
7.2 Summary of Contributions.....	91
7.3 Directions for Future Research .....	92
APPENDIX A: ATM System .....	98
A.1 Requirements of the ATM .....	98
A.2 “.efsm” file for the ATM.....	98
A.3 SDG of the ATM EFSM.....	99
A.4 df-chains of the ATM EFSM .....	99
A.5 $S_r$ generation for the ATM EFSM, Example of TUT =T5.....	105
A.6 $TS_r$ generation for the ATM EFSM.....	106
A.7 An example of the IP file for SIP # 3 for TUT=T5 .....	108
APPENDIX B: Vending Machine System (VMS).....	110
B.1 Requirements of the VMS.....	110
B.2 The EFSM model of the VMS .....	111
B.3 SDG of the VMS EFSM .....	115
B.4 df-chains of the VMS .....	117
B.5 $S_r$ generation for $r \in R, R = \{T2, T5, T8, T11, T12\}$ .....	119
B.6 $TS_r$ generation for $r \in R, R = \{T2, T5, T8, T11, T12\}$ .....	131
APPENDIX C: Cruise Control System (CCS) .....	134
C.1 Requirements of the CCS.....	134
C.2 The EFSM model of the CCS .....	136
C.3 SDG of the CCS EFSM.....	139
C.4 df-chains of the CCS EFSM.....	141
C.5 $S_r$ and $TS_r$ Generation for the Cruise Control System .....	143
APPENDIX D: Algorithms.....	145
D.1 Algorithms to generate additional EFSM data structures .....	145
D.2 Detailed version of the SDG algorithm.....	147

## List of Figures

Figure 2.1 A classification of test generation techniques .....	6
Figure 2.2 ATM EFSM Graph.....	13
Figure 2.3 Elements of an EFSM transition t .....	14
Figure 2.4 Flow graph F generated from the ATM EFSM model in Figure 2.2 .....	18
Figure 3.1 Illustration of the concept of data dependence .....	31
Figure 3.2 Illustration of the concept of post dominance .....	32
Figure 3.3 Illustration of the concept of control dependence .....	33
Figure 3.4 Static Dependence Graph of the ATM EFSM.....	35
Figure 3.5 Data structures used in the SDG algorithm .....	38
Figure 3.6 Illustration of a du-pair in a transition $T_i$ in $M$ wrt to variable $v$ .....	40
Figure 3.7 Illustration of data dependence from $T_i$ to $T_k$ in $M$ wrt to $v$ , $T_i \neq T_k$ .....	41
Figure 3.8 Illustration of data dependence from $T_i$ to $T_k$ in $M$ wrt to $v$ , $T_i = T_k$ .....	41
Figure 4.1 Example of a SIP .....	47
Figure 4.2 Overlapping of all possible SIPs wrt T5 on the SDG of ATM EFSM.....	49
Figure 4.3 Graphical representation of a df-chain in the EFSM.....	51
Figure 4.4 Steps 1 and 2 of $S_r$ generation algorithm.....	53
Figure 4.5 Step 3: $DF_{AP}(r)$ generation of $S_r$ generation algorithm .....	54
Figure 4.6 Step 4: $SIP_{r\_elem}$ generation of $S_r$ generation algorithm .....	56
Figure 4.7 Construction of $SIP_e$ from $G[df, ap]$ .....	57
Figure 4.8 Step 5: $SIP_r$ generation of $S_r$ generation algorithm .....	57
Figure 4.9 Generation of SIPs from a given $SIP_e$ .....	58
Figure 5.1 TSGR components.....	69
Figure 5.2 Structure of the TSG program .....	71
Figure 6.1 $SIP_r$ for TUT = T3 in the ATM EFSM.....	83
Figure 6.2 $SIP_{e\_12}$ for $r = T8$ in the VMS EFSM .....	85

## List of Tables

Table 2.1 A classification of test generation techniques.....	10
Table 2.2 All-edges criterion applied to the ATM EFSM .....	20
Table 2.3 Decision and condition based criteria.....	21
Table 2.4 Identification of defs, <i>c</i> -uses and <i>p</i> -uses in the ATM EFSM.....	24
Table 2.5 Du-Pairs in the ATM EFSM.....	25
Table 2.6 All-Uses criterion applied to the ATM EFSM.....	27
Table 2.7 df-chains criterion applied to the ATM EFSM.....	29
Table 3.1 Data dependencies in the ATM EFSM.....	32
Table 3.2 Control Dependencies in the ATM EFSM .....	33
Table 4.1 Test Suite Reduction for TUT = T5 in the ATM EFSM .....	48
Table 4.2 The set <i>inputs</i> ( <i>M</i> ) of inputs in the ATM EFSM.....	55
Table 4.3 The set <i>links</i> ( <i>M</i> ) of links in the ATM EFSM.....	55
Table 4.4 df-chain wrt TUT=T5 in the ATM EFSM.....	56
Table 5.1 BNF definition of the EFSM input file.....	72
Table 5.2 BNF definition of the <i>R</i> input file.....	74
Table 5.3 BNF definition of the IP output file.....	74
Table 5.4 BNF definition of the TS output file.....	75
Table 6.1 Test generation output for the ATM EFSM.....	82
Table 6.2 TS <sub><i>r</i></sub> for TUT = T3 in the ATM EFSM .....	83
Table 6.3 Test generation output for the VMS EFSM.....	84
Table 6.4 Test generation output for the CCS EFSM.....	86
Table 6.5 Illustration of $ AP_{df} $ for df-chain df.....	87
Table 6.6 Details of $ AP_{df} $ for df-chain #2 for TUT=T3 .....	87
Table 6.7 Complexity of the ATM, VMS and CCS EFSM's .....	89

## Chapter 1

### Introduction

#### 1.1 Background

In the past few years, research has been widely conducted in an attempt to improve the quality and reliability of large scale software systems. Although progress has been made on the formal proof of program correctness, proving large scale software systems correct by formal proof is very challenging, if not infeasible [Cla96]. Automated software tools have been found to be valuable in improving software reliability and attacking the high cost of developing and testing software systems. Product testers are often placed under severe pressure by the short release cycles expected in today's software markets. In the telecommunications domain, customers contract for large, custom-built systems and demand high reliability for their software. Issues like these have encouraged product test organizations to search for techniques that improve upon the traditional approach of manually writing test cases. In fact, test automation techniques offer a great deal of hope for testers.

In this thesis, we focused on system level automated test generation, which is often referred to as Model-Based Testing. Model-based test generation techniques are used to automatically generate tests for large software systems [Vay02]. This approach offers considerable promise in reducing the cost of test generation, increasing the efficiency of tests, and shortening the testing cycle. Automated test generation can be especially efficient for systems that are changed frequently, as testers can update the model and then rapidly regenerate a test suite, avoiding tedious and error-prone editing of a suite of hand-crafted tests.

## 1.2 Contribution of the Thesis

Generation of requirement-based test suites using EFSM dependence analysis is studied in this thesis. A novel method to automatically generate test suites related to individual requirements is proposed. The research conducted in this thesis is inspired from [Vay02] and from the work on data flow testing illustrated by Ural and Yang in [UY91, UY93]. We list four main contributions of the thesis.

Vaysbourg et al. [Vay02] proposed a method for test suite reduction based on dependence analysis. This method can be summarized as follows:

1. Given a set of system requirements expressed as an EFSM model, data and control flow dependencies of the EFSM model are captured in a directed graph called Static Dependence Graph (SDG) where EFSM transitions are represented as nodes, data and control dependencies are represented as plain and dashed directed edges, respectively.

The first contribution of the thesis consists in writing an algorithm to formalize the generation of an SDG for a given EFSM model.

2. Given a test suite for each requirement under test in the EFSM model,
  - a. Build a static interaction pattern (SIP) for each test sequence in this test suite
  - b. Compare the obtained SIPs for the test sequences in the test suite to identify equivalent test sequences in the test suite (Two test sequences are considered to be *equivalent* wrt a requirement under test if these test sequences correspond to the same SIP)
  - c. Remove all equivalent test sequences in the test suite except for one, to obtain a reduced test suite wrt to the requirement under test.

It is observed in [Vay02] that the size of the reduced test suite is bounded by the number of possible SIPs wrt a requirement under test. Interpreting this observation as for any EFSM model, the number of SIPs is bounded for a requirement under test, we wanted to demonstrate that the set of SIPs for a requirement under test, can be automatically generated.

This led to the second and most significant contribution of the thesis which consists in a method to automatically generate the set of SIPs for a requirement under test. This method takes as inputs an EFSM model and its corresponding SDG, and generates all possible SIPs from the SDG of the EFSM model. We call this method *Requirement based test generation using static dependence analysis*.

The third contribution of the thesis consists in an algorithm to form a test suite that maps to the generated set of SIPs for a requirement under test. Finally, the fourth contribution is a test suite generation tool which has been implemented using C++ under Sun Solaris. In addition, case studies have been performed to confirm that the reduced test suites can be generated by using the proposed method.

### **1.3 Organization of the Thesis**

The rest of this thesis is organized as follows: Chapter 2 reviews the related test generation techniques. Chapter 3 introduces the concepts related to dependence analysis in the EFSM model and gives an algorithm to generate the Static Dependence Graph from a given EFSM model. The test generation method we propose in this thesis is presented in Chapter 4 along with related algorithms. Chapter 5 gives the details of the Test Suite Generation program, which has been developed based on algorithms introduced in Chapter 4. This program has been used in three case studies, whose results

are presented and analyzed in Chapter 6. Chapter 7 gives our conclusions, with a summary of contributions and directions for future research.

## Chapter 2

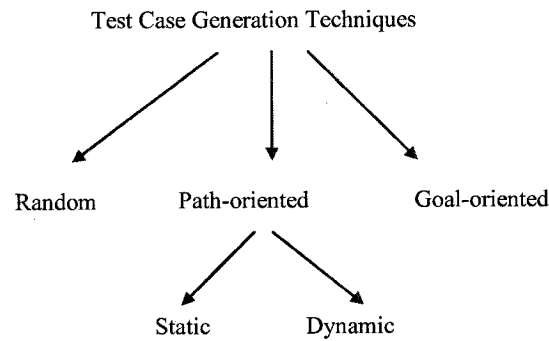
### Related Work

#### 2.1 A Review of Test Generation Techniques

To test the implementation of a given system, a *test suite* is needed. A test suite is a set of test cases, each test case being a realization of a test purpose. Test suites can be generated by using the information provided in specifications (black-box testing [Bei90]), designs (grey-box testing [Pro82]) or source code (white box testing [Mye04]). Test generation techniques utilize different criteria to select the set of test cases that will be used to exercise the system under test. Numerous test generation techniques are now available for generating test cases. Test case generation can be classified according to their mechanism of generating test cases into three main categories: random, path-oriented, and goal-oriented. Random test case generation techniques generate test cases by randomly selecting input values [Bir83]. Path-oriented test case generation techniques first select a path (in the program to be tested or in a control flow graph representing the program<sup>1</sup>) that will meet a testing requirement, and then attempt to find input values that cause that path to be executed. [Rap85] distinguishes two types of path-oriented techniques: those based on symbolic execution (or static), and those that are execution-based (or dynamic). With goal-oriented techniques, the path selection step is omitted and test cases covering a selected goal are identified without considering the path taken. Figure 2.1 below illustrates this classification of test case generation techniques.

---

<sup>1</sup> More details on the control flow graph of a program are given in Section 2.3



**Figure 2.1 A classification of test generation techniques**

### 2.1.1 Random Test Generation Techniques

Random test generation consists in selecting test data uniformly at random from the input domain of the program. Random testing is the simplest test generation method; however, it does not perform well in terms of coverage. Since it merely relies on probability, it has rather low chances finding semantically small faults, and thus accomplishing high level of coverage [Off96]. A semantically small fault is a fault that is only revealed by a small percentage of the program input.

For example, consider the following piece of code:

```

void foo(int a, int b) {
    if (a == b) then
        write(1);
    else
        write(2);
}
  
```

The probability of exercising the statement `write(1)` is  $1/n$ , where  $n$  is the maximum integer<sup>2</sup>, as in order to execute this statement variables `a` and `b` must be equal.

---

<sup>2</sup> The maximum integer value is  $2^{31} - 1$ , or 2 147 483 647 in C/C++

### 2.1.2 Path-oriented Test Generation Techniques

This technique consists in constructing a control flow graph for the program or the specification under test, and then selecting a set of paths in this flow graph that satisfy some selected testing requirement. Once a set of test paths is determined, then for every path in this set input data is sought that results in the execution of the selected path. Input data is defined as the values given to variables that appear in input statements of the program. In general, it is undecidable to determine whether input data exists to execute the program to follow a selected path. Two types of path-oriented approaches have been proposed to find input data to execute a selected path: the static approach, through the use of symbolic execution, and the dynamic approach, which obtains the necessary input data by executing the program under test.

#### 2.1.2.1 Symbolic Execution Approach for Test Data Generation

Symbolic execution consists in replacing input parameters by symbolic values and in statically evaluating the statements along the control flow path. The goal of symbolic execution is to identify the constraints (either equalities or inequalities) called *path conditions* on symbolic input values under which a selected path is executed.

Symbolic execution is a useful technique for verification and testing, which has been widely studied during the past 30 years and used in various activities such as path domain checking, program proving, program reduction, symbolic debugging and test data generation [Kin96]. Symbolic execution, also referred to as symbolic evaluation, does not “execute a program” since the actual execution of a program requires real values (e.g.,  $x = 3, y = 10$ ) as the input data. It essentially uses symbols as values of variables and represents values of program variables as symbolic expressions, like  $x = a_0, y = b_0$ . A

set of expressions, one expression per output variable, is produced where the output values computed by a program are expressed as a function of the input symbolic values. For example, consider statement  $z = x + 2y$ . With ordinary program execution, the result of  $z$  will be a concrete value like 23. But with symbolic execution, the result is typically some symbolic expression like  $a0 + 2b0$ . After many steps of execution, a variable's value may become a very complex expression.

Symbolic execution is used for input data generation in the following way: after performing symbolic evaluation on a control flow path, the output variables will be represented by expressions in terms of symbolic values of input variables and constants. The symbolic input values in the expressions produced for each output variable can be substituted with actual values [Kin96]. The values substituted constitute an input data and the evaluation of the expression provides the corresponding output value. The creation of such values may be automated by using a numerical optimizer such as CPLEX<sup>3</sup>. One drawback of this approach is that symbolic execution may have difficulties handling complex expressions. Execution-based techniques [Kor90] alleviate some of these difficulties by incorporating dynamic execution information into the search for inputs.

#### **2.1.2.2 Execution Based Approach for Test Data Generation**

This approach is based on i) actual execution of a program under test (hence called dynamic approach), ii) dynamic data flow analysis and iii) function minimization methods [Kor90]. In this method, input data is determined as follows: when the program to be tested is executed on some input data, the program execution flow is monitored. If, during program execution, an undesirable execution control flow at some branch is

---

<sup>3</sup> CPLEX Optimization software products. CPLEX reads linear and integer problems in several formats, including MPS format and CPLEX LP formats and interfaces with several modeling languages, including GAMS, AMPL, and MPL.

observed, then a real-valued function is associated with this branch. This function is positive when a branch predicate (an edge labeled with a condition in the flow graph) is false and negative when the branch predicate is true. Function minimization search algorithms are used to automatically locate values of input variables for which the function becomes negative. In addition, dynamic data flow analysis is used to determine input variables which are responsible for the undesirable program behavior, leading to significant speed-up of the search process [Kor90].

### **2.1.3 Goal-oriented Test Generation Techniques**

Two methods using goal-oriented technique have been found: the chaining approach and assertion-oriented approach (The latter is an extension of the chaining approach). Both techniques are considered dynamic as they involve program execution. The chaining approach and the assertion-oriented approach have been presented in [Fer96, Kor90] and implemented in the TESTGEN system [Fer96, Kor90].

The chaining approach uses data dependence (or data flow information) to find solutions to branch predicates. The purpose is to identify a chain of nodes in the flow graph representing the program under test that are vital to the execution of the goal node. This chain is built up iteratively during execution. The next step consists in finding a path that traverses this chain.

In assertion-oriented testing, certain conditions, called *assertions* are either manually or automatically inserted in the code. An assertion is defined as a statement containing Boolean expressions that are added to the code to be tested. When an assertion is executed it is supposed to hold, otherwise there is an error in the program (or possibly in

the assertion). The goal of assertion-oriented test generation is to find a path (i.e., any path) to an assertion that does not hold. For instance, consider the following code.

```
void fie(int a) {
    int b = (a+1)*(a-1);
    assert(b != 0);
    write(1/b);
}
```

The assertion `assert(b!=0)` is used to stress that before executing `1/b` the variable `b` must not be zero.

Table 2.1 summarises the test generation techniques described above and provides related examples.

**Table 2.1 A classification of test generation techniques**

	<b>Technique Evaluation</b>	<b>Examples</b>
<b>Random</b>	<ul style="list-style-type: none"> <li>- Randomly generates input data</li> <li>- Considered as the weakest of the three approaches</li> <li>- Generates a large number of test cases but does not guarantee test suite coverage</li> </ul>	<ul style="list-style-type: none"> <li>- Pure random</li> <li>- Guided by number of cases</li> <li>- Error guessing</li> </ul>
<b>Path-Oriented</b>	<ul style="list-style-type: none"> <li>- A set of paths that satisfy some selected testing requirement is determined then input data is derived for every path which results in the execution of the selected path.</li> <li>- Considered as the strongest in the three approaches</li> </ul> <p><b>Input data generation based on symbolic execution</b></p> <ul style="list-style-type: none"> <li>- This method leads to several problems : the growth of intermediate algebraic expressions, and the difficulty to deal with arrays</li> <li>- Using symbolic execution corresponds to an exhaustive exploration of all paths going through a selected point</li> </ul> <p><b>Execution-oriented input data generation</b></p> <p>these techniques take advantage of the actual variable values obtained during execution to try to solve problems with complex expressions</p>	<ul style="list-style-type: none"> <li>- Decision/condition Coverage</li> <li>- Path Coverage</li> <li>- All-definitions</li> <li>- All-uses</li> <li>- All-du-paths</li> <li>- Branch Coverage</li> <li>- Statement Coverage</li> </ul>
<b>Goal-Oriented</b>	<ul style="list-style-type: none"> <li>- Focus on the final goal rather than on a specific path</li> <li>- Concentrate on executions that can be determined (e.g. through the use of data dependence information) to possibly influence progress toward the goal.</li> </ul>	<ul style="list-style-type: none"> <li>- The chaining approach</li> <li>- The assertion-oriented approach</li> </ul>

## **2.2 Model Based Testing**

*Model-based test generation techniques* automate test generation for software systems based on a system model. These techniques are used for system testing where a model representing the system behavior is developed, from which a test suite is generated automatically [Vay02]. In order to eliminate the problems of ambiguity during the construction and test of a system, requirements specification should be formalized in a precise notation with a unique interpretation. A system model may be generated by using formal specification languages like EFSM [Bou97], SDL [Sar87] and LOTOS [ISO89], or may be designed by software engineers through a modeling notation like UML [Dal98].

### **2.2.1 Formal Specification**

Formal specification representations are powerful abstraction tools, often used to describe behavioral specification for model-based techniques. Formal specification is part of a more general collection of techniques that are known as ‘Formal Methods’. Formal methods include Formal specification; Specification analysis and proof; Transformational development and Program verification, all are expressed in a mathematical notation with precisely defined vocabulary, syntax and semantics. Formal methods may be used in all phases of computer system development, including requirements analysis, system design and system testing. Within the context of software testing, a formal method typically provides a formal specification language like FSM, EFSM, LOTOS, etc... for specifying system properties to be tested, and a set of guidelines for developing the specifications in a systematic manner, and often facilitates the construction of tools capable of automatically translating the specifications into executable tests. The intended system

behavior is sometimes described in specification documents that exhibit a tendency to be incomplete and ambiguous. The idea of model-based testing is to make the intended system behavior explicit, in the form of behavior models. Once these models have been determined to accurately reflect the actual requirements, the issue of test generation can be addressed.

The Finite State Machine (FSM) model is very popular in expressing the control aspects of state-based systems and many related analysis methods have been developed [Gil62]. FSMs support formal test derivation techniques which can be used for validation and testing purposes. They are often used to describe the control structure of a system when important aspects of this system are described in terms of state transitions. However, FSMs cannot deal with the data aspects of system behavior [Hie04]. This shortcoming can be alleviated by using the Extended Finite State Machine (EFSM) model. An EFSM is an FSM with some extensions: each logical state has some associated memory and each transition involves the application of an operation (an action) that takes the memory and input of this transition, and generates output and new value for the memory [Hie04]. Before we review test generation techniques that are related to the method proposed in this thesis and that are adapted to the EFSM model, we give a definition of the EFSM model.

### **2.2.2 Extended Finite State Machine Model**

An EFSM (Extended Finite State Machine)  $M$  is a quadruple  $(S, s_{en}, s_{ex}, T,)$  where

- $S$ : finite set of states

- $s_{en}$ : entry state
- $s_{ex}$ : exit state
- $T$ : finite set of transitions

For example, an EFSM model of an Automated Teller Machine (ATM) [Vay02] is graphically described in Figure 2.2. The ATM of Figure 2.2 supports three transactions: withdrawal, deposit and balance inquiry. Before transactions can be performed, user must enter a valid pin that is matched against the PIN stored in user's ATM card. A maximum of three attempts is allowed to enter the valid PIN.

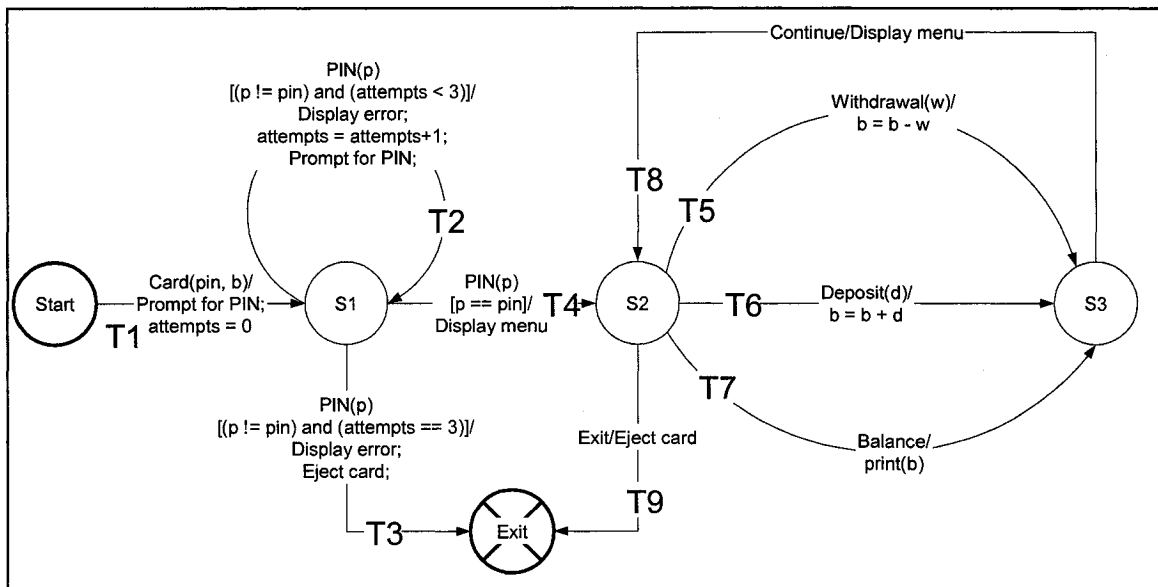


Figure 2.2 ATM EFSM Graph

This ATM system is represented as an EFSM  $M = (S, s_{en}, s_{ex}, T)$  where

- $S = \{\text{Start}, S1, S2, S3, \text{Exit}\}$
- $s_{en} = \text{Start}$
- $s_{ex} = \text{Exit}$
- $T = \{T1, T2, T3, T4, T5, T6, T7, T8, T9\}$ .

Each transition  $t \in T$  in an EFSM  $M$  is a quintuple  $(s_s, s_d, i, g, a)$ , where

- $s_s$ : current state
- $s_d$ : next state
- $i$ : input interaction
- $g$ : enabling predicate
- $a$ : sequence of actions

For an EFSM transition  $t$ ,  $s_s$  and  $s_d$  are states in  $S$  representing the starting state and the terminating state of  $t$ , respectively.  $i$  is the input interaction that triggers  $t$ ,  $g$  is a Boolean condition that must be evaluated to be true for  $t$  to be executable, and  $a$  is a sequence of actions that take place when  $t$  is executed. An action may be an assignment, output, set, reset or procedure call statement. Elements of an EFSM transition are depicted as illustrated by Figure 2.3 below. Clearly, a transition  $t$  in the EFSM may or may not have a predicate.

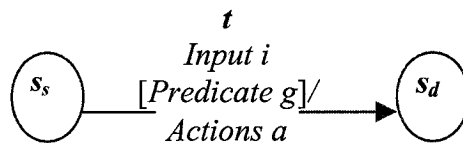


Figure 2.3 Elements of an EFSM transition  $t$

Transitions in the EFSM model of the ATM system shown in Figure 2.2 are:

- T1 = (Start, S1, Card( $pin$ ,  $b$ ), (), (Prompt\_for\_PIN(),  $attempts = 0$ ))
- T2 = (S1, S1, PIN( $p$ ), (( $p \neq pin$ ) and ( $attempts < 3$ )), (Display\_error(),  $attempts = attempts + 1$ , Prompt\_for\_PIN()))
- T3 = (S1, Exit, PIN( $p$ ), (( $p \neq pin$ ) and ( $attempts = 3$ )), (Display\_error(), Eject\_card()))
- T4 = (S1, S2, PIN( $p$ ),  $p = pin$ , Display\_menu())

- T5 = (S2, S3, Withdrawal( $w$ ), (),  $b = b - w$ )
- T6 = (S2, S3, Deposit( $d$ ), (),  $b = b + d$ )
- T7 = (S2, S3, Balance(),(), print( $b$ ))
- T8 = (S3, S2, Continue(), (), Display\_menu())
- T9 = (S3, Exit, Exit(),(), Eject\_card())

Note that, in general, an EFSM model may include, in the actions part of a transition, conditional statements such as *if-then-else* or *case* statements as well as repetitive statements such as *for* or *while* statements. In this work, we do not consider EFSMs where transitions have conditional and repetitive statements. Interested reader may refer to [USW00] for handling such statements within the context of the EFSM model.

In this thesis, the EFSM model as defined above, is used to represent system specifications. We assume that a system specification consists of a set of individual requirements where each requirement describes a partial functional behavior of the system. In addition, we assume that requirements can be represented as a single EFSM and each requirement can be adequately represented by a single transition in this EFSM. Therefore “Requirement under test” will also be referred to as “Transition Under Test” or TUT (which is highlighted in bold) in the remaining parts of the thesis.

Figure 2.2 shows an EFSM describing the requirements for a simplified ATM System. Requirements are traditionally non-executable. The set of requirements for the ATM system presented in the EFSM model in Figure 2.2 is given as a set  $R = \{r1, r2, r3, r4, r5\}$  where each requirement  $r$  is represented by a TUT in the EFSM:

( $x$ : T2 may be inserted 0, 1, 2 or 3 times)

**r1:** User fails to enter a correct pin that matches with the PIN stored in the ATM card in less than four attempts. If pins don't match, and less than four attempts were performed, the system displays an error message, increments the number of attempts and prompts for pin. At the fourth attempt, if user still fails to enter a correct pin, the system prints an error message and ejects the user's ATM card.

$r1 = T2 T2 T2 T3$

**r2:** After entering a correct pin in less than four attempts, user selects the withdrawal function. The system adjusts the balance, and displays a menu with withdrawal, deposit, balance inquiry, and exit functions.

$r2 = x T4 T5$

**r3:** After entering a correct pin in less than four attempts, the user selects the deposit function. The system adjusts the balance, and displays a menu with withdrawal, deposit, balance inquiry, and exit functions.

$r3 = x T4 T6$

**r4:** After entering a correct pin in less than four attempts, the user selects the balance function. The system displays a menu with withdrawal, deposit, balance inquiry, and exit functions.

$r4 = x T4 T7$

**r5:** After entering a correct pin in less than four attempts, the user selects the exit function and the system ejects the user's ATM card.

$r5 = x T4 T9$

The EFSM model has been used for system specification and for automatic test case generation in the context of model based testing [Dal98]. Several approaches can be used

to develop tests from the EFSM model. The following section reviews some of these techniques.

### 2.3 Testing Criteria

White box testing criteria, i.e. criteria which take into account the structure of the source code, are divided into control flow and data flow criteria. A number of control and data flow criteria have been proposed. Control flow testing criteria test the control structure of the implementation. In contrast data flow testing criteria test ways in which data may be transferred. Data and control flow testing criteria have been shown to be applicable to the selection of test sequences from an EFSM by transforming the EFSM into a special flow graph which is a directed graph (digraph) representing the source code or the specification of the system under test [UY91]. A method to transform an EFSM  $M = (S, s_{en}, s_{ex}, T)$  into a flow graph  $F$  is proposed in [UY91]. Accordingly,  $F = (N, en, ex, E)$  where  $N$  is the set of nodes in  $F$ ;  $en$  and  $ex \in N$  are the entry and exit nodes of  $F$ , respectively;  $E$  is the set of edges in  $F$ . Ritthiruangdech in [Rit04] transformed the EFSM model for the ATM system shown in Figure 2.2 of this thesis to obtain the flow graph represented in Figure 2.4 below by following the approach in [UY91]:

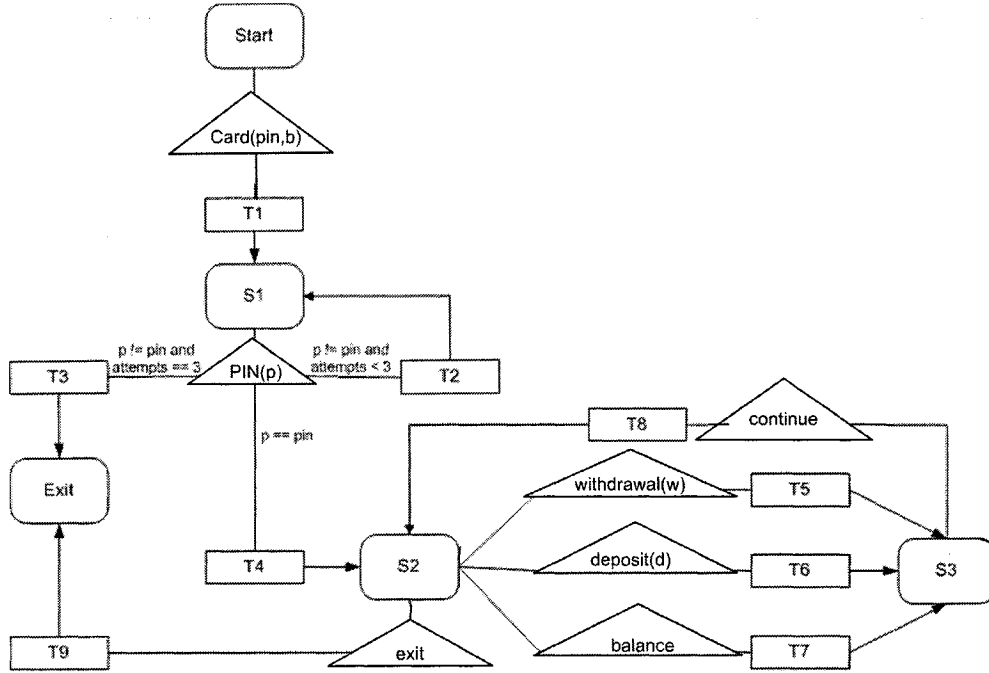


Figure 2.4 Flow graph F generated from the ATM EFSM model in Figure 2.2

Before discussing some commonly used data and control flow testing criteria, the following terminology given in [UY93] is reviewed:

A *path*  $(n_1, n_2, \dots, n_m)$  in a digraph  $F$  is a sequence of nodes in  $F$ , such that for all  $i$ ,  $1 \leq i \leq m-1$ ,  $m \geq 2$ ,  $(n_i, n_{i+1})$  is an edge in  $F$ . A *sub-path* of a path  $(n_1, \dots, n_m)$  is a path  $(i_1, \dots, i_k)$  if there exists a  $\delta$ ,  $0 \leq \delta \leq m-k$ , such that for all  $j$ ,  $1 \leq j \leq k$ ,  $i_j = n_{j+\delta}$ . A *loop-free path* is a path in which all nodes are distinct. A *complete path* in a single entry, single exit digraph  $F$  is a path whose first node is the entry node and whose last node is the exit node of  $F$ . A complete path is *executable* or *feasible* if some input data which causes its execution exists, and *un-executable* (*infeasible*) otherwise.

Let  $p = (n_1, \dots, n_m)$  be a complete path in a digraph  $F$ . We say that a node  $i$  is *covered* by  $p$  if  $i = n_j$  for some  $j$ ,  $1 \leq j \leq m$ . Similarly, an edge  $(i_1, i_2)$  is covered by  $p$  if  $i_1 = n_j$  and  $i_2 = n_{j+1}$  for some  $j$ ,  $1 \leq j \leq m-1$ . A path  $(i_1, \dots, i_k)$  is covered by  $p$  if path  $(i_1, \dots, i_k)$  is a sub-

path of  $p$ . A node, edge, or path is covered by a set  $C$  of complete paths of  $F$  if the node, edge, or path, respectively is covered by a complete path in  $C$ .

For example, take the ATM EFSM illustrated in Figure 2.2. The EFSM is represented by a digraph  $F = (N, E)$ . We assume that  $N$ , the set of nodes in  $F$ , corresponds to the set of states  $S$  in the EFSM, and  $E$ , the set of edges in  $F$ , corresponds to the set of transitions  $T$  in the EFSM. Let  $C$  be a set of complete paths starting at the start node and terminating at the exit node in  $F$ . For instance, a complete path (T1, T4, T5, T8, T6, T8, T7, T8, T9) is in  $C$  and covers nodes: *start*,  $S_1$ ,  $S_2$ ,  $S_3$  and Exit; covers edges: T1, T4, T5, T6, T7, T8 and T9; and covers a path: (T1, T4, T5, T8, T6).

### 2.3.1 Control Flow Testing Criteria

Control flow testing criteria examine logical expressions which determine the branch and loop structures of the specification. The commonly used control flow testing criteria are known from the 1960s and 70s. The following are based on the well-known book by Myers [Mye04]. Let  $C$  be a set of complete paths of a digraph  $F$ .

- **All-nodes criterion** (also called statement coverage)

For  $C$  to satisfy the all-nodes criterion in  $F$ , it is required that every node of  $F$  is covered by  $C$  at least once.

- **All-edges criterion**

For  $C$  to satisfy the all-edges criterion in  $F$ , it is required that every edge of  $F$  is covered by  $C$  at least once.

For instance,  $C$  has been formed to satisfy the all-edges criterion in the ATM EFSM represented by Figure 2.2. Table 2.2 shows that  $C$  contains two complete test

paths in the ATM EFSM that cover all the edges in this EFSM. Note that the size of  $C$  is minimal.

**Table 2.2 All-edges criterion applied to the ATM EFSM**

<b>Test Path</b>	<b>Edges covered</b>
T1, T2, T2, T2,T3	T1, T2, T3
T1, T4, T5, T8, T6, T8, T7, T8, T9	T1, T4, T5, T6, T7, T8, T9

**- All-paths criterion**

For  $C$  to satisfy the all-paths criterion in  $F$ , it is required that every complete path of  $F$  is included in  $C$ . This is a very stringent criterion which becomes infeasible for complex specifications due to the large number of possible paths. In the presence of loops, a constraint is usually set on the number of occurrences of a loop in each path.

The next control flow testing criteria use concepts of *decision* and *condition*. A decision is a point in the specification at which the control flow is split into various paths. A decision is a Boolean expression consisting of one or several conditions combined by logical connectives. A condition is an elementary Boolean expression (atomic predicate) which cannot be divided into further Boolean expressions.

**- Decision criterion** (also called branch coverage)

For  $C$  to satisfy the decision criterion in  $F$ , it is required that every possible outcome of each decision (i.e. branch) in  $F$  is covered by  $C$  at least once.

**- Condition criterion**

For  $C$  to satisfy the condition criterion in  $F$ , it is required that every condition in each decision in  $F$  has evaluated to true at least once and to false at least once.

**- Decision/Condition criterion**

For  $C$  to satisfy the decision/condition criterion in  $F$ , it is required that every decision in  $F$  has taken all possible outcomes at least once, and every condition in each decision in  $F$  evaluated to true at least once and to false at least once.

**- Multiple condition criterion**

For  $C$  to satisfy the multiple condition criterion in  $F$ , it is required that all possible combinations of condition outcomes in each decision in  $F$  have been invoked at least once.

For example, consider a decision  $d$  and three conditions  $\alpha$ ,  $\beta$ , and  $\gamma$  in  $F$  such that  $d$  is true if and only if any two of these conditions are true, hence  $d = (\alpha \wedge \beta) \vee (\alpha \wedge \gamma) \vee (\beta \wedge \gamma)$ . Conditions are evaluated to 1 (true) or 0 (false). Hereafter a condition is represented by its value (instead of using its symbol) i.e.,  $\alpha = 1$ ,  $\beta = 0$ , and  $\gamma = 1$  is the same as (1, 0, 1). Eight combinations of the values of the conditions exist: (1, 1, 1), (1, 1, 0), (1, 0, 1), (1, 0, 0), (0, 1, 1), (0, 1, 0), (0, 0, 1), (0, 0, 0). Table 2.3 below gives one example that consists of a subset of combinations that satisfy a criterion for each of the Decision, Condition, Decision/Condition and Multiple Condition criteria. Each column shows the subset of the combinations marked with ‘x’ that satisfy the corresponding criterion:

**Table 2.3 Decision and condition based criteria**

Combination #	Values				Decision criterion	Condition criterion	Decision/Condition criterion	Multiple Condition criterion
	$\alpha$	$\beta$	$\gamma$	$d$				
1	1	1	1	1		x		x
2	1	1	0	1			x	x
3	1	0	1	1				x
4	1	0	0	0	x			x
5	0	1	1	1	x			x
6	0	1	0	0				x
7	0	0	1	0			x	x
8	0	0	0	0		x		x

For the decision criterion, two combinations are sufficient as  $d$  evaluates to *true* with (0, 1, 1) and to *false* with (1, 0, 0). For the conditions criterion, two combinations are sufficient, where each of the three conditions evaluates to *true* in one combination and to *false* in the other: In the pair (1, 1, 1) and (0, 0, 0) each condition evaluates to *true* once and to *false* once, so these two combinations satisfy the condition criterion. Any subset of combinations that satisfy both the decision and condition criterion also satisfy the decision/condition criterion, e.g., (1, 1, 0) and (0, 0, 1). For the multiple condition criterion, the set containing all eight combinations is required.

### 2.3.2 Data Flow Testing Criteria

Typically data flow testing criteria consider definitions and uses of variables. Then certain associations between definitions and uses of variables required to be covered in a given criterion are established, by applying conventional data flow analysis upon a flow graph  $F$  representing the system specification.

#### 2.3.2.1 Data Flow Related Concepts

A *definition* (referred to as *def*) of a variable  $v$  at node  $n$  (denoted by  $d_n^v$ ) is an occurrence of  $v$  by which a value is assigned to  $v$  (e.g., an occurrence of  $v$  in an input statement or on the left hand side of an assignment statement, for instance, the definition of variable “ $b$ ” at node T5 in Figure 2.4). A *use* of a variable  $v$  is an occurrence of  $v$  by which the value of  $v$  is referenced. Each use occurrence is also further classified as a computation use (*c-use*) or a predicate use (*p-use*) [Rap85, Fra88]. A *c-use* of a variable  $v$  at node  $i$  (denoted by  $c_i^v$ ) is a use of  $v$  that occurs in the right hand side of assignment statements, arguments in a procedure call or output statements, for instance, the use of variable “ $w$ ” at node T5

in Figure 2.4. On the other hand, a  $p$ -use of a variable  $v$  on edge  $(i, j)$  (denoted by  $p_{(i,j)}^v$ ) is a use that occurs in Boolean expressions in a condition statement or in a repetitive statement, for instance, the use of variable “ $p$ ” on the edge  $(PIN(p), T4)$  in Figure 2.4.

A path  $(n_1, n_2, \dots, n_{m-1}, n_m)$  in a flow graph is a *def-clear path with respect to a variable  $v$  from node  $n_1$  to node  $n_m$  or to edge  $(n_{m-1}, n_m)$*  if either  $m = 2$  or  $m > 2$  and there is no definition of  $v$  at nodes  $n_2$  to  $n_{m-1}$ .  $d_i^v$  and  $c_j^v$  form a *du-pair* wrt variable  $v$  (represented by a tuple  $(d_i^v, c_j^v)$ ) if there is a def-clear path with respect to  $v$  from node  $i$  to node  $j$ . Similarly,  $d_i^v$  and  $p_{(j,k)}^v$  form a du-pair wrt variable  $v$  (represented by a tuple  $(d_i^v, p_{(j,k)}^v)$ ) if there is a def-clear path with respect to  $v$  from node  $i$  to edge  $(j, k)$ .

For example, consider the du-pair  $(d_{T1}^b, c_{T6}^b)$  in the ATM EFSM represented by the flow graph  $F$  in Figure 2.4. The definition of  $b$  in input node  $Card(pin, b)$  in  $F$  can reach the  $c$ -use of  $b$  at node  $T6$  in  $F$  through the def-clear path “ $Card(pin, b), T1, S1, PIN(p), T4, S2, deposit(d), T6$ ”. Next, consider the du-pair  $(d_{T1}^{pin}, p_{(PIN(p), T2)}^{pin})$ . The definition of  $pin$  at node  $Card(pin, b)$  in  $F$  can reach the  $p$ -use of  $pin$  on the edge  $(PIN(p), T2)$  in  $F$  through the def-clear path “ $Card(pin, b), T1, S1, PIN(p), T2$ ” in  $F$ .

For ease of reference,  $u_q^v$  denotes henceforth either  $c_q^v$ , if  $q$  represents a node or  $p_q^v$  if  $q$  represents an edge. A *du-pair is covered* by a set  $C$  of complete paths in a flow graph  $F$  if a def-clear path for the du-pair is a subpath of a complete path contained in  $C$ .

A path  $(n_i, \dots, n_j, n_k)$  is a *du-path* wrt variable  $v$  if  $n_i$  has a definition of  $v$  and either

- $n_k$  has a  $c$ -use of  $v$  and  $(n_i, \dots, n_j, n_k)$  is a loop-free def-clear path wrt.  $v$ , or
- $(n_j, n_k)$  has  $p$ -use of  $v$  and  $(n_i, \dots, n_j, n_k)$  is a loop-free def-clear path wrt.  $v$

Table 2.4 identifies existing defs, *c*-uses in nodes and *p*-uses on edges of the flow graph of the ATM EFSM given in Figure 2.4.

**Table 2.4 Identification of defs, *c*-uses and *p*-uses in the ATM EFSM**

<b>Node</b>	<b>def</b>	<b><i>c</i>-use</b>	<b>Edge</b>	<b><i>p</i>-use</b>
T1	{ <i>attempts, b, pin</i> }	$\phi$	S1, T2	{ <i>attempts, pin, p</i> }
T2	{ <i>attempts, p</i> }	{ <i>attempts</i> }	S1, T3	{ <i>attempts, pin, p</i> }
T3	{ <i>p</i> }	$\phi$	S1, T4	{ <i>p, pin</i> }
T4	{ <i>p</i> }	$\phi$		
T5	{ <i>w, b</i> }	{ <i>w, b</i> }		
T6	{ <i>b, d</i> }	{ <i>b, d</i> }		
T7	$\phi$	{ <i>b</i> }		

An association between definitions and uses of a variable in the flow graph is tested by executing subpaths from the definition (def) of this variable to a point where the variable is used (use). The following are common data flow testing criteria. Interested reader may refer to [Fra88] for more details.

**- All-Defs criterion**

For every variable *v*, at least one def-clear path from every definition of *v* to at least one *c*-use or one *p*-use of *v* must be covered.

**- All-Uses criterion** (Also called all du-pair criterion)

For every variable *v*, at least one def-clear path from every definition of *v* to every use of *v* must be covered.

**- All-P-Uses/Some C-Uses criterion**

For every variable *v*, at least one def-clear path from every definition of *v* to every *p*-use of *v* must be covered. In addition, if a definition of *v* has no *p*-use, at least one def-clear path to one *c*-use of *v* must be covered.

**- All-C-Uses/Some P-Uses criterion**

For every variable  $v$ , at least one def-clear path from every definition of  $v$  to every  $c$ -use of  $v$  must be covered. In addition, if a definition of  $v$  has no  $c$ -use, at least one def-clear path to one  $p$ -use of  $v$  must be covered.

**- All-du-paths criterion**

For every variable  $v$ , every du-path from every definition of  $v$  to every  $c$ -use and every  $p$ -use of  $v$  must be covered.

Table 2.5 below shows the set of du-pairs in the ATM EFSM and a corresponding def-clear path (in the ATM EFSM shown in Figure 2.2) for each du-pair. Each def-clear path is associated with a path predicate. A path predicate is a conjunction of Boolean expressions such that this path will be traversed only if the associated path predicate is true.

**Table 2.5 Du-Pairs in the ATM EFSM**

#	Du-Pair	Def Clear Path	Use	Path Predicate
1	$[d_{T1}^{attempts}, c_{T2}^{attempts}]$	(T1, T2)	$c$ -use	$attempts == 0 \wedge p \neq pin \wedge attempts < 3$
2	$[d_{T2}^{attempts}, c_{T2}^{attempts}]$	(T2, T2)	$c$ -use	$p \neq pin \wedge attempts < 3$
3	$[d_{T1}^{attempts}, p_{(S1,T2)}^{attempts}]$	(T1, T2)	$p$ -use	$p \neq pin \wedge attempts < 3$
4	$[d_{T2}^{attempts}, p_{(S1,T2)}^{attempts}]$	(T2, T2)	$p$ -use	$p \neq pin \wedge attempts < 3$
5	$[d_{T2}^{attempts}, p_{(S1,T3)}^{attempts}]$	(T2, T3)	$p$ -use	$p \neq pin \wedge attempts == 3$
6	$[d_{T1}^b, c_{T5}^b]$	(T1, T4, T5)	$c$ -use	$attempts == 0 \wedge p == pin$
7	$[d_{T1}^b, c_{T6}^b]$	(T1, T4, T6)	$c$ -use	$attempts == 0 \wedge p == pin$
8	$[d_{T1}^b, c_{T7}^b]$	(T1, T4, T7)	$c$ -use	$attempts == 0 \wedge p == pin$
9	$[d_{T5}^b, c_{T5}^b]$	(T5, T8, T5)	$c$ -use	
10	$[d_{T5}^b, c_{T6}^b]$	(T5, T8, T6)	$c$ -use	
11	$[d_{T5}^b, c_{T7}^b]$	(T5, T8, T7)	$c$ -use	
12	$[d_{T6}^b, c_{T5}^b]$	(T6, T8, T5)	$c$ -use	
13	$[d_{T6}^b, c_{T6}^b]$	(T6, T8, T6)	$c$ -use	

14	$[d_{T6}^b, c_{T7}^b]$	(T6, T8, T7)	<i>c</i> -use	
15	$[d_{T6}^d, c_{T6}^d]$	(T6)	<i>c</i> -use	
16	$[d_{T5}^w, c_{T5}^w]$	(T5)	<i>c</i> -use	
17	$[d_{T2}^p, p_{(S1,T2)}^p]$	(T2)	<i>p</i> -use	$p \neq attempts \wedge attempts < 3$
18	$[d_{T3}^p, p_{(S1,T3)}^p]$	(T3)	<i>p</i> -use	$p \neq pin \wedge attempts == 3$
19	$[d_{T4}^p, p_{(S1,T4)}^p]$	(T4)	<i>p</i> -use	$p == pin$
20	$[d_{T1}^{pin}, p_{(S1,T2)}^{pin}]$	(T1, T2)	<i>p</i> -use	$p \neq pin \wedge attempts < 3$
21	$[d_{T1}^{pin}, p_{(S1,T4)}^{pin}]$	(T1, T4)	<i>p</i> -use	$attempts == 0 \wedge p == pin$
22	$[d_{T1}^{pin}, p_{(S1,T3)}^{pin}]$	(T1, T2, T2, T2, T3)	<i>p</i> -use	$attempts == 0 \wedge p \neq pin \wedge attempts < 3$ $\wedge p \neq pin \wedge attempts + 1 < 3$ $\wedge p \neq pin \wedge attempts + 1 + 1 < 3$ $\wedge p \neq pin \wedge attempts + 1 + 1 + 1 == 3$

Note that some of the def-clear paths shown in Table 2.5 have empty path predicates due to the absence of a predicate statement in each of the EFSM transitions traversed in these paths, for instance, among du-pairs of the ATM EFSM shown in Table 2.5, du-pair  $[d_{T5}^b, c_{T5}^b]$  is associated with def-clear path (T5, T8, T5). There are no predicate statements in transitions T5 and T8 in the ATM EFSM shown in Figure 2.2, hence the path predicate for path (T5, T8, T5) is empty. Also, note that each def-clear path shown in Table 2.5 is feasible and as a result it has no path predicate or has a path predicate that evaluates to true. Path predicates are usually formed by using the symbolic execution technique discussed in Section 2.1.2.1 of this chapter.

We have also applied the all-uses (or all-du-pairs) criterion to the ATM EFSM and obtained the results shown in Table 2.6. Note that the “du-pairs covered” column refers to the “du-pair number” column in Table 2.5.

Table 2.6 All-Uses criterion applied to the ATM EFSM

Test Path	Du-Pairs covered
(T1, T4, T5, T8, T5, T8, T6, T8, T5, T8, T7, T8, T6, T8, T6, T8, T7, T8, T9)	6, 9, 10, 11, 12, 13, 14, 15, 16, 19, 21
(T1, T4, T6, T8, T9)	7, 15, 19, 21
(T1, T4, T7, T8, T9)	8, 19, 21
(T1, T2, T2, T2, T3)	1, 2, 3, 4, 5, 17, 18, 20, 22

### 2.3.2.2 Other Data Flow Related Concepts

The data flow testing criteria presented so far focus on tracing data flow between the definition of a variable and uses of the same variable in a flow graph. There are other data flow testing criteria that emphasize the data flow interactions between several and possibly different variables. *Laski and Korel's Ordered Context Coverage* criterion for instance, emphasise that a node in a flow graph may contain uses of several different variables in which each use may be reached by several different definitions through the same path [LK83]. On the other hand, *Ntafos' Required k-Tuples Criteria* emphasize interactions between different variables [Nta84]. These criteria require that a path set cover chains of alternating definitions and uses, called *k-dr interactions*. Each definition in a *k-dr* interaction reaches the next use in the chain, which occurs at the same node as the next definition in the chain. Thus a *k-dr* interaction propagates information along a subpath, which is called an interaction subpath for the *k-dr* interaction [CP89].

The df-chains coverage criterion reviewed next analyses relationships between a use of a variable and the definition of possibly another variable. This criterion is of particular

interest to us as we make use of this criterion in the formulation of the test generation method proposed in this thesis.

The **df-chains criterion** is based on notion of *affect* [UY91]: a use of a variable  $y$ , which may either be a *c-use* or a *p-use*, is affected by a def of a variable  $x$  if either

a)  $x$  and  $y$  are the same variable and the use of  $y$  is reached by the def of  $x$  through a def-clear path wrt  $x$  in the flow graph of an EFSM, or

b) a def of a variable  $z$  is given in terms of a use of  $x$  at a node which is reached by the def of  $x$  through a def-clear path wrt  $x$  and the use of  $y$  is affected by the def of  $z$ .

Accordingly, the data flow is traced from a du-pair  $(d_i^v, u_j^v)$  where variable  $v$  is defined in node  $i$  to a du-pair  $(d_k^w, u_l^w)$  where variable  $w$  is used in node  $l$ , such that  $d_i^v$  affects  $u_l^w$ . Variables  $v$  and  $w$  can be either the same or different variables. If du-pairs in an EFSM are linked by the notion of affect, *data-flow-chains* (*df-chains*) can be formed.

[UY91] identifies df-chains starting with an input and terminating with a variable output. Input is a def of a variable  $v$  in either an input statement where  $v$  takes a value or in an assignment statement where variable  $v$  is assigned a constant value. An example of input is  $d_{T1}^b$  in Figure 2.2. Variable output is a *c-use* of a variable  $v$  in an output statement, for instance,  $c_{T7}^b$  in Figure 2.2.

A *df-chain* is an ordered sequence  $(d_{n_1}^{x_1}, u_{n_2}^{x_1}, d_{n_2}^{x_2}, u_{n_3}^{x_2}, \dots, d_{n_m}^{x_m}, u_{n_{m+1}}^{x_m})$  of du-pairs  $(d_{n_1}^{x_1}, u_{n_2}^{x_1})$ ,  $(d_{n_2}^{x_2}, u_{n_3}^{x_2}), \dots, (d_{n_m}^{x_m}, u_{n_{m+1}}^{x_m})$ , such that  $m \geq 1$  and  $u_{n_{m+1}}^{x_m}$  is affected by  $d_{n_1}^{x_1}$  [UY93]. An *activating path* for a df-chain  $(d_{n_1}^{x_1}, u_{n_2}^{x_1}, d_{n_2}^{x_2}, u_{n_3}^{x_2}, \dots, d_{n_m}^{x_m}, u_{n_{m+1}}^{x_m})$  is a path  $(n_1, \dots, n_2, \dots, n_3, \dots, n_m, \dots, n_{m+1})$  in which  $(n_i, \dots, n_{i+1})$  is a def-clear path with respect to variable  $x_i$  for  $1 \leq i \leq m$  [UY93].

After having defined the notion of df-chain, we refer to the df-chains criterion given in [UY91]. It is said that the **df-chains criterion** is satisfied by a set of complete paths  $C$  in the flow graph of an EFSM if all activating paths for every df-chain wrt each variable  $v$  in the EFSM are covered by  $C$ . To ensure that the set of activating paths for a df-chain  $df$  is finite, each EFSM loop is traversed 0 or 1 times in an activating path of a  $df$  (or in a def-clear path of du-pairs of  $df$ ).

For example, we have applied the df-chains coverage criterion to the ATM EFSM in Figure 2.2, for variables used in  $TUT = T5$ . Table 2.7 below shows the obtained df-chains:

**Table 2.7 df-chains criterion applied to the ATM EFSM**

<b>ID</b>	<b>Df-chain</b>	<b>An activating path for the df-chain</b>
1	$(d_1^b, u_5^b)$	(T1, T4, T5)
2	$(d_1^b, u_5^b)(d_5^b, u_5^b)$	(T1, T4, T5, T8, T5)
3	$(d_1^b, u_5^b)(d_5^b, u_6^b)(d_6^b, u_5^b)$	(T1, T4, T5, T8, T6, T8, T5)
4	$(d_1^b, u_6^b)(d_6^b, u_5^b)$	(T1, T4, T6, T8, T5)

## Chapter 3

### Dependence Analysis in the EFSM Model

Authors in [Vay02] use EFSM dependence analysis in their approach of reduction of the number of test cases in a given test suite for an EFSM model as follows: two types of dependencies, namely *data dependencies* and *control dependencies* are identified between elements of the EFSM model. These dependencies capture potential interactions between elements of the model and are used to determine parts of the model that affect a requirement under test. Next, two types of dependence analysis are used: *static dependence analysis* and *dynamic dependence analysis* to capture a pattern of interaction for each test case, which is employed later to formally identify equivalent test cases. According to [Vay02], the static and dynamic dependence analysis are different, such that static dependence analysis ignores repetitions of the same dependencies between transitions, whereas dynamic dependence analysis takes into account repetition of the same dependencies. Since dynamic dependence analysis requires a given test sequence, and our aim is to generate test sequences, only static dependence analysis is used in this thesis.

#### 3.1 Static Dependence Analysis in the EFSM Model

Two types of static dependencies between transitions (requirements) in the EFSM model are identified: *Data Dependence* and *Control Dependence*. Data and control dependencies are *static* because they are defined only in terms of (the definition of) the EFSM model (no traversal of the EFSM model is involved) [Vay02].

Data dependence defines a relationship between definitions and uses of variables in the EFSM. Control dependence, on the other hand, derives from the concept of post-dominance explained later, and exists when the execution of a transition is conditional upon the execution of another one.

### 3.1.1 Data Dependence

Data dependence captures the notion that one transition defines a value to a variable and the same or another transition may potentially use this value [Vay02]. Formally, *there exists a data dependence from transition  $t_i$  to transition  $t_k$  wrt a variable  $v$  in the EFSM if*

- 1)  $d_{t_i}^v$  is the last definition of  $v$  in  $t_i$
- 2)  $u_{t_k}^v$  is a *c*-use or *p*-use of  $v$  in  $t_k$  before  $v$  is redefined in  $t_k$  (if any)
- 3) there exists a def-clear path wrt  $v$  from  $t_i$  to  $t_k$

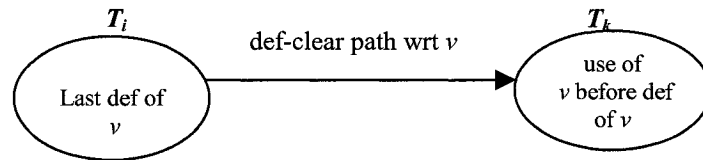


Figure 3.1 Illustration of the concept of data dependence

For example, consider transitions T1 and T2 and variable *attempts* in the ATM EFSM presented in Figure 2.2:

- 1) variable *attempts* is defined in the action of T1 and *attempts* is not redefined in the action of T1,
- 2) variable *attempts* is used in the action of T2 before it is defined in T2,
- 3) there is a path (T1, T2) from T1 to T2 inclusively along which *attempts* is not redefined.

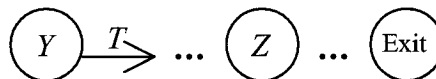
Therefore, there is data dependence wrt variable *attempts* from transition T1 to transition T2 in the ATM EFSM. All data dependencies in the ATM EFSM are listed in Table 3.1

**Table 3.1 Data dependencies in the ATM EFSM**

Transition	Data dependence	wrt Variable	Path
T1	T2	<i>attempts</i>	(T1, T2)
		<i>pin</i>	(T1, T2)
	T3	<i>pin</i>	(T1, T2, T2, T2, T3)
	T4	<i>pin</i>	(T1, T4)
	T5	<i>b</i>	(T1, T4, T5)
	T6	<i>b</i>	(T1, T4, T6)
	T7	<i>b</i>	(T1, T4, T7)
T2	T2	<i>p</i>	(T2, T2)
	T3	<i>p</i>	(T2, T3)
T5	T5	<i>b</i>	(T5, T8, T5)
	T6	<i>b</i>	(T5, T8, T6)
	T7	<i>b</i>	(T5, T8, T7)
T6	T5	<i>b</i>	(T6, T8, T5)
	T6	<i>b</i>	(T6, T8, T6)
	T7	<i>b</i>	(T6, T8, T7)

### 3.1.2 Control Dependence

Control dependence captures the notion that one transition may affect the traversal of another transition. Control dependence between transitions in the EFSM is defined in terms of the concept of *post-dominance*. Let *Y* and *Z* be two states in the EFSM, and *T* be an outgoing transition from *Y* as represented in Figure 3.2:

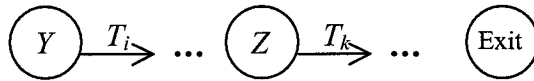


**Figure 3.2 Illustration of the concept of post dominance**

State *Z* *post-dominates* state *Y* iff *Z* is on every path from *Y* to the *Exit* state in the EFSM. State *Z* *post-dominates* transition *T* iff *Z* is on every path from state *Y* to the *Exit*

state through transition  $T$ . Let  $T_i$  and  $T_k$  be two outgoing transitions from states  $Y$  and  $Z$ , respectively.  $T_i$  has control dependence on  $T_k$  (or  $T_k$  is control dependent on  $T_i$ ) iff:

- 1)  $Z$  does not post-dominate  $Y$  ( $Z$  is not on every path from  $Y$  to the *Exit state*)
- 2)  $Z$  post-dominates  $T_i$  ( $Z$  is on every path from  $Y$  to the *Exit state* through  $T_i$ )



**Figure 3.3 Illustration of the concept of control dependence**

For example, consider states  $S1$  and  $S2$ , and transitions  $T4$  and  $T5$  starting from states  $S1$  and  $S2$  respectively in the ATM EFSM of Figure 2.2.

- 1)  $S2$  is not on every path from  $S1$  to the *Exit state* (In fact,  $T3$  takes the EFSM from  $S1$  to the *Exit*). Hence,  $S2$  does not post-dominate  $S1$ .
- 2)  $S2$  is on every path from  $S1$  to the *Exit state* through  $T4$  (The only possible path is  $(T4, T9)$ ), so  $S2$  post-dominates  $T4$ .

Therefore,  $T4$  has control dependence on  $T5$  ( $T5$  is control dependent on  $T4$ ). All control dependencies in the ATM EFSM are shown in Table 3.2.

**Table 3.2 Control Dependencies in the ATM EFSM**

<b>Transition</b>	<b>Control dependence</b>	<b>Post-dominance</b>
T4	T5	S2 does not post-dominate S1 S2 post-dominates T5
	T6	S2 does not post-dominate S1 S2 post-dominates T6
	T7	S2 does not post-dominate S1 S2 post-dominates T7
	T9	S2 does not post-dominate S1 S2 post-dominates T9
T5	T8	S3 does not post-dominate S2 S3 post-dominates T5
T6	T8	S3 does not post-dominate S2

		S3 post-dominates T6
T7	T8	S3 does not post-dominate S2 S3 post-dominates T7

### 3.1.3 Static EFSM Dependence Graph (SDG)

Data and control dependencies in an EFSM model are graphically represented in a directed graph where nodes of this graph represent EFSM transitions and plain and dashed directed edges represent EFSM data and control dependencies, respectively. Such graph is called *Static EFSM Dependence Graph* or SDG [Vay02].

For a given EFSM model, the SDG for this EFSM is built by

- 1) Constructing the internal data structure of the EFSM model
- 2) Using the EFSM data structure to identify data dependencies in the EFSM
- 3) Identifying post dominance relationships between EFSM states in one hand and between EFSM states and transitions on the other hand then using this post dominance information to identify control dependencies in the EFSM
- 4) Constructing the SDG where edges in SDG are representing data and control dependencies identified in 2) and 3) (between EFSM transitions) by plain and dashed directed edges respectively, and nodes in SDG are representing these transitions

An example of an SDG is shown in Figure 3.4. This figure represents the SDG of the ATM EFSM given in Figure 2.2 and constructed using the data and control dependencies between transitions given in Table 3.1 and 3.2; respectively.

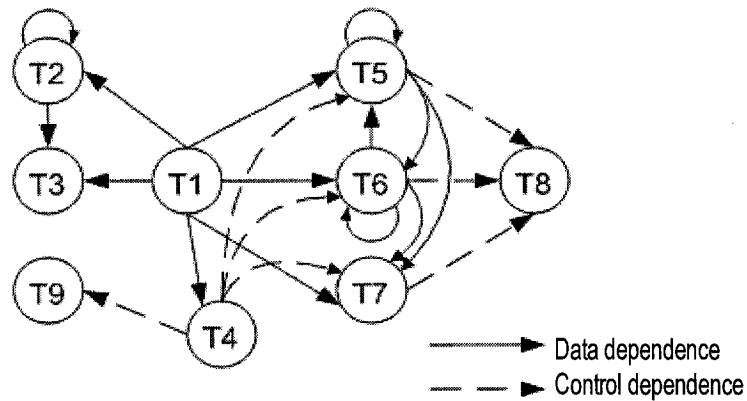


Figure 3.4 Static Dependence Graph of the ATM EFSM

The SDG generation is formalized by the SDG generation algorithm presented next.

### 3.2 SDG Generation Algorithm

The SDG generation algorithm takes the EFSM internal data structure as input and outputs the SDG data structure. The algorithm consists in two steps, data dependencies identification and control dependencies identification. To present the SDG generation algorithm, this section is organized as follows: sections 3.2.1 and 3.2.2 present the EFSM data structure and the SDG data structure used in the algorithm, respectively, then sections 3.2.3 and 3.2.4 show the data dependence and control dependence identification steps, respectively.

#### 3.2.1 EFSM Internal Data Structure

The EFSM model is input to every algorithm presented in this thesis (SDG generation algorithm, and algorithms related to test suite generation). The EFSM internal data structure presented in this section will be referred to in the rest of the thesis.

The internal data structure for an EFSM model has been defined by Tuong Nguyen, member of the ASERT LAB of SITE of the University of Ottawa. Tuong implemented an

EFSM parser, and a program to classify variable occurrences in the EFSM and to automatically generate the EFSM internal data structure. Below, we review this data structure.

A variable  $v$  in the EFSM may occur in different transitions; moreover, a variable  $v$  may occur several times within one transition. The order of occurrence of variables in the EFSM is determined by going through the elements of a transition *from top to bottom, from right to left*. Hence, the first variable that occurs in the input of a transition  $t$  in the EFSM is assigned order of occurrence 1, the next variable occurring in this input statement has order of occurrence 2, etc... For example, variable  $b$  is used in order of occurrence 3 in T5 before it is defined in T5 in the ATM EFSM. A *Type* is associated with each occurrence of a variable  $v$  in the EFSM. Types of occurrence of a variable  $v$  in the EFSM are *def*, where  $v$  is defined in an input statement or in the left hand side of an assignment statement in terms of EFSM variables; *c-use*, where  $v$  occurs in the right hand side of an assignment statement or in a procedure call statement or in an output; or *p-use* where  $v$  occurs in an enabling predicate.

The internal data structure of an EFSM  $M$  is denoted by  $(name, Start, Exit, \{transition\})$  where

$name$  is the name of the EFSM,

$Start$  is the start state of  $M$ ,

$Exit$  is the exit state of  $M$  and

$transition$  is  $(Label, s_b, s_e, Volist, listOfActions)$

Let  $t, T_i, T_j, T_k$  denote a transition in the EFSM or a node in the SDG.

For a transition  $t$ ,

$Label(t)$  denotes its label,

$s_b(t)$  denotes its beginning state by the index of the state,

$s_e(t)$  denotes its ending state by the index of the state,

$Volist(t)$  denotes  $\{(v, Label, OType, OOrder) \mid \text{variable } v \text{ occurs in transition with } Label \text{ } t \text{ as } OType \text{ in order } OOrder\}$  where  $OType$  denotes the type of occurrence which is either a definition denoted *def*, or a *c*-use denoted *c-use*, or a *p*-use denoted *p-use*, and  $OOrder$  denotes the order of occurrence. Elements in  $Volist(t)$  set are referenced by an index between 0 and size of  $Volist(t) - 1$ , corresponding to their order in the set,

$ListOfActions(t)$  denotes  $\{(Index, Id, AType, List)\}$  where for an action  $a$

$Index(a)$  denotes its index,  $Id$  denotes its id,

$AType(a)$  denotes the action type, which is either an input denoted *INPUT*, an output denoted *OUTPUT*, an assignment statement denoted *ASSIGN*, a set statements denoted *SET*, a reset statement denoted *RESET*, a predicate denoted *PRED* or a procedure call denoted *PROC*,

$List(a)$  denotes  $\{(v, Label, OType, OOrder) \mid \text{variable } v \text{ occurs in transition with } Label \text{ } t \text{ in action } a \text{ of } t \text{ as } OType \text{ in order } OOrder\}$ .

Yet, additional EFSM data structures need to be constructed prior to the SDG generation algorithm execution; more precisely, the sets defined next are formed from the EFSM internal data structure and later used in the SDG generation algorithm.

Let  $V$  denote  $\{v \mid v \text{ is a variable in (EFSM) } M\}$ ,

$Def(v)$  denote  $\{t \mid t \text{ is a transition in } M \text{ which defines } v\}$ ,

$Use(v)$  denote  $\{t \mid t \text{ is a transition in } M \text{ which uses } v\}$ ,

$T_{in}(Z)$  denote  $\{t \mid t \text{ is an incoming transition to state } Z \text{ in } M\}$ , for  $Z \neq Start$ ,

$T_{out}(Z)$  denote  $\{t \mid t \text{ is an outgoing transition from state } Z \text{ in } M\}$ , for  $Z \neq Exit$ ,

$S_{in}(Z)$  denote  $\{s \mid s = s_b(t) \text{ and } t \in T_{in}(Z)\}$ , for  $Z \neq Start$ ,

$S_{out}(Z)$  denote  $\{s \mid s = s_e(t) \text{ and } t \in T_{out}(Z)\}$ , for  $Z \neq Exit$ .

Sets  $V$ ,  $Def(v)$  and  $Use(v)$ ,  $S_{in}(Z)$  and  $T_{in}(Z)$ ,  $S_{out}(Z)$  and  $T_{out}(Z)$ , are generated by using algorithms  $V\_Alg(M)$ ,  $Def-UseAlg(M,V)$ ,  $inAdjAlg(M)$  and  $outAdjAlg(M)$ , respectively. These algorithms are given in APPENDIX D.1 of this thesis. Figure 3.5 below illustrates the data structures used in the SDG algorithm:

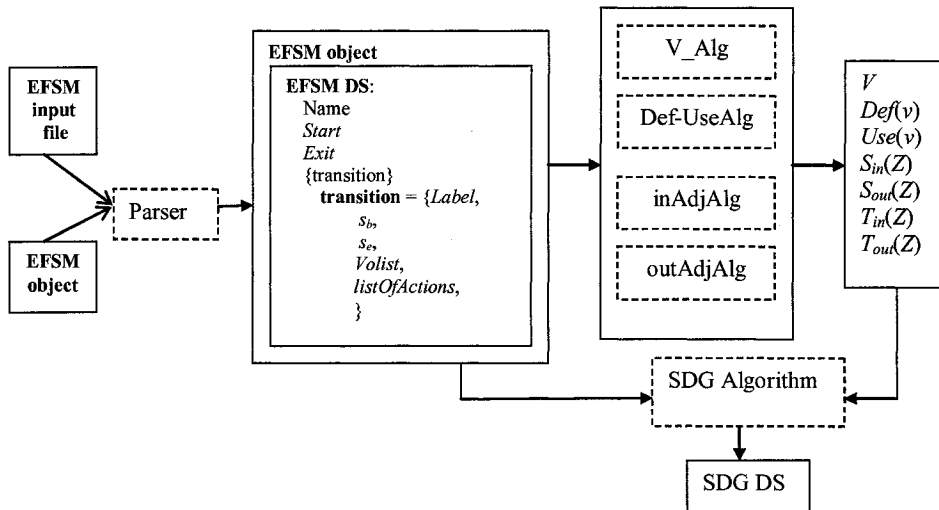


Figure 3.5 Data structures used in the SDG algorithm

### 3.2.2 SDG Data Structure

The SDG generation algorithm outputs an SDG (for the input EFSM  $M$ ) as an adjacency matrix,  $SDG[T_i, T_k]$ , where nodes  $T_i$  and  $T_k$  in SDG  $G$  are transitions in EFSM  $M$ , and a directed edge from  $T_i$  to  $T_k$  in SDG  $G$  is non-empty element  $(v, dependenceType, v_{ik})$ .

$v$  denotes variable in  $V$  that occurs in  $T_i$  as in  $Volist(T_i)$  and in  $T_k$  as in  $Volist(T_k)$ ,

$dependenceType$  denotes the type of dependence which is either a control dependence denoted  $cd$  or a data dependence denoted  $dd$  or a du-pair based on a  $c$ -use denoted  $dcu-pair$  or a du-pair based on a  $p$ -use denoted  $dpu-pair$ ,

$v_{ik}$  denotes  $(oo\_def, oo\_use)$  such that variable  $v$  in  $V$  occurs in transition  $T_i$  in  $M$  as a definition denoted  $def$ , in the order of occurrence  $oo\_def$ , and in  $T_k$  in  $M$  as a use denoted  $use$ , in the order of occurrence  $oo\_use$ .

Let:

$PD(Z)$  denote  $\{Y \mid Y \text{ is a state in } M \cap \text{state } Z \text{ post-dominates state } Y\}$ ,

$nPD(Z)$  denote  $\{Y \mid Y \text{ is a state in } M \cap \text{state } Z \text{ is reachable from state } Y \text{ and state } Z \text{ does not post-dominate state } Y\}$ ,

$DD(T_k)$  denote  $\{(T_i, v) \mid T_i \text{ and } T_k \text{ are nodes in } SDG \text{ } G \text{ and } T_k \text{ has an incoming data dependence edge, wrt variable } v, \text{ from } T_i\}$ ,

$CD(T_k)$  denote  $\{T_i \mid T_i \text{ and } T_k \text{ are nodes in the } SDG \text{ and } T_k \text{ has an incoming control dependence edge from } T_i\}$ .

### 3.2.3 Data Dependence Identification

Data dependencies are identified wrt to each occurrence of variable  $v$  defined in  $T_i$  and used in  $T_k$  in the given EFSM. First, du-pairs within a transition  $T_i$  in  $M$  wrt to variable  $v$  are identified. Suppose that  $T_i$  defines  $v$  with order of occurrence  $oo\_def$  and uses  $v$  with order of occurrence  $oo\_use$ . There is a du-pair in  $T_i$  wrt  $v$  iff  $oo\_def < oo\_use$ , and there is no definition of  $v$  in  $oo$  in  $T_i$  such that  $oo\_def < oo < oo\_use$ . Figure 3.6 illustrates such a du-pair.

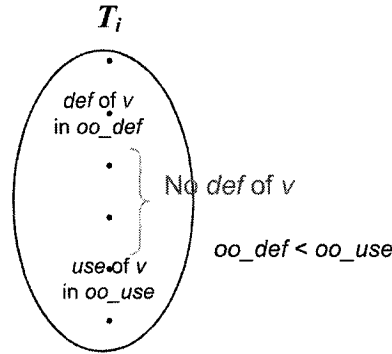


Figure 3.6 Illustration of a du-pair in a transition  $T_i$  in  $M$  wrt to variable  $v$

If  $use$  is a  $c$ -use then  $SDG[T_i, T_i] = (v, dcu\text{-}pair, v_{ii})$  where  $v_{ii}$  is  $(oo\_def, oo\_use)$ . If  $use$  is a  $p$ -use then  $SDG[T_i, T_i] = (v, dpu\text{-}pair, v_{ii})$  where  $v_{ii}$  is  $(oo\_def, oo\_use)$ .

Next, data dependence from transition  $T_i$  to transition  $T_k$  in  $M$  wrt to  $v$  is identified. Let  $T_i$  define  $v$  in order of occurrence  $oo\_def$  and  $T_k$  use  $v$  in order of occurrence  $oo\_use$ . There is a data dependence from transition  $T_i$  to transition  $T_k$  iff:

- 1)  $oo\_def$  is the last  $def$  of  $v$  in  $T_i$ , i.e., the last occurrence of  $v$  as a  $def$  in  $T_i$ ;
- 2)  $oo\_use$  is a use of  $v$  in  $T_k$  before a  $def$  of  $v$  in  $T_k$ ;
- 3) there is a  $def$ -clear path wrt  $v$  from  $T_i$  to  $T_k$ .

Such data dependence is illustrated in Figure 3.7 when  $T_i \neq T_k$  and in Figure 3.8 when  $T_i = T_k$  (case of a self loop data dependence).

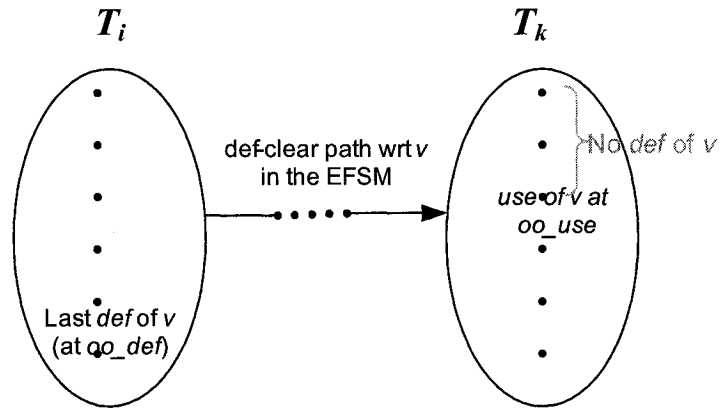


Figure 3.7 Illustration of data dependence from  $T_i$  to  $T_k$  in  $M$  wrt to  $v$ ,  $T_i \neq T_k$

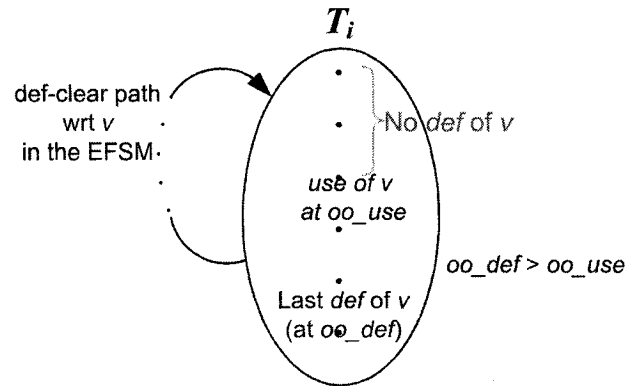


Figure 3.8 Illustration of data dependence from  $T_i$  to  $T_k$  in  $M$  wrt to  $v$ ,  $T_i = T_k$

If  $use$  is a  $c$ -use then  $SDG[T_i, T_k] = (v, dd, v_{ik})$  where  $v_{ik}$  is  $(oo\_def, oo\_use)$ .

If  $use$  is a  $p$ -use then  $SDG[T_i, T_k] = (v, dd, v_{ik})$  where  $v_{ik}$  is  $(oo\_def, oo\_use)$ .

### 3.2.4 Control Dependence Identification

Control dependence from transition  $T_i$  to transition  $T_k$  in  $M$ , where  $s_b(T_i) = Y$  and  $s_b(T_k) = Z$ , is defined such that  $Z$  does not post-dominate  $Y$  and  $Z$  post-dominates  $T_i$ . The following holds from the definition of post-dominance:

- The exit state  $Exit$  of an EFSM post-dominates all states

- A state post-dominates itself in an EFSM
- If  $Z$  post-dominates  $Y$ , then  $Z$  post-dominates all states in  $S_{out}(Y)$
- “ $Z$  post-dominates  $T_i$ ”  $\Leftrightarrow$  “ $Z$  is on every path from  $Y$  to the *Exit* state through  $T_i$ ”  $\Leftrightarrow$   
“ $Z$  is on every path from  $s_e(T_i)$  to the *Exit* state”  $\Leftrightarrow$  “ $Z$  post-dominates  $s_e(T_i)$ .”

Further, from the definition of control dependence, if  $Z$  does not post-dominate  $Y$  and  $Z$  post-dominates  $T_i$ , then there is a control dependence from  $T_i$  to every outgoing transition from  $Z$ .

### 3.2.5 SDG Generation Algorithm

This algorithm generates the Static Dependence Graph for an EFSM.

**Input:**  $M$ , the data structure for the EFSM,

**Output:** the adjacency matrix of the SDG for  $M$ .

#### Algorithm Steps

The SDG data structure is initialized as follows:

- $SDG[T_i, T_k] \leftarrow$  empty for all transitions  $T_i$  and  $T_k$  in  $M$
- $DD(T_k) \leftarrow$  empty and  $CD(T_k) \leftarrow$  empty for all transitions  $T_k$  in  $M$ .
- Unmark all states  $Y$  in  $M$ .

*// identify data dependencies //*

For each variable  $v$  in  $V$  do

for each transition  $T_i$  in  $Def(v)$  do

for each  $(v, Label, def, oo\_def)$  in  $Volist(T_i)$  do

for each transition  $T_k$  in  $Use(v)$  do

for each  $(v, Label, use, oo\_use)$  in  $Volist(T_k)$  do

*//use is a c-use or a p-use //*

*//Identify data dependencies from transition  $T_i$  to transition  $T_k$ //*

1) If  $((T_i = T_k \text{ and } oo\_def > oo\_use) \text{ or } (T_i \neq T_k))$  and  $((v, Label, def, oo\_def)$  is the last  $def$  of  $v$  in  $Volist(T_i)$  and there is no  $(v, Label, def, oo)$  in  $Volist(T_k)$  where  $oo < oo\_use$ )

then

if  $T_i$  reaches  $T_k$

then

search a def-clear path from  $T_i$  to  $T_k$  wrt  $v$

if such a def-clear path exists

then

if  $use = c\text{-use}$

then

$v_{ik} \leftarrow (oo\_def, oo\_use)$

$(dependenceType, v_{ik}) \leftarrow (dd, v_{ik})$

else //  $use = p\text{-use}$ //

$v_{ik} \leftarrow (oo\_def, oo\_use)$

$(dependenceType, v_{ik}) \leftarrow (dd, v_{ik})$

else  $(dependenceType, v_{ik}) \leftarrow Nil$

else  $(dependenceType, v_{ik}) \leftarrow Nil$

*//Identify du-pairs within a transition  $T_i$ //*

2)     else if  $T_i = T_k$  and  $oo\_def < oo\_use$  and there is no  
            $(v, Label, def, oo)$  in  $Volist(T_i)$  such that  $oo\_def < oo <$   
            $oo\_use$   
           then  
               if  $use = c-use$   
                   then  
                        $v_{ii} \leftarrow (oo\_def, oo\_use)$   
                        $(dependenceType, v_{ik}) \leftarrow (dcu-pair, v_{ii})$   
                   else //  $use = p-use$  //  
                        $v_{ii} \leftarrow (oo\_def, oo\_use)$   
                        $(dependenceType, v_{ik}) \leftarrow (dpu-pair, v_{ii})$

3)                     else  $(dependenceType, v_{ik}) \leftarrow Nil$

if  $(dependenceType, v_{ik}) \neq Nil$  //  $\exists$  a def-clear path from  $T_i$  to  $T_k$  wrt  $v$  //

  then

$SDG[T_i, T_k] \leftarrow SDG[T_i, T_k] \cup \{(v, dependenceType, v_{ik})\}$

  if  $dependenceType = dd$

    then

      if  $(T_i, v) \notin DD(T_k)$

        then

$DD(T_k) \leftarrow DD(T_k) \cup \{(T_i, v)\}$

          //  $T_i$  and  $T_k$  are nodes in the SDG  $DD(T_k)$  //

```

// identify control dependencies//

// Find, for each state in M, the set of states that this state post-dominates//

1) For each state Z, except Exit, in M do

    //Find all states that Z post-dominates //

    PD(Z) ← {Z}

    nPD(Z) ← {}

    for each state Y that reaches Z do

        if state Z post-dominates state Y

            then PD(Z) ← PD(Z) ∪ {Y and each state X ∈ Sout(Y), X ≠ Z}

            else nPD(Z) ← nPD(Z) ∪ {Y}

2) For each state Z, except Exit, in M do

    //Find all transitions that Z post-dominates//

    for each state Y in nPD(Z) do

        for each transition Ti in Tout(Y) do

            if se(Ti) is in PD(Z)

                then //Z post-dominates Ti//

                    for each transition Tk in Tout(Z)

                        // transition Ti has control dependence on each outgoing//

                        // transition Tk from Z//

                        SDG[Ti, Tk] ← SDG[Ti, Tk] ∪ {( Nil, cd, Nil)}

                        CD(Tk) ← CD(Tk) ∪ {Ti}

```

## Chapter 4

### Test Generation Approach Based on Static Dependence Analysis

In this thesis, we propose a novel method to automatically generate an efficient test suite wrt individual requirements of an EFSM model by using dependence analysis<sup>4</sup>. A test suite is generated for a requirement under test by capturing possible interactions affecting the requirement. This problem is solved based on the assumption that *interactions* between EFSM transitions (“active” elements of the EFSM) are represented as control and data dependencies in the Static Dependence Graph (SDG) (of the EFSM) [Vay02]. Data and control dependencies (or patterns of interactions) affecting a transition under test in the EFSM are captured in subgraphs of the SDG, referred to as Static Interaction Patterns.

The motivation behind the proposed test generation approach is presented in Section 1.2: authors in [Vay02] established that *for any EFSM model, the number of static interaction patterns is bounded for a requirement under test*; hence we propose a method to automatically generate the set of static interaction patterns for a requirement under test.

This chapter is organized as follows: Section 4.1 defines a Static Interaction Pattern or SIP, Section 4.2 presents the highlights of the proposed test generation method, and Section 4.3 presents the algorithms we developed to formalize this method.

---

<sup>4</sup> We assume that tests are generated as sequences of EFSM transitions and input and output parameter values are ignored.

#### 4.1 Static Interaction Patterns

A Static Interaction Pattern or SIP wrt a TUT in the EFSM is a subgraph of the EFSM's SDG such that each data and control dependence edge in SIP affects the traversal of the TUT.

The notion of SIP was first introduced in [Vay02] in the following context: during test execution, different elements of the EFSM model of the system requirements interact with each other and some of them may affect the TUT. Capturing only those interactions that influence the TUT yields a pattern of interactions wrt the TUT exercised by a test sequence. For example, let T5 be the TUT in the ATM EFSM shown in Figure 2.2. The SIP shown in Figure 4.1 below captures a pattern of interactions wrt TUT = T5 exercised by the test sequence  $test\_1 = (T1, T4, T6, T8, T6, T8, T5, T8, T9)$  which corresponds to the scenario where user enters a valid pin, performs two deposit transactions and a withdraw transaction. (This SIP is obtained from  $test\_1$  by applying the test reduction method steps of [Vay02] reviewed in Section 1.2 of this thesis).

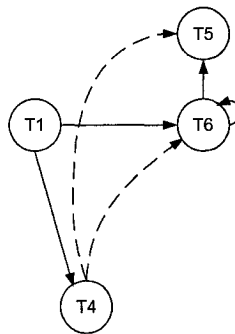


Figure 4.1 Example of a SIP

Two tests in a test suite are considered to be equivalent wrt the TUT if both exhibit the same SIP. There is no need to test the system with tests that exercise the same SIP wrt the TUT hence; one of them can be discarded from the test suite. For example, consider

test sequence  $test\_2 = (T1, T2, T2, T4, T6, T8, T6, T8, T5, T8, T9)$  wrt  $TUT = T5$ . Like  $test\_1$ ,  $test\_2$  exhibits the SIP shown in Figure 4.1, therefore  $test\_1$  and  $test\_2$  are equivalent wrt  $T5$  in the ATM EFSM.

The number of SIPs wrt each TUT in the EFSM model is bounded [Vay02]. To illustrate this observation, consider requirement  $r2^5$ , represented by transition  $T5$  in the ATM EFSM shown in Figure 2.2. Given 6 test suites wrt  $T5$ , authors in [Vay02] applied the test reduction technique they propose on these test suites to obtain reduced size test suites where each test is represented by a SIP. This test reduction technique is summarized in Section 1.2 in this thesis, and formalized by former ASERT LAB member Panitee Ritthiruangdech in [Rit04]. Table 4.1 shows the size of the original test suite, and the number of SIPs obtained after reducing this test suite ( $n$  corresponds to the maximum number of traversals of an EFSM transition in a test sequence). Table 4.1 reveals that the number of test sequences in the reduced test suite is bounded below by the number of possible SIPs wrt TUT, in fact, there are at most 14 SIPs wrt  $T5$ .

**Table 4.1 Test Suite Reduction for  $TUT = T5$  in the ATM EFSM**

$n$	Size of original test suite	<sup>6</sup> Size of reduced test suite (# of static interaction patterns)
2	18	3
3	100	7
4	450	14
5	1,806	14
6	6,7602	14
7	24,300	14

Experiments conducted in [Vay02] and [Rit04] have identified the set of data and control dependencies that affect  $TUT = T5$  in the ATM EFSM presented in Figure 2.2.

<sup>5</sup> Requirement  $r2$  says that after entering a correct pin in less than four attempts, user selects withdrawal function. The system adjusts the balance, and displays a menu with withdrawal, deposit, balance inquiry, and exit functions

<sup>6</sup> Note that the last three numbers in this column are corrected by us and confirmed by the authors of [Vay02]

Figure 4.2 below highlights this set of data and control dependence edges and their corresponding source and destination nodes in the SDG of the ATM EFSM. Note that bold nodes and edges correspond to the overlapping of all possible SIPs wrt T<sub>UT</sub> = T<sub>5</sub>. As shown in Table 4.1, there are 14 static interaction patterns wrt T<sub>5</sub> in the ATM EFSM. These 14 SIPs are illustrated in APPENDIX A.5.

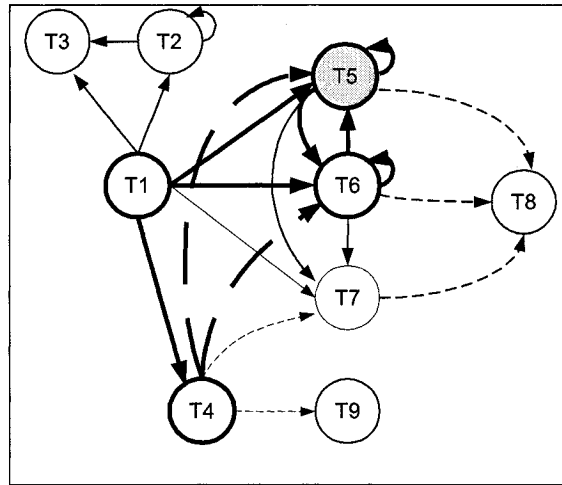


Figure 4.2 Overlapping of all possible SIPs wrt T<sub>5</sub> on the SDG of ATM EFSM

#### 4.2 Overview of the Proposed Test Generation Method

The proposed method uses an EFSM model representing a set of requirements  $R$ , and the SDG of this EFSM to construct a test suite for each requirement  $r$  in  $R$ . The approach consists of two phases:  $S_r$ , the set of SIPs for a requirement  $r$  is first generated, then, each SIP in  $S_r$  is mapped to a test sequence in the EFSM to obtain  $TS_r$ , a test suite for requirement  $r$ .

Constructing  $S_r$  for each requirement  $r$  in  $R$  is achieved by first adapting the df-chains coverage criterion reviewed in Section 2.3.2.2 of this thesis to generate data dependencies affecting a T<sub>UT</sub>. A set of df-chains along with corresponding activating paths is obtained wrt to each T<sub>UT</sub> in the EFSM. Activating paths of these df-chains are considered as test

sequences in the EFSM. The method for generating a SIP from a test sequence proposed in [Rit04] is used to derive a SIP from each activating path. Section 4.2.1 explains the use of the df-chains criterion to solve the  $S_r$  generation problem, and illustrates the solution with an example.

#### 4.2.1 Construction of $S_r$ , the Set of SIPs for Requirement $r$

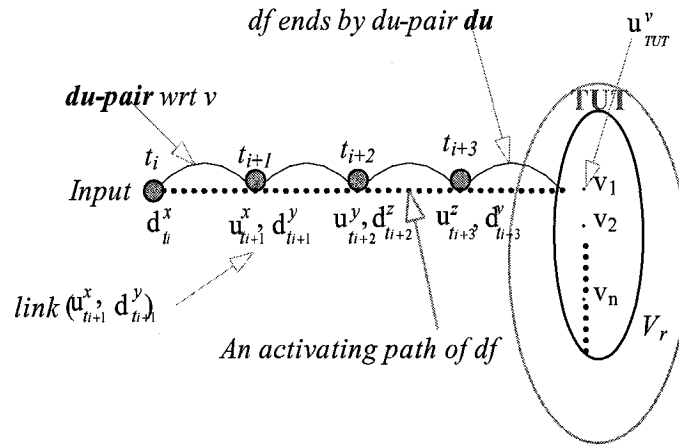
We have established earlier that constructing the set of SIPs for a TUT corresponds to identifying data and control dependencies affecting the TUT. We adapt the df-chains coverage criterion proposed in [UY93] here to construct  $S_r$ . In fact, authors in [UY93] defined the notion of data flow chain or df-chain based on the notion of *affect*, where a df-chain is a sequence of linked du-pairs that start by an input and end by the use of a variable  $v$  in TUT, such that this input affects this use of  $v$  in TUT. Recall that a *df-chain* is an ordered sequence  $(d_{n_1}^{x_1}, u_{n_2}^{x_1}, d_{n_2}^{x_2}, u_{n_3}^{x_2}, \dots, d_{n_m}^{x_m}, u_{n_{m+1}}^{x_m})$  of du-pairs  $(d_{n_1}^{x_1}, u_{n_2}^{x_1})$ ,  $(d_{n_2}^{x_2}, u_{n_3}^{x_2}), \dots, (d_{n_m}^{x_m}, u_{n_{m+1}}^{x_m})$ , such that  $m \geq 1$  and  $u_{n_{m+1}}^{x_m}$  is affected by  $d_{n_1}^{x_1}$  [UY93]. We call a pair  $(u_{n_m}^{x_m}, d_{n_m}^{y_m})$  in a df-chain where variable  $x$  in node  $n_m$  is used to define variable  $y$  in node  $n_m$  a *link*.

For test generation purposes, we define a *df-chain* in the EFSM wrt a variable  $v$  used before it is defined in TUT in the EFSM. In fact, the motivation behind the test generation method proposed in this thesis is to capture data and control flow interactions between different elements of the EFSM that affect the TUT. Capturing only those interactions that influence the TUT yields a pattern of interactions wrt the TUT. In the proposed method, we use df-chains to trace the data flow interactions (between EFSM transitions) that end at a TUT. More precisely, we trace data flow interactions from a du-

pair  $(d_{T_i}^v, u_{T_k}^v)$  where variable  $v$  is *input* in transition  $T_i$ ; to a du-pair  $(d_{T_l}^w, u_{TUT}^w)$  where variable  $w$  is used in TUT before it is defined in TUT; and  $u_{TUT}^w$  is affected by  $d_{T_i}^v$ . Thus, we define the following properties:

- 1) A df-chain wrt a variable  $v$  used in the TUT starts with an input and ends with a  $c$ -use or a  $p$ -use of a variable  $v$  in the TUT before  $v$  is defined in the TUT, and this input affects this use of  $v$  in the TUT
- 2) Links in a df-chain are distinct.

For example, let  $V_r$  be the set of variables used in a TUT before they are defined in the TUT. Figure 4.3 illustrates a df-chain  $df$  wrt variable  $v$  in the TUT such that  $df = (d_{t_i}^x, u_{t_{i+1}}^x, d_{t_{i+1}}^y, u_{t_{i+2}}^y, d_{t_{i+2}}^z, u_{t_{i+3}}^z, d_{t_{i+3}}^v, u_{TUT}^v)$ :



**Figure 4.3 Graphical representation of a df-chain in the EFSM**

This df-chain starts with du-pair  $(d_{t_i}^x, u_{t_{i+1}}^x)$  wrt variable  $x$  such that  $d_{t_i}^x$  is an input, and ends with du-pair  $(d_{t_{i+3}}^v, u_{TUT}^v)$  wrt variable  $v$  such that  $u_{TUT}^v$  is a  $c$ -use or a  $p$ -use of  $v$  in the TUT, and there is no definition of  $v$  before this use of  $v$  in the TUT. Hence,  $u_{TUT}^v$  is affected by  $d_{t_i}^x$ . Path  $(t_i, \dots, t_{i+1}, \dots, t_{i+2}, \dots, t_{i+3}, TUT)$  is an activating path of the  $df$ , where each of  $(t_i, \dots, t_{i+1})$ ,  $(t_{i+1}, \dots, t_{i+2})$ ,  $(t_{i+2}, \dots, t_{i+3})$  and  $(t_{i+3}, \dots, TUT)$  are def-clear paths wrt variables  $x$ ,

$y$ ,  $z$  and  $v$  respectively. Since links in a df-chain are unique, the finiteness of an actual activating path of a df-chain is guaranteed.

Accordingly, generating the set of different data dependencies affecting a TUT, for each TUT in the EFSM, is achieved by generating the set of df-chains wrt each TUT, more precisely wrt each variable used before it is defined in this TUT. The EFSM and the SDG internal data structures presented in sections 3.2.1 and 3.2.2 respectively are used to construct the set of df-chains in the EFSM. A df-chain  $df$  wrt variable  $v$  in TUT is constructed such that it starts by an input from the set  $inputs(M)$  and consists of a sequence of linked du-pairs from  $SDM$ , the SDG matrix with type data dependence, dcu-pairs and dpu-pairs. Du-pairs in  $df$  are linked by links in the EFSM from the set  $links(M)$ .

In addition to the df-chain related assumptions stated above, we assume that self loop data dependencies in the  $SDM$  are ignored when constructing a df-chain, and combinations of these self loop data dependencies are later added to SIPs. Recall that, in order to ensure the finiteness of the number of df-chains for a requirement under test, we assumed that links are distinct in a df-chain. For this assumption to hold, self loop data dependencies must be ignored when generating a df-chain. For instance, consider df-chain  $df = (d_1^b, u_5^b)(d_5^b, u_6^b)(d_6^b, u_5^b)$ , with activating path (T1, T4, T5, T8, T6, T8, T5) wrt TUT= T5 in the ATM EFSM shown in Figure 2.2. If T5 is traversed twice in a row in the EFSM, then  $df = (d_1^b, u_5^b)(d_5^b, u_6^b)(d_6^b, u_5^b)(d_5^b, u_5^b)$  (a self loop data dependence on T5 is appended to  $df$ ) and link  $(u_5^b, d_5^b)$  occurs twice in  $df$ .

Let  $M$  be an EFSM model and  $R$  be the set of requirements represented by  $M$ . The steps for the generation of  $S_r$ , the set of SIPs for a requirement  $r$ , are presented next along

with an example for requirement  $r_2$  represented by  $TUT=T_5$  in the ATM EFSM shown in Figure 2.2.

In the first step, we generate the set  $self\_loops(SDM)$  of self loops in  $SDM$ , the set  $inputs(M)$  of inputs in the EFSM, and the set  $links(M)$  of links in the EFSM by using  $SDM$  and  $M$ .

In the second step, we generate set  $V_r$ , the set of variables used before they are defined in the TUT representing  $r$  in the EFSM  $M$ ,  $\forall r \in R$ .

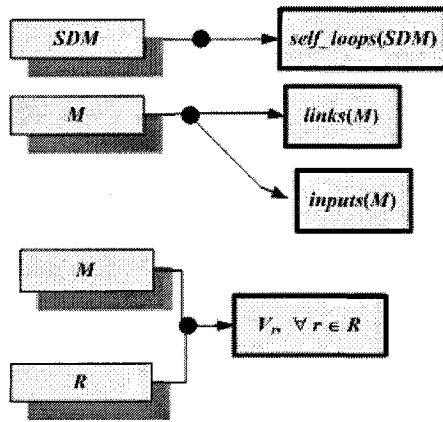


Figure 4.4 Steps 1 and 2 of  $S_r$  generation algorithm

In the third step, we generate,

- $\forall r \in R$ , the set  $DF_{AP}(r)$  of all df-chains in the EFSM ending with the uses of variables of  $V_r$ , and
- $\forall$  df-chain  $df \in DF_{AP}(r)$ , the set  $AP_{df}$  of activating paths for  $df$ , as follows:
  - Use the sets,  $inputs(M)$  and  $links(M)$  defined in the internal data structure of the EFSM and du-pairs in  $SDM$ , the SDG matrix, to generate the set  $DF(v)$  of all df-chains starting with an input and ending with a use of a variable  $v, \forall v$  in  $V_r$
  - For each df-chain  $df$  in  $DF(v)$ ,

generate the set  $AP_{df}$  of all possible activating paths for  $df$ . Paths in  $AP_{df}$  that do not start by an outgoing transition from the start state of the EFSM are identified, and prefixes<sup>7</sup> are appended to these paths. Pair each  $df$  with  $AP_{df}$ , its set of activating paths, and add this pair to  $DF_{AP}(r)$

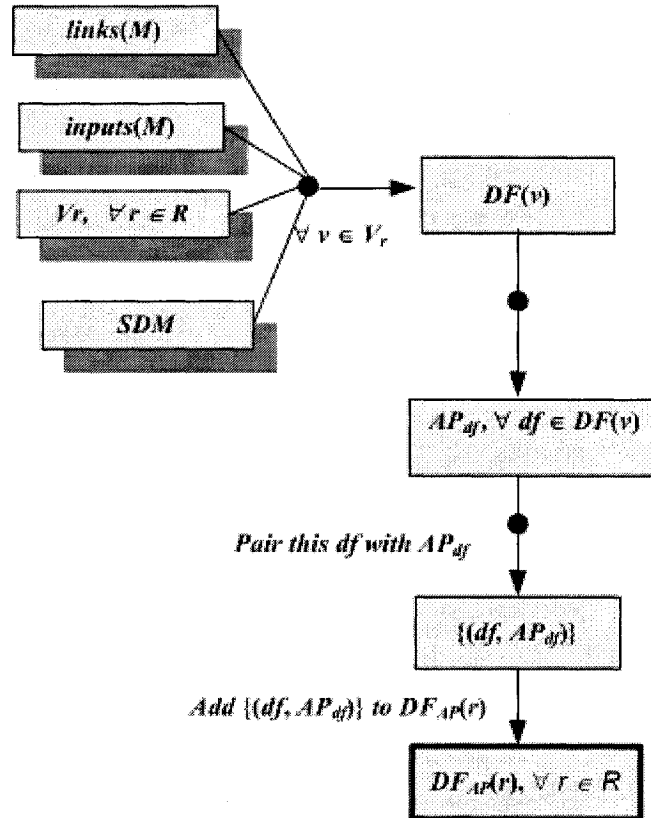


Figure 4.5 Step 3:  $DF_{AP}(r)$  generation of  $S$ , generation algorithm

For example, Tables 4.2 and 4.3 show the set of inputs in the ATM EFSM, in the format  $(v, T, oo\_def)$  where  $oo\_def$  is the order of occurrence of variable  $v$  in transition  $T$  and the set of links in the format  $(u(v, T, oo\_use), d(v, T, oo\_def))$ .

<sup>7</sup> Let  $T_i$  be an outgoing transition from the start state in the EFSM. A prefix of an activating path that starts with transition  $T_j$  is a path that starts at  $T_i$  and end at  $T_j$  in the EFSM.

Table 4.2 The set  $inputs(M)$  of inputs in the ATM EFSM

#	$Inputs(M)$
1	$(b, T1, 1)$
2	$(pin, T1, 2)$
3	$(attempts, T1, 3)$
4	$(p, T2, 1)$
5	$(p, T3, 1)$
6	$(p, T4, 1)$
7	$(w, T5, 1)$
8	$(d, T6, 1)$

Table 4.3 The set  $links(M)$  of links in the ATM EFSM

#	$links(M)$
1	$(u(attempts, T2, 5), d(attempts, T2, 6))$
2	$(u(w, T5, 2), d(b, T5, 4))$
3	$(u(b, T5, 3), d(b, T5, 4))$
4	$(u(d, T6, 2), d(d, T6, 4))$
5	$(u(b, T6, 3), d(b, T6, 4))$

$DF(v)$  is generated wrt  $v = (b, 3)$  in  $TUT = T5$  in the ATM EFSM by using  $inputs(M)$ ,  $links(M)$  and  $SDM$ .  $DF(v)$  consists of 6 df-chains that are listed in Appendix A.4. Table 4.4 shows df-chain # 3 among these df-chains along with its activating paths. This  $df$  consists of two du-pairs. The first du-pair is  $(d(b, T1, 1), u(b, T6, 3))$  which has 4 def-clear paths wrt  $b$ :  $\{(T1, T4, T6), (T1, T4, T7, T8, T6), (T1, T2, T4, T6), (T1, T2, T4, T7, T8, T6)\}$ . The second du-pair of  $df$  is  $(d(b, T6, 4), u(b, T5, 3))$  which has 2 def-clear paths wrt  $b$ :  $\{(T6, T8, T5), (T6, T8, T7, T8, T5)\}$ . Note that, since activating paths of  $df$  start by T1 (an outgoing transition from the start state of the ATM EFSM), no prefix is appended to the activating paths of  $df$ .  $AP_{df}$  is obtained by forming combinations of the def-clear paths of the first du-pair followed by those of the second du-pair. Also, note that 2 EFSM loops are encountered when forming the set  $DCP_{df}$  of def-clear paths for du-pairs of  $df$ . These

loops are (T2) and (T8, T7, T8) which are traversed zero and 1 times, as stated in the assumptions related to df-chains.

Table 4.4 df-chain wrt TUT=T5 in the ATM EFSM

$df$	$AP_{df}$
$\{d(b,T1,1), u(b,T6,3),$ $d(b,T6,4), u(b,T5,3)\}$	(T1,T4,T6,T8,T5)
	(T1,T4,T6,T8,T7,T8,T5)
	(T1,T4,T7,T8,T6,T8,T5)
	(T1,T4,T7,T8,T6,T8,T7,T8,T5)
	(T1,T2,T4,T6,T8,T5)
	(T1,T2,T4,T6,T8,T7,T8,T5)
	(T1,T2,T4,T7,T8,T6,T8,T5)
	(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5)
	(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5)

In the fourth step, we construct  $G[df, ap] = (N, E), \forall r \in R, \forall \text{ pair } (df, AP_{df}) \in DF_{AP}(r)$  to obtain an initial set of static interaction patterns that we refer to as *elementary static interaction patterns* or  $SIP_e$  ( $S_{r\_elem}$  is the set of these elementary interaction patterns).

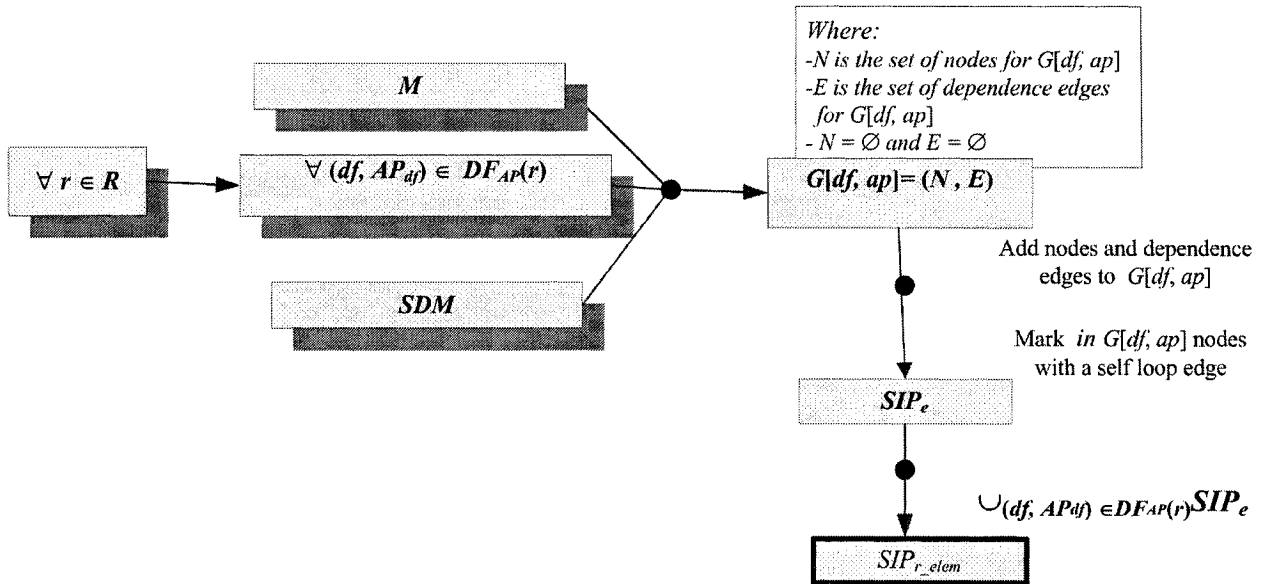


Figure 4.6 Step 4:  $SIP_{r\_elem}$  generation of  $S_r$  generation algorithm

A  $SIP_e$  is obtained from  $G[df, ap]$  by using algorithm *SIP generation for a given test sequence* method formalized in [Rit04] as follows:

- 1) Control and data dependencies that are encountered during the traversal of  $ap$  are identified and represented in  $G[df, ap]$  (a sub-graph of a static dependence graph (SDG))
- 2) Dependencies affecting the TUT are identified by traversing  $G[df, ap]$  backwards from the TUT and marking all traversed nodes and edges
- 3) All unmarked nodes and edges are removed from  $G[df, ap]$  to obtain  $SIP_e$

For example, take the pair  $(df, ap) = (\{d(b,T1,1), u(b,T6,3), d(b,T6,4), u(b,T5,3)\}, (T1,T4,T6,T8,T7,T8,T5))$  in Table 4.4. Figure 4.7 show  $G[df, ap]$  after steps 2) and 3).

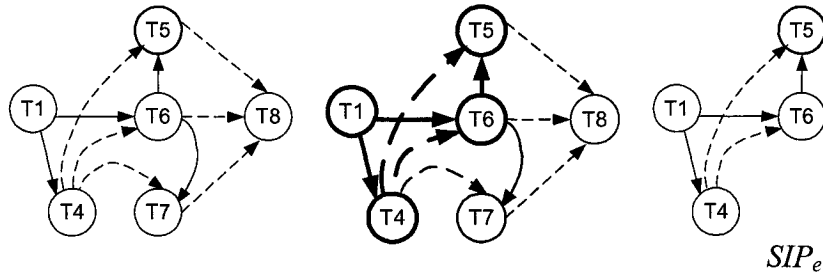


Figure 4.7 Construction of  $SIP_e$  from  $G[df, ap]$

In the fifth step,  $\forall SIP_e \in SIP_{r\_elem}, \forall r \in R$ , to obtain  $S_r$ , we add combinations of self loop data dependencies to nodes of  $SIP_e$ .

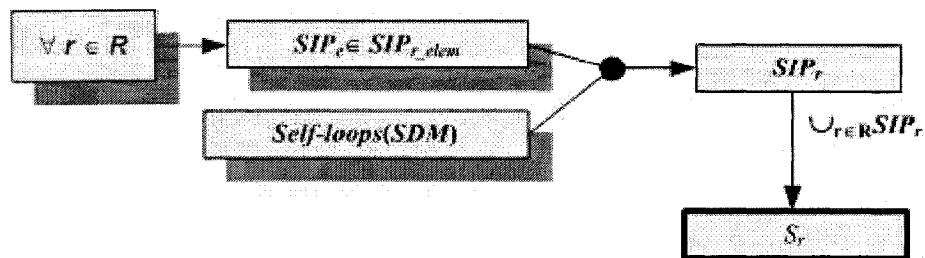


Figure 4.8 Step 5:  $SIP_r$  generation of  $S_r$  algorithm

For instance, take the elementary SIP  $SIP_e$  shown in Figure 4.7. Nodes T5 and T6 of  $SIP_e$  have a self loop data dependence edge in the SDG of the ATM EFSM. Combinations of these edges are added to  $SIP_e$  to obtain 3 additional SIPs wrt T5. Figure 4.9 shows  $SIP_e$  on the left hand side, and the 3 SIPs derived from  $SIP_e$  on the right hand side. These 4 SIPs of Figure 4.9 are added to  $S_r$  for T<sub>UT</sub>=T5.

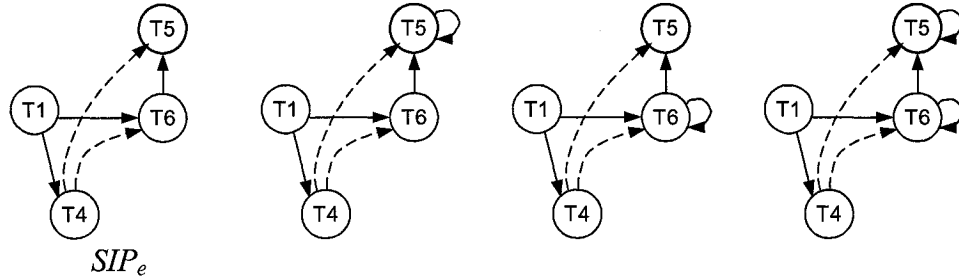


Figure 4.9 Generation of SIPs from a given  $SIP_e$

#### 4.2.2 Construction of $TS_r$ , the Test Suite for Requirement $r$

$TS_r$  is constructed for each requirement  $r$  in  $R$  by mapping each SIP in  $S_r$  to a complete test sequence in the EFSM (A *complete test sequence in the EFSM* is an EFSM path whose first node is *Start*, the start state of the EFSM, and whose last node is *Exit*, the exit state of the EFSM). This test sequence is obtained for a SIP as follows: for each SIP in  $S_r$ , take  $ap_{df}$ , the activating path of  $df$ , used to generate the SIP.  $ap_{df}$  is a sequence of EFSM transitions in the EFSM that starts at the start state of the EFSM and ends at transition  $r$ . For  $ap_{df}$  to be a complete test sequence, a suffix (sequence of EFSM transitions from  $r$  to the exit state of the EFSM) is appended to  $ap_{df}$  to obtain  $ts$ , a test sequence that is added to  $TS_r$ .

### 4.3 $S_r$ Generation Algorithm

**Input:**

- the SDG adjacency matrix  $SDM$ ,
- the EFSM data structure  $M$ , and
- the set  $R$  of requirements for the EFSM

**Output:**  $S_r$ , the set of static interaction patterns  $SIP_r, \forall r \in R$ .

**Data Structure**<sup>[OC5]</sup>

Let  $t_i$  and  $t_j$  denote  $SDM$  nodes (i.e.,  $EFSM$  transitions),

*self-loops*( $SDM$ ) denote a subset set of  $SDM$  nodes, each having a self loop data dependence,

$V_r$  denote the set of variables used before they are defined in transition  $r$ ,

$(d(v, t_i, oo\_def), u(v, t_j, oo\_use))$  denote a du-pair wrt  $v$ ,

$(u(v_i, t, oo_{v_i}), d(v_j, t, oo_{v_j}))$  denote a link where  $v_i$  is used to define  $v_j$  in  $t$ ,

*links*( $M$ ) denote the set of all links of  $M$ ,

*inputs*( $M$ ) denote the set of all inputs in  $M$ . An input is  $d(v, t, oo\_v)$ , such that  $v$  is defined in  $t$  with  $oo\_v$  in an input statement or in an assignment statement where  $v$  is assigned a constant,

*df* denote a df-chain wrt  $v$  where:

- *df* starts with an input in *inputs*( $M$ ) and ends with a du-pair denoted  $(d(v, t_i, oo\_def), u(v, t_j, oo\_use))$  such that  $t_j = r$  and  $v \in V_r$
- links in *df* are distinct,

*ap* denote an activating path (a sequence of EFSM transitions) for a df-chain *df*,

$AP_{df}$  denote the set of all activating paths for the df-chain *df* in the EFSM,

$DF(v)$  denote the set of all df-chains ending with the use of variable  $v \in V_r$ ,

$DF_{AP}(r)$  denote the set of all df-chains ending with the use of a variable  $v, \forall v \in V_r$ ,

together with their respective sets of  $AP_{df}$ ,

$dcp$  denote a def-clear path (a sequence of EFSM transitions) for a du-pair,

$DCP_{du}$  denote the set of all possible def-clear paths  $dcp$  for the du-pair  $du$ ,

$DCP_{df}$  denote the set of all possible def-clear paths  $dcp$  for the df-chain  $df$ ,

$AP_{SIP}$  denote the set of all activating paths that lead to the generation of  $SIP$ .

### Algorithm Steps

1. Generate sets  $self-loops(SDM)$ ,  $inputs(M)$  and  $links(M)$  by using  $SDM$  and  $M$ .
2. Generate set  $V_r, r \in R$  by using  $M$  and  $R$ .
3. Generate  $DF_{AP}(r), \forall r \in R$  as follows:

for each  $r$  in  $R$

$DF_{AP}(r) \leftarrow \emptyset$

for each variable  $v$  in  $V_r$

*//form the set  $DF(v)$  of all df-chains wrt  $v$  ending with a use of  $v$  in  $V_r$ //*

form  $DF(v)$

*//form the set  $AP_{df}$  of all possible activating paths  $ap$  for  $df$ //*

for each df-chain  $df$  in  $DF(v)$  do

$DCP_{df} \leftarrow \emptyset$

for each du-pair  $du$  in  $df$  do

*//Let  $dcp$  denote a def-clear-path and let  $DCP_{du}$  denote //*

*//the set of all possible def-clear paths for du-pair  $du$ //*

form the set  $DCP_{du}$  of all possible def-clear-paths  $dcp$  for  $du$ , where loops encountered when forming  $dcp$  are traversed 0 or 1 times

$DCP_{df} \leftarrow DCP_{df} \cup \{(du, DCP_{du})\}$

endfor

*//call the  $FormAP_{df}(df, DCP_{df}, AP_{df})$  procedure to //*

*//form the set  $AP_{df}$  of all activating paths for  $df$  //*

**$FormAP_{df}(df, DCP_{df}, AP_{df})$**

*//Pair  $df$  with  $AP_{df}$  //*

$DF_{AP}(r) \leftarrow DF_{AP}(r) \cup \{(df, AP_{df})\}$

*//endifor  $r, v, df$  here //*

*//Construct the graph  $G[df, ap]$  representing each generated  $df$ -chain  $df$  and //*

*// each activating path  $ap$  in  $AP_{df}$  //*

4. for each  $r$  in  $R$  do

$S_{r\_elem} \leftarrow \emptyset$

for each pair  $(df, AP_{df})$  in  $DF_{AP}(r)$  do

for each  $ap$  in  $AP_{df}$  do

*//let  $G[df, ap] = (N, E)$  where  $N$  is the set of nodes of  $G$ , and  $E$  is //*

*//the set of dependence edges of  $G$  //*

*//call the  $ConstructGraph(df, ap, G[df, ap])$  to generate  $G[df, ap]$  //*

**$ConstructGraph(df, ap, G[df, ap])$**

*//  $G[df, ap]$  can have a self loop edge iff the prefix of  $ap$  includes the //*

```

//def-clear path of a self loop data dependence//
mark nodes with self loop edges in  $G[df, ap]$ 

 $S_{r\_elem} \leftarrow S_{r\_elem} \cup \{G[df, ap]\}$ 

//add ap to the set  $AP_{SIP}$  of activating paths used to generate //
// this elementary SIP//

 $AP_{SIP} \leftarrow AP_{SIP} \cup \{ap\}$ 

//endfor r. (df,  $AP_{df}$ , ap here//

```

5. Add combinations of self loop data dependencies to each  $SIP_e$  in  $S_{r\_elem}$ , as follows:

for each  $r \in R$

initialize  $S_r$  by  $S_{r\_elem}$

for each  $SIP_e \in S_{r\_elem}$

use nodes in  $\{\{\text{unmarked nodes of } SIP_e\} \cap \{\text{self-loops}(SDM)\}\}$  to

generate additional  $SIPs$   $SIP_r$  from  $SIP_e$  by adding all combination of self loop edges to these nodes in  $SIP_e$

generate  $AP_{SIP_r}$  for each newly obtained  $SIP_r$  by using  $AP_{SIP_e}$  such that

the EFSM path corresponding to the combination of self loop edges added to  $SIP_r$ , is inserted in paths of  $AP_{SIP_e}$

add each newly obtained  $SIP_r$  to  $S_r$

//end of step 5//

*// details of the procedures //*

***FormAP<sub>df</sub>(df, DCP<sub>du</sub>, AP<sub>df</sub>)***

form the set  $AP_{df}$  of all activating paths for  $df$  using combinations of def-clear paths of du-pairs of  $df$  as follows:

*//Let  $du_0$  denote the first du-pair in  $df$  (i.e., the def of this //*

*//du-pair is an input //*

*//Let  $DCP_{du_0}$  denote the set of all def-clear paths for  $du_0$ //*

$AP_{df} \leftarrow DCP_{du_0}$

for each du-pair  $du$  in  $df$ , except for  $du_0$ , do

    let  $oldSize$  denote the size of  $AP_{df}$

    for each def-clear path  $dcp$  in  $DCP_{du}$  for this  $du$  do

        remove from this  $dcp$  its first node

    endfor

$j \leftarrow 0$

    while  $j < oldSize$  do

$tmp \leftarrow dcp$  with index  $j$  in  $AP_{df}$

        for each def-clear path  $dcp$  in  $DCP_{du}$  for  $du$  do

            if this  $dcp$  has index 0 in  $DCP_{du}$

                then

                    append the def-clear path with index  $j$  in  $AP_{df}$ , to this  $dcp$

                else *//Let  $p$  be a path //*

                    append  $tmp$  to this  $dcp$

$AP_{df} \leftarrow AP_{df} \cup \{dcp\}$

```

         $j \leftarrow j + 1$ 
    endwhile
endfor //All possible activating paths for  $df$  are now generated//
for each  $ap$  in  $AP_{df}$  do
    //Let  $t_f$  denote the first transition of this activating path  $ap$ , and //
    //let  $T_s$  denote the set of outgoing transitions from the start state in //
    //this EFSM//
    if  $t_f \notin T_s$ 
        then
            remove this  $ap$  from  $AP_{df}$ 
            //Find all paths from start state in the EFSM to  $t_f$ //
            for each transition  $t$  in  $T_s$  do
                form the set  $pre(ap)$  of all EFSM paths from  $t$  to  $t_f$ 
                for each path  $p$  in  $pre(ap)$  do
                    append  $ap$  to  $p$  to obtain  $ap_{aug}$ 
                     $AP_{df} \leftarrow AP_{df} \cup \{ap_{aug}\}$ 
                endfor
            endfor
        endif
    endfor
//end of procedure//

```

**ConstructGraph( $df, ap, G[df, ap]$ )**

//  $G[df, ap] = (N, E)$  //

construct  $G[df, ap]$  by adding nodes and dependence edges as follows:

form the set of nodes  $N$  of  $G[df, ap]$  by using  $ap$  as follows:

capture a set  $T$  of unique transitions from  $ap$

$N \leftarrow \emptyset$

sort  $T$  in ascending order of transition labels

for each  $t$  in  $T$  such that  $t$  is represented by a node  $n$  in  $SDM$

$N \leftarrow N \cup \{n\}$

endfor

form the set of dependence edges  $E$  of  $G[df, ap]$  as follows:

$E \leftarrow \emptyset$

*//Let transitions of  $ap$  be indexed from 0 to  $|ap| - 1$ //*

loop from  $t$  with index  $|ap| - 1$  to  $t$  with index 1 in  $ap$ :

let  $n_t$  denote the node representing this  $t$  in  $G[df, ap]$

let  $l$  be a prefix of  $ap$ , not including this  $t$  in  $ap$

loop from the last transition in  $l$  until the first transition in  $l$  is reached:

take the present transition from  $l$  and name it  $pt$

let  $n_{pt}$  be the node representing  $pt$  in  $G[df, ap]$

if ((there is a  $CD$  edge from  $pt$  to this  $t$  in  $SDM$ ) and (there is no  $CD$  edge incoming to  $n_t$  in  $E$ ))

then add a dashed edge from  $n_{pt}$  to  $n_t$  to  $E$

if ((there is  $DD$  edge from  $pt$  to this  $t$  wrt a variable  $v$  in  $SDM$ ) and (there is no  $DD$  edge wrt this  $v$  incoming to  $n_t$  in  $E$ ))

then add a solid edge from  $n_{pt}$  to  $n_t$  to  $E$

*//end of the inner loop//*

*//end of the outer loop//*

*//remove from  $G[df, ap]$  dependence edges that don't influence  $r$ //*

traverse  $G[df, ap]$  backward from node  $r$  to the source node and during the traversal, mark in  $G[df, ap]$  the traversed nodes and edges

remove all unmarked nodes and dependence edges in  $G[df, ap]$  to

obtain an elementary  $SIP$  which will be called  $SIP_e$  later on.

unmark marked nodes and edges of  $G[df, ap]$

*//End of step 4//*

After generating  $S_r$ , the set of SIPs for each requirement  $r$  in  $R$ ,  $TS_r$ , the test suite for requirement  $r$  is generated.

#### 4.4 $TS_r$ Generation Algorithm

**Algorithm:**  $TS_r$  Generation

**Input:** The EFSM data structure, requirement  $r$ ,  $S_r$ ,  $AP_{sip}$  for each SIP in  $S_r$

**Output:**  $TS_r$ , the test suite for requirement  $r$

**Algorithm high level steps:**

for each  $SIP$  in  $S_r$  do

    select randomly an  $ap$  from  $AP_{sip}$

    form a suffix for  $ap$  to  $Exit$  in the EFSM

    append this suffix to  $ap$

$TS_r \leftarrow TS_r \cup \{ap\}$

Following the generation  $TS_r$ , the test suite for requirement  $r$ , the issue of the executability of the generated tests needs to be addressed. Determining test executability

is un-decidable [Rap85]. It falls in the context of *feasible path analysis*, and requires both symbolic evaluation and theorem proving [GTZ94]. Symbolic evaluation (discussed in Section 1.2.1.2) requires recording predicate expressions occurring at different transitions of the test sequence to form its path predicate. Theorem proving determines the validity of logical relations between expressions in the path predicate by solving the system of inequalities representing the path predicate. A linear optimization tool such as AMPL<sup>8</sup> can be used for this purpose. To use such a tool will require forming the path predicate for a test sequence and using it as a set of constraints. An artificial objective function is created and the optimizer is then used to attempt to maximize the objective function subject to the constraints. Output from the optimizer will be in one of two forms:

- 1) a solution, in which case the path is feasible
- 2) a message indicating that the constraints are contradictory, the path is infeasible.

The path predicate  $p$  of a test sequence  $ts$  of  $TS_r$  is the conjunction of predicates of transitions of  $ts$ .  $p$  obtained by applying *symbolic execution* along  $ts$  where  $ts$  is traversed backward, and each variable appearing in a predicate in transitions of  $ts$  is replaced by its symbolic value in terms of EFSM input variables.  $ts$  is executable in the EFSM iff its path predicate evaluates to true. The path predicate  $p$  of a test sequence  $ts$  is constructed as follows:

---

<sup>8</sup> AMPL is a comprehensive and powerful algebraic modeling language for linear and nonlinear optimization problems, in discrete or continuous variables. AMPL is used to describe optimization problems as the minimization or maximization of algebraic expressions in numerical decision variables, subject to constraints expressed as equalities or inequalities between algebraic expressions in the decision variables.

1.  $p \leftarrow \{\}$
2. Traverse  $ts$  backward and find the first transition in  $ts$  which has a predicate. Let  $t$  be this transition.
3. Add the predicate of transition  $t$  to  $p$
4. Traverse  $ts$  backward, starting at the transition preceding  $t$ . For each traversed transition  $t$  in  $ts$  do
  - if  $t$  has a predicate then
    - add the predicate of  $t$  to  $p$
  - for each assignment statement  $assign$  in  $t$  do
    - if  $v$ , the variable defined in  $assign$ , is used in  $p$ 
      - then replace each occurrence of  $v$  in  $p$  by the right hand side expression of  $assign$

## Chapter 5

### Implementation of the Proposed Test Suite Generation Method

The test suite generation method proposed in this thesis has been implemented as the TSG (Test Suite Generation) tool which is part of the Test Suite Generation/Reduction (TSGR) software tool [Ura05]. TSGR has been implemented in the Sun Solaris Sparc 5.8 environment using C++ and the Java 2 Platform as part of a research project on using Dependence Analysis for test suite generation, test suite reduction [Rit04] and regression test suite reduction [Bo05]. TSGR consists of four major applications: an EFSM parser, a test suite generation tool, a test suite reduction tool and a regression test suite reduction tool. TSGR is also provided with a graphical user interface implemented with Java 2. Figure 5.1 illustrates the TSGR components:

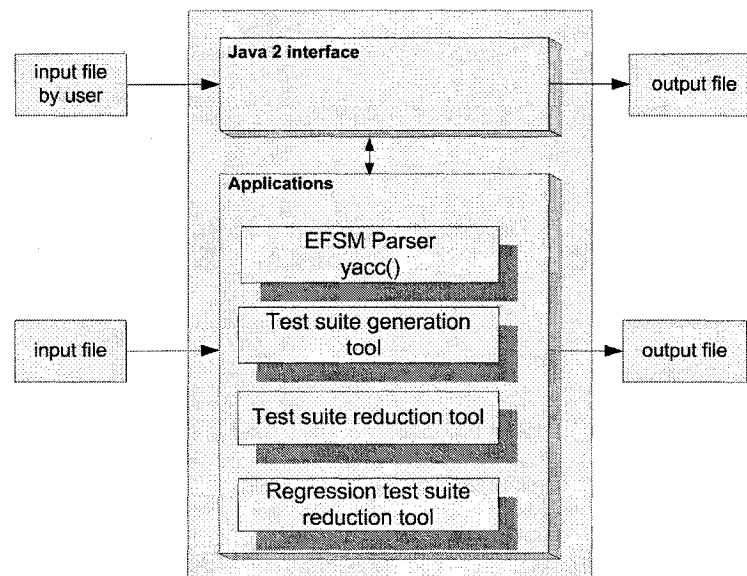


Figure 5.1 TSGR components

## 5.1 TSG Overview

TSG uses static dependence analysis to generate a reduced test suite for individual requirements of an EFSM model by first constructing the set of static interaction patterns for a requirement under test and then deriving a test sequence for each SIP in this set.

Phases of test generation in TSG are:

- 1) Parsing the EFSM and constructing the EFSM data structure;
- 2) Constructing the SDG of the EFSM;
- 3) Generating additional EFSM data structures to construct the set of df-chains wrt to each TUT;
- 4) Constructing  $S_r$ , the set of SIPs for each requirement under test  $r$ ;
- 5) Deriving a test suite from  $S_r$  for each requirement under test  $r$ .

Note that phases 1 and 2 have been implemented by other members of the ASERT lab: Tuong Nguyen implemented the graphical user interface for TSGR, and the EFSM parser. Yan Gao has implemented the SDG algorithm that we proposed in Chapter 3 of this thesis. These five phases are illustrated in Figure 5.2:

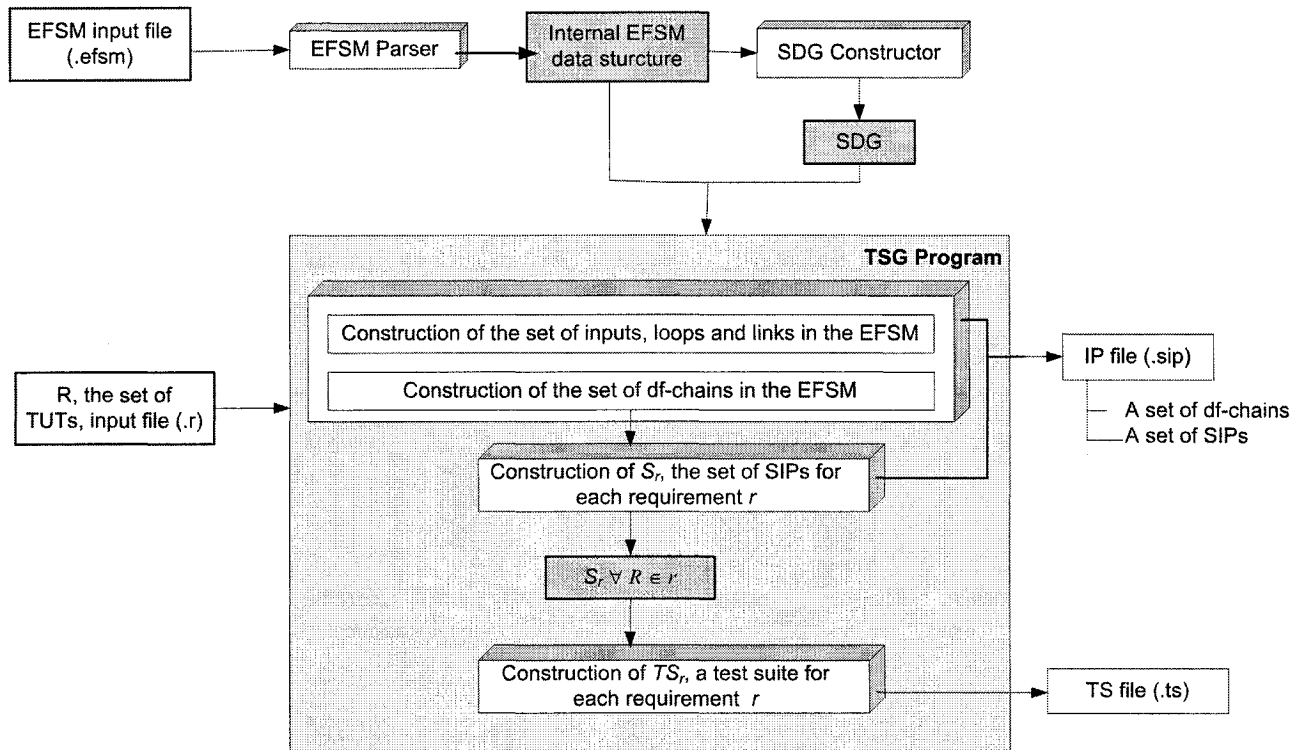


Figure 5.2 Structure of the TSG program

Note that TSG takes two input files, a file that contains the EFSM specifications (.efsm file) and the  $R$  file listing the EFSM requirements to be tested (.r file). TSG generates  $S_r$  and  $TS_r$  for each requirement in the input  $R$  file and outputs an  $IP$  file and a  $ts$  file with the set of df-chains and a test suite respectively, for each  $r$  in the  $R$  file. In this thesis, input and output files are formally specified by the Backus-Naur Form (BNF) [Nau60]. The BNF of the input and output files of the TSG application is presented in sections 5.2 and 5.3, respectively.

## 5.2 Input File Formats

### - EFSM input file format

The EFSM input file is a text file describing of the elements of the EFSM model to be tested. In this thesis, such an EFSM file is given the *.efsm* extension. Table 5.1 shows the BNF for the EFSM input file.

Table 5.1 BNF definition of the EFSM input file

```
<efsm> ::=
    efsmId
    numStates startStateIndex exitStateIndex
    <transitions>
<transitions> ::=
    <transition> | <transitions> <transition>
<transition> ::=
    transition transitionId
    sourceStateIndex destinationStateIndex
    <requirement>
<requirement> ::=
    [<input>]
    [<enablingPredicate>]
    /
    [<actions>]
<actions> ::=
    <action> | <actions> <action>
<action> ::=
    <output> | <assignment> | <set> | <reset> |
<procedureCall>
<input> ::=
    inputId ( [<parameters>] )
<output> ::=
    outputId ( [<parameters>] )
<enablingPredicate> ::=
    <variableIds> [/* booleanExpression */]
<assignment> ::=
```

```

        <variableId> := <expression>
<set> ::=
        set ( constant , timerId )
<reset> ::=
        reset ( timerId )
procedureCall ::=
        procedure ( procedureId ( <variableIds> [; <variableIds>] )
) { <pbrDefs> }
<parameters> ::=
        <parameter> { , <parameter> } *
<parameter> ::=
        <variableId> | constant
<variableIds> ::=
        <variableId> { , <variableId> } *
<pbrDefs> ::=
        <pbrDef> | <pbrDefs> <pbrDef>
<pbrDef> ::=
        <variableId> := <expression> ;
<expression> ::=
        function ( <variableIds> ) | constant
<variableId> ::= id

```

An example “.efsm” file for the ATM EFSM given Figure 2.2 is given in Appendix A.2 of this thesis.

#### - **R input file format**

TSG generates a test suite for individual requirements in the EFSM. The *R* (or requirements) file contains the set of EFSM requirements to be tested such that each requirement is represented by an EFSM transition under test, and has been given the “.r” extension. The BNF of the *R* file is shown in Table 5.2.

**Table 5.2 BNF definition of the *R* input file**

```
<r> ::=
    e fsmId <tuts>
<tuts> ::=
    <tut> | <tuts> <tut>
<tut> ::=
    transitionId
```

### 5.3 Output File Formats

TSG generates two output files: an IP file for the set of SIPs and their corresponding df-chains for each TUT in the *R* input file and a TS file for the generated test suite for each TUT in the *R* input file.

#### - IP output file format

The IP output file contains, for each TUT in the *R* file, the set of df-chains and the set of SIPs wrt TUT. The IP file is given the “.sip” extension. Table 5.3 below shows the BNF of the IP file.

**Table 5.3 BNF definition of the IP output file**

```
<sip> ::=
    e fsmId <tut> <ips>
<tut> ::=
    transitionId
<ips> ::=
    <ip> | <ips> <ip>
<ip> ::=
    ip ipId [<testIds> | <dfs>] <nodes>
<testIds> ::=
    testId | <testIds> testId
<dfs> ::=
    <df> | <dfs> <df>
<df> ::=
    <dupairs> <path>
<dupairs> ::=
    <dupair> | <dupairs> <dupair>
<dupair> ::=
    <definition> <use> /* 0=def, 1=c-use, 2=p-use */
<definition> ::=
    (0 , variableId , transitionId , occurrenceOrder)
<use> ::=
```

```

(1 | 2 , variableId , transitionId , occurrenceOrder)
<path> ::=
    transitionId | <path> transitionId
<nodes> ::=
    <node> | <nodes> <node>
<node> ::=
    node nodeId <label> [<adjacencySet>]
<label> ::=
    transitionId
<adjacencySet> ::=
    <reverseSet> | <nonreverseSet>
<reverseSet> ::=
    <reverse> | <reverseSet> <reverse>
<reverse> ::=
    inc sourceIndex <dependencyType>
<nonreverseSet> ::=
    <nonreverse> | <nonreverseSet> <nonreverse>
<nonreverse> ::=
    out destinationIndex <dependencyType>
<dependencyType> ::=
    dat | ctl

```

An example of the *IP* file for the ATM EFSM given in Figure 2.2 is given in Appendix A.7.

**- TS output file format**

The TS output file is given the “.ts” extension and consists of a set of tests for each TUT in the *R* input file. A test is a complete test sequence of transitions in the EFSM, that starts at the start state and ends at the exit state of the EFSM. The BNF representation of the TS output file is detailed in table 5.4 below:

**Table 5.4 BNF definition of the TS output file**

```

<ts> ::=
    efsmId <tuts> <tests>
<tuts> ::=
    <tut> | <tuts> <tut>
<tut> ::=

```

```

        transitionId
<tests> ::=
        <test> | <tests> <test>
<test> ::=
        test testId <transitionSeq>
<transitionSeq> ::=
        transitionId | <transitionSeq> transitionId

```

An example of the *TS* output file for the ATM EFSM given in Figure 2.2 is given in Appendix A.6.

#### 5.4 TSG Program

TSG uses dependence analysis to generate a reduced test suite for individual requirements of an EFSM model. We have previously identified five phases for the test suite generation:

- 1) Parsing the EFSM and constructing the EFSM data structure;
- 2) Constructing the SDG of the EFSM;
- 3) Generating additional EFSM data structures to construct the set of df-chains wrt to each TUT;
- 4) Constructing  $S_r$ , the set of SIPs for each requirement under test  $r$ ;
- 5) Deriving a test suite from  $S_r$  for each requirement under test  $r$ .

A detailed description of each of these five phases follows.

### **Phase 1: Parsing the EFSM and constructing the EFSM data structure**

The EFSM parser is generated by *Yacc*<sup>9</sup> based on the EFSM grammar written in BNF notation, as shown in Table 5.1. It is used with a lexical analyzer check that the EFSM specified in the input file is syntactically correct, classifies EFSM variable occurrences and generates the internal data structure for the EFSM as given in Section 3.2.1 of this thesis.

### **Phase 2: Construction of the SDG**

Based on the internal data structure of the EFSM, control and data dependencies are captured to build the SDG. Data dependencies are first identified as follows: for each variable  $v$  in the EFSM, TSG checks the existence of a def-clear path wrt  $v$ , between each definition of  $v$  and each use of  $v$  in the EFSM. Checking the existence of a def-clear path in the EFSM is not a trivial task due to existence of loops in the EFSM. To solve this problem in an efficient manner, we use the notion of *transitive closure*. The transitive closure of a directed graph  $G$  which provides reachability information about  $G$  is defined in [Goo02] as follows:

Given a digraph  $G$ , the transitive closure of  $G$  is the digraph  $G^*$  such that

- i)  $G^*$  has the same vertices as  $G$ ,
- ii) if  $G$  has a directed path from vertex  $u$  to vertex  $v$  ( $u \neq v$ ) then  $G^*$  has a directed edge from  $u$  to  $v$ .

In other words, if  $v$  reaches  $u$  (or  $u$  is reachable from  $v$ ) in  $G$  then there is an edge from  $v$  to  $u$  in  $G^*$ . In our work, we constructed the reachability information of the EFSM

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<sup>9</sup> Yacc is a program that serves as the standard parser generator in C/C++ on Unix systems. The name is an acronym for "Yet Another Compiler Compiler." It generates a parser based on a grammar written in BNF notation. Since the parser generated by Yacc requires a lexical analyzer, it is often used in combination with a lexical analyzer generator (Lex is used for the TSGR tool)

by forming sets  $S_{in}^*(Z)$  and  $S_{out}^*(Z)$  for each state  $Z$  in the EFSM, where  $S_{in}^*(Z)$  denotes  $\{s \mid s \text{ is a state and state } s \text{ reaches state } Z \text{ in } M\}$ , and  $S_{out}^*(Z)$  denote  $\{s \mid s \text{ is a state and state } Z \text{ reaches state } s \text{ in } M\}$ .

Set  $S_{in}^*(Z)$  is generated such that states that reach  $Z$  with a shortest path to  $Z$  such that this path has the least number of edges, are added to  $S_{in}^*(Z)$  first, and so on. The same applies for  $S_{out}^*(Z)$ . Then, the existence of a def-clear path between two transitions  $T_i$  and  $T_k$  wrt to a variable  $v$  in the EFSM is determined by recursively checking if states reachable from  $T_i$  have an outgoing transition that doesn't define  $v$ . A detailed version of the SDG algorithm, given in Appendix D.2 of this thesis, outlines the algorithm used to check the existence of a def-clear path.

After identifying data dependencies in the EFSM, control dependencies are identified between EFSM transitions, by forming post dominance relationships between states, and between states and transitions in the EFSM. The EFSM reachability information is also used to solve this problem. Details of this method are given in Appendix D.2 of this thesis.

The complexity of SDG generation is quadratic in  $S$  which is a set of states in an EFSM.

### **Phase 3: Generation of the set of df-chains in the EFSM**

During this phase, TSG generates for each requirement  $r$  in  $R$ , the set  $DF_{AP}(r)$  of df-chains ending with the use of a variable  $v$ ,  $\forall v \in V_r$ , together with their respective sets  $AP_{df}$  of activating paths. To generate the set of such df-chains, TSG keeps a stack of du-pairs where a df-chain  $df$  is constructed backward from TUT to an input, by using the

recursive DFS logic: a du-pair that ends at  $TUT$  is first pushed to the stack, then, linked du-pairs are identified by using the set  $links(M)$  and pushed to the stack. When a du-pair that starts by an input from the set  $input(M)$  is pushed, a (complete) df-chain is formed in the stack. This df-chain is popped from the stack, added to  $DF_{AP}(r)$  and the search for additional df-chains continues.

For each df-chain  $df$  added to  $DF_{AP}(r)$ , TSG forms the set  $DCP_{df}$  of def-clear paths for each du-pair in  $df$ . Then the set  $AP_{df}$  of activating paths for  $df$  is constructed by forming all combinations of def-clear paths of du-pairs in  $df$ . Prefixes are appended to activating paths that do not start by a transition emanating from the start state of the EFSM. As an example, the set of df-chains and their corresponding sets of activating paths generated for each  $r$  in the ATM EFSM are given in Appendix A.4.

#### **Phase 4: Construction of $TS_r$ , the test suite for requirement $r$**

TSG generates an elementary SIP,  $SIP_e$ , for each pair  $(df, ap)$  generated in Phase 3 as follows: the control and data dependencies that are encountered during the traversal of  $ap$  are identified and represented in  $G[df, ap]$ , a sub-graph of the SDG. Dependencies affecting the TUT are identified by traversing  $G[df, ap]$  backwards from the TUT and marking all traversed nodes and edges. All unmarked nodes and edges are removed from  $G[df, ap]$  to obtain  $SIP_e$ . More than one  $(df, ap)$  pair may lead to the generation of the same  $SIP_e$ . The set  $AP_{SIP}$  of  $ap$ 's that lead to the generation of the same  $SIP_e$  is formed for each  $SIP_e$  generated.

Following the generation of the set  $S_{r\_elem}$  of  $SIP_e$ 's for  $r$ ,  $S_r$  is generated by adding combinations of applicable self loop data dependencies to nodes of  $SIP_e$  to obtain

additional SIPs. These SIPs are associated with the set  $AP_{SIP}$  where the EFSM paths of the loops added to these SIPs are inserted to paths of  $AP_{SIP}$ .

$TS_r$ , the test suite for requirement  $r$  is formed by randomly selecting a path  $ap$  from  $AP_{SIP}$  for each SIP in  $S_r$ . Since paths in  $AP_{SIP}$  start by a transition emanating from the EFSM's start state and end at  $r$ , a path from  $r$  to the exit state in the EFSM is appended to each selected  $ap$  to obtain a complete test sequence wrt  $r$ .

## Chapter 6

### Case Studies

Three case studies were conducted to apply the test generation method proposed in this thesis, namely the Automated Teller Machine (ATM) system, Vending Machine System (VMS) and Cruise Control System (CCS) case studies. For the ATM case study, we have used the EFSM system model presented in [Vay02] and shown in Figure 2.2. We have developed an EFSM model for the VMS case study, given in Appendix B.2 and the CCS case study, given in Appendix C.2. Requirements in English for the ATM, VMS and CCS are presented in Section 2.2.2, Appendix B.1 and Appendix C.1, respectively.

For each EFSM model, the following experiments have been carried out:

1. Formal representation of the requirements in an EFSM model;
2. Automatic generation of the SDG related to the EFSM model;
3. Automatic generation of the set of df-chains in the EFSM model,
4. Automatic generation of a test suite related to each requirement in the EFSM,

The output obtained after conducting these experiments are given in the following sections of this chapter.

#### 6.1 ATM Case Study

The requirements of the ATM System (given in Section 2.2.2 of this thesis) are formally represented as an EFSM model (Figure 2.2). Results of the SDG and df-chain generation for the ATM EFSM are shown in Appendix A.3 and Appendix A.4 respectively.

Let  $M$  denote the ATM EFSM,

$R$  be the set of requirements for  $M$ ,  $R = \{r \mid r \text{ is a requirement in } M\}$ .  $R = \{T3, T5, T6, T7, T9\}$

$S_{r\_elem}$  be the set of elementary Static Interaction Patterns or  $SIP_{elem}$ s for a requirement  $r$  in  $R$ ,

$S_r$  be the set of Static Interaction Patterns or SIPs for a requirement  $r$  in  $R$ ,

$TS_r$  be the test suite generated for  $r$  in  $R$  by using the test generation approach proposed in this thesis.

$S_{r\_elem}$ ,  $S_r$  and  $TS_r$  have been generated for the ATM EFSM. Table 6.1 below shows the test generation results:

**Table 6.1 Test generation output for the ATM EFSM**

<b>TUT</b>	<b><math> S_{r\_elem} </math></b>	<b>Expected <math> S_r </math></b>	<b>Obtained <math> S_r </math></b>	<b><math> TS_r </math></b>
<b>T3</b>	2	3	3	3
<b>T5</b>	4	14	14	14
<b>T6</b>	4	14	14	14
<b>T7</b>	17	57	57	57
<b>T9</b>	0	0	0	0

The column titled “Expected  $|S_r|$ ” shows the expected size of  $S_r$  for a requirement  $r$ . These numbers were obtained by the test suite reduction experiments conducted in [Vay02] and in [Rit04], in addition we have manually generated  $S_r$  for each  $r$  in  $R$  by generating all possible SIPs for  $r$  from the SDG, to confirm these results obtained by [Vay02]<sup>10</sup> and [Rit04]. The “Obtained  $|S_r|$ ” column shows the size of  $S_r$  we obtained after applying the test generation method we proposed in this thesis.  $S_r$  for  $r = T5$  is shown in

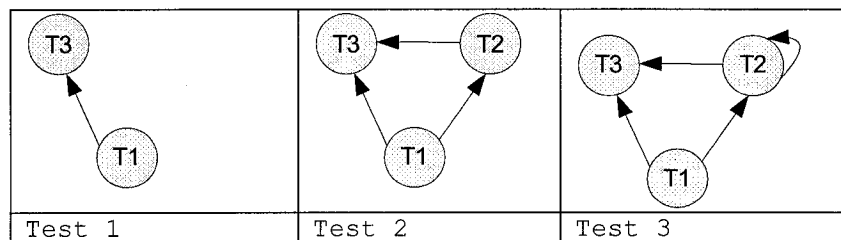
<sup>10</sup> Authors in [1] have first mistakenly obtained  $|S_r| = 16$  for both  $r = T5$  and  $T6$ . They later confirmed the values for  $|S_r|$  for  $r = T5$  and  $T6$  as identified by us and as shown in Table 6.1 after our personal communication with them

Appendix A.5 of this thesis. Note that the obtained  $S_r$  set matches the expected  $S_r$  for each  $r$  in  $R$ .

Test suite  $TS_r$  for each requirement  $r$  in  $R$  is shown in Appendix A.6 of this thesis. It is important to note that  $TS_r$  contains both feasible and infeasible test sequences for a requirement  $r$ . Unfeasible test sequences are marked by \* in Appendix A.6 and B.6 and C.6 of the thesis.  $TS_r$  for  $r = T3$  for instance is shown in Table 6.2 below. Figure 6.1 illustrates  $S_r$  for  $r = T3$ . Test\_1, Test\_2 and Test\_3 have been generated for each SIP in  $S_r$ . None of these three tests is feasible because self loop transition T2 in the ATM EFSM needs to be traversed exactly three times for transition T3 to be taken in a test sequence. This is due to the fact that, according to our test generation method, EFSM loops are traversed at most once. Feasible test sequences wrt  $r = T3$  are obtained if T2 is traversed a third time in Test\_3. On the other hand, when  $r = T5$  or T6 or T7, all paths leading to  $r$  are feasible, hence all generated tests are feasible for this  $r$ .

**Table 6.2  $TS_r$  for T3 in the ATM EFSM**

ATM_System			
T3			
Test	Test_1	T1	T3
Test	Test_2	T1	T2 T3
Test	Test_3	T1	T2 T2 T3



**Figure 6.1 SIP, for T3 in the ATM EFSM**

For  $TUT = T9$ ,  $|S_r| = 0$ . This can be explained by the fact that no df-chains wrt T9 are generated by TSG, because no variable is used in T9.

## 6.2 Vending Machine Case Study

The requirements of the Vending Machine System (given in Appendix B.1) are formally represented as an EFSM model (Appendix B.2). The SDG generated for the VMS EFSM is given in Appendix B.3. The df-chains generated wrt requirements in  $r= T2$  and  $r= T5$  are given in Appendix B.4 and  $TS_r$ , an example of  $S_r$  is given in Appendix B.5 for  $TUT = T2$ , the test suite generated for a requirement  $r$  is given for each requirement  $r$  in  $R$  in Appendix B.6 of this thesis. Table 6.3 below shows the sizes of the generated test suites.

**Table 6.3 Test generation output for the VMS EFSM**

<b>TUT</b>	<b><math> S_{r\_elem} </math></b>	<b><math> S_r </math></b>	<b><math> TS_r </math></b>
<b>T2</b>	5	7	7
<b>T5</b>	20	40	40
<b>T8</b>	16	28	28
<b>T11</b>	14	24	24
<b>T12</b>	14	24	24

According to the  $S_r$  Generation algorithm, self loop data dependencies are not considered when generating the set of df-chains in the EFSM. This implies that self loop data dependence edges that represent such data dependencies shall not occur in an elementary SIP. However, we noticed that some of the elementary SIPs generated for the VMS EFSM have a self loop data dependence edges. We have explained this by making the following statement: if, for an elementary SIP generated for a df-chain  $df$ , a data dependence edge corresponding to a du-pair  $du$  such that  $du$  is not in  $df$ , occurs in this

SIP, then the def-clear path of  $du$  is a subpath of the activating path of  $df$ . The following example illustrates this statement

Take  $SIP_{e\_12}$ , and elementary SIP in  $S_{r\_elem}$  with index 12 for  $r = T8$ .  $SIP_{e\_12}$  is generated from df-chain  $df = \{(d_{T3}^{totalDeposit}, u_{T8}^{totalDeposit}), (d_{T8}^{change}, u_{T12}^{change}), (d_{T12}^{credit}, u_{T4}^{credit}), (d_{T4}^{price}, u_{T8}^{price})\}$ .  $SIP_{e\_12}$  is shown in Figure 6.2.

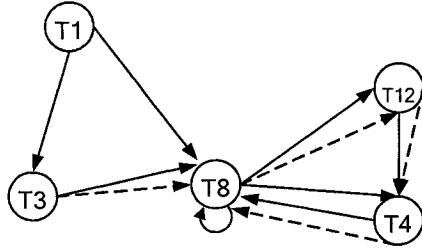


Figure 6.2  $SIP_{e\_12}$  for  $r = T8$  in the VMS EFSM

Let  $ap_{df} = (T1, T3, T8, T12, T4, T8)$  be an activating path of  $df$   $ap_{df}$  (T1 is a prefix for  $ap_{df}$ , def-clear path for  $(d_{T3}^{totalDeposit}, u_{T8}^{totalDeposit}) = (T3, T8)$ , def-clear path for  $(d_{T8}^{change}, u_{T12}^{change}) = (T8, T12)$ , def-clear path for  $(d_{T12}^{credit}, u_{T4}^{credit}) = (T12, T4)$  and def-clear path for  $(d_{T4}^{price}, u_{T8}^{price}) = (T4, T8)$ )

Self loop data dependence edge on node T8 in the SDG of the VMS EFSM represents a du-pair from transition T8 to transition T8 in the VMS EFSM, wrt variables  $\{Q, D, N, B, G, M\}$ . For instance take du-pair  $du = (d_{T8}^Q, u_{T8}^Q)$  wrt variable  $Q$  in the VMS EFSM with def-clear path  $dfc_{du} = (T8, T12, T4, T8)$ .  $du$  is represented by self loop data dependence edge on node T8 in  $SIP_{e\_12}$ . This edge occurs in  $SIP_{e\_12}$  because  $dfc_{du} = (T8, T12, T4, T8)$  is a subpath of  $ap_{df} = (T1, T3, T8, T12, T4, T8)$ .

### 6.3 Cruise Control Case Study

A Cruise Control System (CCS) maintains the speed of a car at a pre-selected value. The requirements of the CCS (given in Appendix C.1) are formally represented as an EFSM model (Appendix C.2). The SDG generated for the CCS EFSM is given in Appendix C.3. The df-chains generated wrt requirements T3, T4, T8, T11, T12, are given in Appendix C.4. Table 6.4 below shows the sizes of the generated test suites for each requirement  $r$  in  $R$ . Note that all test sequences in  $TS_r$  for each  $r$  in  $R$  are feasible in the CCS, due to the fact that, for each transition  $t$  in the CCS EFSM that has a predicate expression, the constraints of this predicate apply to the variables that are defined in the input of  $t$ . This is in turn due to the nature of the CCS. It has a monitor that reads the current speed of the vehicle every second or so. Therefore, variables used in the predicate of a transition do not conflict with variables in other transitions in the EFSM.

**Table 6.4 Test generation output for the CCS EFSM**

<b>TUT</b>	<b><math> S_{r\_elem} </math></b>	<b><math> S_r </math></b>	<b><math> TS_r </math></b>
<b>T2</b>	0	0	0
<b>T3</b>	429	1465	1465
<b>T4</b>	429	1465	1465
<b>T5</b>	428	1468	1468
<b>T6</b>	106	408	408
<b>T7</b>	114	440	440
<b>T8</b>	0	0	0
<b>T9</b>	0	0	0
<b>T10</b>	0	0	0
<b>T11</b>	129	435	435
<b>T13</b>	0	0	0

The size of the  $S_r$  generated for each  $r$  in the CCS EFSM is considerably large compared to the size of  $S_r$ 's obtained in the ATM and the VMS case studies, due to the

generation of large  $AP_{df}$ 's for df-chains wrt a requirement. For example, Table 6.5 below shows the set of df-chains obtained for TUT= T3 in the CCS EFSM:

**Table 6.5 Illustration of  $|AP_{df}|$  for df-chain df**

	<i>df</i>	$ AP_{df} $
1	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7), d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T03,2)}	32
2	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7), d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T06,3) d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T03,2)}	448
3	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7), d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3) d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T03,2)}	2048
4	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7), d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3), d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T03,2)}	448
5	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7), d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T06,3), d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T07,3), d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T03,2)}	12992

The size of the set of activating paths obtained for df-chains for the CCS EFSM (for TUT = T3 and all TUTs) is considerably large, due to the existence of multiple self loop transitions on one state in the CCS EFSM. (In fact, state S2 has 8 loops, namely, T3, T4, T5, T6, T7, (T8, T11), (T9, T11), (T10, T11)). The number of possible def-clear paths generated for a du-pair that traverses state S2 is large because all combinations of applicable loops are considered, and therefore, the number of activating paths for a df-chain containing more than one of such du-pairs is very large. For example, consider *df*#2 for TUT = T3 shown in Table 6.6 below, where  $2048 = 1*64*32$

**Table 6.6 Details of  $|AP_{df}|$  for df-chain #2 for TUT=T3**

df #	<i>df</i> for TUT = T3	# def-clear paths for du-pairs of <i>df</i>	$ AP_{df} $
2	(0,current_speed,T02,3)(1,current_speed,T02,7)	1	2048
	(0,cruise_speed,T02,8)(1,cruise_speed,T06,3)	64	
	(0,cruise_speed,T06,4)(2,cruise_speed,T03,2)	32	

When generating a def-clear path that traverses state S2, all possibilities of traversal of loops (with def-clear transitions) on S2 are considered. There is no need to take order of traversal of these loops into account. We used the notion of *combination* to determine a pattern of traversal of such loops. A *combination* is an unordered grouping of a set. Combinations are represented as  ${}_nC_r$ , where unordered subgroups of size  $r$  are selected from a set of size  $n$ .  ${}_nC_r$  is calculated as follows:

$${}_nC_r = \frac{n!}{(n-r)!r!}$$

For instance, let TUT = T3,  $df$  be the df-chain # 2 for TUT,  $du$  be the second du-pair of  $df$ .  $du = (0, cruise\_speed, T02, 8)(1, cruise\_speed, T06, 3)$  and  $DFSet_{du}$  denote the set of  $dfc_{du}$  of def-clear paths of  $du$  denote a def-clear path for du-pair  $du$ . The set of EFSM transitions traversed in df-clear paths of  $df$  are {T2, T3, T4, T5, T8, T9, T10, T11, T12}.

6 EFSM self loops can be traversed by def-clear paths of  $du$ :

1. T3
2. T4
3. T5
4. (T8, T11)
5. (T9, T11)
6. (T10, T11)

Let  $Comb(df_{du})$  denote the set of combinations of loops traversed in a row in def-clear paths of  $du$ .

$$\begin{aligned} |Comb(df_{du})| &= {}_6C_0 + {}_6C_1 + {}_6C_2 + {}_6C_3 + {}_6C_4 + {}_6C_5 + {}_6C_6 \\ &= \frac{6!}{(6-0)!*0!} + \frac{6!}{(6-1)!*1!} + \frac{6!}{(6-2)!*2!} + \frac{6!}{(6-3)!*3!} + \frac{6!}{(6-4)!*4!} + \end{aligned}$$

$$\frac{6!}{(6-5)!*5!} + \frac{6!}{(6-6)!*6!}$$

$$= 1 + 6 + 15 + 20 + 15 + 6 + 1 = 64$$

After performing the three experiments, it is observed that the TSG program provides ease of use and substantial degree of automation for the generation of efficient requirement-based test suites. The size of the generated test suite varies considerably with the number of loops in the EFSM, that we force to be traversed 0 and 1 times in a test sequence. Table 6.7 below illustrates the complexity of the three EFSMs in regards if the number of loops, states and transitions in a given EFSM.

**Table 6.7 Complexity of the ATM, VMS and CCS EFSM's**

	<b>ATM EFSM</b>	<b>VMS EFSM</b>	<b>CCS EFSM</b>
<b># of loops</b>	4	4	8
<b># of states</b>	5	5	5
<b>#of transitions</b>	9	12	14
<b>Average size of <math> TS_r </math></b>	18	25	228
<b>loops</b>	T2 T5, T8 T6, T8 T7, T8	T3, T8, T12 T4, T8, T12 T5 T6	T3 T4 T5 T6 T7 T8,T11 T9,T11 T10,T11

## Chapter 7

### Conclusions

#### 7.1 Final Remarks

The problem addressed by this thesis is that of generating efficient requirement based test suites by using dependence analysis. In the thesis, we assume that requirements can be represented as a single EFSM and each requirement can be adequately represented by a single transition. The generated test suites cover all possible patterns of interactions between data and control dependencies in an EFSM model.

The problem was approached in this thesis by formalizing the generation of the SDG for an EFSM and by considering an interaction pattern between data and control dependencies of the EFSM as df-chain and its activating path. The df-chain captures data dependencies in this interaction pattern, whereas control dependencies in this interaction pattern are captured by the activating path associated with this df-chain. An existing notion of df-chains was adapted and several assumptions were made to solve this problem.

It is interesting to note that the EFSM model has not been widely applied for verification, and particularly for automatic test pattern generation. The reason of this fall down depends on the difficulty of traversing an EFSM. The existence of loops and the existence of infeasible paths in an EFSM graph due to conflicts among actions and conditions in the EFSM are major problems when generating test sequences from an EFSM model. In this thesis we have addressed the existence of loops by restricting the number of times they are traversed in a test sequence. Traversing loops 0 and 1 time in the ATM EFSM for example, didn't impact on the interaction coverage capability of the

generated test suite for this EFSM. The minimal test suites identified by experiments conducted in [Vay02] and [Rit04] for the ATM EFSM are automatically generated by the test generation method we propose in this thesis.

## 7.2 Summary of Contributions

Below we list the major contributions of the thesis:

We have formalized the generation of the SDG in the SDG generation algorithm. Then, we analyzed the reduction approach based on dependence analysis proposed in [Rit04] to define a novel test generation method for requirements in an EFSM model, based on a SDG. The test generation method proposed in this thesis solved the complex problem of automatically generated all patterns of interactions between data and control dependencies of the EFSM, wrt a requirement under test. We have adapted the notion of df-chains proposed in [UY93] to the requirements to construct such interaction patterns.

We have proposed and developed algorithms to generate the set of df-chains wrt a requirement under test in an EFSM. In another contribution, we proposed and implemented algorithms to generate the set of static interaction patterns and the test suite for a requirement under test.

We have developed a test suite generation program which automates the generation of efficient test suite for requirements represented in an EFSM models.

The VMS and CCS EFSMs were defined, and three case studies conducted to test the proposed test generation approach.

### 7.3 Directions for Future Research

The part of the test generation method that was not fully addressed in this thesis and should be addressed in future work is the identification of infeasible tests in the generated test suites. A program needs to be developed to automatically generate the path predicate of each generated test sequence. A batch session of a numerical optimization tool such as AMPL can be executed from the path predicate generation program to evaluate the path predicate. A test sequence is feasible if its path predicate evaluates to true.

On another hand, we assumed that each requirement can uniquely be represented by a single transition in the given EFSM. It would also be interesting to expand the approach presented in this thesis to those EFSMs where some requirements may uniquely be represented by a sequence of transitions.

Analysis of the effectiveness of the proposed test generation method also needs to be performed. One way to evaluate the effectiveness of this method is to compare it against other commonly used test generation methods for EFSMs. Such methods involve criteria such as 1) Transition coverage, where each transition in the EFSM is covered at least once, 2) Constrained  $N$  Path coverage, where each path in the EFSM is covered at least once, subject to the constraint that a transition may not appear on such a path more than  $N$  times, and 3) Predicate coverage, where each transition is covered at least once while applying well known techniques from predicate testing to the transition guard conditions. There are several dimensions along which the various methods could be compared such as the expressive power of the notations used, the amount of information required to be modeled to generate an effective set of test cases, the extent of automated support for the technique, number of generated test sequences, test feasibility identification capability,

fault detection effectiveness, of the generated test cases. Before performing performance evaluation, the test objective(s) needs to be identified. For the method proposed in the thesis, our test objectives would be 1) A small number of generated test sequences, 2) Support for complex state based systems, 3) Test feasibility identification. Nonetheless, it would be interesting to investigate on its fault detection effectiveness. This can be done by using the ATM and VMS case studies presented in Chapter 6, as follows:

- 1) Test suites are generated by a) proposed method (Requirement based test generation using static dependence analysis), b) transition coverage, c) constrained  $N$  path coverage, and d) predicate coverage. Test suites for the last three methods are generated automatically or manually depending on the availability of tool support. Test suites for the method we proposed are generated for each requirement in ATM and VMS EFSMs by using the TSG tool
- 2) Implementations for the ATM and VMS are produced. Java can be used for these implementations where the ATM system for example, can be implemented by using 2 classes with 20 lines of code, 10 statements and 4 branches
- 3) Several faulty versions of these implementations are generated
- 4) The generated test suites are applied by using each method on both the correct and the faulty implementations
- 5) Branch and statement coverage is measured for the four methods in both the correct and the faulty implementations. Methods will be evaluated and compared based on the percentage of coverage performed and based on the number of faults caught.

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## APPENDIX A: ATM System

### A.1 Requirements of the ATM

The requirements and the EFSM for the ATM system are given in Section 2.2.2 of this thesis

### A.2 “.efsm” file for the ATM EFSM

```
ATM_System
5 0 4

transition T1
0 1
Card(pin, b) /
Prompt_for_PIN()
attempts := constant

transition T2
1 1
PIN(p)
p, pin, attempts /* [(p!=pin) and (attempts<3)] */ /
Display_error()
attempts := function(attempts)
Prompt_for_PIN()

transition T3
1 4
PIN(p)
p, pin, attempts /* [(p!=pin) and (attempts==3)] */ /
Display_error()
Eject_card()

transition T4
1 2
PIN(p)
p, pin /* [p==pin] */ /
Display_menu()

transition T5
2 3
Withdrawal(w) /
b := function(b, w)

transition T6
2 3
Deposit(d) /
b := function(b, d)

transition T7
2 3
Balance() /
```

```

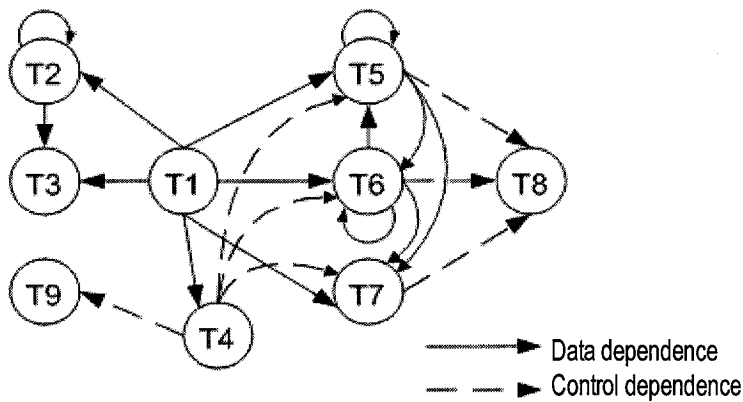
print(b)

transition T8
3 2
Continue() /
Display_menu()

transition T9
2 4
Exit() /
Eject_card()

```

### A.3 SDG of the ATM EFSM



### A.4 df-chains of the ATM EFSM

Note that the bold part of an *ap* is the prefix generated for this *ap* when the corresponding df-chain doesn't start by a transition which start state is not the start state of the EFSM.

#### The set of df-chains generated for T<sub>UT</sub> = T<sub>3</sub>

	<i>Df</i>	<i>AP<sub>df</sub></i>
1	{d( <i>attempts</i> ,T1,3),u( <i>attempts</i> ,T3,2)}	(T1,T3)
2	{d( <i>attempts</i> ,T1,3),u( <i>attempts</i> ,T2,5) d( <i>attempts</i> ,T2,6),u( <i>attempts</i> ,T3,2)}	(T1,T2, T3)
3	{d( <i>pin</i> ,T1,2),u( <i>pin</i> ,T3,3)}	(T1,T3) (T1,T2, T3)

#### The set of df-chains generated for T<sub>UT</sub> = T<sub>5</sub>

	<i>Df</i>	<i>AP<sub>df</sub></i>
1	{d(b,T1,1), u(b,T5,3)}	(T1,T2,T4,T5) (T1,T2,T4,T7,T8,T5) (T1,T4,T5) (T1,T4,T7,T8,T5)
2	{d(d,T6,1), u(d,T6,2), d(b,T6,4), u(b,T5,3)}	(T1,T2,T4,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5) (T1,T4,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T6,T8,T7,T8,T5)
3	{d(b,T1,1), u(b,T6,3), d(b,T6,4), u(b,T5,3)}	(T1,T2,T4,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5) (T1,T4,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T6,T8,T7,T8,T5)
4	{d(w,T5,1), u(w,T5,2), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T5,3)}	(T1,T2,T4,T5,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T6,T8,T5) (T1,T4,T5,T8,T6,T8,T5) (T1,T4,T7,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5)
5	{d(b,T1,1), u(b,T5,3), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T5,3)}	(T1,T2,T4,T5,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T6,T8,T5) (T1,T4,T5,T8,T6,T8,T5) (T1,T4,T7,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5)

		(T1,T2,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5)
6	{d(d,T6,1), u(d,T6,2), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T5,3)}	(T1,T2,T4,T6,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5,T8,T6,T8,T5) (T1,T4,T6,T8,T5,T8,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6,T8,T5) (T1,T4,T6,T8,T7,T8,T5,T8,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6,T8,T5) (T1,T2,T4,T6,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T6,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T6,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6,T8,T7,T8,T5) (T1,T4,T6,T8,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5) (T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5)

**The generated set of df-chains for TUT = T6**

	<i>Df</i>	<i>AP<sub>df</sub></i>
1	{d(b,T1,1), u(b,T6,3)}	(T1,T2,T4,T6) (T1,T2,T4,T7,T8,T6) (T1,T4,T6) (T1,T4,T7,T8,T6)
2	{d(w,T5,1), u(w,T5,2),	(T1,T2,T4,T5,T8,T6)

	$d(b,T5,4), u(b,T6,3)$	<b>(T1,T2,T4,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T5,T8,T7,T8,T5)</b> <b>(T1,T4,T7,T8,T5,T8,T7,T8,T6)</b>
3	$\{d(b,T1,1), u(b,T5,3), d(b,T5,4), u(b,T6,3)\}$	<b>(T1,T2,T4,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T7,T8,T5,T8,T7,T8,T6)</b>
4	$\{d(d,T6,1), u(d,T6,2), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T6,3)\}$	<b>(T1,T2,T4,T6,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T5,T8,T6)</b> <b>(T1,T4,T6,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b>
5	$\{d(b,T1,1), u(b,T6,3), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T6,3)\}$	<b>(T1,T2,T4,T6,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T5,T8,T6)</b> <b>(T1,T4,T6,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b> <b>(T1,T4,T6,T8,T7,T8,T5,T8,T6)</b> <b>(T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)</b>
6	$\{d(w,T5,1), u(w,T5,2), d(b,T5,4), u(b,T6,3),\}$	<b>(T1,T2,T4,T5,T8,T6,T8,T5,T8,T6)</b> <b>(T1,T2,T4,T7,T8,T5,T8,T6,T8,T5,T8,T6)</b>

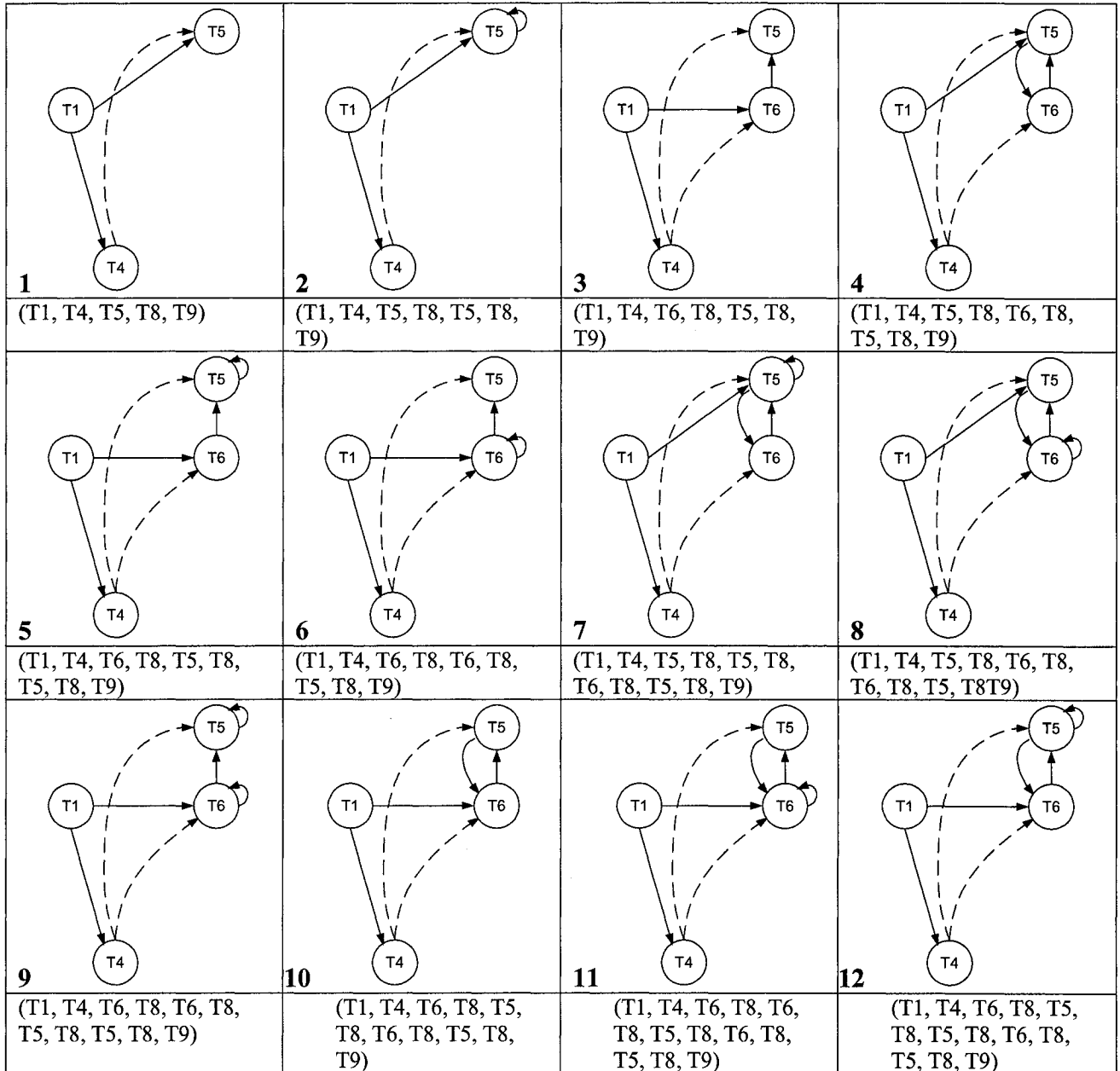
$d(b,T6,4), u(b,T5,3),$ $d(b,T5,4), u(b,T6,3)\}$	<p>(T1,T4,T5,T8,T6,T8,T5,T8,T6)  (T1,T4,T7,T8,T5,T8,T6,T8,T5,T8,T6)  (T1,T2,T4,T5,T8,T7,T8,T6,T8,T5,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5,T8,T6)  (T1,T4,T5,T8,T7,T8,T6,T8,T5,T8,T6)  (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5,T8,T6)  (T1,T2,T4,T5,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T5,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T2,T4,T5,T8,T5,T8,T6,T8,T5,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T4,T5,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T4,T7,T8,T5,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T5,T8,T7,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T4,T5,T8,T7,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T5,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)  (T1,T4,T7,T8,T5,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)  (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)  (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T7,T8,T6)  (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T6)</p>
---	---

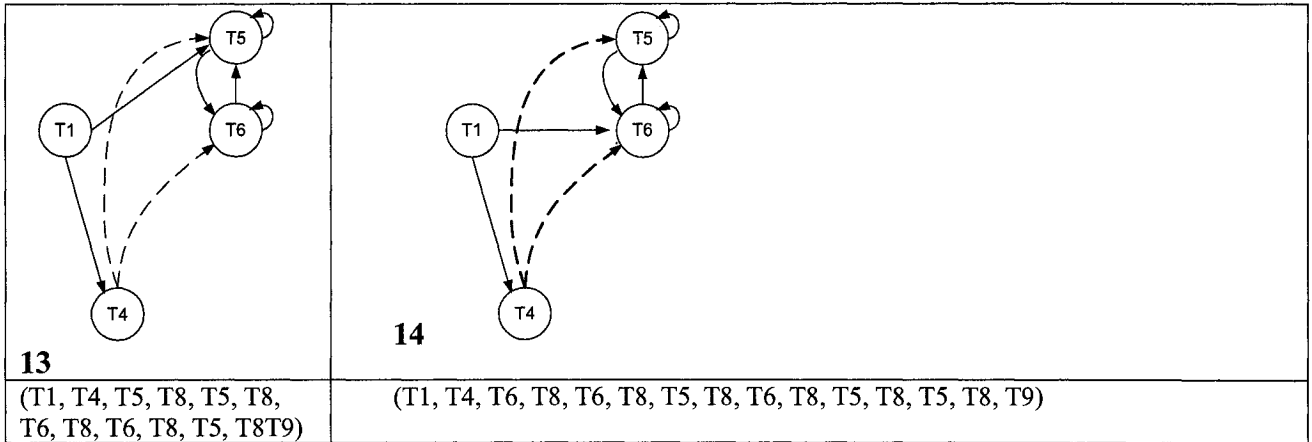
**The generated set of df-chains for TUT = T7**

	<i>Df</i>	<i>AP<sub>df</sub></i>
1	$\{d(b,T1,1), u(b,T7,1)\}$	(T1,T2,T4,T7) (T1,T4,T7)
2	$\{d(w,T5,1), u(w,T5,2),$ $d(b,T5,4), u(b,T7,1)\}$	(T1,T2,T4,T5,T8,T7) (T1,T2,T4,T6,T8,T5,T8,T7) (T1,T4,T5,T8,T7) (T1,T4,T6,T8,T5,T8,T7)
3	$\{d(b,T1,1), u(b,T5,3),$ $d(b,T5,4), u(b,T7,1)\}$	(T1,T2,T4,T5,T8,T7) (T1,T2,T4,T7,T8,T5,T8,T7) (T1,T4,T5,T8,T7) (T1,T4,T7,T8,T5,T8,T7)
4	$\{d(d,T6,1), u(d,T6,2),$ $d(b,T6,4), u(b,T5,3),$ $d(b,T5,4), u(b,T7,1)\}$	(T1,T2,T4,T6,T8,T5,T8,T7) (T1,T4,T6,T8,T5,T8,T7) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T7)

		(T1,T4,T6,T8,T7,T8,T5,T8,T7)
5	{d(b,T1,1), u(b,T6,3), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T7,1)}	(T1,T2,T4,T6,T8,T5,T8,T7) (T1,T2,T4,T7,T8,T6,T8,T5,T8,T7) (T1,T4,T6,T8,T5,T8,T7) (T1,T4,T7,T8,T6,T8,T5,T8,T7) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T7) (T1,T2,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7) (T1,T4,T6,T8,T7,T8,T5,T8,T7) (T1,T4,T7,T8,T6,T8,T7,T8,T5,T8,T7)
6	{d(w,T5,1), u(w,T5,2), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T7,1)}	(T1,T2,T4,T5,T8,T6,T8,T5,T8,T7) (T1,T4,T5,T8,T6,T8,T5,T8,T7) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T5,T8,T7) (T1,T4,T5,T8,T7,T8,T6,T8,T5,T8,T7) (T1,T2,T4,T5,T8,T6,T8,T7,T8,T5,T8,T7) (T1,T4,T5,T8,T6,T8,T7,T8,T5,T8,T7) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T7) (T1,T4,T5,T8,T7,T8,T6,T8,T7,T8,T5,T8,T7)
7	{d(d,T6,1), u(d,T6,2), d(b,T6,4), u(b,T7,1)}	(T1,T2,T4,T6,T8,T7) (T1,T2,T4,T5,T8,T6,T8,T7) (T1,T4,T6,T8,T7) (T1,T4,T5,T8,T6,T8,T7)
8	{d(b,T1,1), u(b,T6,3), d(b,T6,4), u(b,T7,1)}	(T1,T2,T4,T6,T8,T7) (T1,T2,T4,T7,T8,T6,T8,T7) (T1,T4,T6,T8,T7) (T1,T4,T7,T8,T6,T8,T7)
9	{d(w,T5,1), u(w,T5,2), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T7,1)}	(T1,T2,T4,T5,T8,T6,T8,T7) (T1,T4,T5,T8,T6,T8,T7) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7) (T1,T4,T5,T8,T7,T8,T6,T8,T7)
10	{d(b,T1,1), u(b,T5,3), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T7,1)}	(T1,T2,T4,T5,T8,T6,T8,T7) (T1,T2,T4,T7,T8,T5,T8,T6,T8,T7) (T1,T4,T5,T8,T6,T8,T7) (T1,T4,T7,T8,T5,T8,T6,T8,T7) (T1,T2,T4,T5,T8,T7,T8,T6,T8,T7) (T1,T2,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7) (T1,T4,T5,T8,T7,T8,T6,T8,T7) (T1,T4,T7,T8,T5,T8,T7,T8,T6,T8,T7)
11	{d(d,T6,1), u(d,T6,2), d(b,T6,4), u(b,T5,3), d(b,T5,4), u(b,T6,3), d(b,T6,4), u(b,T7,1)}	(T1,T2,T4,T6,T8,T5,T8,T6,T8,T7) (T1,T4,T6,T8,T5,T8,T6,T8,T7) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T6,T8,T7) (T1,T4,T6,T8,T7,T8,T5,T8,T6,T8,T7) (T1,T2,T4,T6,T8,T5,T8,T7,T8,T6,T8,T7) (T1,T4,T6,T8,T5,T8,T7,T8,T6,T8,T7) (T1,T2,T4,T6,T8,T7,T8,T5,T8,T7,T8,T6,T8,T7) (T1,T4,T6,T8,T7,T8,T5,T8,T7,T8,T6,T8,T7)

**A.5  $S_r$  generation for the ATM EFSM, Example of TUT = T5**





**A.6 TS<sub>r</sub> generation for the ATM EFSM**

\* Infeasible test sequence

**An example of the TS file: TS<sub>r</sub> for TUT = T3**

```
ATM_System
T3
Test Test_1 T1 T3*
Test Test_2 T1 T2 T3*
Test Test_3 T1 T2 T2 T3*
```

**TS<sub>r</sub> for TUT = T5**

```
ATM_System
T5
Test Test_1 T1 T4 T5 T8 T9
Test Test_2 T1 T4 T5 T8 T5 T8 T9
Test Test_3 T1 T4 T6 T8 T5 T8 T9
Test Test_4 T1 T4 T5 T8 T6 T8 T5 T8 T9
Test Test_5 T1 T4 T6 T8 T5 T8 T5 T8 T9
Test Test_6 T1 T4 T6 T8 T6 T8 T5 T8 T9
Test Test_7 T1 T4 T5 T8 T6 T8 T5 T8 T5 T8 T9
Test Test_8 T1 T4 T5 T8 T6 T8 T6 T8 T5 T8 T9
Test Test_9 T1 T4 T6 T8 T6 T8 T5 T8 T5 T8 T9
Test Test_10 T1 T4 T6 T8 T5 T8 T6 T8 T5 T8 T9
Test Test_11 T1 T4 T6 T8 T6 T8 T5 T8 T6 T8 T5 T8 T9
Test Test_12 T1 T4 T6 T8 T5 T8 T5 T8 T6 T8 T5 T9
Test Test_13 T1 T4 T5 T8 T5 T8 T6 T8 T6 T8 T5 T8 T9
Test Test_14 T1 T4 T6 T8 T6 T8 T5 T8 T5 T8 T6 T8 T5 T8 T9
```

**TS<sub>r</sub> for TUT = T6**

```
ATM_System
T6
Test Test_1 T1 T4 T6 T8 T9
Test Test_2 T1 T4 T6 T8 T6 T8 T9
Test Test_3 T1 T4 T5 T8 T6 T8 T9
```

```

Test Test_4 T1 T4 T6 T8 T5 T8 T6 T8 T9
Test Test_5 T1 T4 T5 T8 T6 T8 T6 T8 T9
Test Test_6 T1 T4 T5 T8 T5 T8 T6 T8 T9
Test Test_7 T1 T4 T6 T8 T5 T8 T6 T8 T6 T8 T9
Test Test_8 T1 T4 T6 T8 T5 T8 T5 T8 T6 T8 T9
Test Test_9 T1 T4 T5 T8 T5 T8 T6 T8 T6 T8 T9
Test Test_10 T1 T4 T5 T8 T6 T8 T5 T8 T6 T8 T9
Test Test_11 T1 T4 T5 T8 T5 T8 T6 T8 T5 T8 T6 T8 T9
Test Test_12 T1 T4 T5 T8 T6 T8 T6 T8 T5 T8 T6 T9
Test Test_13 T1 T4 T6 T8 T6 T8 T5 T8 T5 T8 T6 T8 T9
Test Test_14 T1 T4 T5 T8 T5 T8 T6 T8 T6 T8 T5 T8 T6 T8 T9

```

**TS, for TUT = T7**

```

ATM_System
T7
Test Test_1 T1 T4 T7 T8 T9
Test Test_2 T1 T4 T5 T8 T7 T8 T9
Test Test_3 T1 T4 T6 T8 T5 T8 T7 T8 T9
Test Test_4 T1 T4 T7 T8 T5 T8 T7 T8 T9
Test Test_5 T1 T4 T6 T8 T7 T5 T8 T7 T8 T9
Test Test_6 T1 T4 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_7 T1 T4 T7 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_8 T1 T4 T5 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_9 T1 T4 T5 T8 T7 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_10 T1 T4 T6 T8 T7 T8 T9
Test Test_11 T1 T4 T5 T8 T6 T8 T7 T8 T9
Test Test_12 T1 T4 T7 T8 T6 T8 T7 T8 T9
Test Test_13 T1 T4 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_14 T1 T4 T7 T8 T5 T8 T6 T8 T7 T8 T9
Test Test_15 T1 T4 T7 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_16 T1 T4 T6 T8 T5 T8 T6 T8 T7 T8
Test Test_17 T1 T4 T6 T8 T7 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_18 T1 T4 T5 T8 T5 T8 T7 T8 T9
Test Test_19 T1 T4 T6 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_20 T1 T4 T6 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_21 T1 T4 T6 T8 T6 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_22 T1 T4 T7 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_23 T1 T4 T6 T8 T6 T8 T7 T5 T8 T7 T8 T9
Test Test_24 T1 T4 T6 T8 T7 T5 T8 T5 T8 T7 T8 T9
Test Test_25 T1 T4 T6 T8 T6 T8 T7 T5 T8 T5 T8 T7 T8 T9
Test Test_26 T1 T4 T8 T6 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_27 T1 T4 T8 T6 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_28 T1 T4 T8 T6 T8 T6 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_29 T1 T4 T7 T8 T6 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_30 T1 T4 T7 T8 T6 T8 T7 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_31 T1 T4 T7 T8 T6 T8 T6 T8 T7 T8 T5 T8 T5 T8 T7 T8 T9
Test Test_32 T1 T4 T5 T8 T5 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_33 T1 T4 T5 T8 T6 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_34 T1 T4 T5 T8 T5 T8 T6 T8 T6 T8 T5 T8 T7 T8 T9
Test Test_35 T1 T4 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_36 T1 T4 T5 T8 T5 T8 T7 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_37 T1 T4 T5 T8 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T5 T8 T7 T8 T9
Test Test_38 T1 T4 T6 T8 T6 T8 T7 T8 T9
Test Test_39 T1 T4 T5 T8 T5 T8 T6 T8 T7 T8 T9
Test Test_40 T1 T4 T5 T8 T6 T8 T6 T8 T7 T8 T9

```

```

Test Test_41 T1 T4 T5 T8 T5 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_42 T1 T4 T7 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_43 T1 T4 T5 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_44 T1 T4 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_45 T1 T4 T5 T8 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_46 T1 T4 T7 T8 T5 T8 T5 T8 T6 T8 T7 T8 T9
Test Test_47 T1 T4 T7 T8 T5 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_48 T1 T4 T7 T8 T5 T8 T5 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_49 T1 T4 T7 T8 T5 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_50 T1 T4 T7 T8 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_51 T1 T4 T7 T8 T5 T8 T5 T8 T7 T8 T6 T8 T6 T8 T7 T8 T9
Test Test_52 T1 T4 T6 T8 T6 T8 T5 T8 T6 T8 T7 T8
Test Test_53 T1 T4 T6 T8 T5 T8 T5 T8 T6 T8 T7 T8
Test Test_54 T1 T4 T6 T8 T6 T8 T5 T8 T5 T8 T6 T8 T7 T8
Test Test_55 T1 T4 T6 T8 T6 T8 T7 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_56 T1 T4 T6 T8 T7 T8 T5 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9
Test Test_57 T1 T4 T6 T8 T6 T8 T7 T8 T5 T8 T5 T8 T7 T8 T6 T8 T7 T8 T9

```

**A.7 An example of the IP file for SIP # 3 for TUT=T5**

<pre> ATM_System T5 IP_T5_3 df-chain (0,b,T1,1) (1,b,T6,3) (0,b,T6,4) (1,b,T5,3) Number of paths: 8 T1,T2,T4,T6,T8,T5 T1,T2,T4,T7,T8,T6,T8,T5 T1,T4,T6,T8,T5 T1,T4,T7,T8,T6,T8,T5 T1,T2,T4,T6,T8,T7,T8,T5 T1,T2,T4,T7,T8,T6,T8,T7,T8,T5 T1,T4,T6,T8,T7,T8,T5 T1,T4,T7,T8,T6,T8,T7,T8,T5 df-chain (0,d,T6,1) (1,d,T6,2) (0,b,T6,4) (1,b,T5,3) Number of paths: 8 T1,T2,T4,T6,T8,T5 T1,T2,T4,T7,T8,T6,T8,T5 T1,T4,T6,T8,T5 T1,T4,T7,T8,T6,T8,T5 T1,T2,T4,T6,T8,T7,T8,T5 T1,T2,T4,T7,T8,T6,T8,T7,T8,T5 T1,T4,T6,T8,T7,T8,T5 T1,T4,T7,T8,T6,T8,T7,T8,T5 Node index: 0, Label: T1 Node index: 1, Label: T4 Number of incoming edges: 1 List of edges: DType(Data=0,Control=1) (Source node index, DType) </pre>	
--	--

<pre>(0,0) Node index: 2, Label: T5 Number of incoming edges: 2 List of edges: DType(Data=0,Control=1)   (Source node index, DType)   (3,0)   (1,1) Node index: 3, Label: T6 Number of incoming edges: 2 List of edges: DType(Data=0,Control=1)   (Source node index, DType)   (1,1)   (0,0)</pre>	
--	--

## APPENDIX B: Vending Machine System (VMS)

### B.1 Requirements of the VMS

The system sells three products: gum, M&Ms and beer which cost \$.35, \$.50 and \$.80, respectively.

$R = \{r \mid r \text{ is a requirement which is a **transition**}\}$  or

$R = \{r \mid r \text{ is a requirement which is a **sequence of transitions**}\}$ .

$R = \{r1, r2, r3, r4, r5\}$  for the Vending Machine EFSM where:

**r1:** The customer selects an item, deposits coins, and the machine dispenses the item only if it is available and if the payment is sufficient

$r1 = (T3 \text{ or } T4) \times T8$

**r2:** If the item selected is sold out, the machine displays an error message and prompts for item selection.

$r2 = T2$

**r3:** When the customer deposits coins, the machine validates them then displays the total amount inserted.

$r3 = T5$

**r4:** The machine returns the correct change when the customer deposits too much money and when coins for change are available. The machine then displays the change amount and the welcome message.

$r4 = T11$

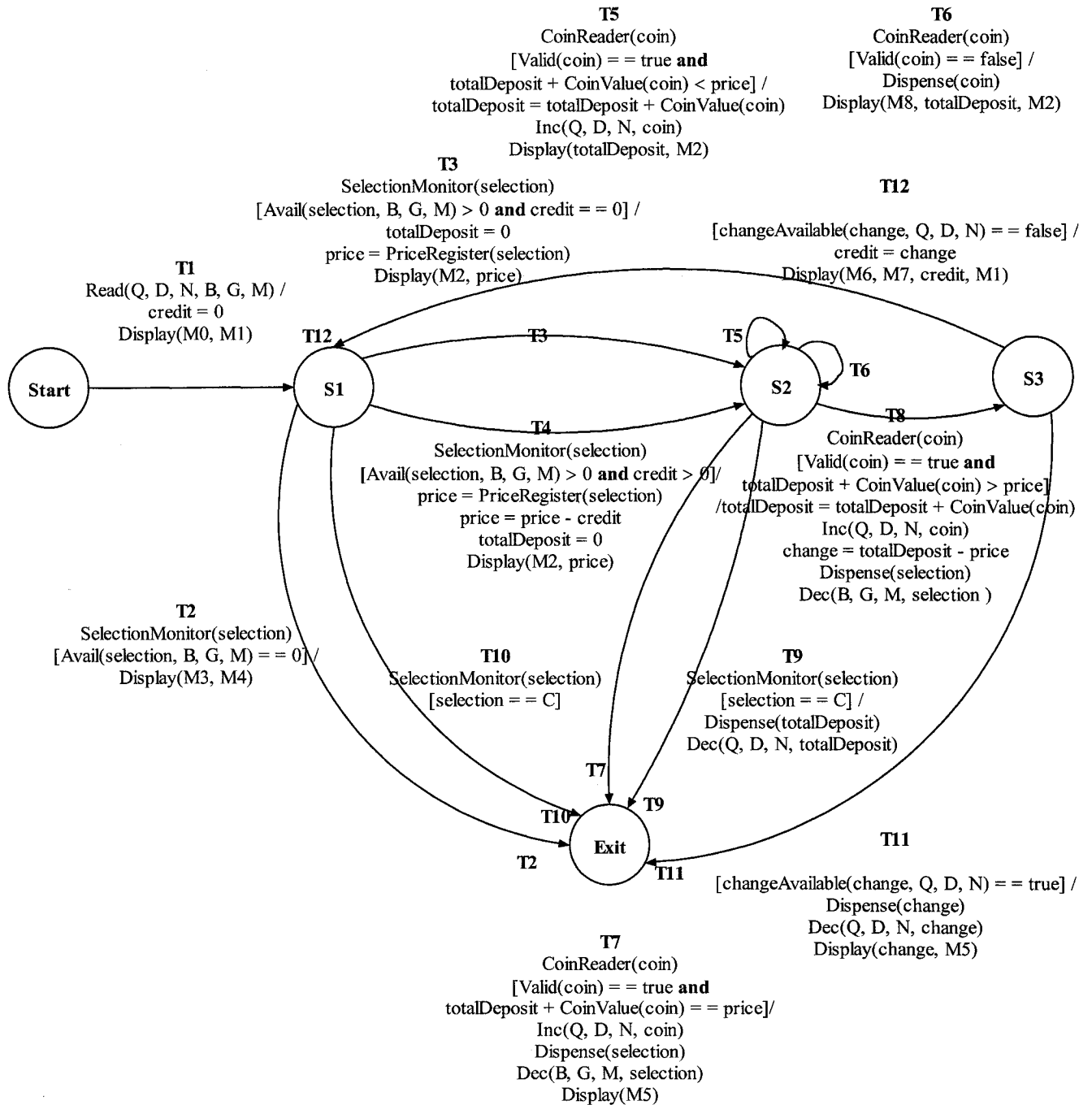
**r5:** If there are not enough coins for change in the machine, it will show a credit towards the next purchase and prompt for item selection.

$r5 = T12$

$x$  : T5 may be inserted 1 to 15 times

T# is the transition under test (TUT)

## B.2 The EFSM model of the VMS



## Symbol Definitions

- $M0$ : message “Welcome! Buy something... Warning: If I do not have enough change I will dispense the product and give a credit for the next purchase”.
- $M1$ : message “Enter your selection: G, M, B, C to Cancel:”.
- $M2$ : message “Insert coins: Quarter, Dime, Niquel”.
- $M3$ : message “Sorry, item is sold out”.
- $M4$ : message “Make another selection”.
- $M5$ : message “Thank you!”.
- $M6$ : message “Sorry! I am out of change”.
- $M7$ : message “Credit: \$”.
- $M8$ : message “Invalid Coin”.
- $Q$ : total number of quarters in the machine.
- $N$ : total number of nickels in the machine.
- $D$ : total number of dimes in the machine.
- $B$ : total number of beers in the machine.
- $G$ : total number of gums in the machine.
- $M$ : total number of M&Ms in the machine.
- $price$ : price of the selected item.  $price \in \{35, 50, 80\}$
- $selection$ : selection of the customer.  $selection \in \{B, G, M, C\}$
- $totalDeposit$ : total amount of money a customer deposits.
- $coin$ : coin inserted by the customer.  $coin \in \{Quarter, Dime, Nickel\}$
- $change$ : amount to be returned when the total deposit is greater than the price.
- $credit$ : amount of credit available for the customer’s next purchase.

## Inputs, Outputs, Functions, and Procedures

- *Read(Q, D, N, B, G, M)*: reads initial amounts of *Q, D, N, B, G, M*.
- *SelectionMonitor(selection)*: reads the selection made by the customer.
- *CoinReader(coin)*: reads the inserted *coin*.
- *Display(M)*: displays *M* on the machine's display panel.
- *Dispense(X)*: dispenses *X* to the customer.  $X \in \{selection, totalDeposit, change, coin\}$
- *Avail(selection, B, G, M)*: returns the number of the selected item, *selection*, available in the machine.
- *PriceRegister(selection)*: returns the price of the selected item, *selection*.
- *Valid(coin)*: returns *true* if  $coin \in \{Quarter, Dime, Nickel\}$ .
- *CoinValue(coin)*: returns 25 or 10 or 5, the value of *coin*.
- *changeAvailable(change, Q, D, N)*: returns *true* if the machine has enough coins to provide the correct change.
- *Inc(Q, D, N, coin)* : According to the type of *coin*, increments *Q, D*, or *N* by 1.
- *Dec(Q, D, N, X)* : According to the value of *X*, decrements *Q, D*, or *N* by the corresponding number of quarters, dimes and nickels in *X*.  $X \in \{ totalDeposit, change\}$
- *Dec(B, G, M, selection)* : According to the type of *selection*, decrements *B, G*, or *M* by 1.

## States

Start: Idle state.

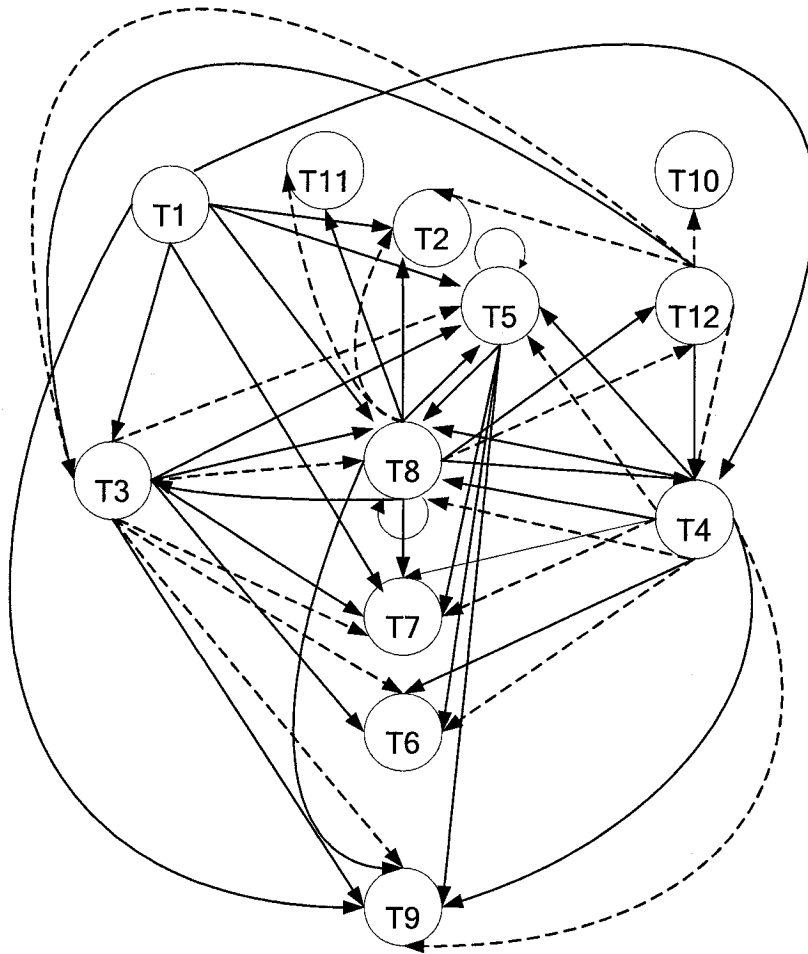
S1: Waiting for selection.

S2: Accepting coins.

S3: Making change.

Exit.

### B.3 SDG of the VMS EFS



**SDG of Vending Machine EFSM**

- data dependence edge
- - -→ control dependence edge

Data dependence edges in the SDG of the VMS

	Transition	has a data dependence on	Identified by variables
1	T1	T2	<i>B, G, M</i>
2	T1	T3	<i>B, G, M, credit</i>
3	T1	T4	<i>B, G, M, credit</i>
4	T1	T5	<i>D, N, Q</i>
5	T1	T7	<i>B, D, G, M, N, Q</i>
6	T1	T8	<i>B, D, G, M, N, Q</i>
7	T1	T9	<i>D, N, Q</i>
8	T3	T5	<i>price, totalDeposit</i>
9	T3	T6	<i>totalDeposit</i>
10	T3	T7	<i>price, selection, totalDeposit</i>
11	T3	T8	<i>price, selection, totalDeposit</i>
12	T3	T9	<i>totalDeposit</i>
13	T4	T5	<i>price, totalDeposit</i>
14	T4	T6	<i>totalDeposit</i>
15	T4	T7	<i>price, selection, totalDeposit</i>
16	T4	T8	<i>price, selection, totalDeposit</i>
17	T4	T9	<i>totalDeposit</i>
18	T5	T5	<i>coin, D, N, Q, totalDeposit</i>
19	T5	T6	<i>totalDeposit</i>
20	T5	T7	<i>D, N, Q, totalDeposit</i>
21	T5	T8	<i>D, N, Q, totalDeposit</i>
22	T5	T9	<i>D, N, Q, totalDeposit</i>
23	T8	T2	<i>B, G, M</i>
24	T8	T3	<i>B, G, M</i>
25	T8	T4	<i>B, G, M</i>
26	T8	T5	<i>D, N, Q</i>
27	T8	T7	<i>B, D, G, M, N, Q</i>
28	T8	T8	<i>B, D, G, M, N, Q</i>
29	T8	T9	<i>D, N, Q</i>
30	T8	T11	<i>change, D, N, Q</i>
31	T8	T12	<i>change, D, N, Q</i>
32	T12	T3	<i>credit</i>
33	T12	T4	<i>credit</i>

### Control dependence edges in the SDG of the VMS EFSM

	Transition	has a control dependence on
1	T3	T5
2	T3	T6
3	T3	T7
4	T3	T8
5	T3	T9
6	T4	T5
7	T4	T6
8	T4	T7
9	T4	T8
10	T4	T9
11	T8	T11
12	T8	T12
13	T12	T2
14	T12	T3
15	T12	T4
16	T12	T10

### B.4 df-chains of the VMS

The set of df-chains generated for T<sub>UT</sub> = T<sub>2</sub>

	<i>df</i>	<i>AP<sub>df</sub></i>
1	{d(M,T01,1), u(M,T02,2)}	(T01,T02)
2	{d(selection,T03,1), u(selection,T08,24), d(M,T08,26), u(M,T02,2)}	(T01,T03,T08,T12,T02) (T01,T03,T05,T08,T12,T02) (T01,T03,T05,T06,T08,T12,T02) (T01,T03,T06,T08,T12,T02)
3	{d(selection,T04,1), u(selection,T08,24), d(M,T08,26), u(M,T02,2)}	(T01,T04,T08,T12,T02) (T01,T04,T05,T08,T12,T02) (T01,T04,T05,T06,T08,T12,T02) (T01,T04,T06,T08,T12,T02)
4	{d(M,T01,1), u(M,T08,25), d(M,T08,26), u(M,T02,2)}	(T01,T03,T08,T12,T02) (T01,T03,T05,T08,T12,T02) (T01,T03,T05,T06,T08,T12,T02) (T01,T03,T06,T08,T12,T02) (T01,T04,T08,T12,T02) (T01,T04,T05,T08,T12,T02) (T01,T04,T05,T06,T08,T12,T02) (T01,T04,T06,T08,T12,T02)
5	{d(G,T01,2), u(G,T02,3)}	(T01,T02)
6	{d(selection,T03,1), u(selection,T08,21),	(T01,T03,T08,T12,T02)

	$d(G,T08,23), u(G,T02,3)\}$	(T01,T03,T05,T08,T12,T02) (T01,T03,T05,T06,T08,T12,T02) (T01,T03,T06,T08,T12,T02)
7	$\{d(selection,T04,1), u(selection,T08,21)\}$ $d(G,T08,23), u(G,T02,3)\}$	(T01,T04,T08,T12,T02) (T01,T04,T05,T08,T12,T02) (T01,T04,T05,T06,T08,T12,T02) (T01,T04,T06,T08,T12,T02)
8	$\{d(G,T01,2), u(G,T08,22),\}$ $d(G,T08,23), u(G,T02,3)\}$	(T01,T03,T08,T12,T02) (T01,T03,T05,T08,T12,T02) (T01,T03,T05,T06,T08,T12,T02) (T01,T03,T06,T08,T12,T02) (T01,T04,T08,T12,T02) (T01,T04,T05,T08,T12,T02) (T01,T04,T05,T06,T08,T12,T02) (T01,T04,T06,T08,T12,T02)

**The set of df-chains generated for TUT = T5**

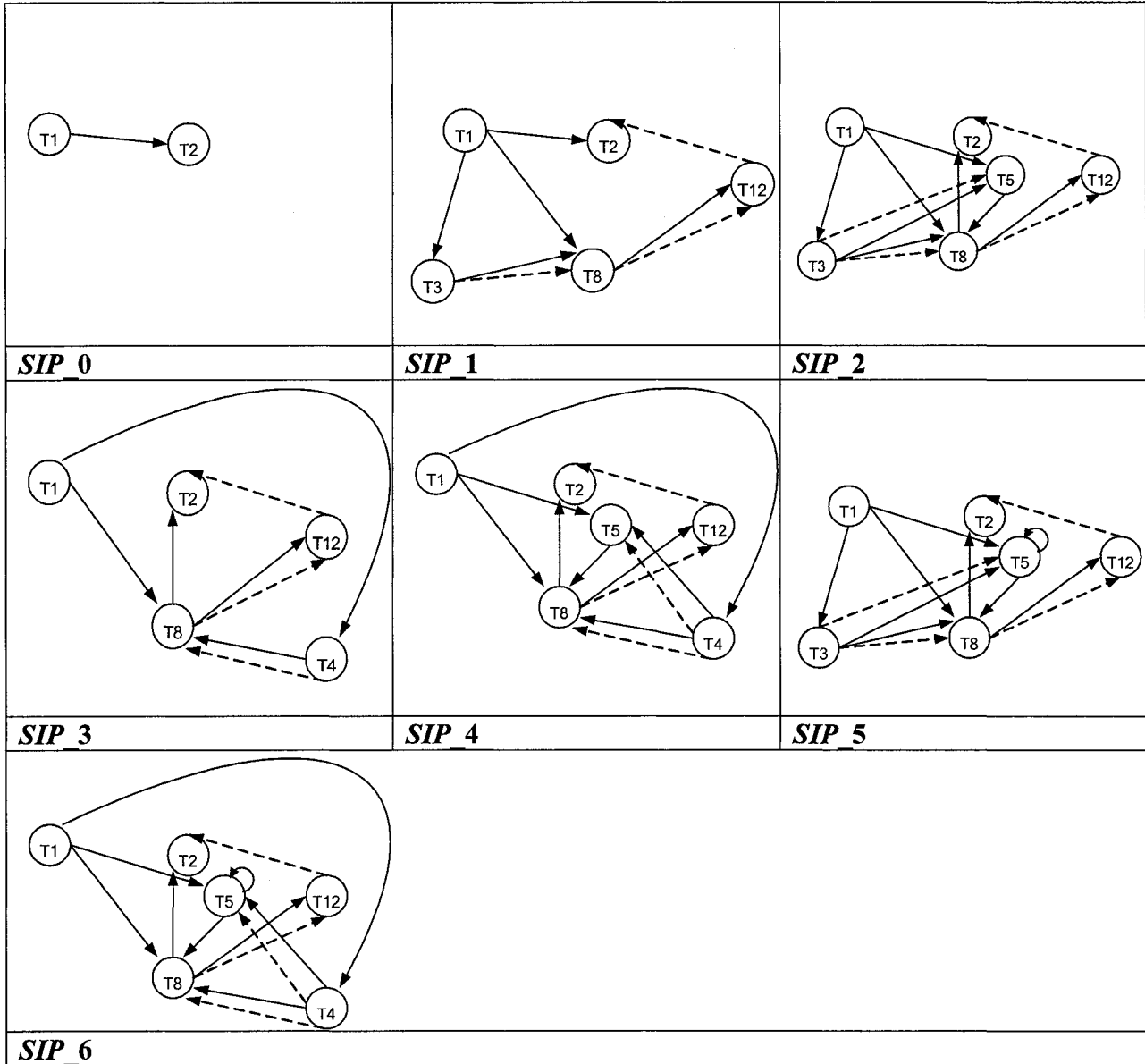
	<i>Df</i>	$ AP_{df} $
1	$\{d(selection,T03,1), u(selection,T03,8), d(price,T03,9), u(price,T05,2)\}$	5
2	$\{d(credit,T01,7), u(credit,T04,9), d(price,T04,11), u(price,T05,2)\}$	5
3	$\{d(selection,T03,1), u(selection,T03,8), d(price,T03,9), u(price,T08,14),\}$ $d(change,T08,16), u(change,T12,5), d(credit,T12,6), u(credit,T04,9),\}$ $d(price,T04,11), u(price,T05,2)\}$	4
4	$\{d(selection,T04,1), u(selection,T04,7), u(price,T04,8)(price,T04,10),\}$ $d(price,T04,11), u(price,T08,14), d(change,T08,16), u(change,T12,5),\}$ $d(credit,T12,6), u(credit,T04,9), d(price,T04,11), u(price,T05,2)\}$	4
5	$\{d(totalDeposit,T04,12), u(totalDeposit,T08,15), d(change,T08,16),\}$ $u(change,T12,5), d(credit,T12,6), u(credit,T04,9), d(price,T04,11),\}$ $u(price,T05,2)\}$	2
6	$\{d(coin,T05,1)(coin,T05,5), u(totalDeposit,T05,7), d(totalDeposit,T08,15),\}$ $u(change,T08,16), d(change,T12,5), u(credit,T12,6), d(credit,T04,9),\}$ $u(price,T04,11), d(price,T05,2)\}$	4
7	$\{d(totalDeposit,T03,7), u(totalDeposit,T05,6), d(totalDeposit,T05,7),\}$ $u(totalDeposit,T08,15), d(change,T08,16), u(change,T12,5), d(credit,T12,6),\}$ $u(credit,T04,9), d(price,T04,11), u(price,T05,2)\}$	2
8	$\{d(totalDeposit,T04,12), u(totalDeposit,T05,6), d(totalDeposit,T05,7),\}$ $u(totalDeposit,T08,15), d(change,T08,16), u(change,T12,5), d(credit,T12,6),\}$ $u(credit,T04,9), d(price,T04,11), u(price,T05,2)\}$	2
9	$\{d(selection,T04,1), u(selection,T04,7), d(price,T04,8), u(price,T04,10),\}$ $d(price,T04,11), u(price,T05,2)\}$	5
10	$\{d(totalDeposit,T03,7), u(totalDeposit,T05,3)\}$	5
11	$\{d(totalDeposit,T04,12), u(totalDeposit,T05,3)\}$	5
12	$\{d(totalDeposit,T04,12), u(totalDeposit,T05,6)\}$	5
13	$\{d(Q,T01,6), u(Q,T05,9)\}$	2
14	$\{d(coin,T08,1), u(coin,T08,5), d(Q,T08,7), u(Q,T05,9)\}$	8
15	$\{d(Q,T01,6), u(Q,T08,6), d(Q,T08,7), u(Q,T05,9)\}$	8

16	{d(coin,T05,1), u(1,coin,T05,8), d(0,Q,T05,10), u(1,Q,T08,6), d(0,Q,T08,7), u(1,Q,T05,9)}	16
17	{d(Q,T01,6), u(Q,T05,9), d(Q,T05,10), u(Q,T08,6), d(Q,T08,7), u(Q,T05,9)}	8
18	{d(coin,T08,1), u(coin,T08,5), d(Q,T08,7), u(Q,T05,9), d(Q,T05,10), u(Q,T08,6), d(Q,T08,7), u(Q,T05,9)}	32
19	{d(coin,T08,1), u(coin,T08,8), d(D,T08,10), u(D,T05,12)}	8
20	{d(D,T01,5), u(D,T08,9), d(D,T08,10), u(D,T05,12)}	8
21	{d(coin,T05,1), u(coin,T05,11), d(D,T05,13), u(D,T08,9), d(D,T08,10), u(D,T05,12)}	16
22	{d(D,T01,5), u(D,T05,12), d(D,T05,13), u(D,T08,9), d(D,T08,10), u(D,T05,12)}	8
23	{d(coin,T08,1), u(coin,T08,8), d(D,T08,10), u(D,T05,12), d(D,T05,13), u(D,T08,9), d(D,T08,10), u(D,T05,12)}	32
24	{d(N,T01,4), u(N,T05,15)}	2
25	{d(coin,T08,1), u(coin,T08,11), d(N,T08,13), u(N,T05,15)}	8
26	{d(N,T01,4), u(N,T08,12), d(N,T08,13), u(N,T05,15)}	8
27	{d(N,T01,4), u(N,T05,15), d(N,T05,16), d(N,T08,12), u(N,T08,13), u(N,T05,15)}	8
28	{d(coin,T05,1), u(coin,T05,14), d(N,T05,16), u(N,T08,12), d(N,T08,13), u(N,T05,15)}	16
29	{d(coin,T08,1), u(coin,T08,11), d(N,T08,13), u(N,T05,15), d(N,T05,16), u(N,T08,12), d(N,T08,13), u(N,T05,15)}	32

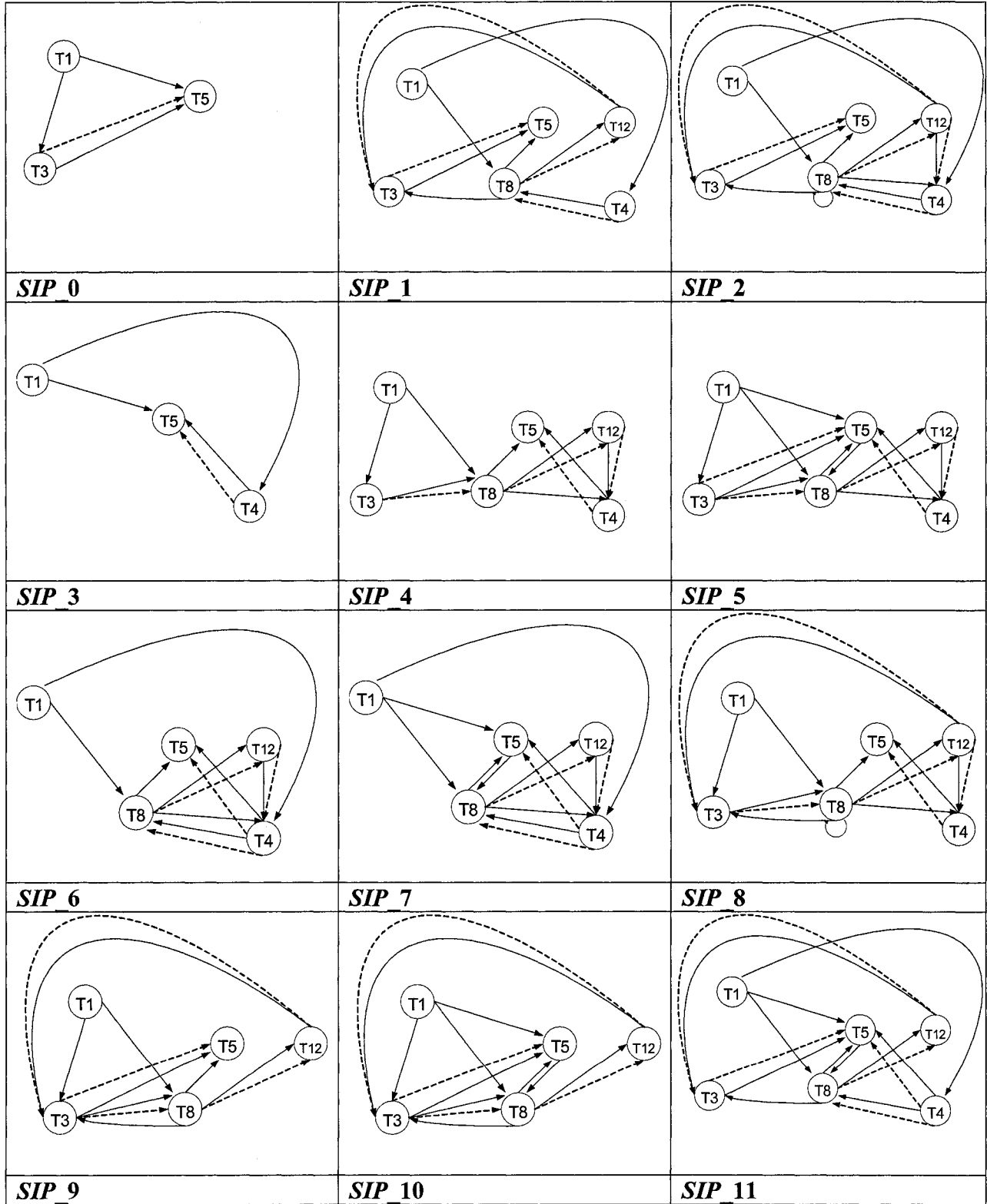
**B.5  $S_r$  generation for  $r \in R$ ,  $R = \{T2, T5, T8, T11, T12\}$**

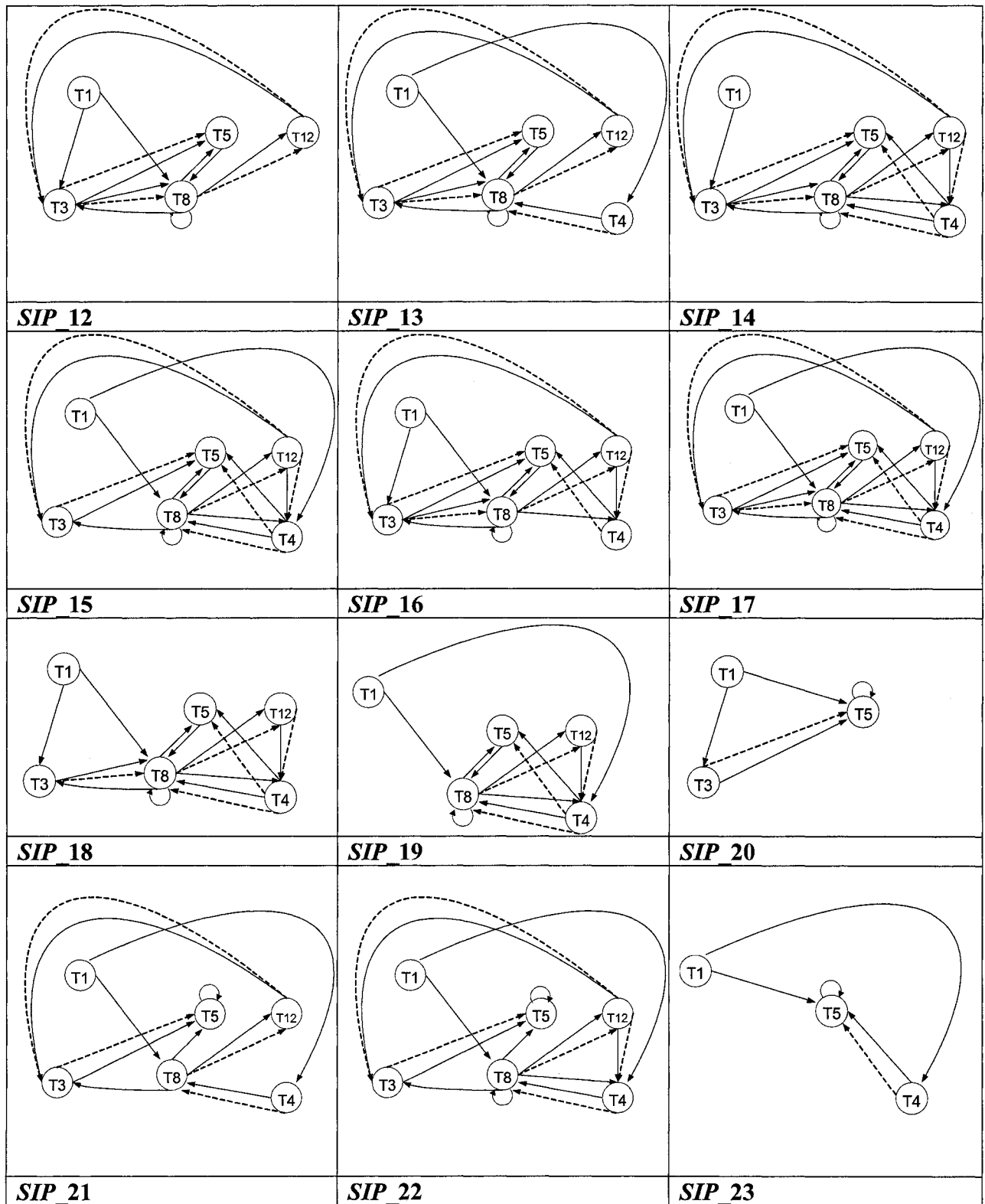
TUT	$ S_{r\_elem} $	$ S_r $	$ TS_r $
<b>T2</b>	5	7	7
<b>T5</b>	20	40	40
<b>T8</b>	16	28	28
<b>T11</b>	14	24	24
<b>T12</b>	14	24	24

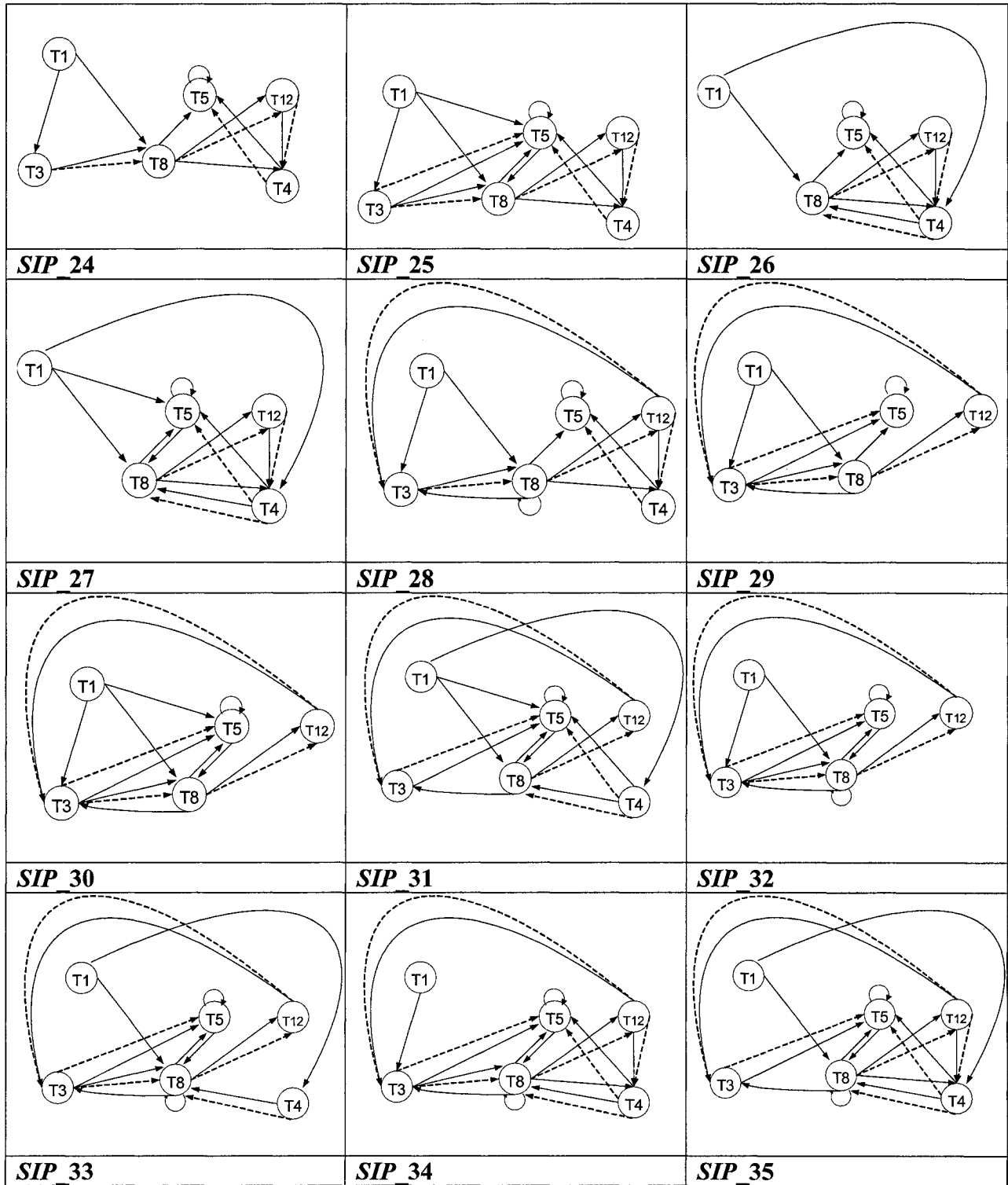
$S_r$  for  $r = T2$

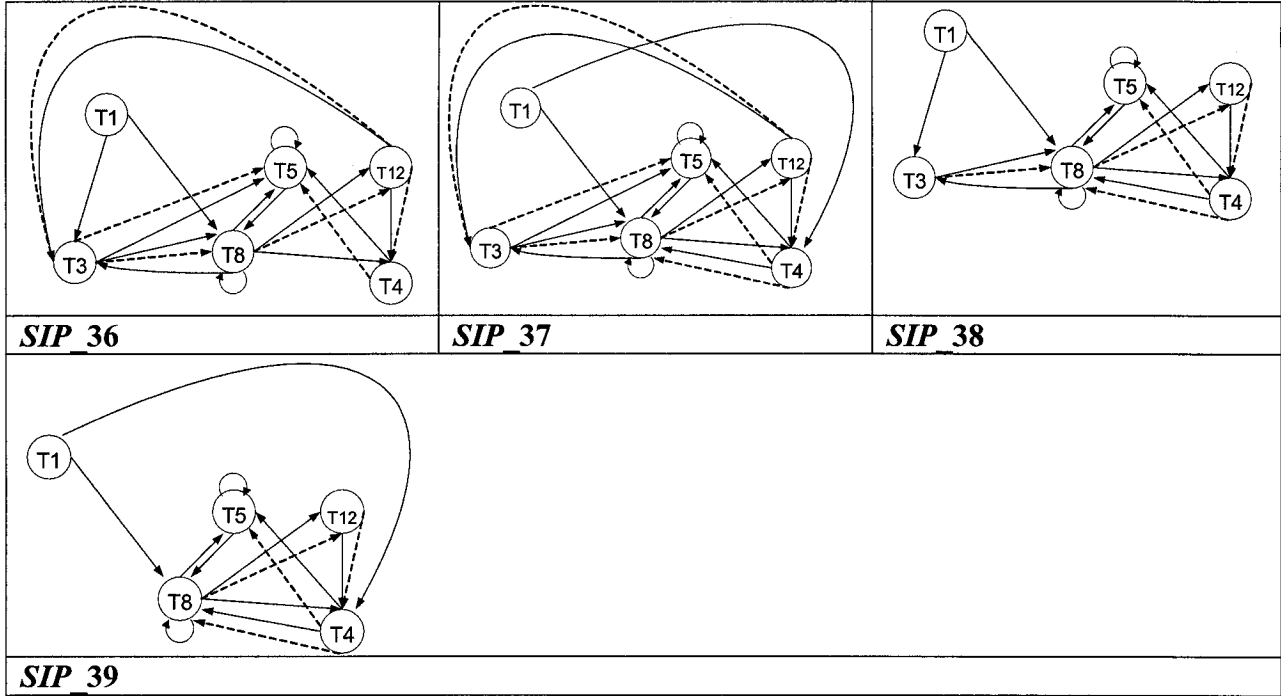


$S_r$ , for  $r = T5$

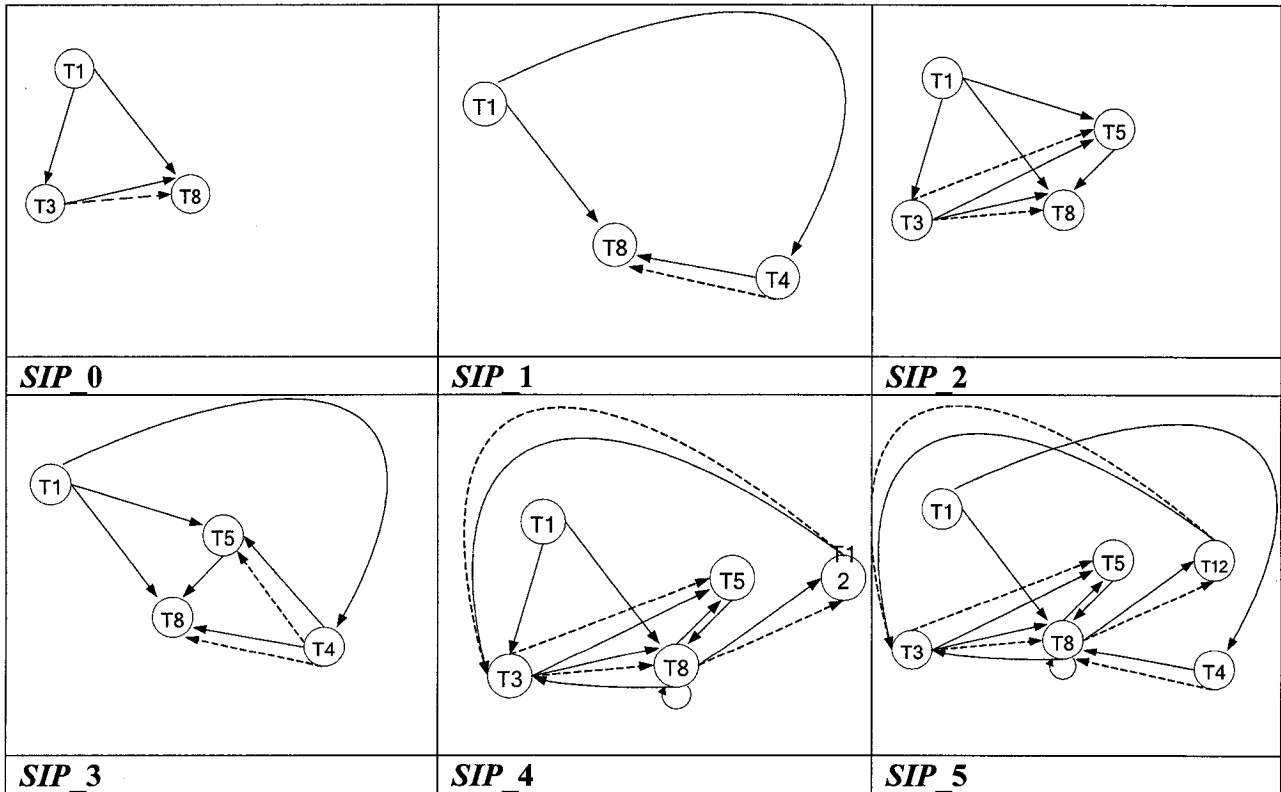


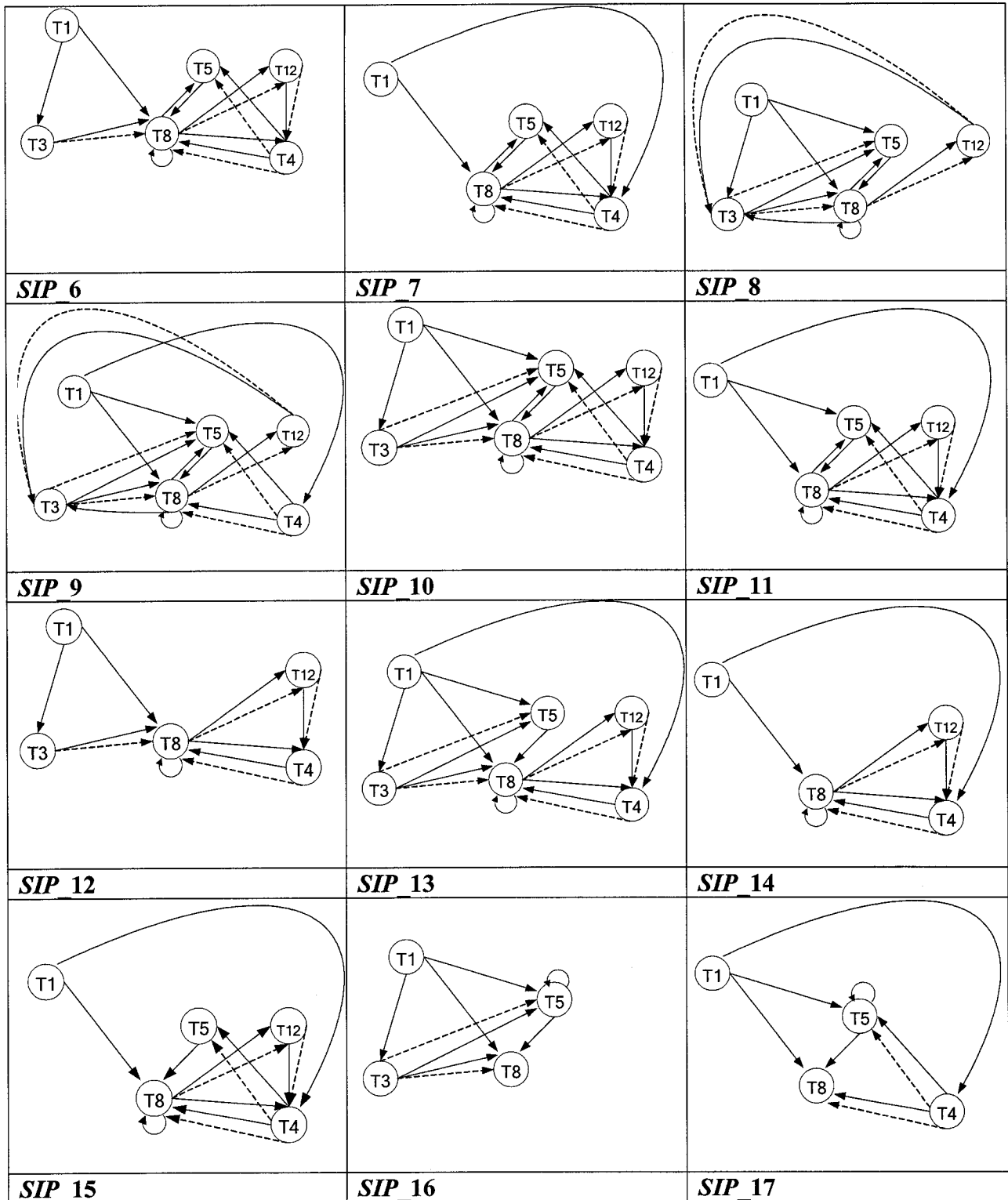


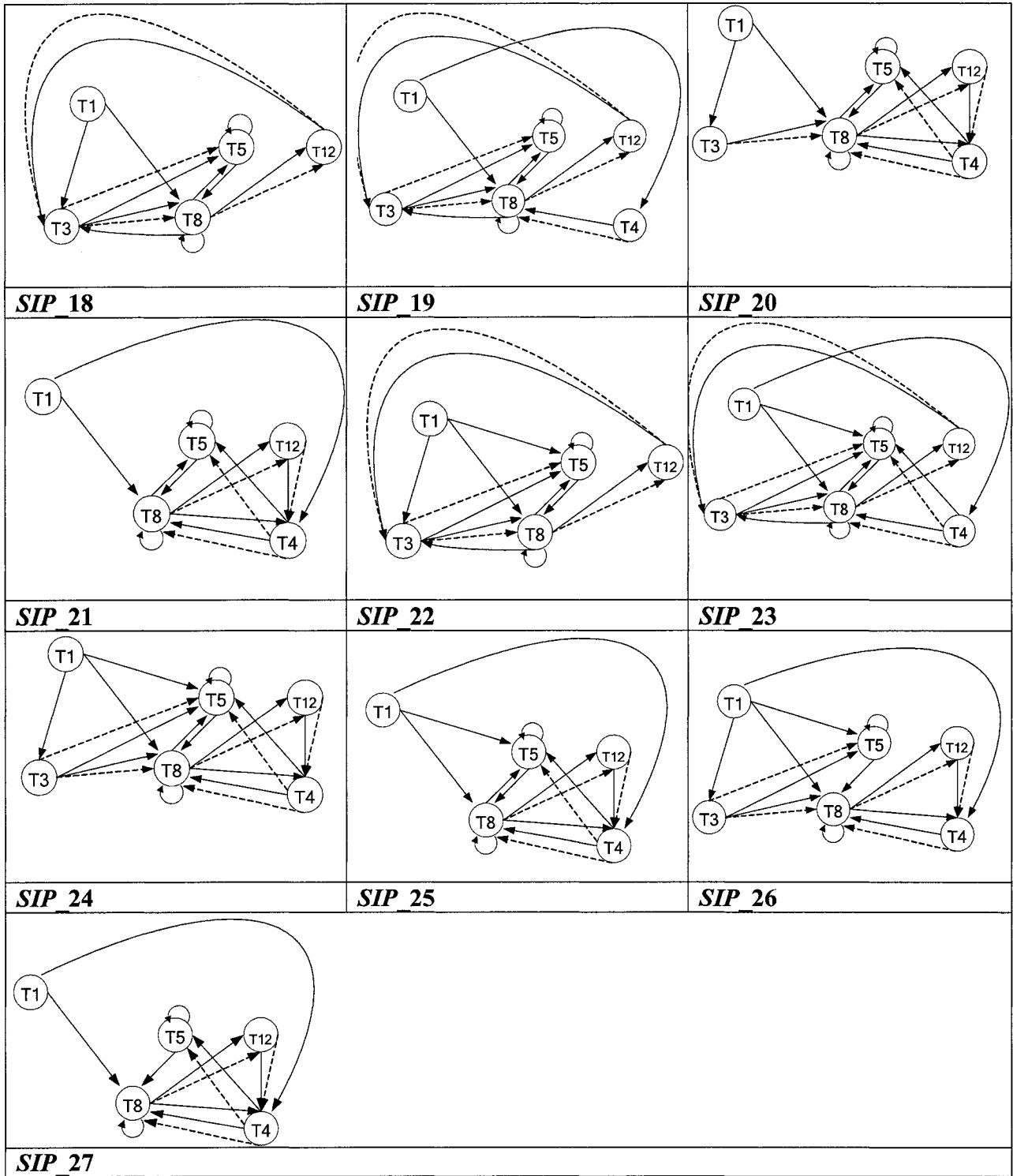




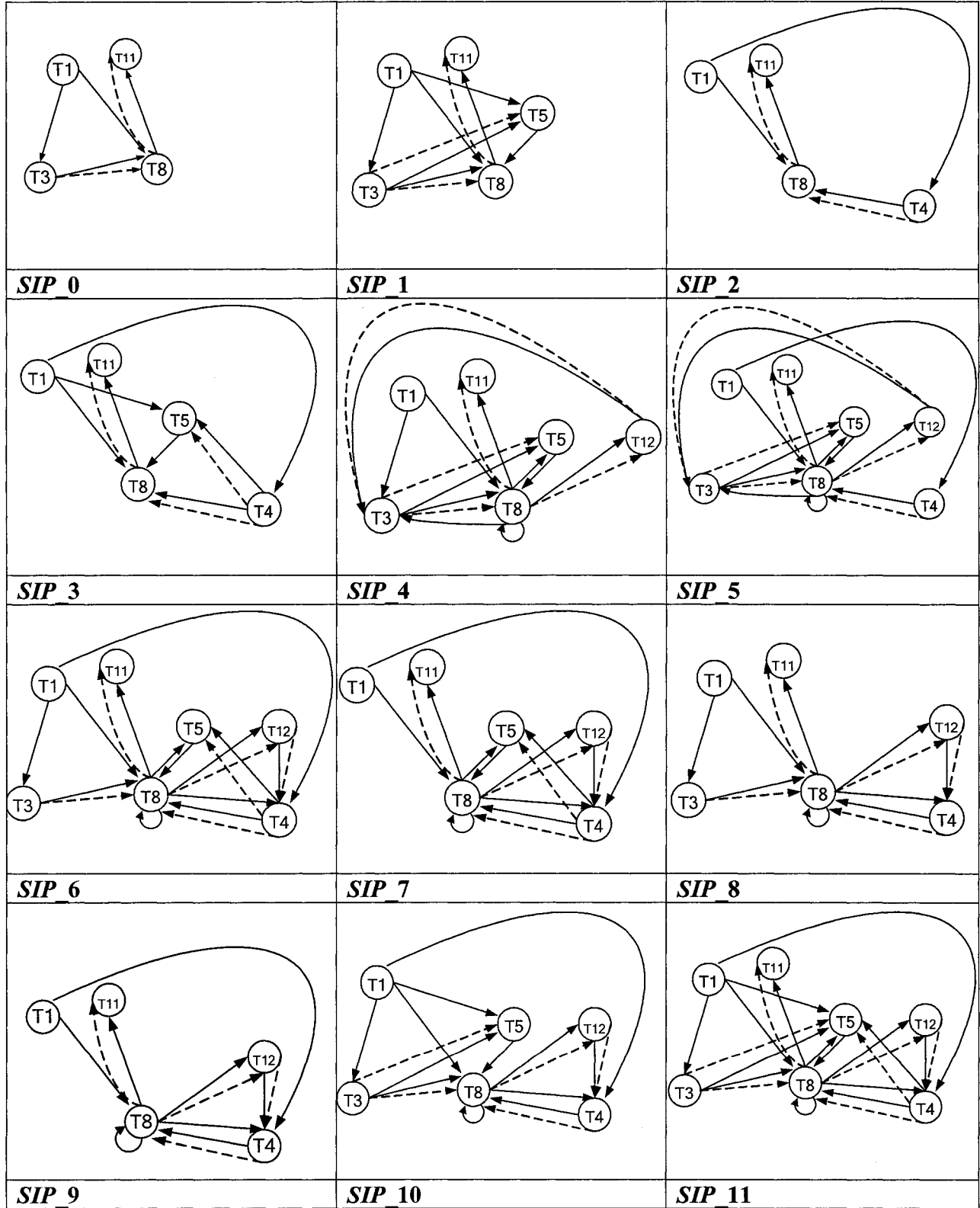
$S_r$ , for  $r = T8$

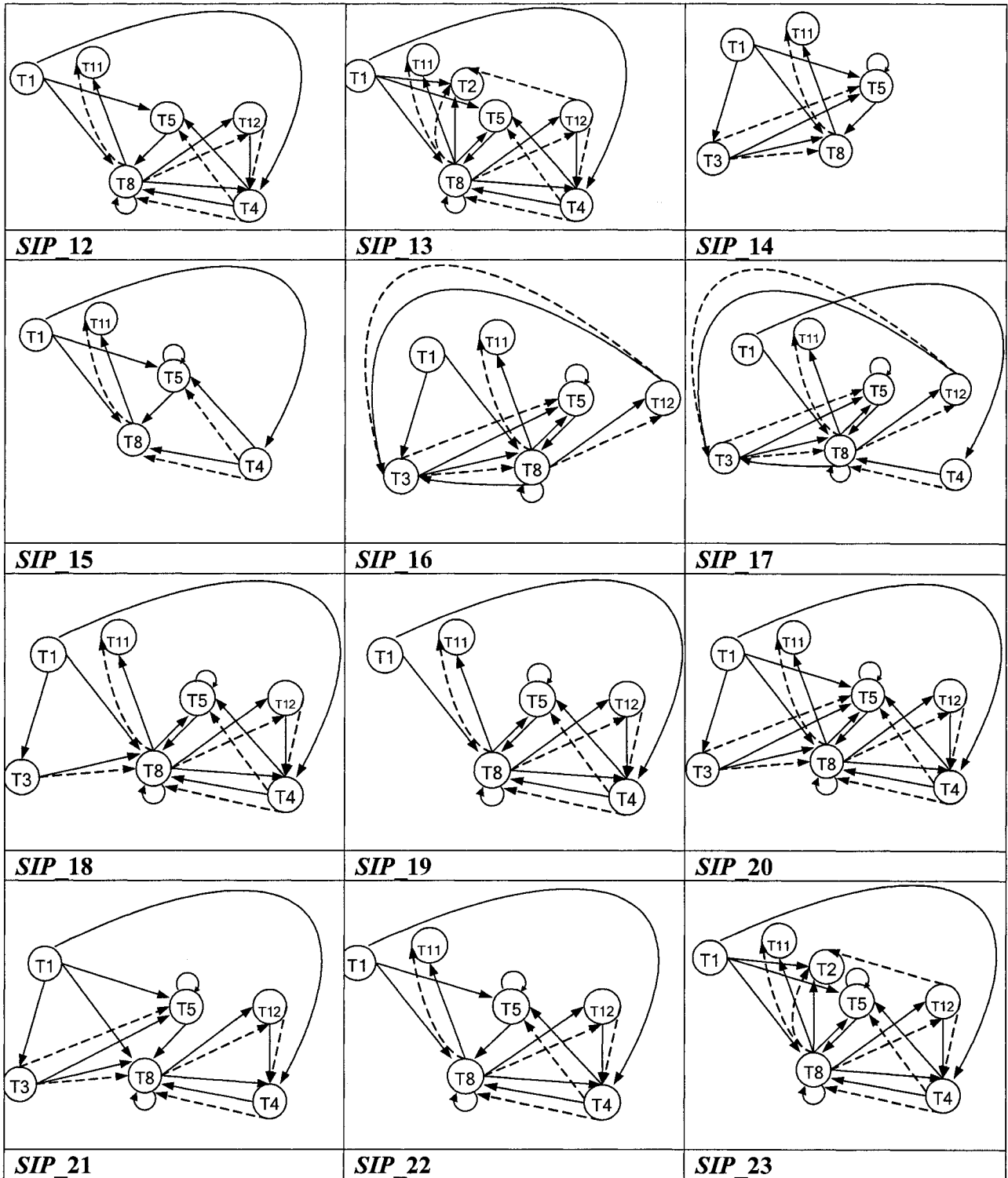




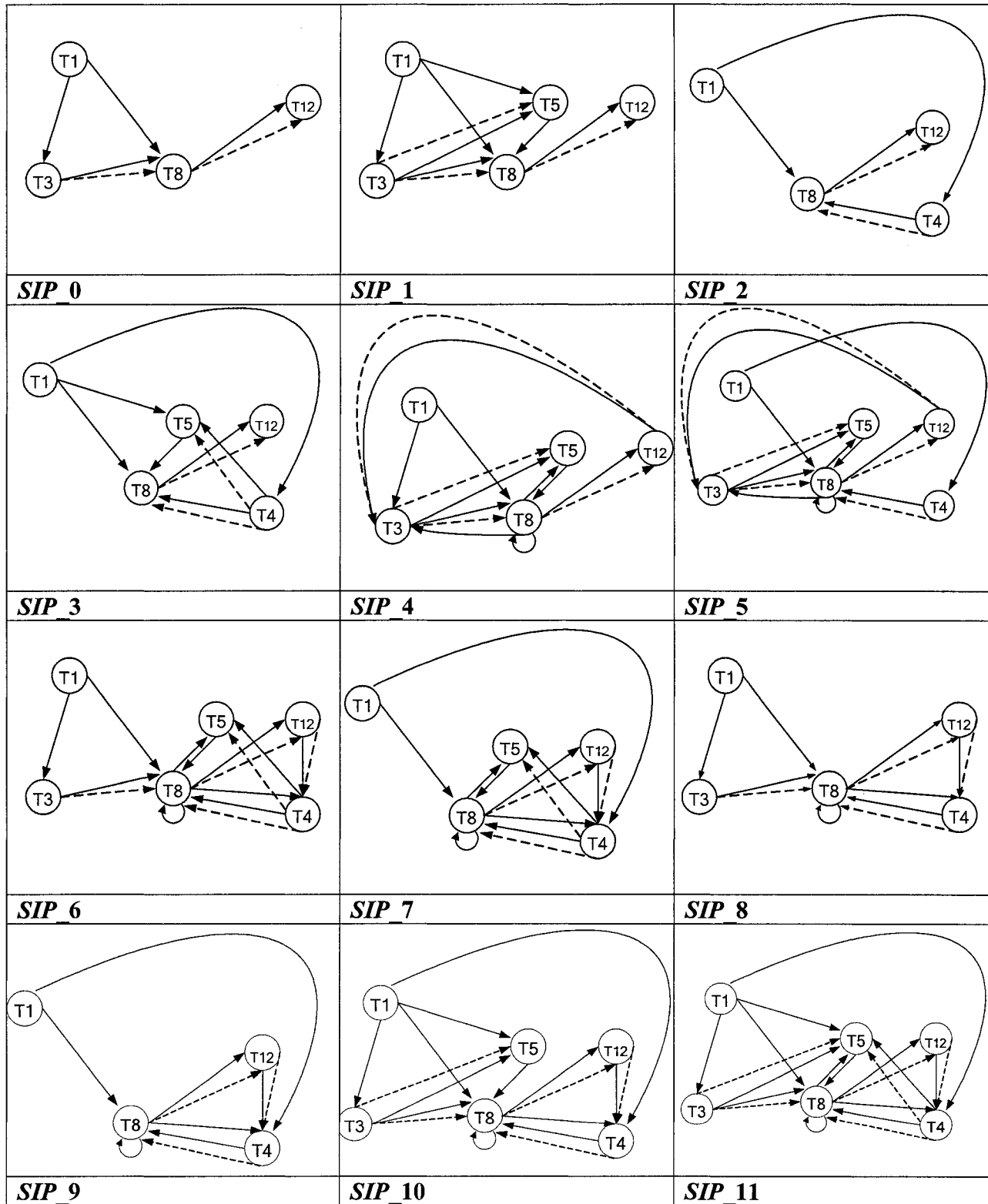


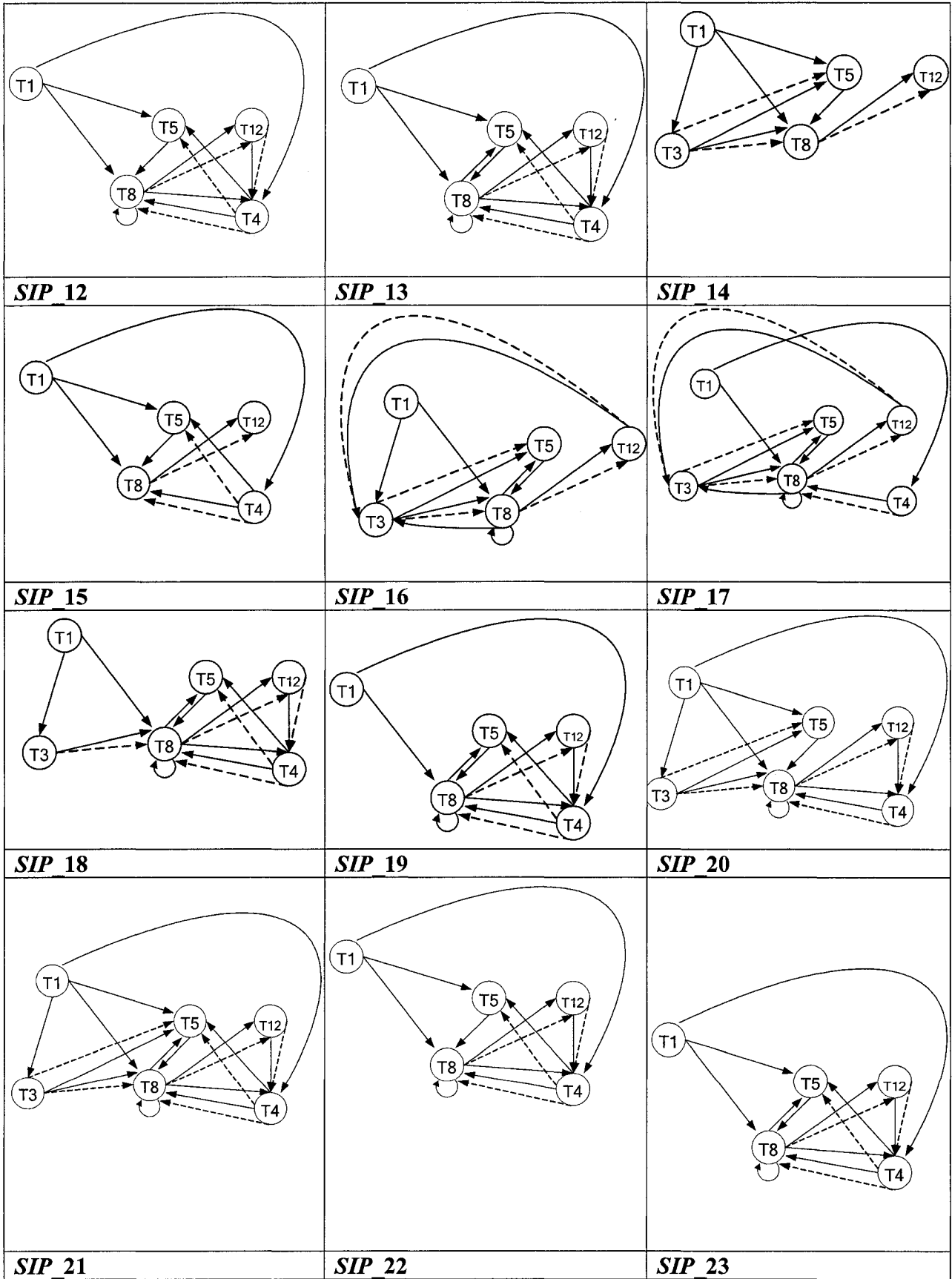
$S_r$ , for  $r = T11$





$S_r$  for  $r = T12$





## B.6 $TS_r$ generation for $r \in R, R = \{T2, T5, T8, T11, T12\}$

\* Test infeasible

### $TS_r$ for TUT = T2

```
Vending Machine
T02
Test Test_1 T01 T02
Test Test_2 T01 T03 T08 T12 T02
Test Test_3 T01 T04 T08 T12 T02*
Test Test_4 T01 T04 T05 T08 T12 T02*
Test Test_5 T01 T03 T05 T08 T12 T02
Test Test_6 T01 T04 T05 T05 T08 T12 T02*
Test Test_7 T01 T03 T05 T05 T08 T12 T02
```

### $TS_r$ for TUT = T5

```
Vending _System
T05
Test Test_1 T01 T03 T05
Test Test_2 T01 T04 T08 T12 T03 T05*
Test Test_3 T01 T04 T08 T12 T04 T05 T08 T12 T03 T05*
Test Test_4 T01 T04 T05*
Test Test_5 T01 T03 T08 T12 T04 T05
Test Test_6 T01 T03 T05 T08 T12 T04 T05
Test Test_7 T01 T04 T08 T12 T04 T05*
Test Test_8 T01 T04 T05 T08 T12 T04 T05*
Test Test_9 T01 T03 T08 T12 T03 T08 T12 T04 T05*
Test Test_10 T01 T03 T08 T12 T03 T05
Test Test_11 T01 T03 T05 T08 T12 T03 T05
Test Test_12 T01 T04 T05 T08 T12 T03 T05*
Test Test_13 T01 T04 T08 T12 T04 T05 T08 T12 T03 T12*
Test Test_14 T01 T03 T08 T12 T03 T05 T08 T12 T04 T05*
Test Test_15 T01 T04 T08 T12 T03 T05 T08 T12 T04 T05*
Test Test_16 T01 T03 T08 T12 T04 T05 T08 T12 T04 T05
Test Test_17 T01 T04 T08 T12 T03 T05 T08 T12 T03 T05*
Test Test_18 T01 T04 T08 T12 T04 T05 T08 T12 T04 T05*
Test Test_19 T01 T04 T08 T12 T03 T05 T08 T12 T03 T05 *
Test Test_20 T01 T03 T08 T12 T04 T05 T08 T12 T08 T05
Test Test_21 T01 T03 T05 T05
Test Test_22 T01 T04 T08 T12 T03 T05 T05*
Test Test_23 T01 T04 T08 T12 T04 T05 T05 T08 T12 T03 T05*
Test Test_24 T01 T04 T05 T05*
Test Test_25 T01 T03 T08 T12 T04 T05 T05
Test Test_26 T01 T03 T05 T05 T08 T12 T04 T05
Test Test_27 T01 T04 T08 T12 T04 T05 T05*
Test Test_28 T01 T04 T05 T05 T08 T12 T04 T05*
Test Test_29 T01 T03 T08 T12 T03 T08 T12 T04 T05 T05*
Test Test_30 T01 T03 T08 T12 T03 T05 T05
Test Test_31 T01 T03 T05 T05 T08 T12 T03 T05
Test Test_32 T01 T04 T05 T05 T08 T12 T03 T05*
```

```

Test Test_33 T01 T04 T08 T12 T04 T05 T05 T08 T12 T03 T12*
Test Test_34 T01 T03 T08 T12 T03 T05 T05 T08 T12 T04 T05*
Test Test_35 T01 T04 T08 T12 T03 T05 T05 T08 T12 T04 T05*
Test Test_36 T01 T03 T08 T12 T04 T05 T05 T08 T12 T04 T05
Test Test_37 T01 T04 T08 T12 T03 T05 T05 T08 T12 T03 T05*
Test Test_38 T01 T04 T08 T12 T04 T05 T05 T08 T12 T04 T05*
Test Test_39 T01 T04 T08 T12 T03 T05 T05 T08 T12 T03 T05 *
Test Test_40 T01 T03 T08 T12 T04 T05 T05 T08 T12 T08 T05

```

**$TS_r$  for TUT = T8**

```

Vending _Machine
T8
Test Test_1 T01 T03 T08
Test Test_2 T01 T04 T08*
Test Test_3 T01 T03 T05 T08
Test Test_4 T01 T04 T05 T08*
Test Test_5 T01 T03 T05 T08 T12 T03 T05 T08*
Test Test_6 T01 T04 T08 T12 T03 T05 T08*
Test Test_7 T01 T03 T08 T12 T04 T05 T08
Test Test_8 T01 T04 T08 T12 T04 T05 T08*
Test Test_9 T01 T03 T05 T08 T12 T03 T05 T08*
Test Test_10 T01 T04 T05 T08 T12 T04 T05 T08*
Test Test_11 T01 T03 T05 T08 T12 T04 T05 T08
Test Test_12 T01 T04 T05 T08 T12 T04 T05 T08*
Test Test_13 T01 T03 T08 T12 T04 T08
Test Test_14 T01 T03 T05 T08 T12 T04 T08
Test Test_15 T01 T04 T08 T12 T04 T08*
Test Test_16 T01 T04 T08 T12 T04 T05 T08
Test Test_17 T01 T03 T05 T05 T08
Test Test_18 T01 T04 T05 T05 T08*
Test Test_19 T01 T03 T05 T05 T08 T12 T03 T05 T08*
Test Test_20 T01 T04 T08 T12 T03 T05 T05 T08*
Test Test_21 T01 T03 T08 T12 T04 T05 T05 T08
Test Test_22 T01 T04 T08 T12 T04 T05 T05 T08*
Test Test_23 T01 T03 T05 T08 T12 T03 T05 T05 T08*
Test Test_24 T01 T04 T05 T08 T12 T04 T05 T05 T08*
Test Test_25 T01 T03 T05 T08 T12 T04 T05 T05 T08
Test Test_26 T01 T04 T05 T08 T12 T04 T05 T05 T08
Test Test_27 T01 T03 T05 T05 T08 T12 T04 T08
Test Test_28 T01 T04 T08 T12 T04 T05 T05 T08

```

**$TS_r$  for TUT = T11**

```

Vending _System
T11
Test Test_1 T01 T03 T08 T11
Test Test_2 T01 T03 T05 T08 T11
Test Test_3 T01 T04 T08 T11*
Test Test_4 T01 T04 T05 T08 T11

```

```

Test Test_5 T01 T03 T08 T12 T03 T05 T08 T11*
Test Test_6 T01 T04 T08 T12 T03 T05 T08 T11*
Test Test_7 T01 T03 T08 T12 T04 T05 T08 T11
Test Test_8 T01 T04 T08 T12 T04 T05 T08 T11*
Test Test_9 T01 T03 T08 T12 T04 T11
Test Test_10 T01 T04 T08 T12 T04 T11*
Test Test_11 T01 T03 T05 T08 T12 T04 T08 T11
Test Test_12 T01 T03 T05 T08 T12 T04 T05 T08 T11
Test Test_13 T01 T04 T05 T08 T12 T04 T08 T11*
Test Test_14 T01 T04 T05 T08 T12 T04 T05 T08 T11
Test Test_15 T01 T03 T05 T05 T08 T12 T04 T08 T11
Test Test_16 T01 T03 T05 T05 T08 T12 T04 T05 T08 T11
Test Test_17 T01 T04 T05 T05 T08 T12 T04 T08 T11*
Test Test_18 T01 T04 T05 T05 T08 T12 T04 T05 T08 T11
Test Test_19 T01 T04 T05 T05 T08 T11
Test Test_20 T01 T03 T08 T12 T03 T05 T05 T08 T11*
Test Test_21 T01 T04 T08 T12 T03 T05 T05 T08 T11*
Test Test_22 T01 T03 T08 T12 T04 T05 T05 T08 T11
Test Test_23 T01 T04 T08 T12 T04 T05 T05 T08 T11*
Test Test_24 T01 T03 T05 T05 T08 T11

```

### TS<sub>r</sub> for TUT = T12

```

Vending_System
T12
Test Test_1 T01 T03 T08 T12
Test Test_2 T01 T03 T05 T08 T12
Test Test_3 T01 T04 T08 T12*
Test Test_4 T01 T04 T05 T08 T04 T12*
Test Test_5 T01 T03 T08 T12 T03 T05 T08 T12*
Test Test_6 T01 T04 T08 T12 T03 T05 T08 T12*
Test Test_7 T01 T03 T08 T12 T04 T05 T08 T12
Test Test_8 T01 T04 T05 T08 T12 T04 T08 T12
Test Test_9 T01 T03 T08 T12 T04 T08 T12
Test Test_10 T01 T04 T08 T12 T04 T08 T12
Test Test_11 T01 T03 T05 T08 T12 T04 T08 T12
Test Test_12 T01 T03 T05 T08 T12 T04 T05 T08 T12
Test Test_13 T01 T04 T05 T08 T12 T04 T08 T12*
Test Test_14 T01 T04 T05 T12 T08 T04 T05 T08 T12*
Test Test_15 T01 T03 T05 T05 T08 T12 T04 T08 T12
Test Test_16 T01 T03 T05 T05 T08 T12 T04 T05 T08 T12
Test Test_17 T01 T04 T05 T05 T08 T12 T04 T08 T12*
Test Test_18 T01 T04 T05 T05 T12 T08 T04 T05 T08 T12*
Test Test_19 T01 T03 T05 T05 T08 T12
Test Test_21 T01 T04 T05 T05 T08 T04 T12*
Test Test_22 T01 T03 T08 T12 T03 T05 T05 T08 T12*
Test Test_23 T01 T04 T08 T12 T03 T05 T05 T08 T12*
Test Test_24 T01 T03 T08 T12 T04 T05 T05 T08 T12

```

## APPENDIX C: Cruise Control System (CCS)

### C.1 Requirements of the CCS

A Cruise Control System (CCS) maintains the speed of a car at a pre-selected value. The CCS calculates the required output to be passed on to the actuator and maintains the cruise speed by controlling the throttle. Physically the system consists of a control module, a sensor that measures wheel revolutions, the accelerator, the brake, and a control panel with all the displays and buttons to inform the user. There are six control buttons on the control panel: On, Off, Set, Resume, Accel and Coast. There are two displays on the control panel: the current speed and the cruise speed.

$R = \{r \mid r \text{ is a requirement which is a transition}\}$

$R = \{r1, r2, r3, r4, r5, r6, r7, r8, r9, r10, r11\}$  for the CCS EFSM where:

**r1:** When the CCS is on, the user can set the cruise speed as the current speed by using the *Set* button. The CCS is now activated. The CCS will store the current speed as the new set value for the cruise speed. The current speed must be at least 40km/h. The CCS displays the cruise speed set. The unit used in the speed display is km/h.

$r1 = T2$

**r2:** The CCS measures the current speed every 2 seconds and shows it on the control panel's speed display. The speed is measured by a sensor detecting wheel revolutions of the car.

$r2 = T3$

**r3:** If the current speed is greater than the cruise speed, the cruise control calculates the required speed value to decrease the current speed.

**r3 = T4**

**r4:** If the current speed is smaller than the cruise speed, the cruise control calculates the required speed value to increase the current speed.

**r4 = T5**

**r5:** If the current speed drops below 35km/h, the CCS is suspended automatically.

**r5 = T8**

**r6:** The user can increase the cruise speed using the *Accel* button. Every hit on the *Accel* button will increase the cruise speed by 1km/h.

**r6 = T6**

**r7:** The user can decrease the cruise speed by using the *Coast* button. Every hit on the *Coast* button will decrease the cruise speed by 1km/h.

**r7 = T7**

**r8:** When the user presses the *brake* pedal, the CCS is suspended and the car speed changes according to the manual control. Cruise control may be reactivated by using the *Resume* button.

**r8 = T9**

**r9:** When the user presses the *accelerator* pedal, the CCS is suspended and the car speed changes according to the manual control. The CCS may be reactivated by using the *Resume* button.

**r9 = T10**

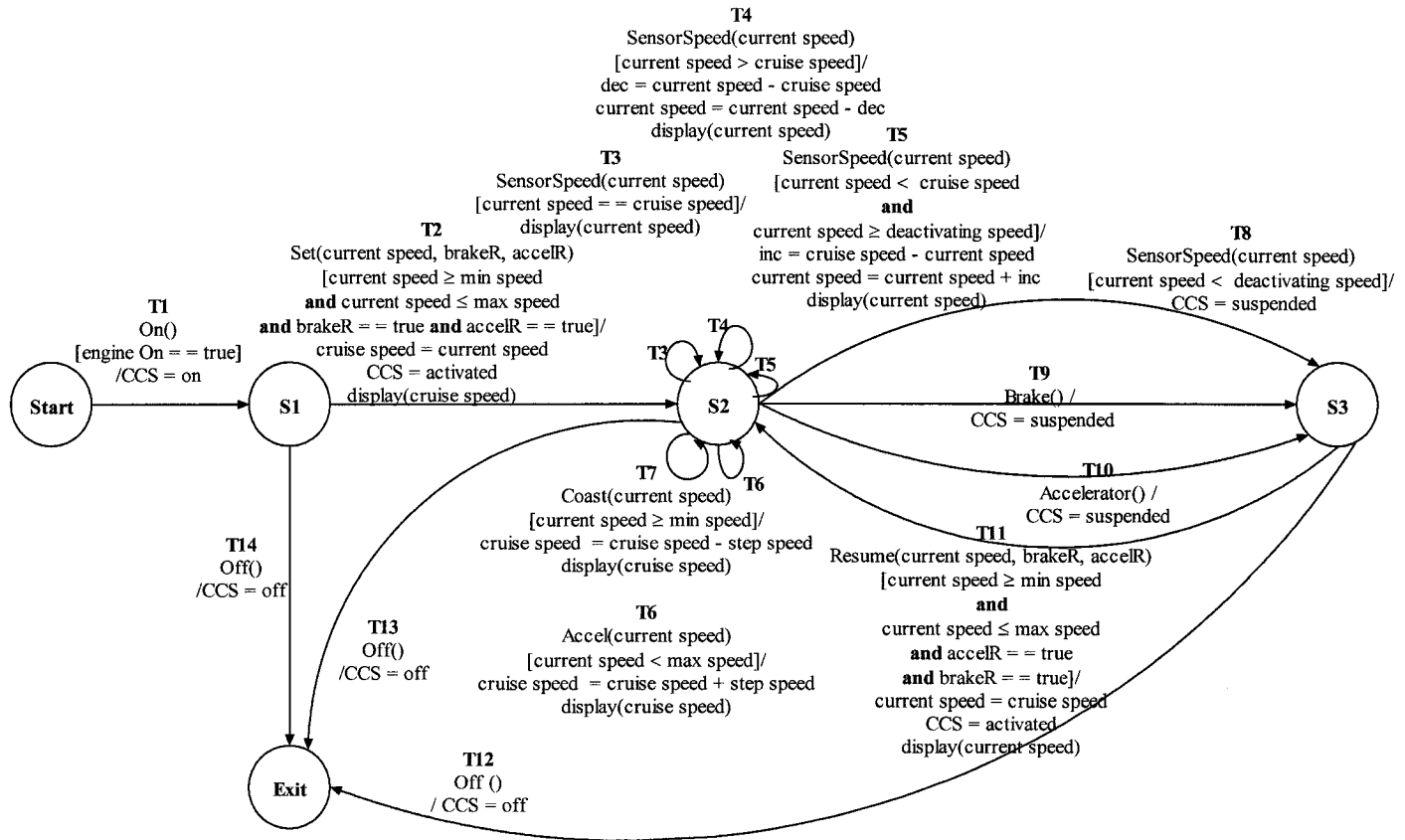
**r10:** The *Resume* button reactivates the CCS and the current speed is reset to the last set cruise speed.

$r_{10} = T_{11}$

$r_{11}$ : While CCS is activated, the user turns the CCS off by using the *Off* button.

$r_{11} = T_{13}$

## C.2 The EFSM model of the CCS



### Symbol Definition

#### System inputs

- *On()*: the *On* button is pressed by the driver.
- *Off()*: the *Off* button is pressed by the driver.
- *Set(current speed, brakeR, accelR)*: the *Set* button is pressed by the driver.

- *Resume(current speed, brakeR, accelR)*: the *Resume* button is pressed by the driver.
- *SensorSpeed(current speed)*: the CCS reads the current speed of the car.
- *Accel(current speed)*: the *Accel* button is pressed by the driver.
- *Coast(current speed)*: the *Coast* button is pressed by the driver.
- *Brake()*: the brake pedal is pressed by the driver.
- *Accelerator()*: the accelerator pedal is pressed by the driver.

### System outputs

- *current speed*: current driving speed of the car.
- *cruise speed*: speed stored in the controller to be maintained.

### System variables

- *CCS*: status of the CCS.  $CCS \in \{on, off, activated, suspended\}$
- *dec*: amount of speed to be decreased by the accelerator to reach the cruise speed.
- *inc*: amount of speed to be increased by the accelerator to reach the cruise speed.
- *brakeR*: Boolean variable indicating that the brake is released if set to true.
- *accelR*: Boolean variable indicating that the accelerator is released if set to true.
- *engine On*: Boolean variable indicating that the engine is On if set to true.
- *current speed*
- *cruise speed*

### Constants

- *max speed*: maximum cruise speed. *max speed* is 180 km/h.
- *min speed*: minimum cruise speed. *min speed* is 40 km/h.

- *deactivating speed*: speed that suspends the CCS automatically. *deactivating speed* is 35 km/h.
- *step speed*: *step speed* is 1 km/h.

### **States**

*Start*: the CCS is Off.

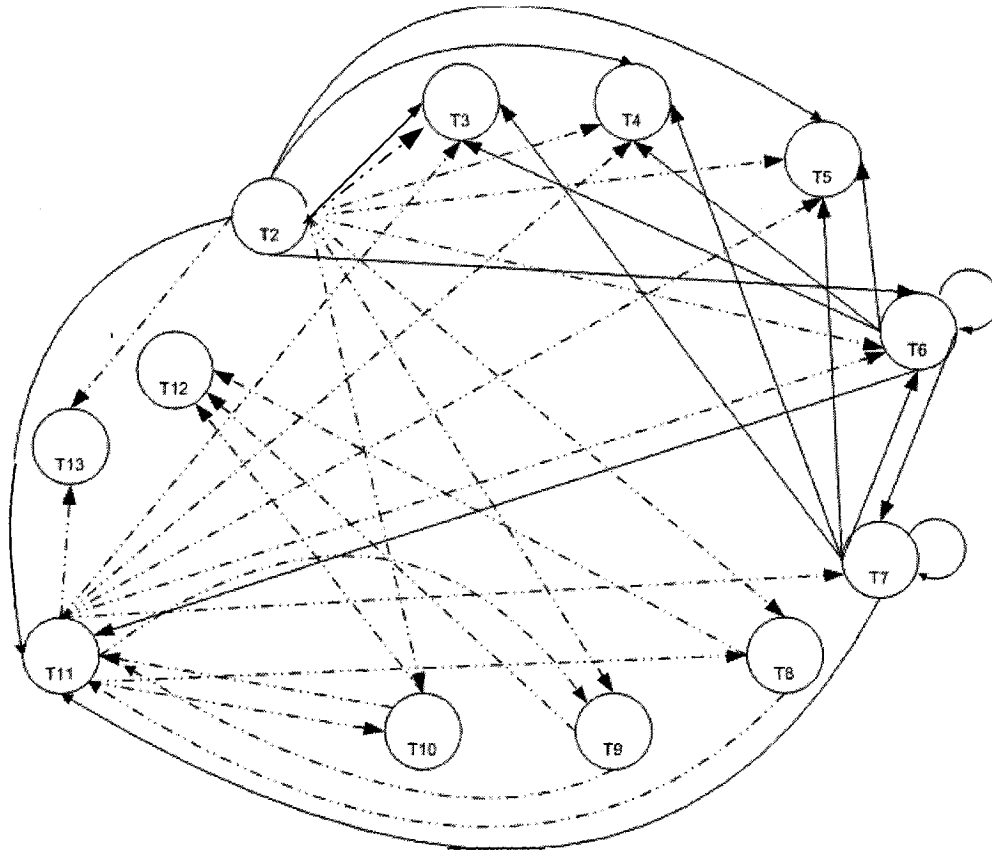
*S1*: Ready. The CCS is On and not yet activated. It is waiting for the driver to activate it by setting a cruise speed using the *Set* button.

*S2*: Set. A cruise speed is set and the CCS is maintaining it. The CCS is now activated.

*S3*: Wait. The CCS is suspended and there is a cruise speed set. The CCS is waiting until the driver presses the *Resume* button to reactivate the CCS.

*Exit*: The CCS is Off.

### C.3 SDG of the CCS EFSM



**SDG of Cruise Control EFSM**

- ▶ data dependence edge
- - -▶ control dependence edge

### Data dependence edges in the SDG of the CCS

	Transition	has a data dependence on	Identified by variables
1	T2	T3	cruise_speed
		T4	cruise_speed
2		T5	cruise_speed
3		T6	cruise_speed
4	T6	T11	cruise_speed
5		T3	cruise_speed
6		T4	cruise_speed
7		T5	cruise_speed
8		T6	cruise_speed
9		T7	cruise_speed
10	T7	T11	cruise_speed
11		T3	cruise_speed
12		T4	cruise_speed
13		T5	cruise_speed
14		T6	cruise_speed
15		T7	cruise_speed
16		T11	cruise_speed

### Control dependence edges in the SDG of CCS

	Transition	has a control dependence on
1	T2	T3
2	T2	T4
3	T2	T5
4	T2	T6
5	T2	T7
6	T2	T8
7	T2	T9
8	T2	T10
9	T2	T13
10	T8	T11
11	T8	T12
12	T9	T11
13	T9	T12
14	T10	T11
15	T10	T12
16	T11	T3
17	T11	T4
18	T11	T5
19	T11	T6
20	T11	T7

21	T11	T8
22	T11	T9
23	T11	T10
24	T11	T13

#### C.4 df-chains of the CCS EFSM

The set of df-chains generated for each  $r$  in  $R = \{T03, T04, T05, T08, T11\}$

TUT	df #	df	# def-clear paths for du-pairs of df	$ AP_{df} $
T3	1	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	32
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T03,2)}	32	
	2	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	2048
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T06,3),	64	
		d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T03,2)}	32	
	3	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	131072
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3),	64	
		d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T06,3),	64	
		d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T03,2)}	32	
	4	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	2048
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3),	64	
		d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T03,2)}	32	
	5	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	131072
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T06,3),	64	
		d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T07,3),	64	
d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T03,2)}		32		
T4	1	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	32
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T04,4)}	32	
	2	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	2048
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T06,3),	64	
		d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T04,4)}	32	
	3	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	131072
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3),	64	
		d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T06,3),	64	
		d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T04,4)}	32	
	4	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	2048
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3),	64	
		d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T04,4)}	32	
	5	{d( <i>current_speed</i> ,T02,3), u( <i>current_speed</i> ,T02,7),	1	131072
		d( <i>cruise_speed</i> ,T02,8), u( <i>cruise_speed</i> ,T07,3),	64	
		d( <i>cruise_speed</i> ,T07,4), u( <i>cruise_speed</i> ,T06,3),	64	
d( <i>cruise_speed</i> ,T06,4), u( <i>cruise_speed</i> ,T03,2)}		32		

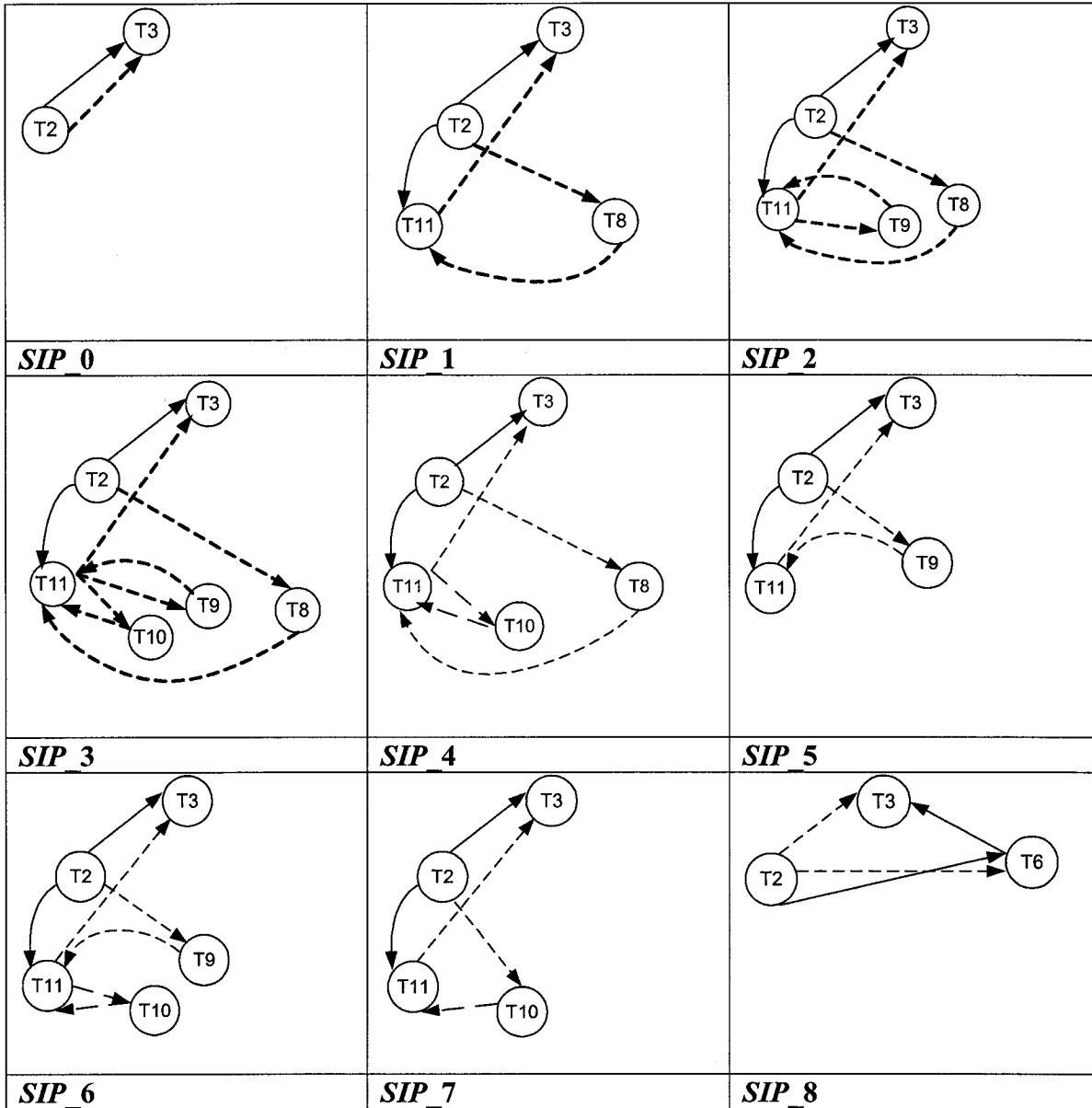
T5	1	{d( <i>current speed</i> ,T02,3),u(1, <i>current speed</i> ,T02,7)}	1	32	
		d( <i>cruise speed</i> ,T02,8), u(1, <i>cruise speed</i> ,T05,5)}	32		
	2	{d( <i>current speed</i> ,T02,3),u(1, <i>current speed</i> ,T02,7),	1	4069	
		d( <i>cruise speed</i> ,T02,8),u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4),u( <i>cruise speed</i> ,T05,5)}	64		
	3	{d( <i>current speed</i> ,T02,3),u( <i>current speed</i> ,T02,7)}	1	262144	
		d( <i>cruise speed</i> ,T02,8),u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4),u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4),u( <i>cruise speed</i> ,T05,5)}	64		
	6	{d( <i>current speed</i> ,T02,3),u( <i>current speed</i> ,T02,7),	1	4069	
		d( <i>cruise speed</i> ,T02,8),u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4),u( <i>cruise speed</i> ,T05,5)}	64		
	7	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	262144	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3),	64		
d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T07,3),		64			
d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T05,5)}		64			
T6	1	{d( <i>current speed</i> ,T02,3),u( <i>current speed</i> ,T02,7),	1	64	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3)}	64		
	2	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	4069	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T06,3)}	64		
	3	{d( <i>current speed</i> ,T02,3),u( <i>current speed</i> ,T02,7),	1	262144	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4),u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4),u( <i>cruise speed</i> ,T06,3)}	64		
T7	1	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	64	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T07,3)}	64		
	2	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	4069	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T07,3)}	64		
	3	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	262144	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T07,3)}	64		
	T11	1	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	24
			d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T11,7)}	24	
		2	{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	1536
d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3),			64		
d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T11,7)}			24		
3		{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	98304	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T11,7)}	24		
4		{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	1536	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T07,3),	64		
		d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T11,7)}	24		
5		{d( <i>current speed</i> ,T02,3), u( <i>current speed</i> ,T02,7),	1	98304	
		d( <i>cruise speed</i> ,T02,8), u( <i>cruise speed</i> ,T06,3),	64		
		d( <i>cruise speed</i> ,T06,4), u( <i>cruise speed</i> ,T07,3),	64		
	d( <i>cruise speed</i> ,T07,4), u( <i>cruise speed</i> ,T11,7)}	24			

### C.5 $S_r$ and $TS_r$ Generation for the Cruise Control System

$S_r$  is generated by the *TSG* program for each  $r$  in  $R = \{T2, T3, T4, T5, T6, T7, T8, T9, T10, T11, T13\}$ . The *TSG* program output is summarized in the table below.

<b>TUT</b>	<b><math> S_{r\_elem} </math></b>	<b><math> S_r </math></b>	<b><math> TS_r </math></b>
<b>T2</b>	0	0	0
<b>T3</b>	429	1465	1465
<b>T4</b>	429	1465	1465
<b>T5</b>	428	1468	1468
<b>T6</b>	106	408	408
<b>T7</b>	114	440	440
<b>T8</b>	0	0	0
<b>T9</b>	0	0	0
<b>T10</b>	0	0	0
<b>T11</b>	129	435	435
<b>T13</b>	0	0	0

*SIP\_0* to *SIP\_8* in  $S_r$  for  $r = T3$  in the CCS EFSM (for  $r = T3$ ,  $|S_r|=1465$ )



## APPENDIX D: Algorithms

### D.1 Algorithms to generate additional EFSM data structures

First,  $V$  is formed by using  $V\_Alg$ .

Then,  $Def(v)$  and  $Use(v)$  are formed by using the  $Def\_Use\_Alg$ ,

$S_{in}(Z)$  and  $T_{in}(Z)$  are formed by using the  $inAdjAlg$  algorithm for every state  $Z$  in  $M$   
except for the *Start* state,

$S_{out}(Z)$ ,  $T_{out}(Z)$  are formed by using the  $outAdjAlg$  algorithm for every state  $Z$  in  $M$   
except for *Exit* state.

#### Algorithm $V\_Alg$

Generates  $V$ , the set of variables in  $M$ .

**Input:** EFSM  $M$

**Output:**  $V$ , the set of variables in  $M$ .

$V \leftarrow \{\}$

For each transition  $t$  in  $M$  do

    for each  $(v, Label, OType, OOrder) \in Volist(t)$  do

        if  $v \notin V$

            then  $V \leftarrow V \cup \{v\}$

#### Algorithm $Def\_Use\_Alg$

Generates  $Def(v)$  and  $Use(v)$  for all variables  $v$  in  $V$ .

**Input:** EFSM  $M$ ,  $V$

**Output:**  $Def(v)$ ,  $Use(v)$

For each variable  $v$  in  $V$  do

$Def(v) \leftarrow \{\}$

$Use(v) \leftarrow \{\}$

for each transition  $t$  in  $M$  do

for each  $(v, Label, OType, OOrder) \in Volist(t)$

if  $OType = def$

then  $Def(v) \leftarrow Def(v) \cup \{t\}$

else  $Use(v) \leftarrow Use(v) \cup \{t\}$

### Algorithm inAdjAlg

Generates the list of incoming transitions for each state  $Z$  and their starting states.

**Input:** EFSM  $M$

**Output:**  $T_{in}(Z)$  and  $S_{in}(Z)$  for each state  $Z \neq Start$

for each state  $Z$ , except  $Start$ , in  $M$  do

$S_{in}(Z) \leftarrow \{\}$

$T_{in}(Z) \leftarrow \{\}$

for each incoming transition  $T_i$  to state  $Z$  do

$T_{in}(Z) \leftarrow T_{in}(Z) \cup \{T_i\}$

for each  $T_i$  in  $T_{in}(Z)$

$S_{in}(Z) \leftarrow S_{in}(Z) \cup \{s_b(T_i)\}$

### Algorithm outAdjAlg

Generates the list of outgoing transitions for each state  $Z$  and their terminating states.

**Input:** EFSM  $M$

**Output:**  $T_{out}(Z)$  and  $S_{out}(Z)$  for each state  $Z \neq Exit$

for each state  $Z$ , except  $Exit$ , in  $M$  do

$T_{out}(Z) \leftarrow \{\}$

$S_{out}(Z) \leftarrow \{\}$

for each outgoing transition  $T_i$  from state  $Z$  do

$T_{out}(Z) \leftarrow T_{out}(Z) \cup \{T_i\}$

for each  $T_i$  in  $T_{out}(Z)$  do

$S_{out}(Z) \leftarrow S_{out}(Z) \cup \{s_e(T_i)\}$

## D.2 Detailed version of the SDG algorithm

### Algorithm SDG Generation

Generates the Static Dependence Graph for an EFSM.

**Input:**  $M$ , the data structure for the EFSM,

**Output:** the adjacency matrix of the SDG for  $M$ .

Let  $M$  denote  $(name, Start, Exit, \{transition\})$  where

*name* is the name of the EFSM,

*Start* is the start state of  $M$ ,

*Exit* is the exit state of  $M$  and

*transition* is  $(Label, s_b, s_e, Volist, listOfActions)$

Let  $t, T_i, T_j, T_k$  denote a transition in the EFSM or a node in the SDG.

For a transition  $t$ ,

$Label(t)$  denotes its label,

$s_b(t)$  denotes its beginning state by the index of the state,

$s_e(t)$  denotes its ending state by the index of the state,

$Volist(t)$  denotes  $\{(v, Label, OType, OOrder) \mid \text{variable } v \text{ occurs in transition}$

with  $Label\ t$  as  $OType$  in order  $OOrder\}$  where

$OType$  denotes the type of occurrence which is either a definition denoted  $def$ , or a  $c$ -use denoted  $c-use$ , or a  $p$ -use denoted  $p-use$ ,

$OOrder$  denotes the order of occurrence,

Elements in  $Volist(t)$  set are referenced by an index between 0 and size of  $Volist(t) - 1$ , corresponding to their order in the set.

$ListOfActions(t)$  denotes  $\{(Index, Id, AType, List)\}$  where for an action  $a$

$Index(a)$  denotes its index,

$Id$  denotes its id,

$AType(a)$  denotes the action type, which is either an input denoted  $INPUT$ , an output denoted  $OUTPUT$ , an assignment statement denoted  $ASSIGN$ , a set statements denoted  $SET$ , a reset statement denoted  $RESET$ , a predicate denoted  $PRED$  or a procedure call denoted  $PROC$ ,

$List(a)$  denotes  $\{(v, Label, OType, OOrder) \mid \text{variable } v \text{ occurs in transition with Label } t \text{ in action } a \text{ as } OType \text{ in order } OOrder\}$ .

Let  $V$  denote  $\{v \mid v \text{ is a variable in (EFSM)}M\}$ ,

$Def(v)$  denote  $\{t \mid t \text{ is a transition in } M \text{ which defines } v\}$ ,

$Use(v)$  denote  $\{t \mid t \text{ is a transition in } M \text{ which uses } v\}$ ,

$SDG[T_i, T_k]$  denote  $\{(v, dependenceType, v_{ik}) \mid T_i \text{ and } T_k \text{ are nodes in } SDG, v \text{ is a variable in } V \cap T_i \text{ has an outgoing edge } e \text{ to node } T_k \text{ and the type of dependence on } e \text{ is equal to } dependenceType \text{ wrt variable } v \text{ that occurs in } T_i \text{ as in } Volist(T_i) \text{ and in } T_k \text{ as in } Volist(T_k)\}$ ,

*dependenceType* denote the type of dependence which is either a control dependence denoted *cd* or a data dependence denoted *dd* or a du-pair based on a *c*-use denoted *dcu-pair* or a du-pair based on a *p*-use denoted *dpu-pair*,

$v_{ik}$  denote  $(oo\_def, oo\_use) \mid$  variable  $v$  in  $V$  occurs in transition  $T_i$  in  $M$  as a definition denoted *def*, in the order of occurrence *oo\_def*, and in  $T_k$  in  $M$  as a use denoted *use*, in the order of occurrence *oo\_use*,

$T_{in}(Z)$  denote  $\{t \mid t \text{ is an incoming transition to state } Z \text{ in } M\}$ , for  $Z \neq Start$ ,

$T_{out}(Z)$  denote  $\{t \mid t \text{ is an outgoing transition from state } Z \text{ in } M\}$ , for  $Z \neq Exit$ ,

$S_{in}(Z)$  denote  $\{s \mid s = s_b(t) \text{ and } t \in T_{in}(Z)\}$ , for  $Z \neq Start$ ,

$S_{out}(Z)$  denote  $\{s \mid s = s_e(t) \text{ and } t \in T_{out}(Z)\}$ , for  $Z \neq Exit$ ,

$S_{in}^*(Z)$  denote  $\{s \mid s \text{ is a state and state } s \text{ reaches state } Z \text{ in } M\}$ ,

$S_{out}^*(Z)$  denote  $\{s \mid s \text{ is a state and state } Z \text{ reaches state } s \text{ in } M\}$ ,

$PD(Z)$  denote  $\{Y \mid Y \text{ is a state in } M \cap \text{state } Z \text{ post-dominates state } Y\}$ ,

$nPD(Z)$  denote  $\{Y \mid Y \text{ is a state in } M \cap \text{state } Z \text{ is reachable from state } Y \text{ and state } Z \text{ does not post-dominate state } Y\}$ ,

$DD(T_k)$  denote  $\{(T_i, v) \mid T_i \text{ and } T_k \text{ are nodes in the } SDG \text{ and } T_k \text{ has an incoming data dependence edge, wrt variable } v, \text{ from } T_i\}$ ,

$CD(T_k)$  denote  $\{T_i \mid T_i \text{ and } T_k \text{ are nodes in the } SDG \text{ and } T_k \text{ has an incoming control dependence edge from } T_i\}$ .

### Input to be formed from the EFSM data structure

First,  $V$ ,  $Def(v)$  and  $Use(v)$  for every  $v$  in  $M$  are formed by using  $V\_Alg$  and  $Def\_Use\_Alg$ . Then  $S_{in}(Z)$  and  $T_{in}(Z)$  are formed by using the  $inAdjAlg$  algorithm for every state  $Z$  in  $M$  except for  $Start$ .  $S_{out}(Z)$ ,  $T_{out}(Z)$  are formed by using the  $outAdjAlg$  algorithm for every state  $Z$  in  $M$  except for  $Exit$ .

### SDG algorithm steps

#### Initialization

Execute  $V\_Alg(M)$ , and  $Def\_Use\_Alg(M, V)$ ,  $inAdjAlg(M)$  and  $outAdjAlg(M)$  (given in Appendix D.1).

$SDG[T_i, T_k] \leftarrow \{\}$  for all transitions  $T_i$  and  $T_k$  in  $M$ .

$DD(T_k) \leftarrow \{\}$  and  $CD(T_k) \leftarrow \{\}$  for all transitions  $T_k$  in  $M$ .

Unmark all states  $Y$  in  $M$ .

#### 1. Data dependence identification

For each variable  $v$  in  $V$  do

  for each transition  $T_i$  in  $Def(v)$  do

    for each  $(v, def, oo\_def)$  in  $Volist(T_i)$  do

      for each transition  $T_k$  in  $Use(v)$  do

        for each  $(v, use, oo\_use)$  in  $Volist(T_k)$  do

          //use is a c-use or a p-use //

$(dependenceType, v_{ik}) \leftarrow dataDependence(T_i, T_k, v, oo\_def, oo\_use, use)$

          //Call the dataDependence function that returns //

          //the type of dependence between  $T_i$  and  $T_k$  wrt  $v$  //

          // that is defined in  $T_i$  in  $oo\_def$  and used in  $T_k$  in  $oo\_use$  //

```

if (dependenceType,  $v_{ik}$ )  $\neq$  Nil
  //  $\exists$  a def-clear path from  $T_i$  to  $T_k$  wrt  $v$  //
  then
     $SDG[T_i, T_k] \leftarrow SDG[T_i, T_k] \cup \{(v, \textit{dependenceType}, v_{ik})\}$ 
    if dependenceType = dd
      then
        if ( $T_i, v$ )  $\notin$   $DD(T_k)$ 
          then
             $DD(T_k) \leftarrow DD(T_k) \cup \{(T_i, v)\}$ 
            //  $T_i$  and  $T_k$  are nodes in the SDG //

```

## 2. Control dependence identification

*// Find, for each state in the EFSM, the set of states that it post-dominates //*

**a.** For each state  $Z$ , except *Exit*, in  $M$  do

*// Find all states that  $Z$  post-dominates //*

$PD(Z) \leftarrow \{Z\}$

$nPD(Z) \leftarrow \{\}$

*// Call the inTransitiveClosure procedure to form the set  $S_{in}^*(Z)$ , of states //*

*// that reach state  $Z$  in  $M$  //*

$S_{in}^*(Z) \leftarrow \{\}$

$\text{inTransitiveClosure}(Z, S_{in}^*(Z), S_{in}(Y) \forall \text{ state } Y \text{ in } M)$

for each state  $Y$  in  $S_{in}^*(Z)$  such that  $Y \neq Z$  do

if  $\text{FindPath}(Y, Z) = \textit{false}$  *// Boolean function that returns true //*  
*// if there is a path from  $Y$  to *Exit* that //*  
*// doesn't go through  $Z$  //*

then

$PD(Z) \leftarrow PD(Z) \cup \{Y\}$

for each state  $X$  in  $S_{out}(Y)$  such that  $X \neq Z$  and  $X \neq Exit$  do

$PD(Z) \leftarrow PD(Z) \cup \{X\}$

else  $nPD(Z) \leftarrow nPD(Z) \cup \{Y\}$

**b.** For each state  $Z$ , except  $Exit$ , in  $M$  do

*//Find all transitions that Z post-dominates//*

for each state  $Y$  in  $nPD(Z)$  do

for each transition  $T_i$  in  $T_{out}(Y)$  do

if  $s_e(T_i)$  is in  $PD(Z)$

then *//Z post-dominates  $T_i$ //*

for each transition  $T_k$  in  $T_{out}(Z)$  do

*// there is control dependence between  $T_i$  and//  
//each outgoing transition  $T_k$  from  $Z$ //*

$SDG[T_i, T_k] \leftarrow SDG[T_i, T_k] \cup \{(Nil, cd, Nil)\}$

$CD(T_k) \leftarrow CD(T_k) \cup \{T_i\}$

### 3. Procedures and functions

**dataDependence**( $T_i, T_k, v, oo\_def, oo\_use, use$ )

**a.** If ( $T_i = T_k$  and  $oo\_def > oo\_use$ ) or ( $T_i \neq T_k$ )

then

*//check whether (v, Label, def, oo\_def) is the last def of v in//*

*//Volist( $T_i$ )//*

$index \leftarrow$  index of ( $v, Label, def, oo\_def$ ) in  $Volist(T_i) + 1$

```

while index ≠ 0 and index ≠ size of Volist(Ti)

    (var, Label, OType, OOrder) ← element in Volist(Ti) whose
        index is index

    if (var, Label, OType, OOrder) = (v, Label, def, OOrder)

        then index ← 0

        else index ← index + 1

//end of while loop//

if index = 0

    then return Nil

else //check whether (v, Label, def, oo) is in Volist(Tk)//

    //such that oo < oo_use //

    index ← index of (v, Label, use, oo_use) in Volist(Tk) - 1

    found ← false //found is a Boolean variable//

    while index ≠ -1 and found = false

        (var, Label, OType, OOrder) ← element in Volist(Tk)
            whose index is index

        if (var, Label, OType, OOrder) = (v, Label, def, OOrder)

            then found ← true

            else index ← index - 1

//end of while loop//

if found = true

    then return Nil

else

    //Call the outTransitiveClosure procedure to//

```

```

// form the set  $S_{out}^*(s_e(T_i))$  of states that reach //
// state  $(s_e(T_i))$  in  $M$ //

 $S_{out}^*(s_e(T_i)) \leftarrow \{\}$ 

outTransitiveClosure( $s_e(T_i)$ ,  $S_{out}^*(s_e(T_i))$ ,  $S_{out}(Y) \forall$  state  $Y$  in  $M$ )

if  $s_b(T_k) \in S_{out}^*(s_e(T_i))$ 

    //if  $T_j$  reaches  $T_k$ //

    then

        if DefClearPath( $s_e(T_i)$ ,  $s_b(T_j)$ ,  $v$ ) = true

            then

                if use = c-use

                    then

                         $v_{ik} \leftarrow (oo\_def, oo\_use)$ 

                        return ( $dd, v_{ik}$ )

                    else // use = p-use//

                         $v_{ik} \leftarrow (oo\_def, oo\_use)$ 

                        return ( $dd, v_{ik}$ )

            else return Nil

        else return Nil

```

**b.** else if  $T_i = T_k$  and  $oo\_def < oo\_use$

then //check whether there is  $(v, Label, def, oo)$  in  $Volist(T_i)$  //

//such that  $oo\_def < oo < oo\_use$  //

$index \leftarrow$  index of  $(v, Label, def, oo\_def)$  in  $Volist(T_i) + 1$

while  $index \neq 0$  and  $index \neq$  index of  $(v, Label, use, oo\_use)$  in

*Volist*( $T_i$ )

(*var*, *Label*, *OType*, *OOrder*)  $\leftarrow$  element in *Volist*( $T_i$ )  
whose index is *index*

if (*var*, *Label*, *OType*, *OOrder*) = (*v*, *Label*, *def*, *OOrder*)

then *index*  $\leftarrow$  0

else *index*  $\leftarrow$  *index* + 1

//end of while loop//

if *index* = 0

then return *Nil*

else //oo\_use is reached without encountering a def of v//

if *use* = *c-use*

then

$v_{ii} \leftarrow (oo\_def, oo\_use)$

return (*dcu-pair*,  $v_{ii}$ )

else // use = *p-use* //

$v_{ii} \leftarrow (oo\_def, oo\_use)$

return (*dpu-pair*,  $v_{ii}$ )

else return *Nil*

**inTransitiveClosure**( $Y, S_{in}^*(Y), S_{in}(Z) \forall$  state  $Z$  in  $M$ )

//Generates  $S_{in}^*(Y)$  for state  $Y$  in  $M$ //

$S_{adj} \leftarrow S_{in}(Y)$

for each state  $Z$  in  $S_{adj}$  do

$S_{in}^*(Y) \leftarrow S_{in}^*(Y) \cup \{Z\}$

$S_{temp} \leftarrow S_{in}(Z)$

for each state  $S$  in  $S_{temp}$  do

$S_{adj} \leftarrow S_{adj} \cup \{S\}$

endfor

endfor

**outTransitiveClosure**( $Y, S_{out}^*(Y), S_{out}(Z) \forall$  state  $Z$  in  $M$ )

*//Generates  $S_{out}^*(Y)$  for state  $Y$  in  $M$ //*

$S_{adj} \leftarrow S_{out}(Y)$

for each state  $Z$  in  $S_{adj}$  do

$S_{out}^*(Y) \leftarrow S_{out}^*(Y) \cup \{Z\}$

$S_{temp} \leftarrow S_{out}(Z)$

for each state  $S$  in  $S_{temp}$  do

$S_{adj} \leftarrow S_{adj} \cup \{S\}$

endfor

endfor

**DefClearPath**( $start, end, v$ ) *//Boolean recursive function that returns true if there // is a def-clear path wrt  $v$  between states  $start$  and  $end$  in  $M$ //*

$S_{out}^*(start) \leftarrow \{\}$

if ( $start = end$ )

then return *true*

else *//call the outTransitiveClosure procedure to find the states that start reaches//*

outTransitiveClosure ( $start, S_{out}^*(start), S_{out}(Y) \forall$  state  $Y$  in  $M$ )

```

for each state  $Z$  in  $S_{out}^*(start)$  do
    for each transition  $T$  in  $T_{in}(Z)$  do
        if  $T \notin Def(v)$  //if  $v$  is not defined in  $T$ //
            then //Recursive call for the DefClearPath procedure //
                DefClearPath( $Z, end, v$ )
            return false

FindPath( $Y, Z$ ) //Boolean function that returns true if there is a path from  $Y$  to the exit//
                //state that does not go through  $Z$  //

 $S_{out\_minus\_z}(Y) \leftarrow \{\}$ 

 $S_{out\_temp}(Y) \leftarrow S_{out}(Y)$ 

for each state  $S$  in  $S_{out\_temp}(Y)$  do
    if  $S \neq Z$ 
        then
             $S_{out\_minus\_z}(Y) \leftarrow S_{out\_minus\_z}(Y) \cup \{S\}$ 
            for each state  $Q$  in  $S_{out}(S)$  do
                if ( $Q \neq Z$ )
                    then
                         $S_{out\_temp}(Y) \leftarrow S_{out\_temp}(Y) \cup \{Q\}$ 

if  $Exit \in S_{out\_minus\_z}(Y)$ 
    then return true //there is a path from  $Y$  to the exit state that does not go through  $Z$ //
    else return false

```