

# Association of Frailty and Long-Term Survival in Patients Undergoing Coronary Artery Bypass Grafting

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**Background**—Frailty is increasing in prevalence and poses a formidable challenge for clinicians. The cardiac surgery literature consists primarily of small single-center studies with limited follow-up, and the epidemiological features of frailty remain to be elucidated in long-term follow-up.

**Methods and Results**—We conducted a population-based, retrospective, cohort study in Ontario, Canada, between 2008 and 2015. Frailty was defined using the Johns Hopkins Adjusted Clinical Groups frailty indicator (a multidimensional instrument validated for research using administrative data). The primary outcome was mortality. Mortality rates were calculated using the Kaplan-Meier method. The hazard of death was assessed using a multivariable Cox proportional hazard model. Of 40 083 patients, 8803 (22%) were frail. At 4±2 years of follow-up, age- and sex-standardized mortality rate per 1000 person-years was higher in frail (33; 95% confidence interval, 29–36) compared with nonfrail (22; 95% confidence interval, 19–24) patients. Frailty was associated with an increased risk of long-term mortality (adjusted hazard ratio, 1.20; 95% confidence interval, 1.12–1.28) and greater differences in the survival of patients between 40 and 74 years of age than in those who were ≥85 years old.

**Conclusions**—Frailty was present in a large proportion of patients undergoing coronary artery bypass grafting and was independently associated with long-term mortality. The adjusted risk of frailty-related death was inversely proportional to age. Our findings highlight the need for more comprehensive preoperative risk stratification models to assist with optimal selection of operative candidates. In addition, we identified the <75 years age group as a potential target for comprehensive preoperative optimization programs, such as cardiac prehabilitation, nutritional augmentation, and psychosocial support. (*J Am Heart Assoc.* 2018;7:e009882. DOI: 10.1161/JAHA.118.009882.)

**Key Words:** aging • coronary artery bypass graft surgery • mortality • prognosis • survival

Frailty is an emerging concept in perioperative medicine but remains a poorly recognized and poorly investigated syndrome in patients undergoing cardiac surgery.<sup>1–4</sup> It is increasing in prevalence and presents a formidable challenge

for clinicians who work to optimize these patients.<sup>5</sup> Frailty is a common syndrome described as a diminished resiliency in response to stress as a result of decreased physiological reserve, increased burden of comorbidities, and altered multisystem homeostasis.<sup>6</sup> Frailty increases susceptibility to adverse health outcomes and contributes to the difference between chronological and physiological age.<sup>7</sup> It is associated with increased mortality, surgical site infections, length of hospital stay, increased healthcare expenditure, and readmission rates in patients presenting for a variety of major noncardiac surgeries.<sup>8–12</sup> Frailty has also been reported as a risk factor for mortality, major adverse cardiac and cerebrovascular events, and increased length of hospital stay in cardiac surgical patients.<sup>1,2,13–16</sup> The cardiac surgery literature consists primarily of relatively small single-center studies with limited follow-up durations, and the epidemiological features of frailty remain to be elucidated in long-term follow-up. We investigated the prevalence of frailty and its association with long-term mortality in patients who underwent primary isolated coronary artery bypass grafting (CABG) in Ontario, Canada, from 2008 to 2015.

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Accompanying Tables S1 and S2 are available at <http://jaha.ahajournals.org/content/7/15/e009882/DC1/embed/inline-supplementary-material-1.pdf>

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### Clinical Perspective

#### What Is New?

- The prevalence of frailty was markedly higher in patients undergoing isolated coronary artery bypass grafting compared with those undergoing elective noncardiac surgery.
- Frailty was associated with poor early and long-term survival, especially in those between 40 and 74 years of age.
- The adjusted long-term frailty-related mortality risk was inversely proportional to age.

#### What Are the Clinical Implications?

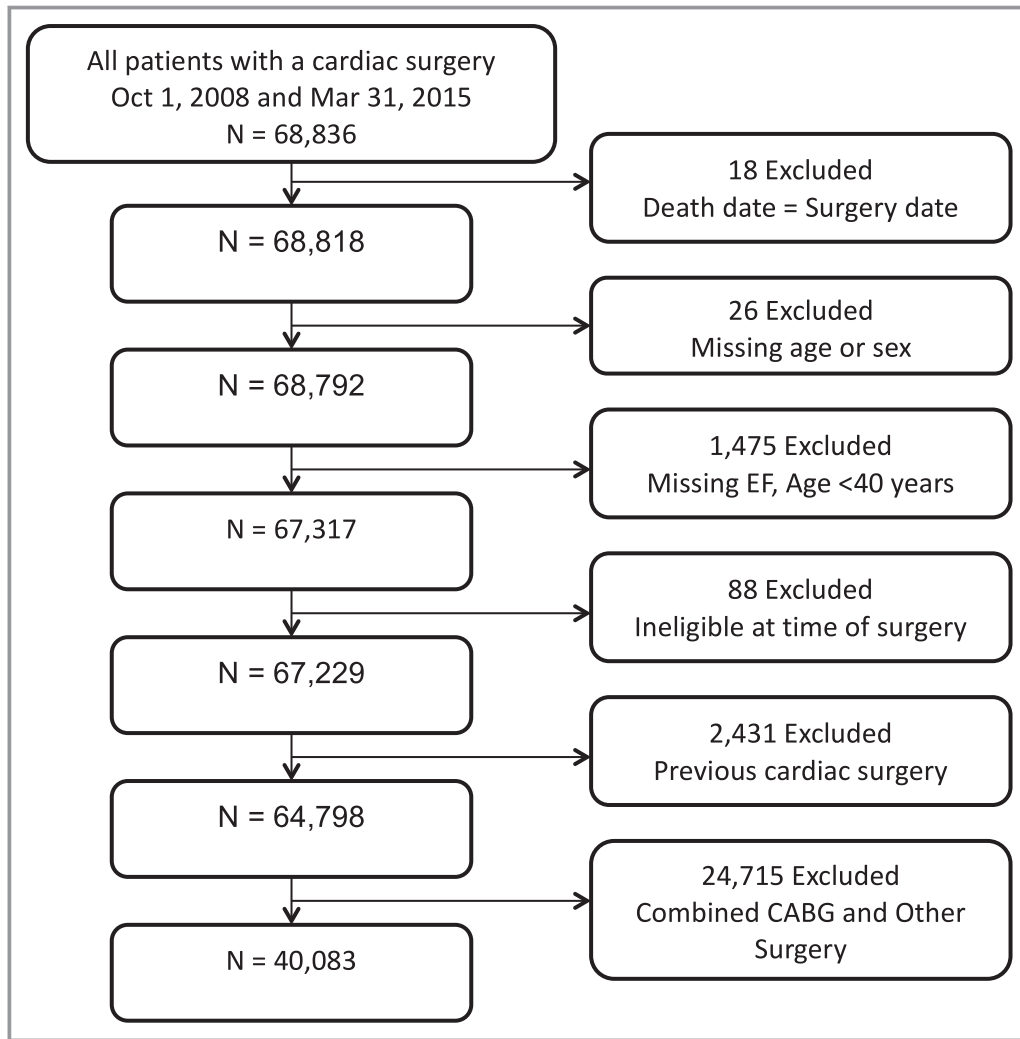
- Frailty should be incorporated into preoperative risk stratification models to assist with optimal selection of operative candidates.
- Effective preoperative interventions may improve outcomes, especially in younger patients.

### Methods

#### Design and Study Population

We conducted a population-based, retrospective, cohort study in Ontario, Canada, between October 1, 2008, and March 31, 2015. The Research Ethics Board of Sunnybrook Health Sciences (Toronto, ON, Canada) approved this study and waived the need for informed consent. The data, analytic methods, and study materials will not be made available to other researchers for purposes of reproducing the results or replicating the procedure.

This study included adult patients  $\geq 40$  years of age, who underwent primary isolated CABG in Ontario. We excluded patients who were non-Ontario residents, who had a history of cardiac surgery, or who had missing information on age, sex, and left ventricular ejection fraction (EF; Figure 1). During the study period, Ontario was Canada’s most populous province, with a publicly funded universal healthcare system that reimbursed all healthcare providers and services.



**Figure 1.** Cohort flowchart. CABG indicates coronary artery bypass grafting; EF, ejection fraction.

## Data Sources

The authors used the registry data of CorHealth Ontario and population-level administrative healthcare databases with information on all Ontario residents available at the Institute for Clinical Evaluative Sciences. Individuals who underwent primary isolated CABG were identified from the CorHealth Ontario Cardiac Registry. The CorHealth Ontario Cardiac Registry captures data from all 19 hospitals that provide invasive cardiac procedures across the province. The registry contains detailed demographic, comorbidity, and procedural-related information and has been validated through selected chart audits. In addition, EF and angiographic data in the CorHealth Ontario registry undergo core laboratory validation.<sup>17</sup>

Administrative databases were linked deterministically using encrypted identifiers that preserved patient confidentiality. The authors linked the CorHealth Ontario registry (date and type of cardiac procedures, comorbidities, EF, and angiographic data) with the Canadian Institute for Health Information's Discharge Abstract Database (comorbidities and hospital admissions) and Same Day Surgery database (comorbidities), Ontario Health Insurance Plan database (physician service claims), Registered Persons Database (ascertainment of vital statistics), and Canadian census. Although lacking in physiologic and laboratory measures, these administrative databases have been validated for many outcomes, exposures, and comorbidities.<sup>18–21</sup>

## Exposure

Assessments of frailty can be made with clinical scales,<sup>22</sup> functional assessments,<sup>13,23</sup> and their combination,<sup>24</sup> or by the presence of a constellation of frailty-defining diagnoses.<sup>5,8,25</sup> This last method uses the Johns Hopkins Adjusted Clinical Groups (ACG) frailty-defining diagnoses indicator, which is an instrument based on 10 clusters of frailty-defining diagnoses (ie, malnutrition, dementia, impaired vision, decubitus ulcer, incontinence of urine, loss of weight, poverty, barriers to access to care, difficulty in walking, and falls; Table S1). This binary indicator identifies frailty by the presence of  $\geq 1$  diagnostic clusters and was designed and validated for research of frailty-related outcomes and resource use using administrative data.<sup>26–30</sup> It has been used to study the prevalence, outcomes, and resource use in patients undergoing major elective noncardiac surgery<sup>5</sup> and total joint arthroplasty in Ontario.<sup>8</sup> Because of the proprietary nature of the ACG system, the authors are unable to provide the specific diagnostic codes used to define this indicator. In the present study, frailty was defined using comorbidity and health resource use data available within 5 years before the index CABG.

The ACG frailty indicator has been externally validated using the Vulnerable Elderly Scale. The findings of this

validation study indicate that the ACG frailty indicator had moderate ability to discriminate between frail and nonfrail patients when compared with the Vulnerable Elderly Scale (area under the curve, 0.62).<sup>27</sup> The lack of strength of correlation between the 2 instruments may reflect the lack of a gold standard for frailty assessment. To date, several frailty scales exist to capture related, but distinct, groups that form different dimensions of frailty.<sup>31</sup> A direct comparison of 8 commonly used frailty instruments demonstrated limited agreement between these instruments.<sup>31</sup> The ACG frailty indicator captures patients with multidimensional frailty at the population level and has been shown to accurately identify patients with limitations in activities of daily living.<sup>26</sup>

## Covariates

Comorbidities were identified from the CorHealth Ontario registry and supplemented with data from the Discharge Abstract Database, the Same Day Surgery database, and the Ontario Health Insurance Plan database using *International Classification of Diseases, Tenth Revision, Canada (ICD-10-CA)* codes<sup>32</sup> within 5 years before CABG using validated algorithms.<sup>18,20,33–35</sup> We estimated socioeconomic status on the basis of patients' neighborhood median income in the Canadian census and determined their residence (rural versus urban) using Statistics Canada definitions.<sup>36</sup> Emergent procedural status was ascertained from the CorHealth Ontario registry and supplemented with Ontario Health Insurance Plan claims data, where the anesthesia provider identified the surgery as emergent under the American Society of Anesthesiologists physical status classification. Height, weight, and body mass index identified from the CorHealth Ontario registry were used to define morbid obesity (weight,  $> 159$  kg; or body mass index,  $\geq 40$  kg/m<sup>2</sup>). Preoperative EF was obtained from the CorHealth Ontario registry and classified as preserved if EF was  $\geq 50\%$  and reduced if EF was  $< 50\%$ . We then categorized heart failure (HF) status into 4 groups: HF with preserved EF, HF with reduce EF, preserved EF without HF, and reduced EF without HF.

## Outcome

The primary outcome was death from any cause. We confirmed in-hospital mortality using the Discharge Abstract Database and postdischarge mortality using the Registered Persons Database.

## Statistical Analysis

L.Y.S. and A.B.E. had full access to all the data in the study and take responsibility for their integrity and for the data analysis. Continuous variables were expressed as mean (SD),

and categorical variables were expressed as number (proportions). Mortality was assessed through March 31, 2016. Patients were censored when they lost possession of a valid Ontario health insurance number for 2 consecutive eligibility quarters (ie, have left the province of Ontario). Survival time was defined as date of index surgery until date of death or date of last follow-up. Event rates in each group were calculated using the Kaplan-Meier method and presented graphically, with the significance of differences in mortality between groups assessed using the log-rank test. Age-stratified mortality rates were standardized by sex, and pooled mortality rates were standardized by age and sex, using the 2011 Canadian population as the reference population. The relative hazard of death was assessed using Cox proportional hazard models with multivariable adjustment. To avoid redundant adjustment of risk factors that are already a part of the aggregate Johns Hopkins ACG frailty indicator, we did not control for medical comorbidities and instead adjusted only for age, sex, socioeconomic status, and case urgency status in our primary model. We then explored the association of frailty and long-term mortality in subgroups stratified by age and sex by plotting adjusted Kaplan-Meier curves within these subgroups.

The measure of association was hazard ratios (HRs) with 95% confidence intervals (CIs). Analyses were performed using SAS 9.3 (SAS Institute, Cary, NC), with statistical significance defined by a 2-sided  $P < 0.05$ .

### Sensitivity Analyses

We used generalized estimating equations to determine the adjusted association of patient-level characteristics with long-term mortality while accounting for clustering of patients within hospitals. In addition, we assessed whether the association of frailty and long-term mortality would be altered by completeness of revascularization at the time of surgery. This was accomplished by adding completeness of revascularization to the original Cox proportional hazards model. Incomplete revascularization was defined as presence of  $\geq 1$  ungrafted vessels with  $\geq 70\%$  stenosis in the left anterior descending, circumflex, or right coronary artery territories. Finally, we repeated these sensitivity analyses in an expanded model exploring the association of frailty and long-term mortality while adjusting for all comorbidities.

## Results

### Prevalence of Frailty in Patients Undergoing CABG

A total of 40 083 consecutive patients who underwent isolated CABG from 2008 to 2015 were included in the study (Figure 1). Of these patients, 8803 (22.0%) were frail. The prevalence of

frailty was higher in older age groups. Specifically, 3562 (20.2%) of the 40 to 64 years age group, 3029 (21.7%) of the 65 to 74 years age group, 2018 (25.6%) of the 75 to 84 years age group, and 194 (31.5%) of the  $\geq 85$  years age group were frail. Table 1 summarizes the demographics and comorbidities of frail versus nonfrail patients. Frail patients were more likely to be women, to be  $>75$  years of age, and to have rural places of residence, lower income status, hypertension, preserved EF, atrial fibrillation, remote and recent myocardial infarction, HF, cerebral and peripheral vascular disease, chronic pulmonary disease, diabetes mellitus, hypothyroidism, anemia, renal and liver disease, malignancies, and dementia.

### Thirty-Day Mortality

At 30 days, 626 patients (1.6%) patients died, of whom 174 (27.8%) were frail. Table 2 summarizes the sex-standardized mortality rates of frail and nonfrail patients, stratified by age. Frail patients had higher rates of 30-day mortality than those who were not frail, across all but the  $\geq 85$  years age group.

### Long-Term Mortality

The mean follow-up period was  $4 \pm 2$  years, with a total follow-up time of 58 081 087 person-years. A total of 4423 patients (11.0%) died during long-term follow-up, of whom 1429 (32.3%) were frail. Age- and sex-standardized long-term mortality rate per 1000 person-years was 33 (95% CI, 29–36) in frail patients and 22 (95% CI, 19–24) in nonfrail patients (Table 2). Adjusted Kaplan-Meier survival curves illustrate lower probabilities of survival in patients who were frail at the time of surgery (Figure 2).

Table 3 illustrates the multivariable correlates of long-term mortality. Frailty was correlated with an increased risk of mortality (adjusted HR, 1.63; 95% CI, 1.53–1.74). Other independent mortality correlates were age, socioeconomic status, and urgent case status. The association of frailty and long-term mortality remained robust in the sensitivity analyses that accounted for clustering of patients within hospitals and completeness of revascularization. Incomplete revascularization was associated with a higher risk of long-term mortality (adjusted HR, 1.28; 95% CI, 1.20–1.36). Furthermore, the association of frailty and long-term mortality also remained robust in the sensitivity analysis that controlled for all comorbidities (Table S2). In this analysis, other independent mortality correlates were remote and recent myocardial infarction, hypertension, reduced EF, HF, cerebral and peripheral vascular disease, chronic pulmonary disease, diabetes mellitus, dialysis, chronic renal disease, anemia, malignancies, and dementia.

Greater differences in long-term mortality rates between frail and nonfrail patients were observed in the younger age

**Table 1.** Baseline Characteristics by Frailty Status

Variable	Frail (n=8803)	Not Frail (n=31 280)	Total (N=40 083)	P Value
Age, mean±SD, y	66.75±10.06	65.58±9.78	65.84±9.85	<0.001
40–64	3562 (40.5)	14 063 (45.0)	17 625 (44.0)	<0.001
65–74	3029 (34.4)	10 940 (35.0)	13 969 (34.9)	
75–84	2018 (22.9)	5855 (18.7)	7873 (19.6)	
≥85	194 (2.2%)	422 (1.3%)	616 (1.5%)	
Female sex	2661 (30.2)	5587 (17.9)	8248 (20.6)	<0.001
Rural	1481 (16.8)	4650 (14.9)	6131 (15.3)	<0.001
Income quintile				
1 (Lowest)	1952 (22.2)	5666 (18.1)	7618 (19.0)	<0.001
2	1898 (21.6)	6279 (20.1)	8177 (20.4)	
3	1674 (19.0)	6401 (20.5)	8075 (20.1)	
4	1710 (19.4)	6457 (20.6)	8167 (20.4)	
5 (Highest)	1511 (17.2)	6321 (20.2)	7832 (19.5)	
Missing	58 (0.7)	156 (0.5)	214 (0.5)	
Remote MI	2698 (30.6)	7657 (24.5)	10 355 (25.8)	<0.001
Recent MI	5092 (57.8)	13 123 (42.0)	18 215 (45.4)	<0.001
Previous PCI	1475 (16.8)	4812 (15.4)	6287 (15.7)	0.002
Hypertension	8045 (91.4)	26 984 (86.3)	35 029 (87.4)	<0.001
Atrial fibrillation	856 (9.7)	1899 (6.1)	2755 (6.9)	<0.001
LVEF, %				
≥50	19 746 (63.1)	5237 (59.5)	24 983 (62.3)	<0.001
35–49	7896 (25.2)	2348 (26.7)	10 244 (25.6)	
20–34	3084 (9.9)	1010 (11.5)	4094 (10.2)	
<20	554 (1.8)	208 (2.4)	762 (1.9)	
HF status				
pEF, no HF	4339 (49.3)	17 892 (57.2)	22 231 (55.5)	<0.001
rEF, no HF	2097 (23.8)	8187 (26.2)	10 284 (25.7)	
HFpEF	898 (10.2)	1854 (5.9)	2752 (6.9)	
HFrEF	1469 (16.7)	3347 (10.7)	4816 (12.0)	
Cerebral vascular disease	1179 (13.4)	2873 (9.2)	4052 (10.1)	<0.001
Peripheral vascular disease	1448 (16.4)	3681 (11.8)	5129 (12.8)	<0.001
COPD/asthma	3050 (34.6)	8089 (25.9)	11 139 (27.8)	<0.001
Diabetes mellitus	4909 (55.8)	13 999 (44.8)	18 908 (47.2)	<0.001
Morbid obesity	3179 (36.1)	8966 (28.7)	12 145 (30.3)	<0.001
Hypothyroidism	156 (1.8)	271 (0.9)	427 (1.1)	<0.001
Anemia	626 (7.1)	1019 (3.3)	1645 (4.1)	<0.001
Dialysis	291 (3.3)	552 (1.8)	843 (2.1)	<0.001
Chronic renal disease	636 (7.2)	1247 (4.0)	1883 (4.7)	<0.001
Liver disease	94 (1.1)	194 (0.6)	288 (0.7)	<0.001
Primary tumor	468 (5.3)	1397 (4.5)	1865 (4.7)	<0.001
Metastatic tumor	52 (0.6)	128 (0.4)	180 (0.4)	0.024

Continued

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**Table 1.** Continued

Variable	Frail (n=8803)	Not Frail (n=31 280)	Total (N=40 083)	P Value
Dementia	104 (1.2)	7 (0.0)	111 (0.3)	<0.001
Emergent surgery	541 (6.1)	2050 (6.6)	2591 (6.5)	0.17

Data are given as number (percentage) unless otherwise indicated. COPD indicates chronic obstructive pulmonary disease; HF, heart failure; LVEF, left ventricular ejection fraction; MI, myocardial infarction; PCI, percutaneous coronary intervention; pEF, preserved ejection fraction; rEF, reduced ejection fraction.

groups (Table 2). The adjusted Kaplan-Meier curves in Figure 3 demonstrated decreasing probability of survival with increasing age. Frailty contributed to greater differences in the survival of patients between 40 and 74 years of age and smaller differences in the long-term survival of those who were ≥85 years old. When the relative hazard of death was plotted against age as a continuous variable (Figure 4), an inverse relationship was again illustrated, such that frailty posed a higher adjusted risk of mortality in younger patients and lower impact on older patients. When we explored the differences in survival by sex within each of the 4 age groups (Figure 5), we found higher probabilities of long-term survival in men <75 years of age and in women ≥75 years of age.

**Discussion**

This population-based study found a high prevalence of frailty in patients undergoing CABG and higher early and long-term mortality rates in these patients. Three main findings were

derived from this study. (1) The burden of frailty was markedly higher in patients undergoing CABG (22%) compared with patients undergoing major noncardiac surgery (3%) during a similar time period in Ontario.<sup>5</sup> (2) Frail patients had lower probabilities of early and long-term survival compared with their nonfrail counterparts. This difference in survival was more evident in the younger age groups (<75 years). (3) The adjusted long-term frailty-related mortality risk was inversely proportional to age.

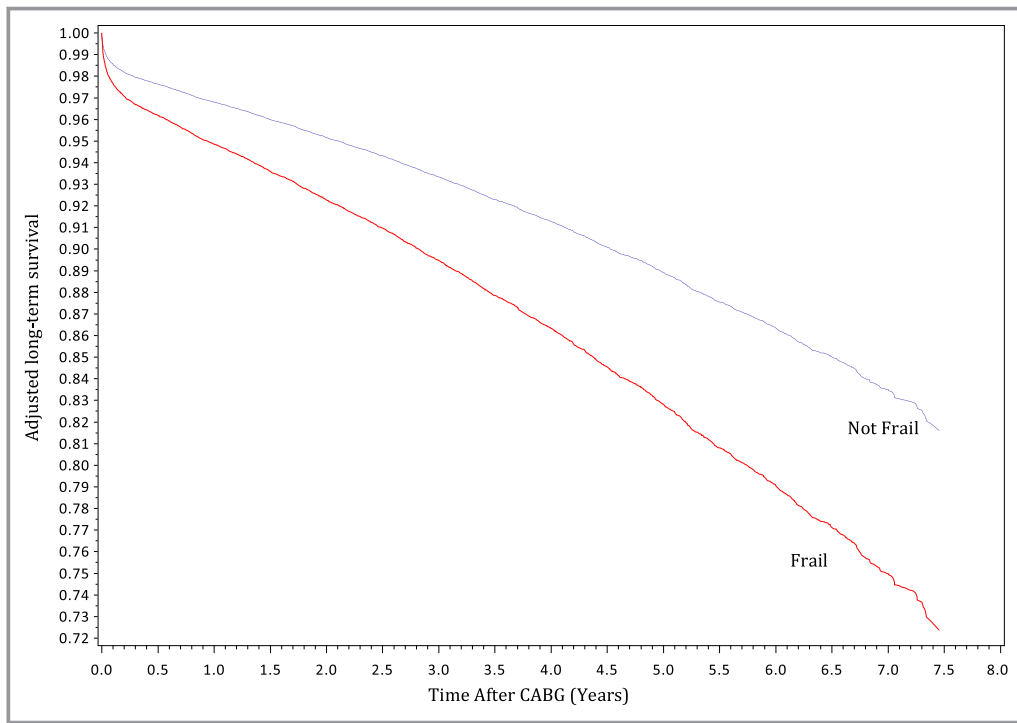
**Burden of Frailty in Patients Undergoing CABG**

Frailty is a syndrome prevalent in the geriatric population that does not have a universally accepted definition. The different measures of frailty include physical phenotype,<sup>37</sup> clinical functional assessments,<sup>22</sup> and functional assessments in combination with disability or laboratory values; these have all been studied in the general population.<sup>7</sup> The prevalence of frailty assessed by physical phenotype is 9.9% and 13.6%

**Table 2.** Early and Long-Term Mortality Rates After CABG, by Frailty Status

Variable	Frail (n=8803)		Not Frail (n=31 280)	
	N (%)	SMR (95% CI)*	N (%)	SMR (95% CI)*
<b>30-d mortality</b>				
<b>Age, y</b>				
40–64	40 (0.5)	0.3 (0.1–0.4)	101 (0.3)	0.2 (0.1–0.2)
65–74	57 (0.6)	0.1 (0.08–0.1)	140 (0.4)	0.07 (0.06–0.08)
75–84	69 (0.8)	0.1 (0.09–0.2)	184 (0.6)	0.1 (0.09–0.1)
≥85	8 (0.09)	0.04 (0.02–0.07)	27 (0.09)	0.08 (0.05–0.1)
Overall	174 (2.0)	0.5 (0.4–0.7)	452 (1.4)	0.4 (0.4–0.5)
<b>Long-term mortality</b>				
<b>Age, y</b>				
40–64	332 (3.8)	13.9 (11.7–16.4)	737 (2.4)	7.1 (6.4–7.8)
65–74	491 (5.6)	6.7 (6.1–7.3)	1037 (3.3)	3.7 (3.5–3.9)
75–84	540 (6.1)	7.2 (6.6–7.9)	1090 (3.5)	5.0 (4.7–5.3)
≥85	66 (0.7)	4.2 (2.8–6.1)	130 (0.4)	4.3 (3.3–5.5)
Overall	1429 (16.2)	32.0 (29.2–35.1)	2994 (9.6)	20.0 (18.7–21.4)

CABG indicates coronary artery bypass grafting; CI, confidence interval; SMR, standardized mortality rate. \*Age-stratified SMRs are standardized by sex. Overall SMRs are standardized by age and sex.



**Figure 2.** Adjusted estimated long-term survival by frailty status. Curves were adjusted for age, sex, socioeconomic status, and case urgency status. CABG indicates coronary artery bypass grafting.

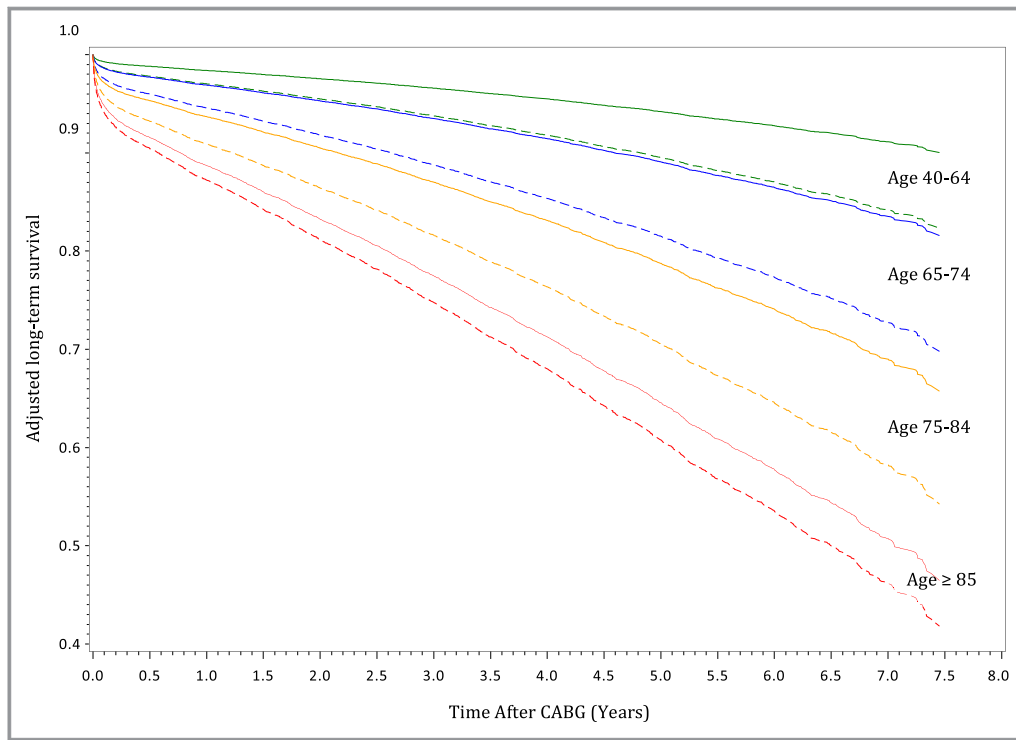
when using a broader definition in adults >64 years of age.<sup>7</sup> In comparison, a study of 152 cardiac surgery patients using 3 different frailty scales reported a prevalence of 20% to 46%,

depending on the method chosen to define frailty.<sup>14</sup> When 11 different frailty measures were compared in a similarly sized cardiac surgery cohort, a prevalence of 4.8% to 47% was

**Table 3.** Multivariable Predictors of Long-Term Mortality After CABG

Variable	Main Model	Cluster by Site	Completeness of Revascularization Added
Frailty	1.63 (1.53–1.74)	1.63 (1.53–1.74)	1.63 (1.53–1.74)
Age group, y			
40–64	Reference	Reference	Reference
65–74	1.92 (1.77–2.07)	1.9 (1.75–2.05)	1.89 (1.75–2.05)
75–84	3.73 (3.45–4.03)	3.69 (3.41–3.99)	3.63 (3.36–3.93)
≥85	6.22 (5.34–7.25)	6.05 (5.19–7.05)	5.91 (5.06–6.9)
Sex (reference=male)	1.04 (0.97–1.11)	1.04 (0.97–1.11)	1.04 (0.97–1.11)
Income quintile			
1 (Lowest)	1.43 (1.3–1.57)	1.41 (1.28–1.55)	1.44 (1.31–1.59)
2	1.27 (1.16–1.4)	1.26 (1.14–1.39)	1.27 (1.16–1.4)
3	1.13 (1.03–1.25)	1.13 (1.02–1.24)	1.14 (1.04–1.26)
4	1.12 (1.01–1.23)	1.12 (1.01–1.23)	1.12 (1.02–1.24)
5 (Highest)	Reference	Reference	Reference
Missing	1.42 (0.94–2.15)	1.39 (0.92–2.1)	1.41 (0.93–2.14)
Emergent surgery	1.7 (1.54–1.87)	1.7 (1.55–1.88)	1.66 (1.51–1.83)
Incomplete revascularization	...	...	1.28 (1.2–1.36)

Data are given as hazard ratio (95% confidence interval). CABG indicates coronary artery bypass grafting.



**Figure 3.** Adjusted estimated long-term survival of frail and nonfrail patients, stratified by age group. Curves were adjusted for sex, socioeconomic status, and case urgency status. The solid lines represent estimated survival in nonfrail patients. The dotted lines represent estimated survival in frail patients. CABG indicates coronary artery bypass grafting.

reported.<sup>38</sup> These diverse ranges likely reflect the diversity of frailty instruments used, the poor correlation between instruments, and the differences in the prevalence of frailty by age and surgery type. The present study used the Johns Hopkins ACG frailty-defining diagnoses indicator and found that the prevalence of frailty was 22% in patients undergoing CABG  $\geq 40$  years of age, which is in keeping with the ranges described for other cardiac surgery cohorts. It is, however, markedly higher than the 3.1% prevalence found in a contemporary Ontario noncardiac surgery cohort (2002–2012) aged  $\geq 65$  years using the same ACG indicator.<sup>5</sup> This higher prevalence of frailty in cardiac surgery patients most likely reflects the higher proportion of medically complex patients presenting for cardiac versus noncardiac surgery. Frail patients are more likely to experience procedural failure, complications, and worsening frailty after the hospitalization<sup>39</sup> that is common after CABG. Our findings highlight the need for comprehensive risk stratification tools to optimize the patient selection process and to facilitate patient-centered discussions on treatment options.

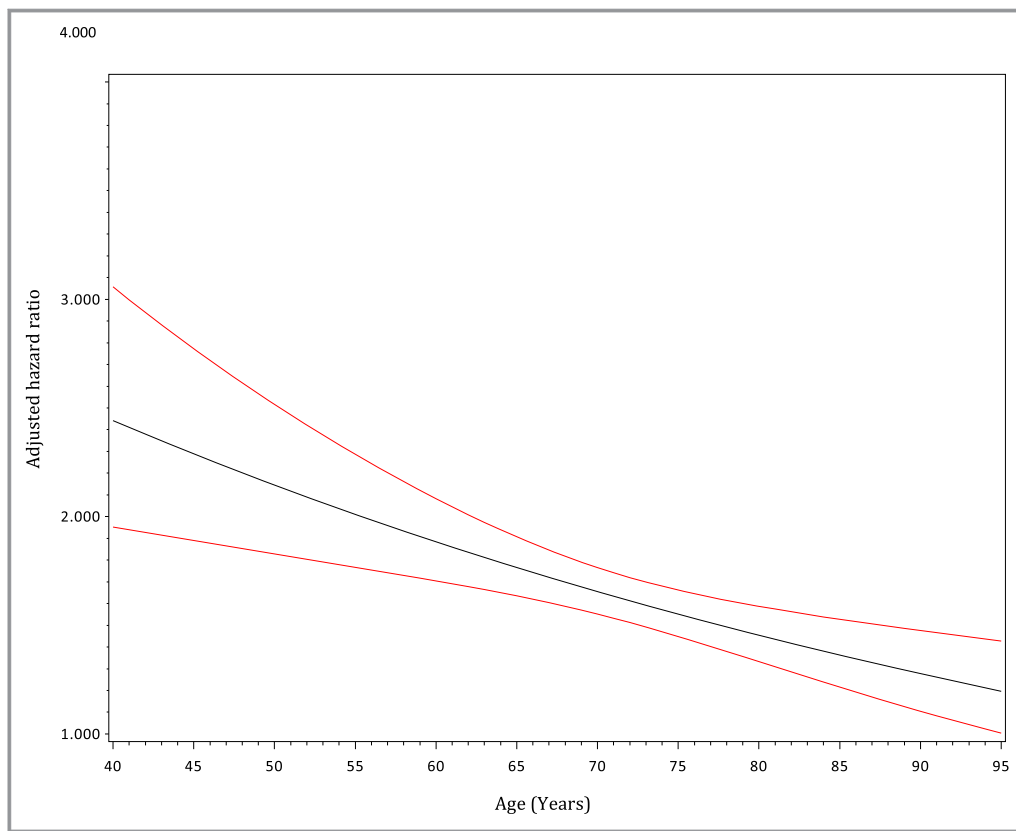
### Frailty and Perioperative Risk Stratification

Clinicians often struggle to quantify the perioperative risk of morbidity and mortality in elderly patients, because tools such

as the Society of Thoracic Surgeons risk and the European System for Cardiac Operation Risk Evaluation were not designed to comprehensively assess the complex interaction between comorbidities and biological versus physiological age. The European System for Cardiac Operation Risk Evaluation II has been shown to overestimate mortality in isolated patients undergoing CABG,<sup>40</sup> whereas the Society of Thoracic Surgeons score underestimates it.<sup>13</sup> However, the incorporation of a frailty score into such risk models has been shown to improve model discrimination.<sup>14,23,24</sup> The high burden of frailty in patients undergoing CABG accentuates the need for further research to validate these more inclusive risk scores in larger population-based cohorts and for prospective trials guided by these new scores to determine whether alternative revascularization strategies (eg, percutaneous coronary intervention) and/or comprehensive preoperative nutritional, psychological support, and physical conditioning programs would improve outcomes in frail patients.<sup>41–43</sup>

### Frailty and Mortality

To our knowledge, this is the largest cohort study to describe the long-term outcomes of frailty in patients undergoing CABG surgery. Frailty has been studied in the cardiac surgery literature using a variety of definitions. A Veteran Affairs



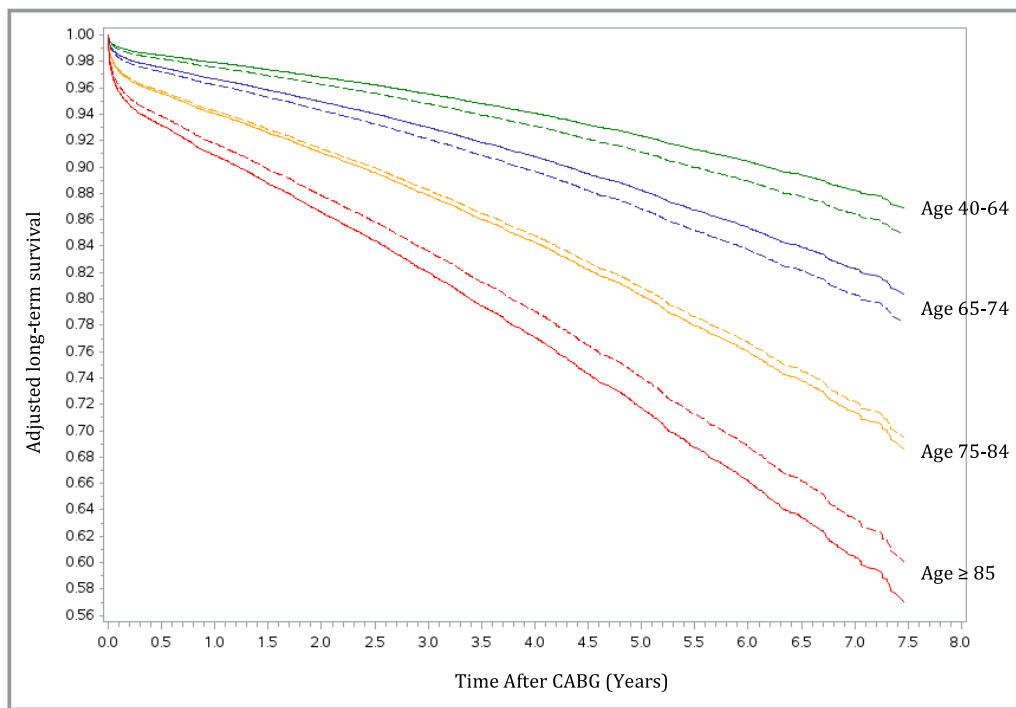
**Figure 4.** Age-dependent adjusted relative hazard of long-term mortality in frail vs nonfrail patients. The black line represents the hazard ratio (adjusted for all variables presented in Table 3, except for age). The red lines represent 95% confidence intervals.

report on 11 815 patients undergoing CABG described an intermediate-term mortality HR of 2.4 (95% CI, 1.6–3.7) at 31 to 210 days for patients who had totally dependent functional status and an HR of 1.5 (95% CI, 1.1–1.9) for partially dependent individuals.<sup>44</sup> The second largest frail-related study in cardiac surgery patients was based on a single center cohort of 3826 patients.<sup>1</sup> This study found frailty to be associated with an increased risk of mortality in hospital (adjusted odds ratio, 1.8; 95% CI, 1.1–3.0) and at 2 years (adjusted HR, 1.5; 95% CI, 1.1–2.2). We found an HR of 1.6 (95% CI, 1.5–1.7) associated with frailty at longer follow-up of 4±2 years. Our effect size is similar to those published in the literature, and our findings suggest that although frailty had a larger impact on short-term mortality,<sup>1</sup> its adverse impact remained robust in long-term follow-up. In addition, the wide range of published prevalence and frailty-related mortality risk is likely because of the differences in frailty instruments used. A systematic review of 8 studies directly comparing 9 different frailty instruments used in cardiac surgery cohorts noted that the multicomponent instruments provided better mortality risk prediction, despite poorer discrimination than their abbreviated single-domain counterparts.<sup>3</sup> The Johns Hopkins ACG frailty indicator is a comprehensive tool that

provides a multidimensional snapshot of a patient’s physical status and is able to predict the risk of long-term mortality in this cohort of patients undergoing CABG.

Our finding of the modifying effect of age in the association between frailty and mortality corroborated other contemporary population-based reports in Ontario.<sup>5</sup> This frailty-age interaction has otherwise not been well defined in the literature. Frailty has traditionally been described as a syndrome of elderly people.<sup>45</sup> In our study, the presence of frailty-defining diagnoses was a stronger predictor of mortality in younger patients. Our findings highlight the importance of concepts of “physiological” versus “biological” aging in the prognosis of patients. This finding may also reflect the limited life expectancy regardless of frailty status in those ≥85 years of age and/or possibly the limited discriminative ability of the John’s Hopkins frailty indicator in this advanced age group because of the existence of many comorbidities in this age group that overlap with components of this frailty indicator. Further studies are needed to explore the validity of different frailty instruments in a variety of age groups.

Consistent with other reports,<sup>1,13</sup> sex was not an independent predictor of long-term mortality in our pooled analysis. However, our adjusted Kaplan-Meier plots (Figure 5)



**Figure 5.** Adjusted estimated long-term survival, stratified by age and sex. The solid lines represent estimated survival in men. The dotted lines represent estimated survival in women. CABG indicates coronary artery bypass grafting.

demonstrated a higher probability of long-term survival in men <75 years of age and in women  $\geq 75$  years of age. Further studies are needed to explore the sex differences in the prognosis of patients undergoing CABG and means to improve outcomes in women and men.

### Weaknesses and Strengths

This study has several limitations. First, the prevalence and outcomes of frailty are representative of perioperative practices in Ontario. Similar research needs to be conducted in other settings to confirm the generalizability of our findings. However, during the study period, 38% of the Canadian population<sup>46</sup> resided in Ontario, and our universal healthcare system allowed for unbiased representation from diverse demographic groups. Second, our data sources lacked some relevant detailed physiologic measures of physical and nutritional frailty, such as the 5-minute walk test, grip strength, albumin,<sup>47</sup> liver function, creatinine, and brain natriuretic peptide.<sup>24</sup> The inability to measure, and thereby adjust for, differences in such characteristics could have explained, in part, the differences in mortality rates observed in this study. Despite this shortcoming, our capture of covariate information was based on validated algorithms within high-quality administrative databases, which were complemented by detailed patient-level data from the robust

CorHealth Ontario provincial registry. Our CABG cohort was well defined using valid procedural codes that were cross validated with the CorHealth Ontario registry records,<sup>48</sup> and our ascertainment of mortality was based on province-wide vital statistics data, ensuring complete follow-up for all patients. Third, the binary Johns Hopkins ACG frailty-defining diagnoses indicator did not allow for outcome assessment at different levels of frailty. However, this indicator is also an externally validated multidimensional method for characterizing frailty that has been used in high-impact population-based studies of frailty-related outcomes using a similar set of Ontario administrative databases.<sup>5,8</sup> Finally, cohort studies are by nature subjected to residual confounding. However, our study was the largest to date to describe the long-term outcomes of frail patients. In addition, the inclusion of candidates undergoing a single low-risk cardiac procedure allowed for the examination of the frailty-mortality relationship without the interfering effect of surgical complexity.

### Conclusions

Frailty was present in a disproportionate number of patients undergoing CABG compared with major noncardiac surgery. Frailty was independently associated with long-term mortality. More important, the adjusted risk of frailty-related death was inversely proportional to age, such that frailty was a stronger

predictor of long-term mortality in those <75 years of age. Our findings highlight the need for more comprehensive preoperative risk stratification models to assist with optimal selection of operative candidates. In addition, the subgroup of younger patients who are frail may benefit most from preoperative optimization programs, such as cardiac prehabilitation, nutritional augmentation, and psychosocial support.<sup>41–43</sup>

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## Disclosures

None.

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# **SUPPLEMENTAL MATERIAL**

**Table S1. Johns Hopkins ACG frailty-defining diagnosis indicators.**

Domains	Examples
Malnutrition	Severe protein-calorie malnutrition
Dementia	Dementia with delirium
Impaired vision	Profound macular degeneration in both eyes
Decubitus ulcer	Decubitus ulcer
Incontinence	Incontinence of urine
Weight loss	Unintentional weight loss
Poverty	Inadequate housing or material resources
Barriers to access to care	No available medical facilities for care
Difficulty walking	Difficulty walking
Fall	Fall on steps

**Table S2. Multivariable predictors of long-term mortality post CABG in the expanded model.**

Variable		Main Model	Cluster by Site	Completeness of Revascularization Added
		HR (95% CI)	HR (95% CI)	HR (95% CI)
Frailty		1.20 (1.12-1.28)	1.20 (1.13-1.29)	1.20 (1.12-1.28)
Age Group	40-64	Reference	Reference	Reference
	65-74	1.61 (1.49-1.75)	1.61 (1.49-1.74)	1.60 (1.48-1.73)
	75-84	2.86 (2.63-3.10)	2.85 (2.63-3.09)	2.81 (2.59-3.06)
	≥ 85	4.44 (3.80-5.19)	4.38 (3.74-5.12)	4.28 (3.66-5.01)
Sex		1.06 (0.99-1.14)	1.06 (0.99-1.14)	1.06 (0.99-1.14)
Rural Residence		1.04 (0.96-1.13)	1.01 (0.93-1.10)	1.03 (0.95-1.12)
Rurality (Missing)		0.77 (0.23-2.61)	0.86 (0.25-2.89)	0.76 (0.23-2.57)
Income Quintile	1 (Lowest)	1.24 (1.12-1.36)	1.21 (1.10-1.34)	1.24 (1.13-1.37)
	2	1.16 (1.05-1.28)	1.15 (1.04-1.26)	1.16 (1.05-1.28)
	3	1.08 (0.98-1.19)	1.07 (0.97-1.18)	1.09 (0.98-1.20)
	4	1.12 (1.02-1.24)	1.12 (1.01-1.23)	1.12 (1.01-1.24)
	5 (Highest)	Reference	Reference	Reference
Missing		1.25 (0.80-1.96)	1.17 (0.75-1.83)	1.25 (0.80-1.95)
Remote MI		1.11 (1.04-1.19)	1.11 (1.04-1.19)	1.10 (1.03-1.18)
Recent MI		1.24 (1.16-1.32)	1.23 (1.15-1.31)	1.24 (1.16-1.32)
Previous PCI		0.94 (0.87-1.03)	0.94 (0.87-1.03)	0.95 (0.87-1.04)
Hypertension		1.22 (1.07-1.38)	1.22 (1.07-1.38)	1.22 (1.08-1.38)
Atrial Fibrillation		1.37 (1.26-1.49)	1.37 (1.25-1.49)	1.37 (1.26-1.50)
HF Status	pEF, No HF	Reference	Reference	Reference
	rEF, No HF	1.36 (1.25-1.48)	1.39 (1.28-1.51)	1.36 (1.26-1.48)
	HFpEF	2.00 (1.80-2.21)	1.98 (1.79-2.20)	1.99 (1.80-2.20)
	HFrEF	2.75 (2.53-2.98)	2.79 (2.57-3.03)	2.73 (2.51-2.96)
Cerebrovascular Disease		1.25 (1.15-1.35)	1.25 (1.16-1.36)	1.23 (1.14-1.34)
Peripheral Vascular Disease		1.78 (1.66-1.90)	1.75 (1.63-1.87)	1.78 (1.66-1.91)
COPD/Asthma		1.46 (1.37-1.55)	1.44 (1.36-1.53)	1.46 (1.37-1.55)
Diabetes		1.27 (1.20-1.35)	1.28 (1.20-1.36)	1.27 (1.19-1.35)
Morbid Obesity		1.05 (0.97-1.13)	1.03 (0.95-1.12)	1.04 (0.96-1.13)
Hypothyroidism		0.95 (0.76-1.18)	0.95 (0.76-1.18)	0.96 (0.76-1.20)
Anemia		1.17 (1.05-1.30)	1.18 (1.06-1.31)	1.17 (1.05-1.30)
Dialysis		1.84 (1.60-2.12)	1.87 (1.63-2.15)	1.86 (1.62-2.14)
Chronic Renal Disease		1.54 (1.38-1.70)	1.52 (1.37-1.68)	1.52 (1.37-1.69)
Liver Disease		2.09 (1.67-2.62)	2.11 (1.69-2.65)	2.10 (1.67-2.63)
Primary Tumor		1.80 (1.62-1.99)	1.79 (1.61-1.98)	1.80 (1.63-2.00)
Metastatic Tumor		1.76 (1.35-2.30)	1.73 (1.32-2.26)	1.82 (1.40-2.38)
Dementia		1.34 (1.00-1.81)	1.36 (1.01-1.84)	1.35 (1.00-1.82)
Emergent Surgery		1.39 (1.26-1.54)	1.40 (1.27-1.55)	1.36 (1.23-1.50)
Incomplete Revascularization		--	--	1.19 (1.12-1.27)

\* HF, heart failure; pEF, preserved ejection fraction; rEF, reduced ejection fraction; MI, myocardial infarction; PCI, percutaneous coronary intervention; COPD, chronic obstructive pulmonary disease; HR = hazard ratio; CI = confidence interval