

# Experimental Performance Evaluation of TCP/IPv6 over IEEE 802.15.4 Wireless Sensor Networks

by

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## **Abstract**

In order to implement wireless sensing and monitoring services at large scale, Internet connection is highly desirable. Particularly, TCP is indispensable for end-to-end connection orientated communication. It is well known that low power and low rate IEEE802.15.4 based Wireless Sensor Networks (WSNs) are vulnerable to the interference from collocated Wireless Local Area Networks (WLAN) utilizing the same un-licensed 2.4GHz frequency band. Such coexistence interference seriously deteriorates the performance of TCP/IPv6 over WSN, resulting in packet losses, disconnections, reduced throughput and so on. This thesis focuses on experimental research on the performance evaluation and improvement of TCP/IPv6 over IEEE 802.15.4 based WSN. In this research, a versatile testbed has been developed and implemented, which consists of off-the-shelves and custom built hardware, open source and in-house developed firmware and software. A periodical monitoring/sensing application that uses TCP to transmit data over WSN has been developed and used for performance evaluation when there is various Wi-Fi interference close by. Based on the observations and analysis of our experimental results, several important parameters that impact the TCP packet transmission performance have been identified. Performance improvement technique is proposed to effectively adjust these parameters so as to support periodic monitoring/sensing application with substantial better performance. Extensive experiments have been performed in the testbed to evaluate the performance of WSN packets transmission via TCP over WSN when subjected to different Wi-Fi interference.

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## List of Acronyms

6LoWPAN	IPv6 over Low power Wireless Personal Area Networks
ACK	Acknowledgement
ACL	Access Control List
ADAPT	ADaptive Access Parameters Tuning
AODV	Ad hoc On Demand Distance Vector
AP	Access Point
AR	Acknowledgement Request
ASIC	Application Specific Integrated Circuit
A/D	Analog to Digital
BE	Backoff Exponent
BER	Bit Error Rate
BLE	Bluetooth Low Energy
BSS	Basic Service Set
CAP	Contention Access Period
CCA	Clear Channel Assessment
CCAP	Clear Channel Assessment Period
CCBM	Channel Change Broadcast Message
CCK	Complementary Code Keying
CFP	Contention Free Period
CoAP	Constrained Application Protocol
CPR	Constant Packet Rate

CRC	Cyclic Redundancy Check
CS	Carrier Sense
CSI	Channel State Information
CSMA-CA	Carrier Sense Multiple Access/Collision Avoidance
CTS	Clear-To-Send
DCF	Distributed Coordination Function
DIFS	DCF Inter Frame Space
DNS	Domain Name System
DSN	Data Sequence Number
DSSS	Direct Sequence Spread Spectrum
DTSN	Distributed Transport for Sensor Network
D/A	Digital to Analog
D-ITG	Distributed Internet Traffic Generator
EAP	Extensible Authentication Protocol
ED	Energy Detection
EDCA	Enhanced Distributed Channel Access
ER	Edge Router
EIRP	Equivalent Isotropically Radiated Power
EL	Estimation of Length
EN	External Node
ESS	Extended Service Set
FCS	Frame Check Sequence
FCC	Federal Communication Commission

FFD	Full-Function Device
FHSS	Frequency Hopping Spread Spectrum
FTP	File Transfer Protocol
GFSK	Gaussian Frequency Shift Keying
GTS	Guaranteed Time Slots
HART	Wireless Highway Addressable Remote Transducer
HCCA	HCF Controlled Channel Access
HCF	Hybrid Coordination Function
HLIM	Hop Limit
IAACCA	Interference Aware Adaptive Clear Channel Assessment
IBSS	Independent Basic Service Set
IDT	Inter-Departure Time
IEEE	Institute of Electrical and Electronics Engineers
IFS	Inter-Frame Space
IO	Input/Output
IoT	Internet of Things
IP	Internet Protocol
IPC	Inter-Process Communication
IPT	Inter-Packet Time
IPv4	Internet Protocol version 4
IPv6	Internet Protocol version 6
IR	Infrared
ISA	International Society of Automation

ISM	Industrial, Scientific, and Medical
LQI	Link Quality Indication
LQE	Link Quality Estimators
LTE	Long Term Evolution
LwIP	Lightweight IP
MAC	Media Access Control
MCU	Microcontroller Unit
MEMS	Micro-Electro-Mechanical Systems
MIMO	Multiple Input Multiple Output
MPDU	MAC Protocol Data Unit
MTU	Maximum Transmission Unit
NACK	Negative Acknowledgement
NAT	Network Address Translation
NDP	Neighbor Discovery Protocol
NH	Next Header
OFDM	Orthogonal Frequency Division Multiplexing
OSI	Open System Interconnection
PAN	Personal Area Network
PCF	Point Coordination Function
PCR	Packet Collision Rate
PDR	Packet Dropping Rate
PER	Packet Error Rate
PHY	Physical Layer

PIFS	PCF Inter Frame Space
PLR	Packet Loss Rate
PPDU	PHY Protocol Data Unit
PSDU	PHY Service Data Unit
QoS	Quality of Service
RF	Radio Frequency
RFD	Reduced-Function Device
RIPDS	Reliable IPv6 Packet Delivery Scheme
RNACK	Repair Negative Acknowledgment
RPL	Routing Protocol for Low power and Lossy Networks
RTC	Real-Time Clock
RTO	Retransmission Timeout
RTS	Request-To-Sent
RTT	Round-Trip Time
RSSI	Receiver Signal Strength Indicator
SCM	Spatial Channel Model
SFFR	Simple Frame Forwarding and Recovery
SIFS	Short Inter Frame Space
SINR	Signal-to-Interference-Plus-Noise Ratio
SNMP	Simple Network Management Protocol
SS	Spectrum Sensing
SYN	Synchronise Packet in Transmission Control Protocol (TCP)
TCP	Transmission Control Protocol

TDMA	Time Division Multiple Access
TN	Terminal Node
TSCH	Time-Slotted Channel Hopping
uIP	Micro IP
UDP	User Datagram Protocol
WBAN	Wireless Body Area Network
WEP	Wireless Equivalent Privacy
WiMAX	Worldwide Interoperability for Microwave Access
WLAN	Wireless Local Area Network
WMSN	Wireless Multimedia Sensor Network
WPA	Wireless Protected Access
WPAN	Wireless Personal Area Network
WR	Wireless Router
WSN	Wireless Sensor Network
WSSN	Wireless Smart Sensor Network
ZC	ZigBee Coordinator
ZED	ZigBee End Device
ZR	ZigBee Router

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# Chapter 1 Introduction

## 1.1 Overview of Wireless Sensor Networks

With the needs of deploying a large number of sensor nodes, monitoring or collecting physical and environmental information, and sending measured data through a network to a base station or cloud server surfacing, Wireless Sensor Network (WSN) is gaining increasing attention from both industry and academia. Wireless Sensor Network consists of a large number of wireless sensor devices that are small in size, low cost, with low power consumption and longer lifespan. The three key features of WSN are Sensing, Processing, and Communications. WSN has been widely used in smart environment/metering, security/emergency, retail, eHealth and home automation. Although WSN enables unprecedented possibilities in various areas of applications, due to the limitation of hardware and low cost, the WSN faces many new challenges such as integration of Internet and WSN, wireless connectivity, energy efficiency and security. However, with the development of embedded system, large scale integration circuit and radio technology, the price, complexity, energy consumption and size of WSN devices are decreasing while the performance and functions are getting better and better [1-5]. Comparing to traditional wireless networks such as cellular networks and Wi-Fi wireless local area networks, Wireless Sensor Networks have the following distinct characteristics [4] [7-8]: Large scale deployment [101-102], Self-healing [103], Application-oriented, Dynamic and Self-organization [104].

## 1.2 TCP/IPv6 over WSN

WSN has many applications which need to pass data and information back and forth in between peer nodes, end user and other terminals. Thus the communication between sensor networks and other networks is getting more and more important. The de-facto networking standard protocol suite is TCP/IP. Running TCP/IP on sensor nodes enables them to easily communicate from any other TCP/IP compatible device with any single node [31-33] [132]. The Internet Protocol (IP) is network layer protocol and is responsible for addressing hosts and for routing datagrams (packets) from a source host to a destination host across one or more IP networks. IP only provides best effort delivery and its service is characterized as connectionless and unreliable. All error conditions in the network must be detected and compensated by the end nodes of a transmission. The upper layer protocols (e.g. TCP) of the Internet protocol suite are responsible for resolving reliability issues. TCP is a connection oriented and reliable protocol [35] which can provide reliable connection. IPv6 address is 128-bit which supports a total of approximately  $3.4 \times 10^{38}$  addresses. This huge amount of addresses makes it possible for WSN to operate without Network Address Translation (NAT). In addition, the Autoconf and ICMPv6, included in IPv6, are useful to perform network management in WSN after implementation, such as to route packets through each nodes on the best path. The Anycast address mode of IPv6 suite is capable of increasing fault tolerance in WSN. Grouping nodes with same characteristics and functions in similar address range can also be efficiently achieved by using Anycast addressing. Utilizing IPv6, many useful WSN mechanisms, such as sampled listening, collection routing, hop-by-hop feedback, and trickle-based dissemination, can be easily achieved [37].

### 1.3 Thesis Motivation

As discussed in section 1.2, it is meaningful to implement TCP/IP over IEEE 802.15.4 based WSN. The benefits of connecting both WSN and other Internet is beyond remote access. By using IPv6 128-bit address, it is possible for WSN to operate without NAT. And the TCP protocol provides link reliability between two nodes/ends. However, due to the many limitations of WSN hardware and their characteristics, WSN faces more challenges than traditional wireless networks (e.g. Wi-Fi and Cellular networks) [3-5], such as Wireless connectivity [19], The integration of Internet and WSN [5] [20-24], Energy efficiency [25-27] and Security [28-30]. It is well known that the low power, low rate WSN is vulnerable for interference generated from collocated wireless devices that are operating at the overlapping ISM band [45-47]. Particularly, Wi-Fi is now being deployed almost everywhere (e.g. libraries, hospitals, schools, offices, restaurants, and homes), and mobile devices (e.g. smart phones and tablets) with Wi-Fi adapter built in are becoming more and more popular. One of the very common applications on these mobile devices are multimedia streaming. This kind of applications involves a high volume of wireless traffic which makes the interference even worse. WSN under Wi-Fi interference can have problems such as packet loss, disconnections, delay, reduced throughput, etc. Therefore, it is essential to study the performance of TCP/IP over WSN when there is interference from the collocated Wi-Fi network. In addition, one of the most common application scenarios of WSN is periodic sensing/monitoring such as vital sign monitoring, forest fire alarm, industrial processing control, and indoor/outdoor environment monitoring. All these applications need sensing information to be constantly updated to users/operators. For example, if the WSN nodes are deployed in forest, the sensor nodes can update the ambient temperature periodically to the sink nodes, and sink nodes pass this information to end

users. If the temperature passes over a certain threshold, end users can know there might be a fire alarm near the particular sensor node. Obviously, it is very beneficial and convenient if the WSN can be seamlessly connected to Internet so that the sensed/measured data can be accessed by any authorized devices that have Internet connection. Some of these sensing/monitoring applications require fairly high throughput to provide near real time monitoring. For example, ECG signal needs at least 12-bit accuracy with 250 Hz sample rate (3000 bytes per second) for only one channel (electronode). Such monitoring applications require more reliable transmissions and support of higher throughput, which is quite challenging when WSN is suffering coexistent interference.

In the recent past, there are quite some researches on coexistence interference (e.g. [50 - 59]), and TCP/IP over WSN (e.g. [60]- 68]). However, some of them (e.g. [66] and [68]) rely on simulations or theoretic work that are not realistic and might miss or oversee some hidden factors that can impact the performance; some (e.g. [50 - 59]) didn't consider TCP; others (e.g. [60], [62], [67] and [68]) only focused on TCP/IP over WSN implementations and optimizations without thoroughly considering the collocated interference.

Motivated by these observations, in this thesis, we focus on experimentally evaluating the performance of using TCP/IP over WSN for the periodic monitoring application under interference from coexisting Wi-Fi devices, and design performance improvement techniques.

## **1.4 Thesis Objectives**

We set the following objectives for this thesis research based on the discussions and motivations presented in the previous sections.

- Establish a testbed using both off-the-shelves and custom built hardware, open source software and programs developed by ourselves for studying TCP/IP over WSN under interference generated from collocated Wi-Fi devices.
- Implement a periodic monitoring/sensing application that uses TCP to transmit data over WSN, which will also be used for performance evaluation.
- Perform extensive experiments to evaluate the performance of periodic monitoring application when there is various Wi-Fi interference close by.
- Analyze the performance evaluation results to understand the various factors that degrade the transmission of sensor data.
- Propose and implement effective techniques to improve the performance of TCP/IP over WSN when subjected to Wi-Fi interference, and carry out extensive performance evaluation experiments to validate the proposed techniques.

## **1.5 Thesis Contributions**

Our experimental research on TCP/IP over WSN when subjected to various Wi-Fi interference has achieved several contributions which are summarized as follows:

1. We established a versatile testbed for conducting experimental research on TCP/IP over WSN under Wi-Fi interference.

2. In the testbed, we developed custom software that runs on the prototype WSN boards and offers a wide range of functionalities such as configurations of various MAC/IP/TCP layer parameters, WSN packet generation, packet statistics, and our proposed performance improvement techniques.
3. We developed periodic monitoring application that transmits the data packets generated periodically at the source node to the sink node via TCP.
4. We modified and improved TCP functions such as connection/reconnection, a variety of timers and buffers to support periodic monitoring application with substantial better performance.
5. We performed extensive experiments to evaluate the performance of WSN packets transmission via TCP over WSN when subjected to different Wi-Fi interference.

## **1.6 Thesis Outline**

The remaining chapters of this thesis are organized as follows: Chapter 2 gives a literature review of the state of the art technology related to our research. Chapter 3 elaborates the design and development of our testbed, the test environment, as well as our observation and analysis of the TCP over WSN traffic for validating the testbed functionality. Chapter 4 presents the developed periodic monitoring application using TCP over IEEE 802.15.4 WSN and optimization techniques, then evaluates the performance of WSN packet transmission via TCP over WSN when subjected to different Wi-Fi interference. Finally, the thesis is concluded and the future work is proposed in Chapter 5.

## **Chapter 2 Related Work on Coexistence Issues and TCP/IPv6 over WSN Techniques**

Wireless communication network is growing in popularity and becomes more widespread with the passing of time, due to its convenience of no wire and low cost. The IEEE 802.15.4 gradually gains support and becomes the choice of WSN technology. IEEE 802.15.4 works at the 2.4 GHz ISM band which is overlapped with the frequency band of the widely deployed Wi-Fi technology. IEEE 802.15.4 WSN is a low rate and low power network, it more tends to suffer from interference than other high power wireless devices working in the overlapping frequency band. Therefore, coexistence issues should be well considered when deploying different types of network in the same area. The performance degradation in coexisting networks has been studied by researchers for improving the current network standards and providing guidelines to design future standards. Moreover, most WSNs appear in the form of large quantity of nodes. And TCP/IPv6 provides convenient features to manage/control large amount of nodes while providing reliable connection under environment with interference.

In this Chapter, a comprehensive literature review is presented regarding the coexistence issues between IEEE 802.15.4 WSN and IEEE 802.11b/g/n WLAN, some important interference mitigation techniques, and recent research work on IPv6 over WSN.

## **2.1 Study of Coexistence Issues between ZigBee/IEEE 802.15.4 WSNs and IEEE 802.11b/g/n WLANs**

In recent years, many researchers have investigated coexistence issues between different or same kinds of wireless networks which operate in same or adjacent frequency bands, such as IEEE 802.15.4 WSN and IEEE 802.11b/g/n WLAN and yielded considerable amount of research work.

### **2.1.1 IEEE 802.15.4 WSN and IEEE 802.11b/g/n WLAN Coexistence Issues**

The inherent characters of Wireless Sensor Networks make them vulnerable to radio interference. WSNs are typically deployed in areas that are already crowded with same type of WSNs or devices and appliances using different wireless technologies working at same frequency band[69]. And the transmit power of WSNs is usually less than 1mW, which is very low compared to Wi-Fi's 36dBm (4 Watts) maximum Equivalent Isotropically Radiated Power (ERIP) [138]. If the IEEE 802.15.4 WSNs signal strength is too low when reaching the WSN receivers in the place Wi-Fi devices are collocated, the IEEE 802.15.4 frames could be damaged by the high Wi-Fi power and the WSN receivers would not be able to distinguish the signal from the interference and noise because the SINR (signal-to-interference-plus-noise ratio) is too low to recover useful information[70].

### **2.1.2 Experimental and Simulative Study of Coexistence Issues**

Many studies[69]-[71] have been done by a lot of researchers for investigating the Wi-Fi and WSN coexistence problems and quite a few new techniques have been proposed to mitigate such mutual interference recently. In the following subsections, a brief literature review is presented.

### Implemented Testbeds

Testbeds are essential for experimentally studying the performance detriment of IEEE 802.15.4 networks under interference of collocated IEEE 802.11 WLANs. Many research works related to such coexistence issues involve the use of testbeds. A minimal test environment can be set up by two IEEE 802.15.4 nodes, one for transmitting packets and one for receiving, and two IEEE 802.11b/g/n devices, one for generating interfering traffic and the other one for receiving the traffic. More complicated network can be deployed according to actual experiment needs. Optionally, IEEE 802.15.4/ IEEE 802.11b/g sniffer and computers to collect data from IEEE 802.15.4 WSN can be deployed to further analyze the performance. A typical testbed is depicted in Figure 2.1 [50].

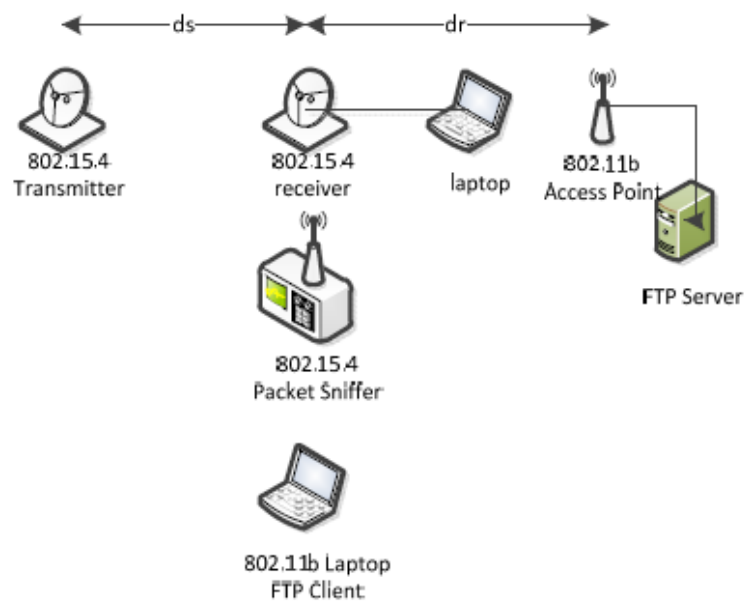


Figure 2.1 A typical testbed setup [50].

In Figure 2.1, the distance between the IEEE 802.15.4 transmitter and the receiver is  $ds$ , and  $dr$  is the distance between the IEEE 802.11b access point (AP) which is acting as an interfering source

and the IEEE 802.15.4 receiver. One TI-Chipcon CC2420 DK packet sniffer is used to capture IEEE 802.15.4 packets in the air and log data for further analyze. A desktop computer connected to the IEEE 802.11b AP acts as a FTP server, and a laptop with IEEE 802.11b WLAN adapter is installed with FTP client software. The interfering traffic is generated by communications between FTP server and client. All the data received by the IEEE 802.15.4 receiver are forwarded to a laptop through a serial connection. Different topologies of these devices can be set to satisfy certain objectives. More topologies can be found in [19] [51] [52] [53] [56].

Testbeds containing IEEE 802.11b/g WLAN and several IEEE 802.15.4 devices are established in [57 - 59] to study Wi-Fi interference on a cluster of IEEE 802.15.4 devices and the interference from collocated IEEE 802.15.4 peer devices. The effect of different angles and distance is studied in [51] by introducing a testbed with moving IEEE 802.15.4 motes. In [50] and [59], microwave oven [50] and Bluetooth [59] are added as interference sources in addition to IEEE 802.11b/g WLAN.

#### *Performance Evaluation of IEEE 802.15.4 WSN under Wi-Fi Interference*

Many research works have been done to investigate the coexistence issues between IEEE 802.15.4 WSN and IEEE 802.11 WLAN. Most researchers used performance indicators such as packet loss rate, throughput, and delay to reveal the mutual impacts between WSN and WLAN. Experiments are conducted under various system parameters such as channel, packet size, packet rate, distance and location between WLAN and WSN nodes. A summary of major findings classified according to these system parameters are presented below.

#### Frequency Offset

[19], [50], [51], [54], [55] and [56] report what influence the frequency offset between the carriers of IEEE 802.15.4 and IEEE 802.11 WLAN would have on their performance. Conclusions are drawn from these studies that the smaller the center frequency offset is, the more interference is applied on the collocated WSN nodes. Moreover, the out-of-band power emitted by Wi-Fi is high enough to affect the IEEE 802.15.4 WSN nodes' transmissions, even when the IEEE 802.15.4 WSN nodes are working at a frequency outside of the Wi-Fi operating channel [49].

#### Packet Rate

In [52], the authors set the IEEE 802.11n WLAN packet length at 63 KB and test with different packet rates. They found the PLR increased significantly with the increase of the IEEE 802.11n WLAN packet rate. Similar observations were made in [51] where, UDP traffic with 1472-byte payload size (MTU 1500 bytes) was sent from the IEEE 802.11n/g AP with different data rates. The reason for these phenomena is that there will be less interference-free time slots available for IEEE 802.15.4 WSN nodes to transmit their packets when the packet rate of the coexisting Wi-Fi devices increase. And this leads to poor service quality of IEEE 802.15.4 WSN nodes under high packet rate Wi-Fi interference. [49] proposed a technique to mitigate this kind of interference by reducing the duty cycle of WLAN. However, the maximum throughput of WLAN will decrease due to lower duty cycle.

#### Packet Size

The IEEE 802.15.4 performance in terms of PLR worsens significantly with the increase of WLAN packet size or WSN packet size [51]. The larger the WLAN or WSN packet, the longer the time needed to transmit either packet. This can result in a higher possibility of collision between WLAN and WSN packets.

#### SNR/SIN

[49] investigated the relationship between SINR and PLR. The experiments shows that PLR of WSN can be very low, as long as SINR does not go below a certain threshold. According to the author, this threshold can be experimentally determined by considering different polling window which is the time interval reserved by the coordinator for communicating with one specific mote on a collision free basis. In addition, the theoretical analysis in [53] showed that, an IEEE 802.15.4 packet could be successfully received with around 99% probability if the in-band SINR is not smaller than 5-6 dB.

#### Distance

From the experimental results of [53], the authors concluded that the reliability of IEEE 802.15.4 WSN traffic can be obtained if the distance between IEEE 802.15.4 WSN nodes and IEEE 802.11 WLAN is far enough. Otherwise, the IEEE 802.15.4 WSN nodes cannot transmit packets reliably under Wi-Fi interference. However, IEEE 802.15.4 WSN nodes typically have less impact on the communications between Wi-Fi devices [57]. The same conclusion is drawn in [59] which states that the IEEE 802.11 WLAN seems to be immune to the interference of IEEE 802.15.4 communications if two WLAN devices in communication are located less than 3 meters in between.

From the aforementioned aspects, we can safely conclude that Wi-Fi interference has severe influence on IEEE 802.15.4 WSN and leads to performance deterioration of WSN. Thus, techniques to mitigate or solve this issue are very important.

## 2.2 Research Works on TCP/IPv6 over WSN

### 2.2.1 Introduction of 6LoWPAN

6LoWPAN stands for IPv6 over Low-power Wireless Personal Area Networks. It is a protocol that defines how to run IPv6 on top of IEEE 802.15.4 MAC and PHY layers [98]. It has four key functionalities [97 - 100]: Fragment and reassemble: IPv6 requires the maximum transmission unit (MTU) to be at least 1280 Bytes. In contrast, IEEE 802.15.4's maximum frame size is 127 octets. A maximum frame overhead of 25 octets spares 102 octets at the media access control layer. So packets larger than one frame can fit have to be fragmented and reassembled at 6LoWPAN layer to accommodate IPv6 MTU. Address management and assignment: The Neighbor Discovery Protocol (NDP) is used in IPv6 and is responsible for address auto-configuration of nodes, discovery of other nodes on the link, determining the link layer addresses of other nodes, duplicate address detection, finding available routers and Domain Name System (DNS) servers, address prefix discovery, and maintaining reachability information about the paths to other active neighbor nodes [143]. However, IPv6 Neighbor Discovery was not designed for non-transitive wireless links, as its reliance on the traditional IPv6 link concept and its heavy use of multicast make it inefficient and sometimes impractical in a low-power and lossy network. 6LoWPAN did some optimization to solve this problem [144]. Network management: Simple Network Management Protocol (SNMP) is a widely deployed application protocol for network management and in particular network monitoring. SNMP can be deployed in 6LoWPAN with some optimization. Contiki operation system already has an implementation of SNMP [98]. Security: IEEE 802.15.4 nodes can operate in either secure mode or non-secure mode. Two security modes, namely Access

Control List (ACL) and Secure mode [142], are defined in the specification in order to achieve different security objectives.

The IETF formed the 6LoWPAN working group to adapt IPv6 onto WSN devices using IEEE 802.15.4 MAC and PHY layer after seeing the possibility to run IP stacks on memory and performance limited WSN hardware [34]. 6LoWPAN is an adaptation layer located between IPv6 and IEEE 802.15.4. The constrained payload of IEEE 802.15.4 and the increasing size of the IPv6 header constitute the key problem for encapsulating IPv6 packet into IEEE 802.15.4 frame. 6LoWPAN is designed to solve this problem by fragmenting and reassembling IPv6 datagrams. It also has IPv6 header compression functions to reduce overhead, and header encoding to support fragmentation. Instead of DHCP and NAT, Zero-Conf and Neighbor Discovery functions of IPv6 are used in 6LoWPAN to reduce memory consumption.

With the maturing of 6LoWPAN draft, several implementations have been materialized for research or commercial purposes. 6lowpancli [38] is the first solution with rudimental set of features. Main features of 6LoWPAN, such as fragmentation, header compression and IPv6 stateless configuration, have been implemented in the stack while only two higher level protocols, ICMPv6 and UDP, are provided. Therefore, 6lowpancli supports ping and UDP datagram exchanging, which are the author's goal of this implementation. Without neighbor discovery and routing mechanism, 6lowpancli requires manual configuration. Although 6lowpancli is a very basic implementation, it has been integrated in TinyOS 2.x [38].

SICSlowpan [39] is another open source 6LoWPAN implementation. Researchers who designed it also developed uIP and uIPv6. SICSlowpan supports not only ICMPv6 and neighbor discovery but also mesh under. It is located between the uIPv6 and MAC layer and acts as relay and translator between those two layers. SICSlowpan is the first 6LoWPAN implementation on Contiki OS [40].

Blip [38] is an open source 6LoWPAN implementation based on TinyOS, supporting UDP, ICMP, neighbor discovery and mesh under routing. To realize an IPv6 connection, a daemon is included in the sink node's application, whose primary function is the creation of an IPv6 tunnel. Blip does not have receiver side buffer, therefore new incoming data will be delivered to upper application layer immediately if the upper layer is idle or be discarded directly. However, retransmitting missing segments is supported by sender-side buffer in Blip. In the latest version of Blip, an experimental TCP stack is also supported.

## 2.2.2 Related Research Works

Internet connection is highly desirable, in order to provide WSN services everywhere. Transmission Control Protocol (TCP) can provide end to end transmission reliability and IPv6 gives a solution for addressing hundreds and thousands of WSN nodes, which makes it possible for every node to directly access Internet. With the popularity of WSN growing, it becomes very important to implement TCP/IPv6 over WSN.

However, these advantages do not come for free. The IPv6's 40-byte header is twice the size of IPv4 header (without option field). Considering the maximum physical layer payload of an IEEE 802.15.4 packet is only 127 bytes, 20 bytes extra for IP header is quite significant. Therefore, IPv6

need to be optimized for using in WSN. The first breakthrough was made by Dunkels in [34], where he proposed Micro IP (uIP) and Lightweight IP (LwIP). The surfacing of uIP and LwIP changed the common view of that the IP stack is too heavy to use in WSN nodes. uIP is a simplified TCP/IP stack with absolute minimal set of features. It can handle only one network interface and provides IP, ICMP, and TCP protocols. LwIP provides full set of features for TCP/IP stacks but simplifies the implementation to make sure it can run on WSN nodes. TCP works very well on both wired (e.g. Ethernet) and wireless networks (e.g. Wi-Fi). However, it has quite a few problems when being used in WSN. The hardware performance of WSN cannot be on par with traditional networks and the low power transceivers of the WSN nodes could have high bit error rate (BER) [103]. One big issue for TCP running on top of WSN is that the TCP connection is maintained between two ends of the communication. If an ACK from the receiver is lost and a timeout occurs at sender or a duplicate ACK is received by sender, the sender then retransmits the original packet, which will go through all the nodes in the path, even when the original packet has actually been received by the receiver. This behaviour wastes the nodes' energy by forcing expensive retransmission on the whole path from sender to receiver. Another problem is whenever a packet is lost, i.e. no acknowledgment is received for that particular packet and a timeout event is triggered, this loss is believed to be due to congestion in the network. Consequently the sending rate is reduced to avoid further segment losses. While this might be a good strategy in wired networks, it certainly is not appropriate for Wireless Sensor Networks, where bit error rates are orders of magnitude higher (up to double digit percentage package error rates [103]). Despite the fact that the loss occurred due to bit errors, the sending rate is reduced nevertheless. This leads to a less than ideal throughput [28] [30]. Therefore, it is essential to study the performance of TCP/IP over WSN when there is interference from the collocated Wi-Fi network.

In [60], the authors designed a sensor node by using Atmega128L MCU and Chipcon CC2420 transceiver. They ported Tiny IPv6 onto their sensor node and developed a routing protocol for the IPv6 stack. In addition, they designed a testbed to control home temperature based on the temperature sensed by nodes. Although this testbed implementation meets some of the experimental research needs, it is very primary and limited in functions.

[61] Investigated the influence of 6LoWPAN gateway channel allocation under Wi-Fi interference. The authors performed a series of experiments with varying 6LoWPAN payload and different distance of nodes from the gateway. Results show that the channel allocation has obvious impact on the performance of 6LoWPAN gateway.

[62] presents an experimental study on TCP header compression in 6LoWPANs. The algorithm proposed to send out only the part of the header that is different from the previous header and elide the portion that contains the same information. Test results showed that the longer the distance between two nodes, the more energy efficiency can be gained from the reduced traffic. The saving can be up to 15% as the authors stated.

In [63], the authors studied the performance of Blip 2.0's Transmission Control Protocol (TCP) under IEEE 802.11g Wi-Fi induced interference through experimentation and analysis. Some software problems in Blip 2.0 were discovered and corrected. Two TCP layer parameters have been adjusted and tested in their testbed. However, the implementation of 6LoWPAN in Blip 2.0 is very rudimental, which makes the testbed in [63] cannot provide performance and reliability as we would expect for high packet rate periodic monitoring.

In [64], the authors propose the Reliable IPv6 Packet Delivery Scheme (RIPDS), which achieves a high PDR by use of network coding to encode the fragments of an IPv6 packet into multiple encoded packets, such that regardless of the loss of some encoded packets, the original fragments and the original IPv6 packet can still be recovered by the node that receives some other encoded packets. Simulation results show that RIPDS outperforms the existing routing schemes.

An experimental performance evaluation of TCP over multi-hop 6LoWPAN was conducted in [65]. The locations of nodes are depicted in Figure 2.2. RA, RB, RC, and RD are relay nodes which pass TCP packets generated by terminal node (TN) to edge router (ER). An external node (EN) sends constant bit rate traffic to work as interferer. The TCP performance of 6LoWPAN in terms of end-to-end-retransmission, throughput, and energy consumption was studied in one hop and multi-hop cases, and with or without homogenous networks' interference.

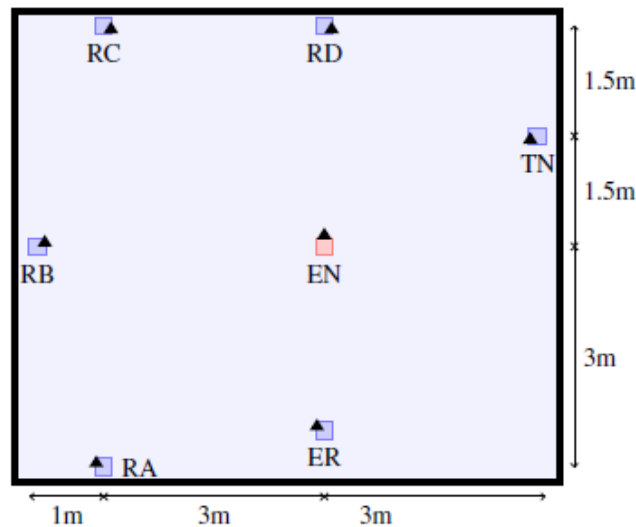


Figure 2.2 Nodes distribution [50].

In [66], the authors stated that the loss of one 6LoWPAN fragment greatly reduces the transmission efficiency. So they proposed a simple frame forwarding and recovery (SFFR) mechanism in multi-hop WSNs and evaluated the performance by simulation. SFFR implements a 32bit bitmap in recoverable fragment acknowledgment and attaches an acknowledgment request (AR) in the last fragment of the series. Once the receiver node receives an AR, it will send back an acknowledgment with a bitmap which can be decoded for information of lost fragment at the receiver. The retries happen in a round robin fashion, enabling the fragments on the way to be finally received and acknowledged before the sender decides to resend them. An example is depicted in Figure 2.3. Simulation results showed that this method can reduce end-to-end retransmission largely and consequently improves energy efficiency a lot for both TCP and UDP connections.

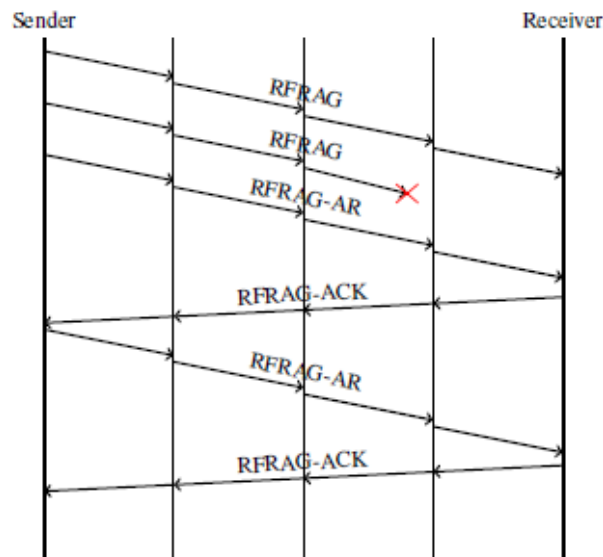


Figure 2.3 End-to-end simple fragment forwarding and recovery [66].

[67] proposed an OPENWRT system based gateway. The gateway can connect to IPv6 networks with its onboard Ethernet port or Wi-Fi, and communicate with 6LoWPAN WSN through the sink

node plugged into its USB port. Figure 2.4 shows the architecture of the gateway. Several performance indicators were tested in this paper including RTT, delay variance, packet loss, and average throughput under various traffic payload. Test results showed that the performance of IPv6 over IEEE 802.15.4 WSN is seriously affected by interference. However, the TCP can provide a reliable connection that makes this implementation usable in some circumstances.

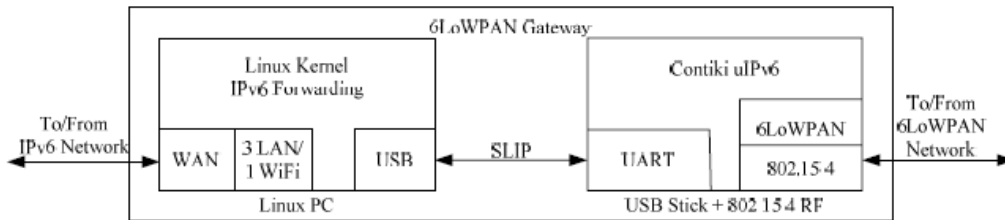


Figure 2.4 6LoWPAN gateway architecture [67].

The authors of [68] proposed a cross-layer caching based optimization for wireless multimedia sensor networks. This mechanism consists of two components: 1) NACK-based Repair Mechanism: Unlike traditional protocol where lost packet is only detected in receiver, this mechanism also detects lost packet in intermediate nodes. Once a packet lost is detected, a repair negative acknowledgment (RNACK) is issued to the previous-hop node. If the previous node has the lost packet in cache, this node will do a retransmission. If not, the RNACK will pass back towards source until the lost packet is found and retransmitted. RNACK is issued every time when an out-of-order sequence is detected. One example of this mechanism is depicted in Figure 2.5. 2) Adaptive MAC Retry Limit Mechanism: this mechanism adaptively calculates the maximum retransmission times based on the worst-case probability of successfully transmitting the packet in  $r$  retransmission attempts between two nodes  $i$  and  $j$ . The minimum number of retransmission is larger than 3. The retransmission times can be calculated by the following equation:

$$r_i = \left\lceil \frac{\log \pi_{i,j} - \log p_{i,j}}{\log p_{i,j}} \right\rceil \quad \text{Equation 2.1}$$

where  $\pi_{i,j}$  is the desired MAC-layer reliability and  $p_{i,j}$  can be detected by link quality indicator. Simulation results showed that this cross-layer optimization not only increases throughput and delay performance, but also improves the energy-efficiency. And it is generic enough to be adopted in other WSN transport protocols because it can be implemented into basic Distributed Transport for Sensor Network (DTSN) protocol.

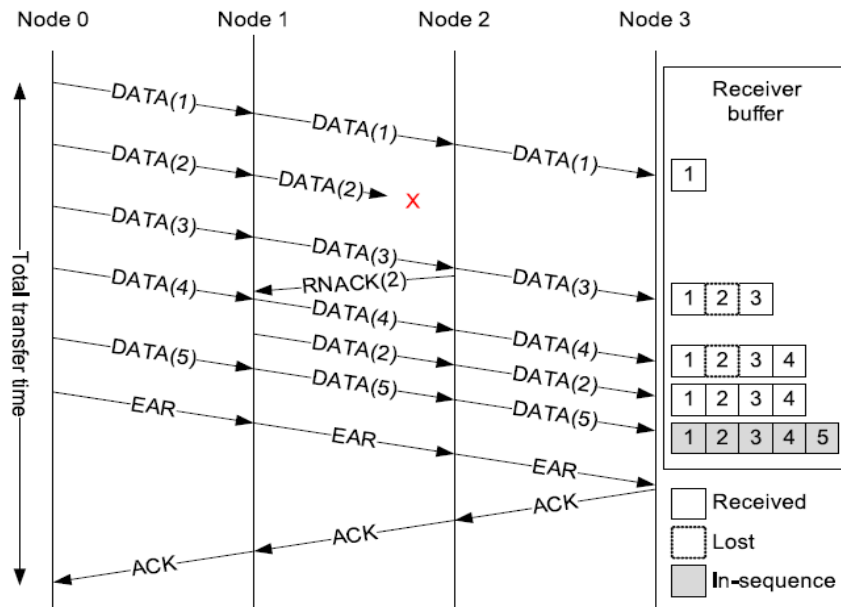


Figure 2.5 NACK-based repair mechanism [53].

In [72], the authors designed MiMAC, which is a distributed MAC layer technique that can perform channel hopping and is independent of upper layers such as uIP and 6LoWPAN. The MiMAC is implemented by authors of Contiki and a full IPv6 stack including UDP, RPL and 6LoWPAN runs on top of MiMAC, which shows MiMAC is independent from other layers in the

protocol stack and feasible. This solves the problem of Time-Slotted Channel Hopping (TSCH), which needs to connect to upper layers. MiMAC has four steps: (1) medium access; (2) finding receiver's wakeup-time and channel; (3) data transmission and acknowledgment; and (4) dealing with losses/collisions. The performance evaluation experiments are carried out using a testbed consisted of 97 TelosB nodes spanning in a three-floor office building. The author use the node #1 in the middle of the top floor as network root. And every other node sends a 64-byte payload datagram to the root node every minute. The MAC wakeup frequency is set to be 8Hz, same as Contiki MAC's default value. The experiment results showed that the network needs about 10 minutes to initialize at the beginning and 30 minutes to reach a state of stable topology. Therefore, this mechanism doesn't suit for large throughput and frequently changing network topology. However, in application cases (e.g. low-power, high external interference, low data rate, network topology not changing) like the authors proposed, the reliability of WSN increases a lot.

## **2.3 Mechanisms for Interference Mitigation**

### **2.3.1 CCA/MAC Parameter Adjustment Mechanisms**

The authors of [73] proposed a technique with one additional carrier sensing. Before transmitting any packet, two CCAs, CCA1 and CCA2, are performed. However, there is a special case that node 3 performs CCA1 while node 1 has sent a data frame to node 2 and is waiting for its acknowledgement. The CCA2 of node 3 will detect a busy channel slot if the information of node 1 is successfully delivered to node 2, because an acknowledgement is replied by the node 2 at the same time. But after this kind of CCA2 failure, the data frame can still be transmitted in the next time slot. Therefore, the authors proposed to perform a third CCA to see if the channel is clear.

This technique can save some energy by reducing the total number of CCA performed. However, this technique only works for one special case of CCA2 failure. Consequently, the performance improvement can be limited. In [74], similar method which increases CCA numbers was proposed. Instead of performing two CCAs, an adaptively CCA increasing mechanism was developed to better enhance the efficiency of the network under different conditions (e.g. the size of the network, the intensity of the traffic, or the urgency of the traffic)

[75] proposed a cross-layer solution, ADaptive Access Parameters Tuning (ADAPT) algorithm. The implementation of ADAPT is easy without modification of any original IEEE 802.15.4 MAC standard. ADAPT automatically adjusts MAC parameters based on a pre-set tuning strategy and estimates the real-time packet delivery ratio according to a control scheme. The delivery ratio is the ratio between the number of message acknowledged by the target node and the number of message sent by the source node. The tuning strategy exploited by ADAPT consists of changing the MAC parameters in order to increase or decrease the delivery ratio, so that it remains in the reliability region specified by the control scheme. And the delivery ratio control scheme is performed at each communication period. An exponential moving average is applied to smooth sudden variations of delivery ratio. On the basis of the reliability of desired delivery ratio, APAPT derives two thresholds. If the threshold is above the upper boundary, the APAPT applies strategy to decrease the delivery ratio to save power, otherwise APAPT tries to increase the delivery ratio. Simulation results show that APAPT can improve both reliability and energy efficiency.

[76] proposed a method to tune ED thresholds of IEEE 802.15.4. The rate of abandoned packets due to CCA failures is calculated and used as a guide for ED threshold adjustment. The adaptively changing threshold can reduce inhibition loss caused by channel access failures effectively and mitigate interference. However, threshold is difficult to set to a proper value, especially when the interference is mediocre. The algorithm calculates the threshold based on inhibition packet loss. Under low to medium interference, the inhibition packet loss change might not be large enough for the algorithm to tell the difference. Moreover, the impacts of packet collision should also be carefully considered. Because of the low rate of IEEE 802.15.4, the packet transmission time could be much longer than that of IEEE 802.11 WLAN. Even the IEEE 802.15.4 CCA detects the channel is clear, there is still a great chance that IEEE 802.15.4 packets collide with Wi-Fi packets.

In [19], the authors proposed a new Link Quality Estimators (LQE) - Packet Reception Rate with Clear Channel Assessment - by merging the CCA count with the Packet Reception Rate. In comparison to existing LQEs, results show that the new estimator distinguishes persistent IEEE 802.11 bgn traffic more robustly.

In [49], the authors proposed a method which decreases the duty cycle of Wi-Fi and increases the IEEE 802.15.4 nodes' polling window. Although this method can ensure the reliable communications of collocated Wi-Fi and IEEE 802.15.4 networks, it sacrifices the throughput of both network. This makes it unsuitable for many applications such as video and audio streaming which require high data rate.

In [80], COG-MAC was proposed, a cognitive medium access control scheme (MAC) for IEEE 802.15.4-compliant WSNs that minimizes the energy cost for multi-hop communications, by deriving energy-optimal packet lengths and single-hop transmission distances based on the experienced interference from IEEE 802.11 WLANs. However, the performance evaluation of COG-MAC is based on simulation result that are not realistic and might miss or oversee some hidden factors that can impact the performance.

In [81], two mechanisms were implemented in MAC layer. To achieve better performance, one mechanism adjusts the backoff exponent (BE) based on the combination results of CCA and the packet transmission, the other mechanism shifts the range of backoff counters to reduce redundant backoffs and CCAs by utilizing the CCA outcome.

In [78], the authors proposed an Adaptive Preamble Padding with Retransmission Control (APPRC) technique for ZigBee devices to mitigate packets loss under interference, meet certain packet loss rate (PLR) requirement, and improve packet transmission efficiency when they are suffering time varying interference from the collocated WLAN. The experimental performance evaluation results showed that APPRC technique can achieve higher transmission efficiency than packet retransmission while satisfying PLR requirements of sensing applications.

In addition, [79] proposed a technique to reduce the possibility of collision occurrence between Wi-Fi and WSN nodes. It extends the backoff time of IEEE 802.15.4 WSN nodes when the IEEE 802.15.4 device gets a successful first CCA and a failed second CCA in beacon enabled mode. By reducing collision and CCA times, the energy efficiency and throughput are also improved.

As summarized in previous paragraphs, many mechanisms and techniques have been proposed to solve the IEEE 802.15.4 WSN coexisting issues under Wi-Fi interference by improving, modifying, changing and adding functions in MAC/PHY layer. These proposals all have merits in certain environments and conditions.

### 2.3.2 Frequency Agility Mechanisms

Frequency agility mechanisms switch channels to avoid interference. Interference detection and interference avoidance are the two essential functions of frequency agility mechanisms. The mechanisms detect the interference first and then switch to a clear channel to avoid the interference.

#### *2.1.2.1 Interference Detection*

[82] presented an interference detection mechanism by counting frame error rate. The algorithm adaptively allocates a channel to ZigBee communication devices considering the channel status. If the frame error rate increased and became higher than a preset threshold, the algorithm will assume the channel status is bad and change to another channel. Hence, the mutual interference among different communication devices can be minimized. Moreover, the proposed algorithm can reduce the power consumption of the whole system by turning off ZigBee communication devices when the frame error rate continues to exceed the pre-determined threshold value in spite of adaptively allocating channels during a given observation window.

In [83], the authors proposed to do additional signal processing in the physical layer (PHY) of an IEEE 802.15.4 receiver. They performed signal analysis with Fourier transform of a demodulated signal to detect interferer during reception before bit errors or collisions occur. Their algorithm with a software radio were implemented as an extension the physical layer of IEEE 802.15.4 transceiver. Although they can detect mobile interference in real world environment, this approach takes more time than traditional method.

[84] proposed an interference detection mechanism for heterogeneous network with different functional devices. Depending on different device functions, this method uses a combination of several interference detection algorithms including beacon-based, test frame-based, and ACK/NACK-based algorithms. By counting NACKs, the coordinator sends out certain number of beacon frames periodically, the receiver counts the number of NACKs. The more NACKs the receiver counted, the stronger interference exists. The drawback of this mechanism is that every beacon request should be answered. This will affect the throughput and waste a lot of energy. Devices operating in the same frequency can receive interference notification from peer devices, even they don't detect any interference themselves.

In [85], a radio interference detection protocol to detect run-time radio interference among sensor nodes was proposed. However, it emphasizes on interference from the same type of networks rather than coexisting issue of Wi-Fi and WSN. [86] presented a scheme which detects the change of throughput. If the throughput drops below a predefined threshold, it will perform a CCA automatically. Channel interference is assumed to exist if the RSSI value calculated based on CCA exceeds a preset acceptable value. In [88], the authors propose an Interference Aware Adaptive

Clear Channel Assessment (IAACCA) technique which can assess channel interference conditions and the availability of time to support reliable packet transmission and then determine better timing for transmitting ZigBee packets and adjust packet size or operating channel based on the assessment. In [87], the authors derived a closed form expression for the average probability of detection on the estimated channel state information(CSI). This expression unveils the dependence of the probability of detection on the estimated CSI as well as the fading correlation function. Using this dependence, the authors proposed an adaptive sensing-scheduling algorithm. The result was verified by using simulations.

#### *2.1.2.2 Interference Avoidance*

In [89], an interference mediation mechanism for collocated IEEE 802.15.4 WSN and IEEE 802.11 WLAN was presented. This mechanism monitors the status of IEEE 802.15.4 channel currently in use. Once interference is found, a mediation mechanism will scan the Wi-Fi channels and assign IEEE 802.15.4 WSN nodes a channel that is not overlapping with any channel used by existing Wi-Fi devices. Another mechanism in [84] proposes to generate a random number sequence for channel selection. WSN node which detects interference will transmit a Channel Change Broadcast Message (CCBM) to its neighbors. Once a node receives a CCBM, it will jump to the next channel in the pre-defined sequence stored in each nodes. However, without considering other important parameters such as the interference level and status of available channels, this mechanism is primitive, inefficient and can affect the reliability of the network.

[90] studied a case that a number of ZigBee devices are collocated in an area and proposed to use personal area network (PAN) priority to mitigate interference. Under interference, the coordinator

will instruct the node with low priority to jump to another free channel. The priority of nodes is determined by the level of interference around the node. The higher interference the node is exposed to, the lower priority this node has. If two nodes suffer the same level of interference, the one with lower PAN ID has higher priority.

[91] and [92] proposed a mechanism in which the sender node measures its packet error rate (PER) constantly. If the measured PER is higher than a pre-defined value, a notice will be sent to the coordinator. After receiving the notice, the coordinator will perform a test to see the Link Quality Indicator (LQI) value, which is a computed value based on the received signal strength as well as the number of errors received. If LQI is too low, the coordinator will send a command to all WSN nodes in the same PAN to perform an interference detection. The results of the interference detections will be sent back to the coordinator, and the coordinator will then choose a channel that can provide acceptable channel quality to most nodes. This mechanism utilizes both interference detection and interference avoidance, reduces energy consumption, and mitigates interference. The flowchart of this mechanism is depicted in Figure 2.6.

Frequency agility mechanisms are effective to mitigate interference and have their merits including implementation simplicity and energy efficiency. However, the disadvantage of channel switching cannot be neglected. Especially when there are many collocated Wi-Fi devices operating on different channels, the switching time and delay can be increasing undesirably, and the stability of WSN may decrease due to possible frequent channel hopping operations.

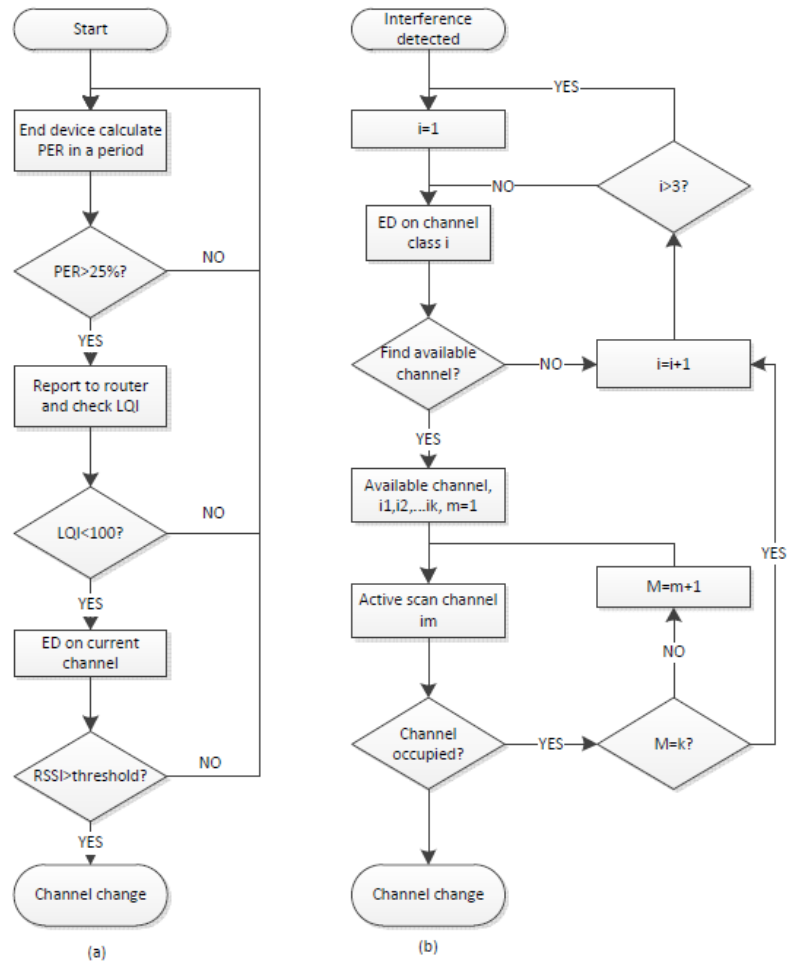


Figure 2.6 Flowchart of: (a) interference detection and (b) interference avoidance [91].

### 2.3.3 Collaborative Coexistence Mechanisms

In [93], the authors proposed a technique which introduces a gateway with both Wi-Fi and IEEE 802.15.4 transceivers. Before transmitting the actual WSN data traffic, the gateway sends purposely made error message for a period of time to mute the coexisting Wi-Fi devices. Then the IEEE 802.15.4 WSN nodes can transmit without Wi-Fi interference.

[94] presented a mechanism to enable heterogeneous wireless network interfaces working on a single board. Because IEEE 802.11 WLAN and IEEE 802.15.4 WSN interfaces are on the same board, the IEEE 802.15.4 interface can generate an interrupt to the MCU on board for a request of active channel reservation, which is then forwarded by a synchronization unit to the IEEE 802.11 WLAN. WLAN reserves the channel as requested by using an RTS (Request To Send)/CTS (Clear To Send) switching scheme. Thus, the IEEE 802.15.4 WSN can transmit without WLAN interference.

In [95], the authors proposed a collaborative mechanism which uses channel utilization information and RSSI Wi-Fi beacon frame to solve the collocated IEEE 802.15.4 WSN and Wi-Fi interference problem. This mechanism has two modes. In active mode, the collocated Wi-Fi devices always reserve a channel for IEEE 802.15.4 Guaranteed Time Slot (GTS) and beacons in order to guarantee the reliable transmission of IEEE 802.15.4 WSN. And the number of GTS is determined based on the data rate and PER of each IEEE 802.15.4 nodes. In scanning mode, the coexisting WLAN station scans all overlapping channels and estimates channel status/condition by the detected RSSI values.

The method proposed in [96] is a time sharing based mechanism. There is a coordinator specialized in balancing the usage of bandwidth between Wi-Fi and IEEE802.15.4 WSN in the overlapping channel. The two networks respectively use the contention free period and contention access period of the superframe of IEEE802.15.4 WSN.

All these mechanisms mentioned above require a mediator to manage media access. This mediator uses different functional devices to evaluate the status and conditions of the networks. Then the mediator decides the operation of the whole coexisting networks and sends WSN nodes and Wi-Fi APs commands to adjust their own parameters and running conditions, such as transmission frequency, transmission time and transmission bandwidth based on the detection of current network status, so as to adapt to the changing network status. However, this kind of mechanism not only needs to modify the hardware and software implementations of both Wi-Fi and WSN networks, but also significantly affects the performance of both networks. Moreover, in places where many Wi-Fi APs and WSN nodes are deployed, it becomes a very costly task to modify the many devices in the networks to implement such collaborative mechanism. In addition, due to the very low transmission rate, the transmission time of IEEE 802.15.4 packets could be very long compared to that of Wi-Fi packets, which means Wi-Fi devices have to hold the packet transmission and wait while WSN is transmitting. This would considerably weaken Wi-Fi's performance and throughput. Thus, designing innovative mechanisms implemented only in WSN nodes to mitigate Wi-Fi interference without the need of modifying Wi-Fi infrastructure or mediators to arbitrate media access of the whole network is important and meaningful.

It is also noticed that none of the interference mitigation techniques reviewed in this section takes upper protocol layers into consideration. To send a TCP/IPv6 packet over WSN involves several upper layers, each layer has its own functions and these functions work together with lower layers in communication. Therefore, the techniques without consideration of cooperating with upper layers may not work properly when users want to send TCP/IPv6 packets over WSN.

## **Chapter 3 Design and Development of TCP/IP over WSN Testbed**

It is well known that usually assumptions and simplified mathematical models are used in theoretical analysis to reduce the analytical complexity, which inevitably compromises the accuracy or credibility of analytical results. Although computer simulations might not depend on such assumptions and models as heavily as theoretical analysis, there is still a great chance that they overlook the impacts of some hidden factors such as the actual characteristics of circuit/antenna design, inaccurate simulation models of signal propagation, channel impairments or stochastic traffic behavior, and hidden protocol/algorithm interoperability mechanisms. Realizing these limitations of theoretical analysis and computer simulation methods, our research will be based on the actual hardware and software implementation of the techniques of interest and extensive experimental performance evaluation in a testbed. The following sections provide the details of the testbed hardware, software and setup.

### **3.1 Testbed Hardware**

A WSN mote is usually composed of data acquisition (input/output) unit, microcontroller unit (MCU), data transfer unit and power supply unit. Data acquisition unit generally has a bunch of general purpose IOs that are connected to different sensors and several A/D and D/A converters to control and collect data from other devices and sensors. A microcontroller unit has all the major computer functions built on a single integrated circuit containing one or more processor core(s), memory, and programmable input/output peripherals. Microcontrollers are widely used in automatically controlled devices and products, such as automobile engine control systems,

implantable medical devices, remote controls, office machines, appliances, power tools, toys and other embedded systems. In a wireless sensor node, the MCU acts as the brain of the sensor node, it processes the collected data and runs the program. An embedded operating system (e.g. Contiki [40]) can run on the MCU. With an operating system that comes with networking protocol stacks, it is easier for programmers to develop multitask applications that can communicate with other nodes using the same protocol stacks. Data transfer unit refers to transceivers which can be a separate single chip or integrated on MCU. Power supply unit is usually in the form of a battery in the case of WSN node. [6] - [9], [65].

The cost of WSN nodes can be from several to hundreds of dollars depending on a number of factors such as transmission range, power supply, operating environment, processing capability, memory size, and sensors used on board. With limited size, power supply, and processing capability, wireless sensor nodes normally have short transmission range in one hop. If one node needs to communicate with other nodes that are outside of the coverage of its radio transmission range, it will transmit data through a multi-hop route involving a number of intermediate nodes [10]. Therefore, to complete the tasks of data collecting, signal processing and data exchanging, two or more nodes may be involved to work collaboratively [6][7][11].

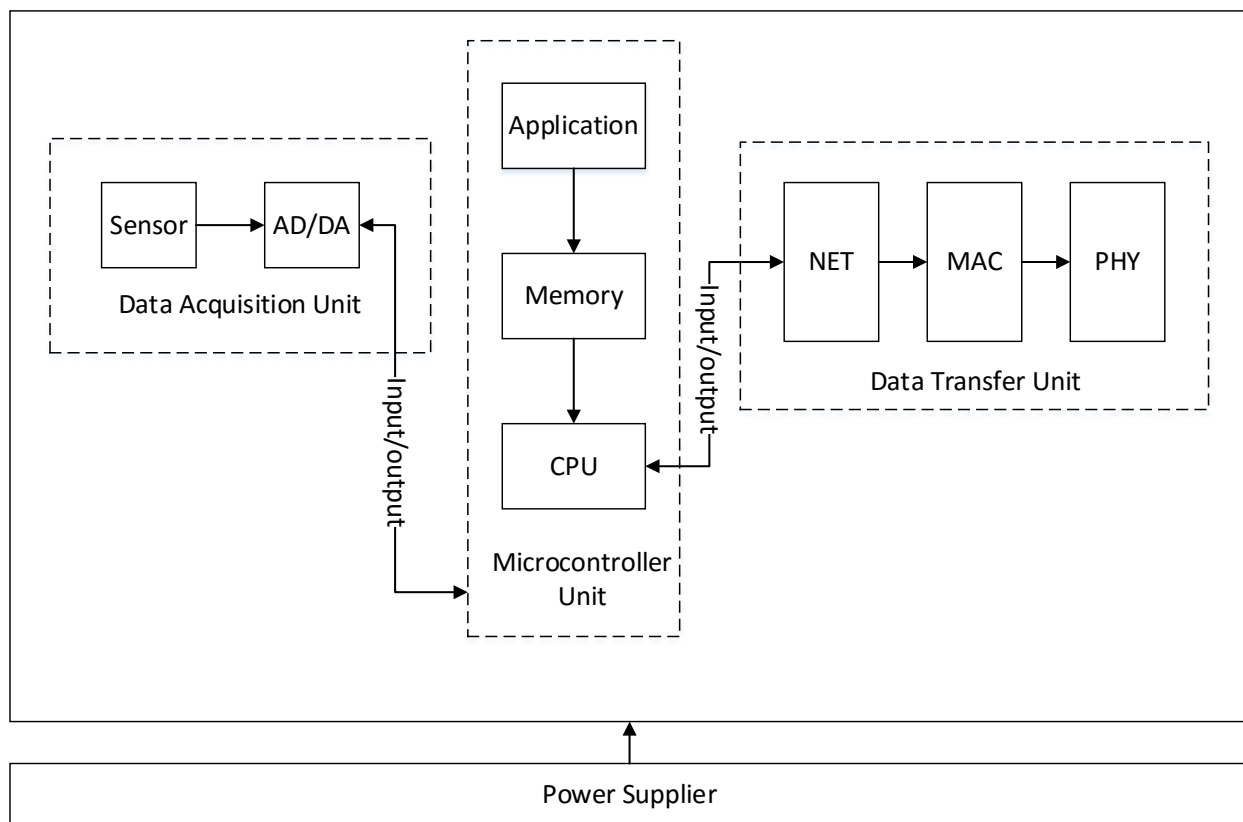


Figure 3.1 General components of a wireless sensor node [7].

To study the performance of TCP/IPv6 over WSN in presence of varying Wi-Fi interference, our testbed consists of both WLAN component and WSN motes.

The WLAN consists of an IEEE 802.11 b/g/n wireless router (WR) (ASUS RT-N16) and two laptops. One of the laptops is used as traffic source and generates network traffic with different patterns that will be transmitted via Ethernet port to the WR and then converted into IEEE 802.11 traffic by WR. The other laptop acts as Wi-Fi traffic sink for receiving IEEE 802.11 packets. The WR receives various traffic from the source laptop and forwards to the destination laptop via Wi-Fi connection. In our experiments, we found that the transmit power of the WR is very stable and

can be set at different power levels using third party firmware. Therefore, WR is used as the Wi-Fi interference source in the WLAN.

The WSN consists of two IEEE 802.15.4 wireless sensor motes, which are connected to two laptops using USB cables for displaying packet sending and receiving results in real-time. In addition, another laptop is used in the testbed as a sniffer for capturing and analyzing the transmitted Wi-Fi or IEEE 802.15.4 packets in either WLAN or WSN when needed. When used as a sniffer for WLAN traffic, the laptop uses the built-in IEEE 802.11 b/g/n card and the installed Wireshark software to monitor the Wi-Fi traffic. When used as a WSN sniffer, the laptop uses USB cable to connect to an IEEE 802.15.4 mote flashed with sniffer firmware and runs Wireshark for capturing and analyzing traffic between the sending and receiving motes. In order to make sure that the Wi-Fi and IEEE 802.15.4 devices are working properly, a spectrum analyzer (Aeroflex 3252) which has a monitoring frequency range from 1 kHz to 8 GHz and a sweeping time of 10ms is used to monitor the Wi-Fi and WSN operating channels and displays their power spectral content.

The architecture of the two wireless sensor motes we developed is shown in Figure 3.1.

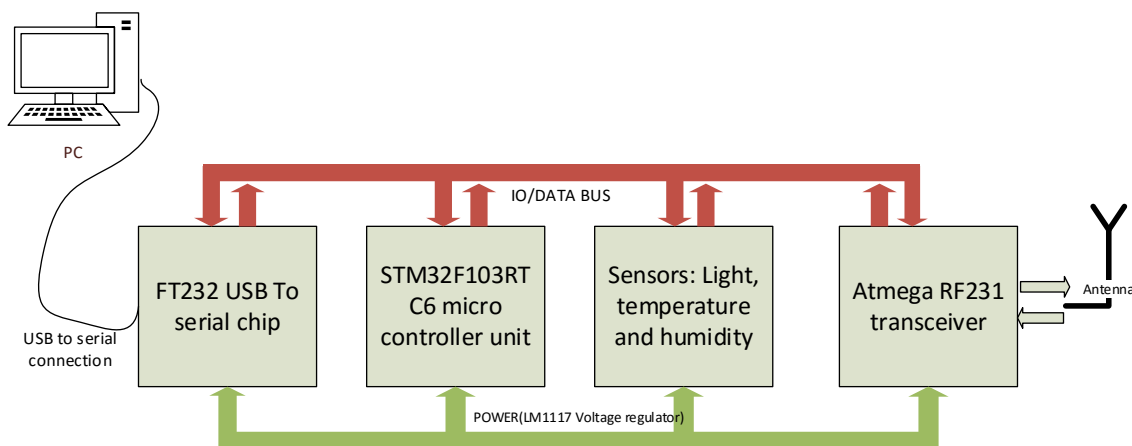


Figure 3.2 Architecture of the developed IEEE 802.15.4 sensor motes.

The microcontroller unit (MCU) we used is SMT32F103RTC6 [111]. It is an ARM 32-bit Cortex-M3 CPU with 48KB RAM and 256KB flash and can run at a maximum frequency of 72MHz. With various connectivity support such as I2C, SPI, and UART, this microcontroller has a lot of flexibility to connect different kinds of sensors. Atmega AT86RF231 [112] is the transceiver used for RF communications. It connects to the MCU via SPI bus. By setting different parameters in Atmega AT86RF231's registers, we can adjust/set RX sensitivity, TX power, operating channel, hardware MAC acceleration function, and a bunch of other functions. The TX/RX pin of AT86RF231 transceiver is connected to an 2.4Ghz PCB antenna [110] which has 80% typical efficiency and 280Mhz bandwidth [109] [110]. The FT232 USB to serial chip is used as a bridge between PC and MCU, providing communication between them via USB to serial connection. Real time packet transmission between the two motes and related statistical results can then be sent to PC and displayed using PUTTY [107] installed on PC. A LM1117 [113] 5v to 3.3v voltage regulator supplies power for all the components on the board. The circuit design for major modules are presented in the following sections.

## **1. Power supply module**

As shown in Figure 3.2, in the power supply module, a TI LM1117MPX-3.3 regulator is used to regulate the voltage from 5V USB to 3.3v VCC. A jumper (JP5) is designed for switching between USB power source and internal battery power on the mote. C1 is the input bypass capacitor, 47uF is sufficient for most of applications [113]. And C2 is a 10uF output capacitor. The value of C2 is carefully chosen for low equivalent series resistance and enough capacitance to get a stable

feedback loop. And two smaller 100nF capacitors (C36 and C37) are connected for preventing ripple from being amplified when the output voltage increases.

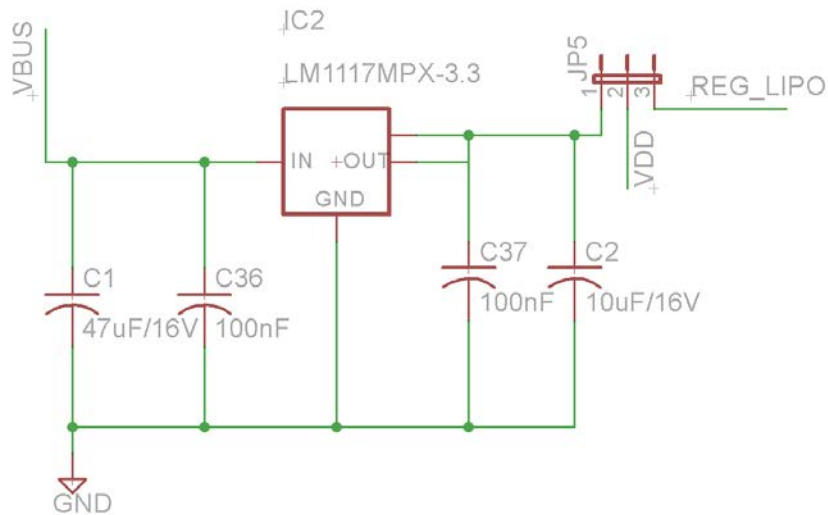


Figure 3.3 Schematic circuit of power supply module.

## 2. UART to USB module

This module (Figure 3.3) provides a serial interface between the mote and the computer. This serial connection can be used to debug, interact with mote, and read out sensor data. A FTDI FT232RL chip is used in this circuit. The TXD and RXD pins of FT232RL are used for data transmission and reception, respectively.

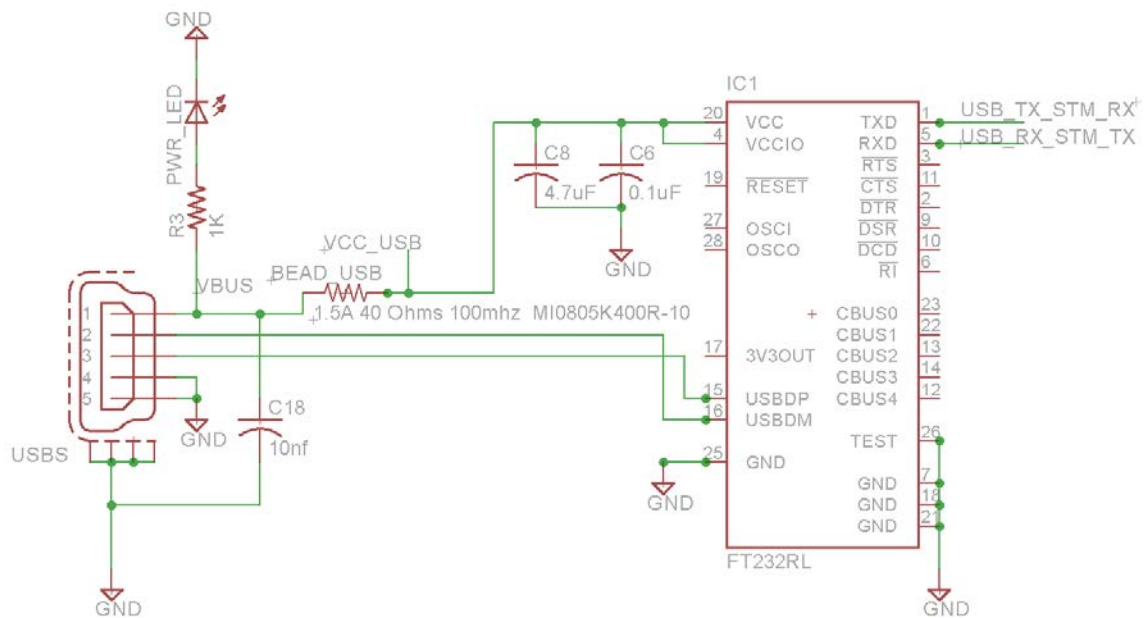


Figure 3.4 Schematic circuit of USB to UART module.

### 3. MCU module

The schematic circuit design of the MCU module is shown in Figure 3.4. The MCU, SMT32F103RTC6, requires an operating voltage of 2.0 V to 3.6 V (VDD). An embedded regulator is used to supply the internal 1.8 V digital power. The real-time clock (RTC) and backup registers can be powered from the VBAT (voltage for battery, PIN1 on MCU) when the main VDD supply is powered off. An 8MHz crystal oscillator is used as a stable and accurate main clock source of the board.

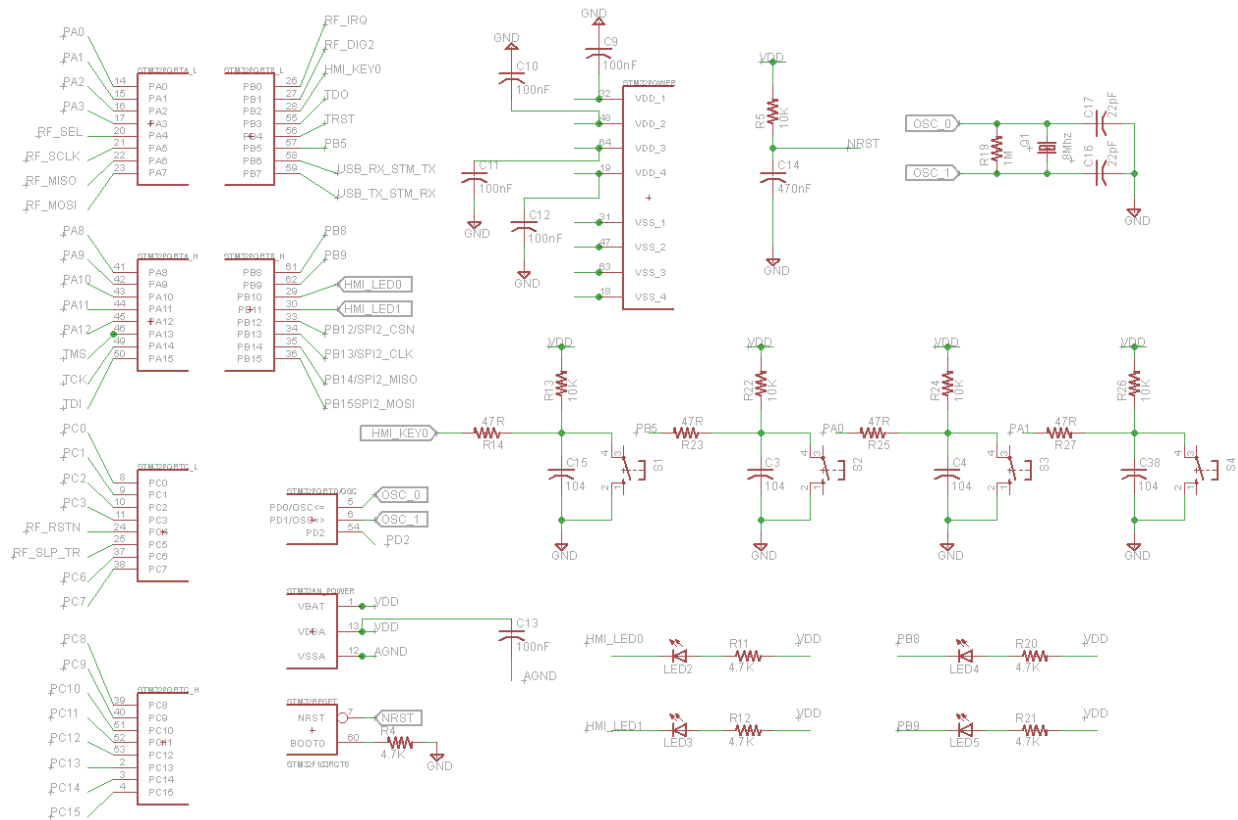


Figure 3.5 Schematic circuit of MCU module.

The crystal oscillator (Q1 in Figure 3.4) and the load capacitors are connected as close as possible to the oscillator pins in order to minimize output distortion and start-up stabilization time. The load capacitance values are chosen according to the crystal load capacitance which is 22pF. For C16 and C17, we use high-quality ceramic capacitors designed for high-frequency applications. Four switches are also included in the circuit for users to interact with the mote as button input.

#### 4. Transceiver module

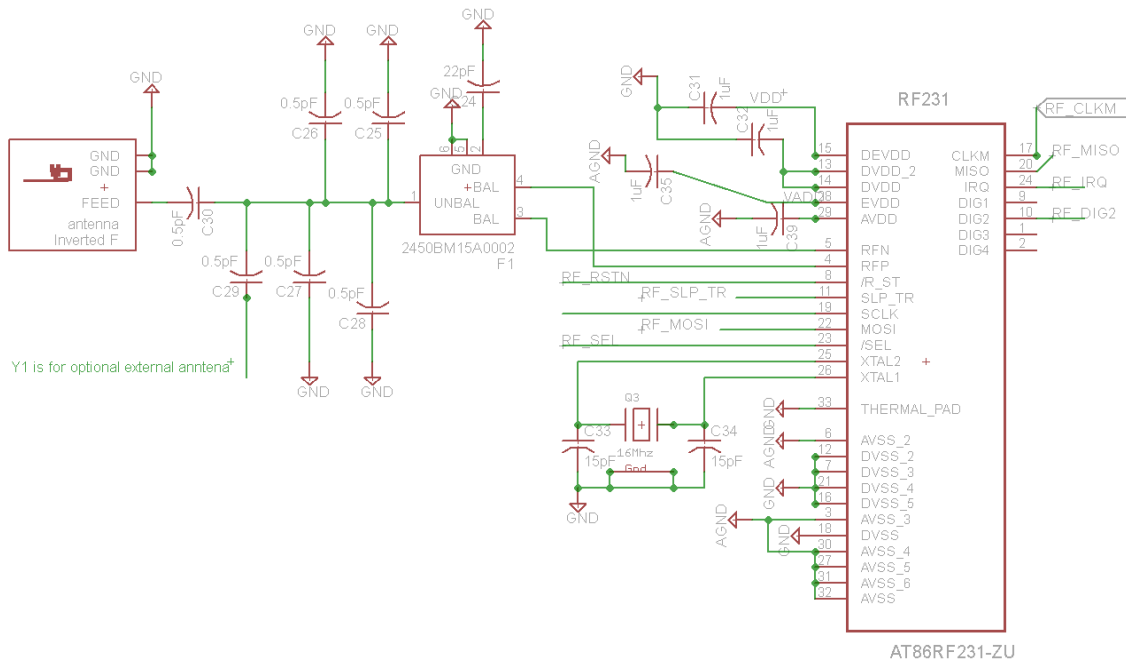


Figure 3.6 Schematic circuit of transceiver module.

The schematic design of the AT86RF231 with an unbalanced antenna is shown in the Figure 3.5. The 100Ω impedance differential RF signals on AT86RF231 are transformed to 50Ω single-ended RF signals to antenna using balun B1. The capacitor C24 provides AC coupling of the RF signal to the RF port on balun, and C25 - C30 are matching capacitors to improve antenna performance. The power supply decoupling capacitors (C31 and C35) are connected to the external analog supply pin (EVDD, pin 28) and external digital supply pin (DEVDD, pin 15). Capacitors C32 and C39 are bypass capacitors for the integrated analog and digital voltage regulators to ensure stable operation. All decoupling and bypass capacitors are placed as close as possible to the pins with low-resistance and low-inductance connections to ground for achieving the best performance. The 16MHz crystal (Q3) and the two load capacitors (C33 and C34) connected to pins XTAL1 and

XTAL2 form the crystal oscillator, which provides accurate clock to the transceiver. Crystal lines are routed away from digital I/O lines to achieve the best accuracy and stability of the reference frequency.

## 3.2 Testbed Software

The software used in our testbed ranges from freely available open source operating system and various tools to custom developed firmware programs. This section presents details of software in the testbed.

For the WLAN part of our testbed, the software is listed below:

1. Distributed Internet Traffic Generator (D-ITG): The D-ITG [108] is a software package designed by A. Botta, A. Dainotti and A. Pescapè to simulate traffic with different deterministic or stochastic processes (e.g. exponential, uniform, Cauchy and normal) for both Inter Departure Time (IDT) and packet size random variables. In our testbed, both transmitting and receiving laptops in the WLAN are installed with D-ITG. It generates UDP traffic in the source laptop that is connected to the wireless router. The WR converts the incoming traffic into Wi-Fi traffic and sends packets to the sink laptop. Using different command line parameters, the desired Wi-Fi interference with different packet size, generating rates and stochastic processes can be generated.
2. DD-WRT Router Firmware: DD-WRT [135] is an open source firmware based on Linux. It is compatible with a wide variety of Wi-Fi routers and embedded systems [109]. However, not all Wi-Fi routers can be installed with DD-WRT. The list of supported Wi-

Fi routers and devices can be found on DD-WRT's router database [109]. DD-WRT provides many features which are not included in stock firmware such as dynamic DNS, OpenVPN, Quality of service, SNMP, Telnetd, transmit power adjustment, bandwidth monitoring, etc. In our testbed, we mainly use it to set the transmit power of the WR at different levels for controlling the interference strength applied to the WSN traffic.

For the WSN part of our testbed, we developed our own software based on the open source operating system, Contiki, and implemented it in our wireless sensor motes. In the following, we first introduce Contiki and then describe the functionalities of our custom software.

Contiki is an open source, multi-tasking network operating system for resource limited hardware. Contiki is designed to run in small amount of memory. A typical system enabled full IPv6 networking with sleepy routers and RPL routing needs less than 10k RAM and 30k ROM. The Contiki kernel, on top of which applications can be dynamically loaded and unloaded at run time, is event-driven.

Contiki provides a full IP network stack, with standard IP protocols such as UDP, TCP, and HTTP, in addition to the new low-power standards such as 6LoWPAN, RPL, and CoAP. Contiki's IPv6 stack is developed by Cisco and Cisco continues to contribute to make the stack more stable and bring more features into it. Contiki's IPv6 stack is fully certified under the IPv6 Ready Logo program [40]. The Contiki has 5 layers, i.e., application layer, uIP layer, 6LoWPAN layer, MAC and PHY layer. Application layer is for users to develop various applications that generate data or receive TCP/IPv6 packets from other nodes. uIP layer is a simplified TCP/IPv6 layer, which,

however, keeps most functions of the normal TCP/IP protocol. uIP stack has been widely used in embedded system products by hundreds of companies [136]. SICSLOWPAN is the 6LoWPAN implementation in Contiki. It acts as an adaptation layer to make IPv6 packets suitable for passing over IEEE 802.15.4 MAC layer. When an IPv6 packet is passed down to 6LoWPAN layer, SICSLOWPAN fragments it and compresses its IPv6 header to fit them into IEEE 802.15.4 MAC frames. And the SICSLOWPAN at the receiving end reassembles all the fragments from MAC layer and decompresses the IPv6 header contained in them. Many useful tools including a Contiki simulator can be found on Instant Contiki for the convenience of researchers and developers [39], [40]. Interaction with a network of Contiki sensors can be achieved with a Web browser, a text-based shell interface, or dedicated software that stores and displays collected sensor data. The text-based shell interface is inspired by the Unix command shell but provides special commands for sensor network interaction and sensing. Contiki and its programs are written in C programming language to ease development. Instant Contiki is a pre-installed environment image which can be run on virtual machine. Many useful tools including a Contiki simulator can be found on Instant Contiki for the convenience of researchers and developers. In order to provide a long sensor network lifetime, Contiki provides a software-based power profiling mechanism that keeps track of the energy expenditure of each sensor node to control and reduce power consumption of each sensor node. Being software-based, the mechanism allows power profiling at the network scale without any additional hardware. Contiki's power profiling mechanism is used both as a research tool for experimental evaluation of sensor network protocols, and as a way to estimate the lifetime of a network of sensors. Contiki provides a flash-based file system, called Coffee, for storing data inside the sensor network. The file system allows multiple files to coexist on the same physical

on-board flash memory and has a performance that is close to the raw data throughput of the flash chip [39] [40].

We designed and developed a periodical monitoring program at the application layer of Contiki operating system. The developed program establishes a connection between client and server motes, and generate packets at a rate or size as we specified. The data encapsulated in packets can be either generated by the program itself or collected from sensors onboard.

The developed periodical monitoring application consists of TCP client and TCP server, which are installed on client mote and sever mote, respectively. The client application has the following major functions:

1. Monitoring data message generation. The client application generates monitoring data messages at the rate and length we specified. This feature allows us to easily control the characteristics of the generated messages and investigate the performance of WSN in handling such messages.
2. Establishing TCP connection with TCP server. Once the client mote is powered up, it initiates a TCP connection to the server mote and checks the status of its TCP connection periodically. The time interval for checking the status of TCP connection can be changed as needed.
3. Sending TCP/IPv6 packets over IEEE 802.15.4 WSN to server mote. If the TCP connection is successfully established, the client mote will send TCP/IPv6 packets, which are generated from the monitoring data messages, over IEEE 802.15.4 WSN to the server mote. For each experiment, we set a maximum number to limit the total messages to be sent to

the server. If the number of messages sent out reaches the predefined maximum number, the client program will stop the packet transmission.

4. Connection release and re-establishment. If the maximum number of TCP retries are reached, which might be due to external interference, communication link failures or unresponsive server nodes, the client will release the current TCP connection and try to reconnect with the server after a period of time.
5. Statistical functions. The client program calculates a number of values for performance evaluation purpose. The values include the total number of packets that have been sent, the number of retransmitted packets/overflow packets/ACKs/SYNs, the number of 6LoWPAN fragments, the number of reconnections, total transmission time, and so on.
6. Sending and displaying results on laptop. The client program provides real-time monitoring of the experiments. The packets sent to the server node and all statistical results are forwarded by the serial to USB chips to the connected laptop and displayed in the serial terminal software, PUTTY.

The server application is running on the server node and has the following functions:

1. Listening to the specified TCP port. The TCP server program listens to a specified TCP port at startup and also checks the TCP connection status periodically. If the connection is aborted/closed, the program will re-listen to the specified port.
2. Establish TCP connection with client node. The TCP connection establishment consists of a three-way handshake procedure. After receiving the SYN segment from the client node, the server program will respond with SYN ACK for establishing a new connection.

3. Receive TCP/IPv6 packets from client mote. After the TCP connection is established, if the new incoming packet is a data packet, the server program will check if the packet size is correct and packet content is duplicate. If both checks are passed, the server will accept the packet.
4. Statistical functions. The server program is responsible for calculating and recording the values of several performance evaluation metrics. The values include the number of received packets, duplicate packets, valid packets, ACKs, SYNs, the number of connection aborts, and so on.
5. Sending and displaying results on laptop. Similar to the client program, the server program has the functionality of sending the information of received packets and statistical results to the laptop and displaying them in PUTTY.

In order to investigate the performance of TCP/IPv6 over WSN under Wi-Fi interference, some parameters of uIP stack can be conveniently adjusted in our program and the most significant ones are summarized below:

- Max TCP retries: This parameter defines the maximum number of TCP retransmissions allowed. After the number of retries reaches this number, the current TCP connection will be dropped and the client mote will try to establish a new TCP connection with the server mote.
- Periodic timer of uIP ( $T_{poll}$ ): This timer specifies the time interval to poll uIP layer. The uIP process checks the buffer for data messages to be sent out and current uIP status every  $T_{poll}$  seconds.

- `CLOCK_CONF_SECOND`: This value defines the timer granularity. For higher data generation rate, the granularity should be tuned smaller for a more accurate estimation of RTT and RTO.
- Countdown timer: Every TCP connection has its own countdown timer to keep track of how much time left before a retransmission timeout. For the countdown timer, every tick is equal to the polling interval. This value needs to be optimally adjusted in order to allow sufficient but not too much time for connection establishment or packet transmission.
- TCP retransmission back off timer: This timer controls the time spent on backoffs after a TCP packet transmission failure. In order to avoid excessive retransmission delay and buffer overflow, the value for this timer should be adjusted to suit for the data message generation rate of the monitoring application.

The functional diagram of the client and server programs are shown in Figure 3.6.

To verify the functionality of our testbed and the generated traffic meet our expectation, a sniffer is used to capture packets from either WLAN or WSN. The captured packets are then passed to the Wireshark running on the laptop for analyzing the packet and traffic pattern.

Wireshark is an open-source network protocol analyzer [106]. It allows browsing the network traffic interactively by providing functionalities including network monitoring, analysis, debugging, and development of communications protocol. This software is running on the sniffing laptop to capture the transmitted Wi-Fi or IEEE 802.15.4 packets (with IEEE 802.11 b/g/n Wi-Fi

adaptor or IEEE 802.15.4 sniffing mote) for analysis and validation of their packet length, inter-arrival time, etc.

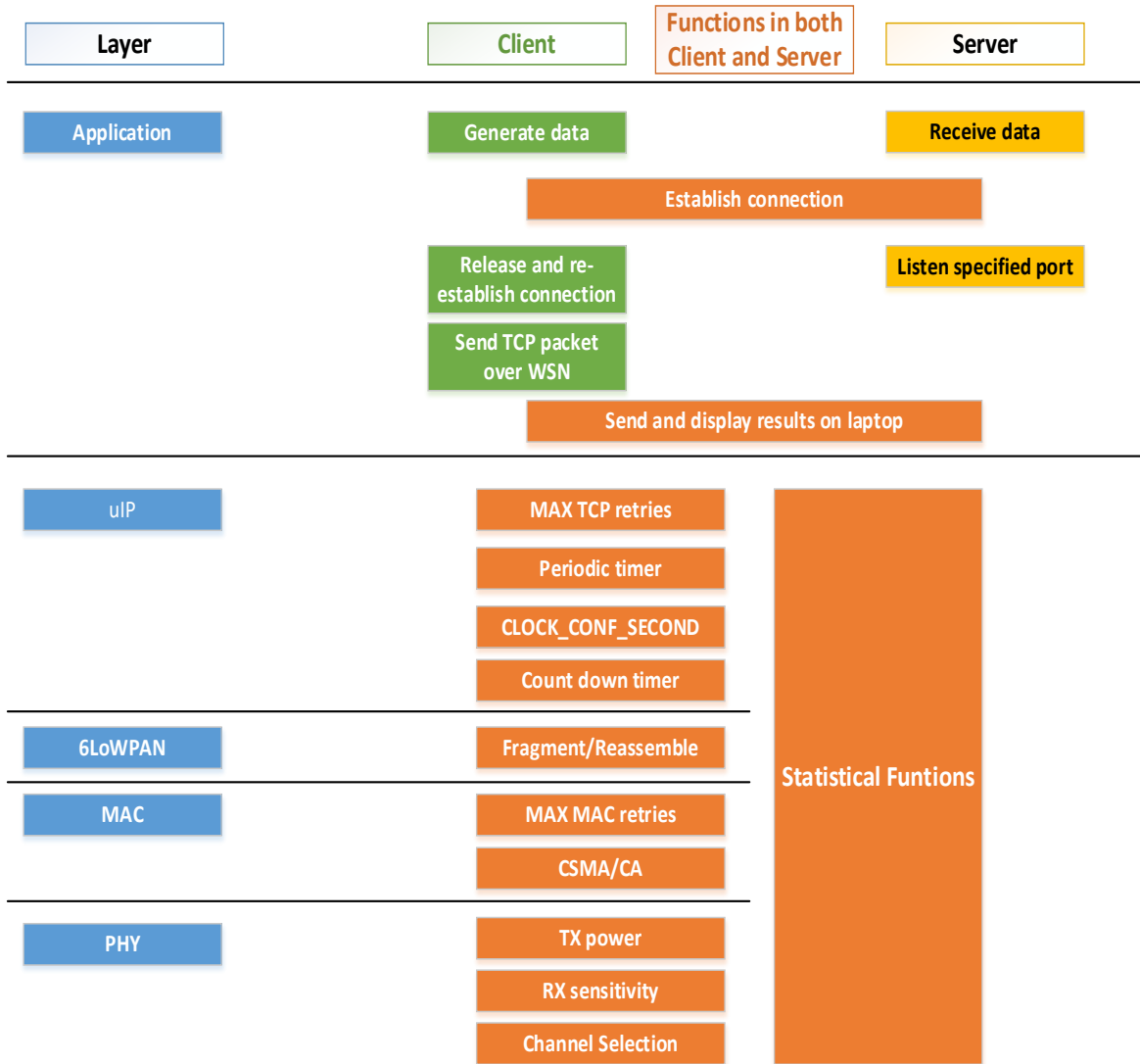


Figure 3.7 Function diagram of the TCP client and server programs.

The real-time operation information, such as the status of motes and TCP connections, debug information, packets sent and received, and other statistical results, are sent from motes to the monitoring laptops via serial ports and displayed on PuTTY. PuTTY is a free implementation of

Telnet and SSH for Windows and Unix platforms, along with an xterm terminal emulator [107]. In our testbed, it is used as a serial terminal.

### 3.3 Testbed Setup

As described before, the testbed consists of an IEEE 802.11 WLAN part and an IEEE 802.15.4 WSN part. In the WLAN, a laptop with D-ITG sender program running is used as Wi-Fi network traffic source and connected to one of the Ethernet ports of the WR via Ethernet cable. The D-ITG sender program generates UDP traffic with different packet payload, packet rate and inter-departure time (IDT) distribution, and with the receiving laptop as its destination node. The generated UDP traffic is subsequently fed into the WR. The WR receives the Ethernet traffic and forwards it to the destination laptop using Wi-Fi. Thus the WR is used in our testbed as Wi-Fi traffic source, transmitting various interfering Wi-Fi traffic. In our experiments, by observing the power spectrum calculated and displayed by the Areoflex 3252 spectrum analyzer, we confirmed that the signal transmitted by the WR can be set and kept at a very stable power level. In the WSN, the client mote is transmitting TCP/IPv6 traffic with different message sizes and generation rates over IEEE 802.15.4 WSN to the server mote. Each mote is connected via USB cable to a laptop for real-time monitoring of its operation. Figure 3.7 illustrates the testbed setup used for studying the performance of TCP/IPv6 over WSN under Wi-Fi interference. The distance between WR and the client mote is 1.2m and the distance between the Wi-Fi sink laptop and the WR is 0.5m. There is a strong line-of-sight path between the client mote and server mote, with a distance of 1.5m. The Wi-Fi router uses transmitting power of 50mW and generates IEEE 802.11g traffic; the transmitting mote sets the power to 0 dBm when sending out its IEEE 802.15.4 packets. It is noted that even though in our testbed setup, the distance between the motes or the distance between the

WR and the motes is set at fixed values, by changing the transmit power of motes and WR, we can evaluate the packet transmission performance that is equivalent to varying distances between these devices.

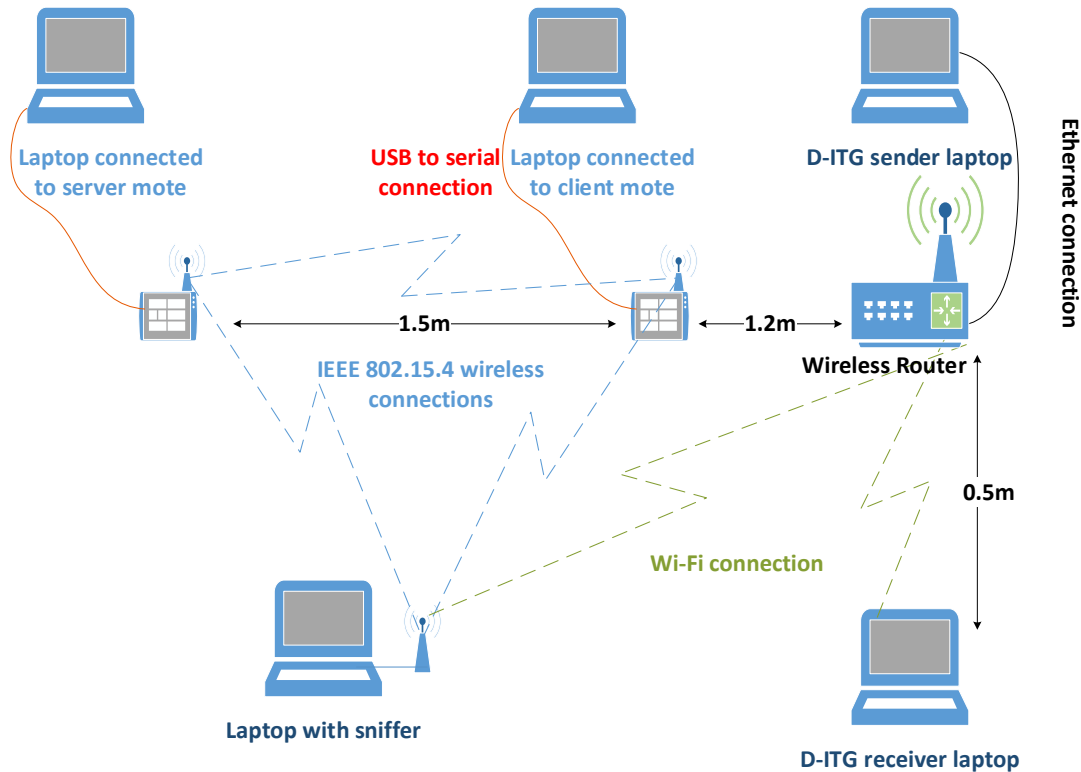


Figure 3.8 Testbed setup for studying the performance of TCP/IPv6 over IEEE 802.15.4 WSN under collocated Wi-Fi interference.

By scanning all the Wi-Fi channels, we determine that IEEE 802.15.4 channel 20 (2.449-2.451GHz) is not “contaminated” by interference from any other Wi-Fi APs in the building. To minimize the interference from other coexisting WLANs, IEEE 802.15.4 channel 20 is used as our WSN operating channel. In addition, since IEEE 802.15.4 channel 20 is located within the range of Wi-Fi’s channel 9 (2.441-2.463GHz) where the power spectral density is the strongest, Wi-Fi

channel 9 is used with the WR of our testbed to investigate the WSN packet transmission performance in the worst case scenario.

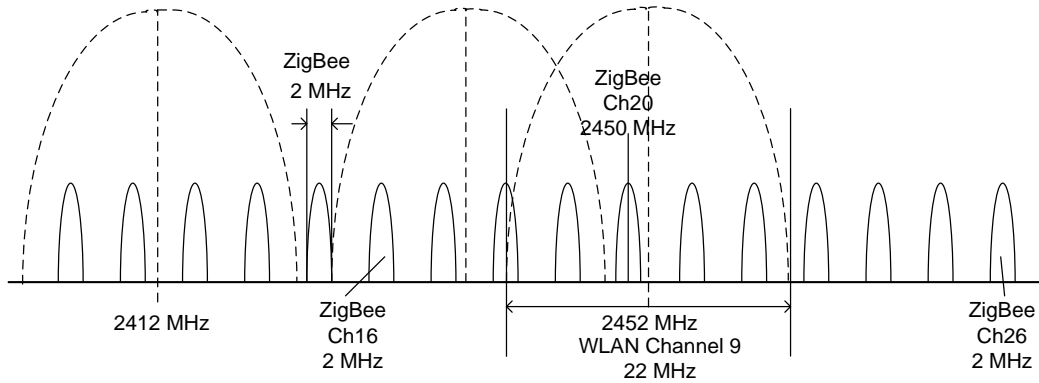


Figure 3.9 Bandwidth allocation of WLAN and IEEE 802.15.4 WSN at 2.4 GHz band.

As mentioned before, sniffer laptop is used to verify the operation of the testbed and whether the network traffic is generated as specified. Figures 3.9 – 3.13 shows some typical packet exchanges captured from the IEEE 802.15.4 WSN. The packet shown in Figure 3.9 is the SYN message sent by client mote to the server mote for requesting a new TCP connection. In our testbed, the WSN network prefix is set to `aaaa::/64` and the client MAC address and server MAC address are `02:00:00:ff:fe:00:00:02` and `02:00:00:ff:fe:00:00:01` respectively. The IPv6 address is derived from the combination of network prefix and MAC. And the 7<sup>th</sup> bit of MAC address is inverted in the combination. It can be seen from this figure that the packet is recognized by Wireshark as a TCP packet with source IPv6 address of `aaaa::ff:fe00:02` and port of 1025, and destination address of `aaaa::ff:fe00:01` and port of 1010. In the next figure, Figure 3.10, it can be seen that TCP server listens to the port 1010 and successfully received the SYN packet (SYN message from the client mote has a destination port number same as the port number the server mote is listening to). The

server then returns a SYN ACK packet with source port of 1010 and destination port of 1025. Once the client mote receives the SYN ACK, it sends an ACK to the server mote, which is shown in Figure 3.11. If this ACK is received by the server mote, the connection is established.

```

⊞ Frame 911: 82 bytes on wire (656 bits), 80 bytes captured (640 bits) on interface 0
⊞ IEEE 802.15.4 Data, Dst: 02:00:00ff:fe:0000:01, Src: 02:00:00ff:fe:0000:02
⊞ Frame Control Field: Data (0xcc61)
  Sequence Number: 85
  Destination PAN: 0x1420
  Destination: 02:00:00ff:fe:0000:01 (02:00:00:ff:fe:00:00:01)
  Extended Source: 02:00:00ff:fe:0000:02 (02:00:00:ff:fe:00:00:02)
⊞ 6LOWPAN
⊞ IPhC Header
  Next header: TCP (0x06)
  Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
  Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
⊞ Internet Protocol Version 6, Src: aaaa::ff:fe00:2 (aaaa::ff:fe00:2), Dst: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
⊞ 0110 .... = Version: 6
⊞ .... 0000 0000 .... = Traffic class: 0x00000000
  .... 0000 0000 0000 0000 0000 0000 = Flowlabel: 0x00000000
  Payload length: 24
  Next header: TCP (6)
  Hop limit: 64
  Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
  Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
  [Source GeoIP: Unknown]
  [Destination GeoIP: Unknown]
⊞ Transmission Control Protocol, Src Port: 1025 (1025), Dst Port: 1010 (1010), Seq: 4294967266, Len: 0
  Source Port: 1025 (1025)
  Destination Port: 1010 (1010)
  [Stream index: 8]
  [TCP Segment Len: 0]
  Sequence number: 4294967266 (relative sequence number)
  Acknowledgment number: 0
  Header Length: 24 bytes
⊞ .... 0000 0000 0010 = Flags: 0x002 (SYN)
  window size value: 440
  [Calculated window size: 440]
⊞ Checksum: 0x3f20 [validation disabled]
  Urgent pointer: 0
⊞ Options: (4 bytes), Maximum segment size
⊞ [SEQ/ACK analysis]

```

Figure 3.10 SYN message from client mote to server mote for establishing TCP connection.

```

# Frame 912: 82 bytes on wire (656 bits), 80 bytes captured (640 bits) on interface 0
# IEEE 802.15.4 Data, Dst: 02:00:00ff:fe:0000:02, Src: 02:00:00ff:fe:0000:01
# Frame Control Field: Data (0xcc61)
#   Sequence Number: 12
#   Destination PAN: 0x1420
#   Destination: 02:00:00ff:fe:0000:02 (02:00:00:ff:fe:00:00:02)
#   Extended Source: 02:00:00ff:fe:0000:01 (02:00:00:ff:fe:00:00:01)
# 6LOWPAN
# IPHC Header
#   Next header: TCP (0x06)
#   Source: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
#   Destination: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
# Internet Protocol Version 6, Src: aaaa::ff:fe00:1 (aaaa::ff:fe00:1), Dst: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
# 0110 .... = Version: 6
# .... 0000 0000 .... .... .... = Traffic class: 0x00000000
# .... .... 0000 0000 0000 0000 0000 = Flowlabel: 0x00000000
# Payload length: 24
# Next header: TCP (6)
# Hop limit: 64
# Source: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
# Destination: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
# [Source GeoIP: Unknown]
# [Destination GeoIP: Unknown]
# Transmission Control Protocol, Src Port: 1010 (1010), Dst Port: 1025 (1025), Seq: 0, Ack: 4294967267, Len: 0
#   Source Port: 1010 (1010)
#   Destination Port: 1025 (1025)
#   [Stream index: 0]
#   [TCP Segment Len: 0]
#   Sequence number: 0 (relative sequence number)
#   Acknowledgment number: 4294967267 (relative ack number)
#   Header Length: 24 bytes
#   .... 0000 0001 0010 = Flags: 0x012 (SYN, ACK)
#   window size value: 940
#   [Calculated window size: 940]
#   Checksum: 0x3b27 [validation disabled]
#   Urgent pointer: 0
#   Options: (4 bytes), Maximum segment size
#   [SEQ/ACK analysis]

```

Figure 3.11 SYN ACK message from server mote to client mote.

```

# Frame 913: 78 bytes on wire (624 bits), 76 bytes captured (608 bits) on interface 0
# IEEE 802.15.4 Data, Dst: 02:00:00ff:fe:0000:01, Src: 02:00:00ff:fe:0000:02
# Frame Control Field: Data (0xcc61)
#   Sequence Number: 87
#   Destination PAN: 0x1420
#   Destination: 02:00:00ff:fe:0000:01 (02:00:00:ff:fe:00:00:01)
#   Extended Source: 02:00:00ff:fe:0000:02 (02:00:00:ff:fe:00:00:02)
# 6LOWPAN
# IPHC Header
#   Next header: TCP (0x06)
#   Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
#   Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
# Internet Protocol Version 6, Src: aaaa::ff:fe00:2 (aaaa::ff:fe00:2), Dst: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
# 0110 .... = Version: 6
# .... 0000 0000 .... .... .... = Traffic class: 0x00000000
# .... .... 0000 0000 0000 0000 0000 = Flowlabel: 0x00000000
# Payload length: 20
# Next header: TCP (6)
# Hop limit: 64
# Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
# Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
# [Source GeoIP: Unknown]
# [Destination GeoIP: unknown]
# Transmission Control Protocol, Src Port: 1025 (1025), Dst Port: 1010 (1010), Seq: 4294967267, Ack: 1, Len: 0
#   Source Port: 1025 (1025)
#   Destination Port: 1010 (1010)
#   [Stream index: 8]
#   [TCP Segment Len: 0]
#   Sequence number: 4294967267 (relative sequence number)
#   Acknowledgment number: 1 (relative ack number)
#   Header Length: 20 bytes
#   .... 0000 0001 0000 = Flags: 0x010 (ACK)
#   window size value: 440
#   [Calculated window size: 440]
#   [window size scaling factor: -2 (no window scaling used)]
#   Checksum: 0x52d0 [validation disabled]
#   Urgent pointer: 0

```

Figure 3.12 Client mote sends an ACK to acknowledge SYN ACK message from server mote.

The packet exchange process of TCP connection establishment is further explained as follows.

The client sends out a SYN message with a sequence number of 4294967266 (the sequence number could be any value between 0 and  $2^{32}$ ), as shown in Figure 3.12. And the acknowledgement number is zero, as there is not yet any outstanding packet to acknowledge.

Then the server responds to the client with a “SYN, ACK” message, shown in Figure 3.10, with a sequence number of zero, as this is its first packet in this TCP session, and an acknowledgement number of 4294967267. The acknowledgement number is set to 4294967267 to indicate the receipt of the client’s SYN in the packet shown in Figure 3.12. It is noted that the acknowledgement number has been increased by 1 although no payload data has yet been sent by the client. This is because the presence of the SYN flag in the received packet triggers an increase of 1 in the sequence. This does not interfere with the accounting of payload data, because packets with the SYN flag set do not carry any payload.

After receiving the “SYN, ACK” from the server mote, the client responds to the server with another ACK message. As in Figure 3.11, this message has an acknowledgement number of one, since the “SYN, ACK” message has a sequence number of zero (see Figure 3.10). The client includes its own sequence number of 4294967267 (incremented from 4294967266 of the SYN packet shown in Figure 3.9) in this ACK message. At this point, the establishment of TCP connection is done. The client can start to send data to the server.

Figures 3.9 – 3.11 illustrate the packets exchanged between client mote and server mote during the process of three-way handshake for establishing a TCP connection. Figure 3.12 shows the structure of a captured data message packet. The message is the 270<sup>th</sup> message from the client which has 30 bytes content “Hello 00270 from the client” (including a “\r” for return and a “\n” for line feed). It is encapsulated in a TCP/IPv6 packet and transmitted over IEEE 802.15.4 WSN. From the Wireshark captured information, the first thing we can see is the 21-byte MAC header. The 21-byte MAC header consists of frame control field, PAN ID, source and destination MAC address. We can see from Figure 3.12 this header starts with 0xcc61, which means it’s a data type frame. 0x77 is the sequence number 119 in hexadecimal format. And the following 16-byte is the destination and source MAC address: 02:00:00ff:fe:0000:01 and 02:00:00ff:fe:0000:01. Next to the MAC header is the 6LoWPAN header, the details of which is shown in Figure 3.13. As it can be seen at the bottom part (packet bytes) of the captured data packet, the IP header is compressed into the 6LoWPAN header, which is followed by the 20-byte TCP header and then the 30-byte message. The upper part of Figure 3.12 shows the details of the packet headers.

The 6LoWPAN header consists of IPHC header, next header, source and destination IPv6 addresses, which is 35-byte in total. Normal IPv6 header consists of 4-bit for version, 1-byte for class of traffic, 20-bit for flow label, 2-byte for payload length, 1-byte for next header, 1-byte for hop limit and 16-byte for source and destination addresses. That is 40-byte in total for an IPv6 header if no optional header is included. In 6LoWPAN, LOWPAN\_IPHC encoding is used to reduce header size. As we can see in Figure 3.13 the first 3-bit “011” in IPHC header tells us it’s an IPHC compressed header. Next 2-bit is TF (traffic class and flow label). In this example, the value of it is “11”, which means we elided both traffic class and flow label. The next field in IPHC

header is NH (Next header). When the value of NH is 1, the next header is compressed and encoded using LOWPAN\_NHC. In Figure 3.13, the NH field equals 0 in this case because there is only UDP compression specified in LOWPAN\_NHC, and our experiments are over TCP connections. The field that follows NH is HLIM, which defines the hop limit. The value shown in Figure 3.13 is “10”, indicating a hop limit of 64. Compared to the 1-byte hop limit field in IPv6 header, setting HLIM field to “10” saves 6 bits. The 128-bit destination and source addresses are carried inline as shown in Figure 3.8. IPv6 header can be decompressed from 6LoWPAN header, the length of it is 40-byte in our case (see Figure 3.12). Depending on how you configure the mote to compress the IPv6 address and which transport layer protocol (TCP/UDP) it uses, it can achieve different compression rate. Thus, the 6LoWPAN header length can vary.

```

IEEE 802.15.4 Data, Dst: 02:00:00ff:fe:0000:01, Src: 02:00:00ff:fe:0000:02
  Frame Control Field: Data (0xcc61)
    Sequence Number: 119
    Destination PAN: 0x1420
    Destination: 02:00:00ff:fe:0000:01 (02:00:00:ff:fe:00:00:01)
    Extended Source: 02:00:00ff:fe:0000:02 (02:00:00:ff:fe:00:00:02)
  6LoWPAN
    IPHC Header
      Next header: TCP (0x06)
      Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
      Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
    Internet Protocol Version 6, Src: aaaa::ff:fe00:2 (aaaa::ff:fe00:2), Dst: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
      0110 .... = Version: 6
      .... 0000 0000 .... = Traffic class: 0x00000000
      .... 0000 0000 0000 0000 = Flowlabel: 0x00000000
      Payload length: 50
      Next header: TCP (6)
      Hop limit: 64
      Source: aaaa::ff:fe00:2 (aaaa::ff:fe00:2)
      Destination: aaaa::ff:fe00:1 (aaaa::ff:fe00:1)
      [Source GeoIP: Unknown]
      [Destination GeoIP: unknown]
    Transmission Control Protocol, Src Port: 1025 (1025), Dst Port: 1010 (1010), Seq: 91, Ack: 1, Len: 30
      Source Port: 1025 (1025)
      Destination Port: 1010 (1010)
      [Stream index: 0]
      [TCP Segment Len: 30]
      Sequence number: 91 (relative sequence number)
      [Next sequence number: 121 (relative sequence number)]
      Acknowledgment number: 1 (relative ack number)
      Header Length: 20 bytes
      .... 0000 0001 1000 = Flags: 0x018 (PSH, ACK)
        000. .... = Reserved: Not set
        ...0 .... = Nonce: Not set
        .... 0... = Congestion window Reduced (CWR): Not set
        .... .0.. = ECN-Echo: Not set
        .... ..0. = Urgent: Not set
        .... ...1 = Acknowledgment: Set
        .... .... 1.. = Push: Set
        .... .... .0.. = Reset: Not set
        .... .... ..0. = Syn: Not set
        .... .... ...0 = Fin: Not set
      window size value: 440
      [Calculated window size: 440]
      [window size scaling factor: -1 (unknown)]
      Checksum: 0xad88 [validation disabled]
      urgent pointer: 0
      [SEQ/ACK analysis]
    Data (30 bytes)
      Data: 48656c6c6f2030303237302066726f6d2074686520636c69...
      [Length: 30]

```

0000	61 cc 77 20 14 01 00 00 fe ff 00 00 02 02 00 00	a.w . . . . .
0010	fe ff 00 00 02 0a 00 06 aa aa 00 00 00 00 00 00	. . . . z . . . .
0020	00 00 00 ff fe 00 00 00 00 00 00 00 00 00 00 00	. . . . .
0030	00 00 00 ff fe 00 00 01 04 01 03 f2 00 00 1f 87	. . . . .
0040	00 00 00 01 50 18 01 b8 ad 88 00 00 48 65 6c 6c	. . . P . . . . Hell
0050	6f 20 30 30 32 37 30 20 66 72 6f 6d 20 74 68 65	o 00270 from the
0060	20 63 6c 69 65 6e 74 20 0a 0d	client ..

Figure 3.13 Data message packet.

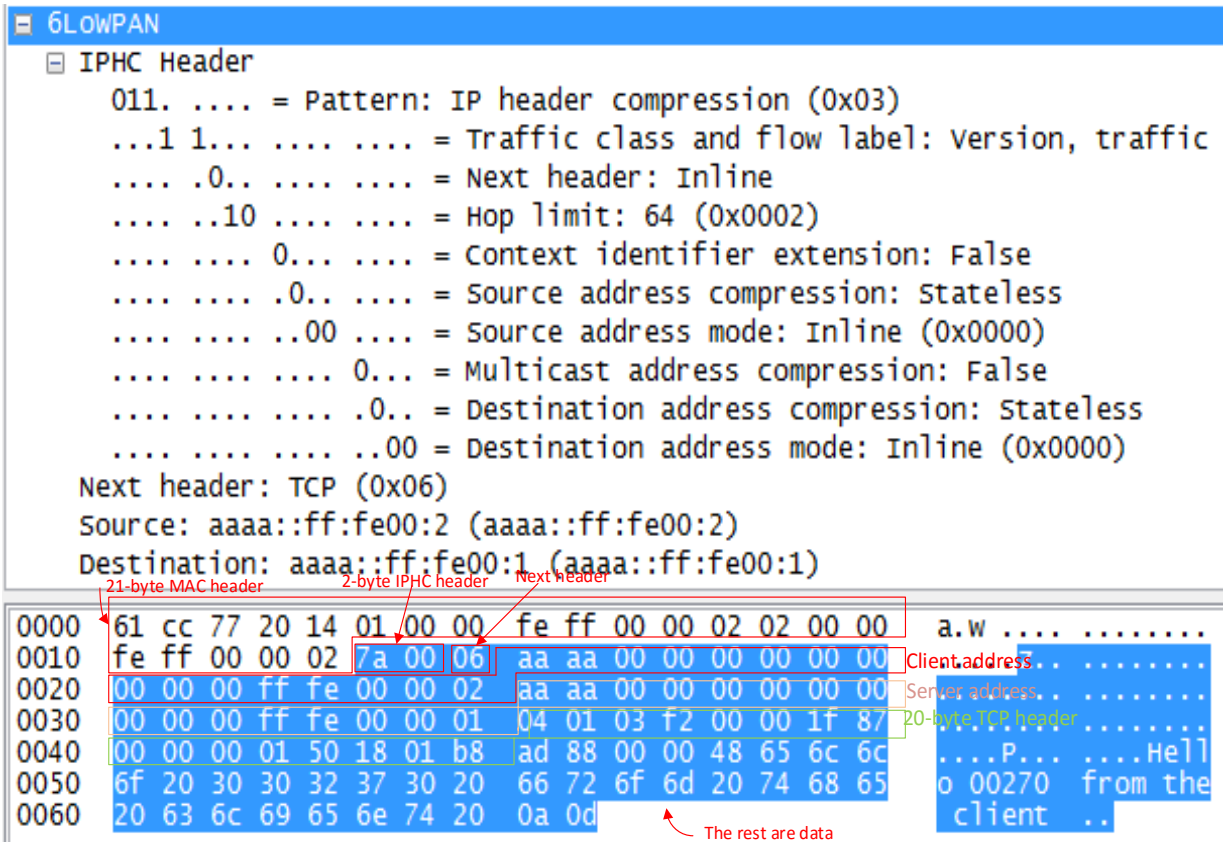


Figure 3.14 Data message 6LoWPAN header.

## **Chapter 4 Implementation and Optimization of High Packet Rate Periodic Monitoring Program using TCP over IEEE 802.15.4 WSN**

### **4.1 Implementation of Periodic Monitoring Application using TCP over IEEE 802.15.4 WSN**

To study the performance of sending periodic monitoring/sensing data using TCP over IEEE 802.15.4 WSN, we developed custom firmware programs that run on our test boards of both client and server motes. The periodic monitoring/sensing application consists of TCP client and TCP server, which are installed on the client mote and server mote respectively.

Once the client mote is powered up, the periodic monitoring program on the client mote first establishes TCP connection with the receiver program on the server mote. To do this, the client mote sends a segment, SYN, to the receiving mote. The receiving mote returns a segment, SYN ACK, to acknowledge the successful receipt of the SYN segment. The client mote sends another ACK segment, then proceeds to send the data. The process of TCP connection establishment is illustrated in Figure 4.1.

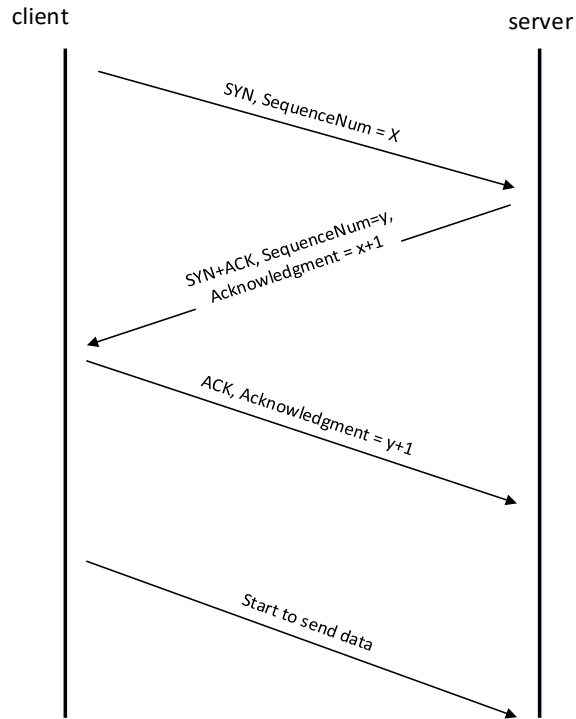


Figure 4.1 Process of TCP connection establishment.

After establishing the TCP connection between client mote and server mote, the client program generates sensing data at constant intervals. The generated data enters the buffer of the client mote if the buffer has sufficient space to store the data. If the buffer is full, the oldest data segment in the buffer will be dropped and the new data will be stored at the end of the buffer.

As introduced in Chapter 3, Contiki is the embedded operating system used in the WSN motes. Contiki provides a full IP network stack, with standard IP protocols such as UDP, TCP, and HTTP, in addition to the new low-power standards such as 6LoWPAN, RPL, and CoAP. Contiki's IPv6 stack is developed by Cisco and Cisco continues to contribute to make the stack more stable and bring more features into it. Contiki's IPv6 stack is fully certified under the IPv6 Ready Logo program [40]. Contiki has a simplified TCP/IPv6 layer called uIP, which, however, keeps most

functions of the normal TCP/IP protocol. uIP stack has been widely used in embedded system products by hundreds of companies [136]. The developed periodic monitoring program utilizes the uIP protocol and corresponding functions implemented in Contiki to realize the data transmission functionality. The uIP process checks the buffer for data to be sent out and current uIP status every  $T_{poll}$  seconds, which is a preset value of a periodic timer of uIP. If uIP status is “Poll” and there is data in the buffer to be sent, the program proceeds to send the first data segment in the buffer. Different uIP status triggers different actions from client TCP program. The flowchart of TCP client program is depicted in Figure 4.2.

As shown in Figure 4.2, the TCP client program starts with initiating uIP. After initialization, the program tries to establish a TCP connection to the TCP server and checks if the connection is successfully established. If not, the program will go back and try to re-establish the connection until the link is successfully established. If the connection establishment check returns a TURE, the program will proceed with two sub-processes, illustrated as two branches in Figure 4.2. The branch on the right side is a background process performing operations on the client side TCP buffer. If there is data in the buffer for transmission, it checks the uIP status, the value of which is determined by various events such as successful packet transmission, packet loss, TCP disconnected and so on. The uIP process checks and updates current uIP status every  $T_{poll}$  seconds and therefore the buffer is checked every  $T_{poll}$  seconds. According to different uIP status, the background process will take different actions, such as retransmitting current packet, sending next packet, or posting an event to notify the application that the TCP connection is disconnected. The sub-process on the left branch is for data generation. After the data is generated, the sub-process checks the buffer. If the buffer is full, the sub-process will clear the oldest data in the buffer and

accepts the new data into the buffer. Otherwise, the newly generated data will directly enter the buffer. Then the sub-process checks the TCP connection status. If the connection is still established, the sub-process will wait  $T_{data\_gen}$  seconds and then generate a new data. If the connection is aborted, the sub-process will try to re-establish the TCP connection.

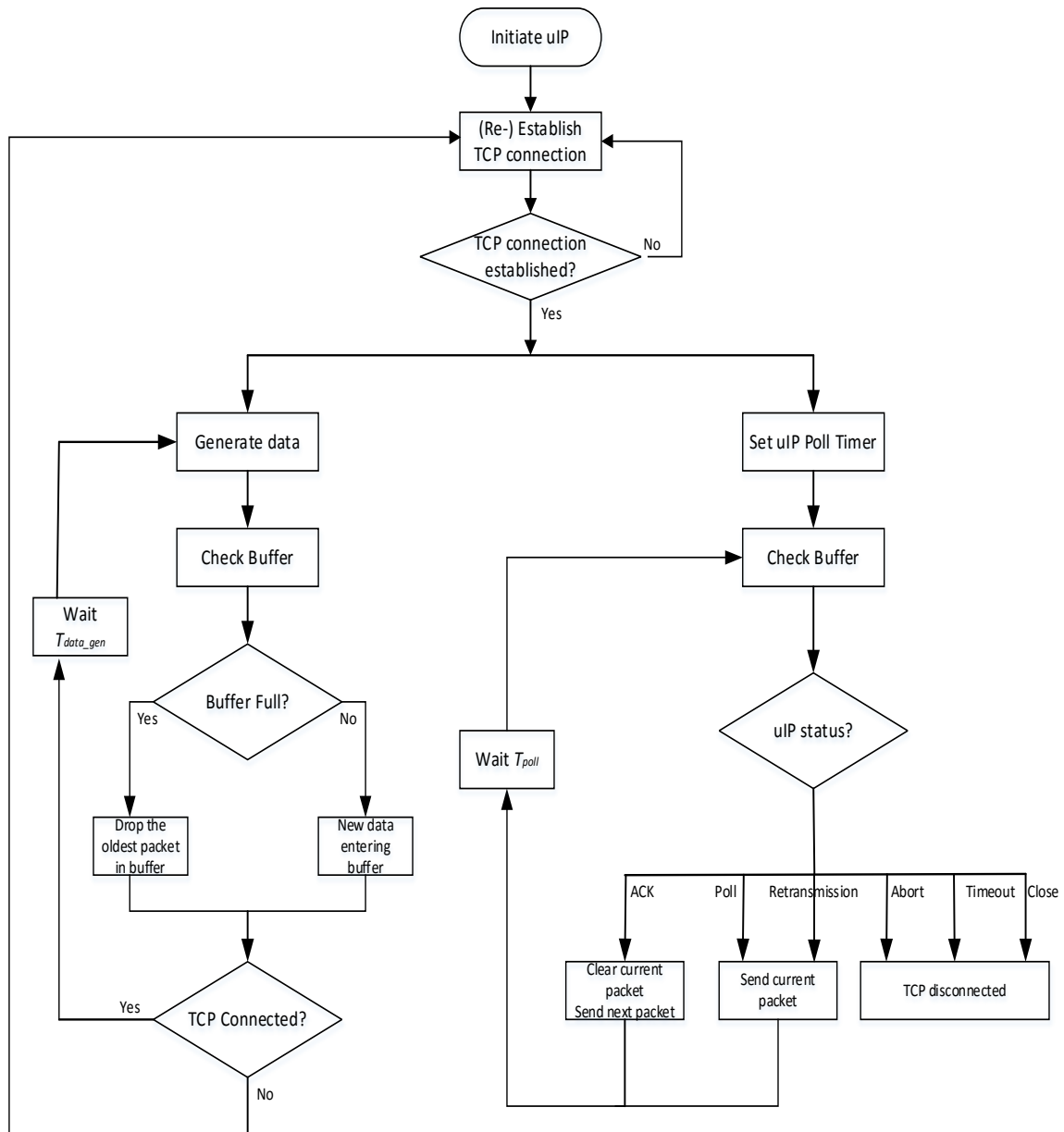


Figure 4.2 Flowchart of TCP client program.

For sending data segment in the buffer, the data arrives at the transport layer, where it is encapsulated into transport protocol data unit. The TCP data segment is passed down to the IPv6 layer, where the IPv6 protocol handles the segment by formatting it into IP datagrams. The IP datagram will then be processed at the 6LoWPAN adaptation layer, prepared for delivery over IEEE 802.15.4 WSN. The following figure shows the process of packet encapsulation.

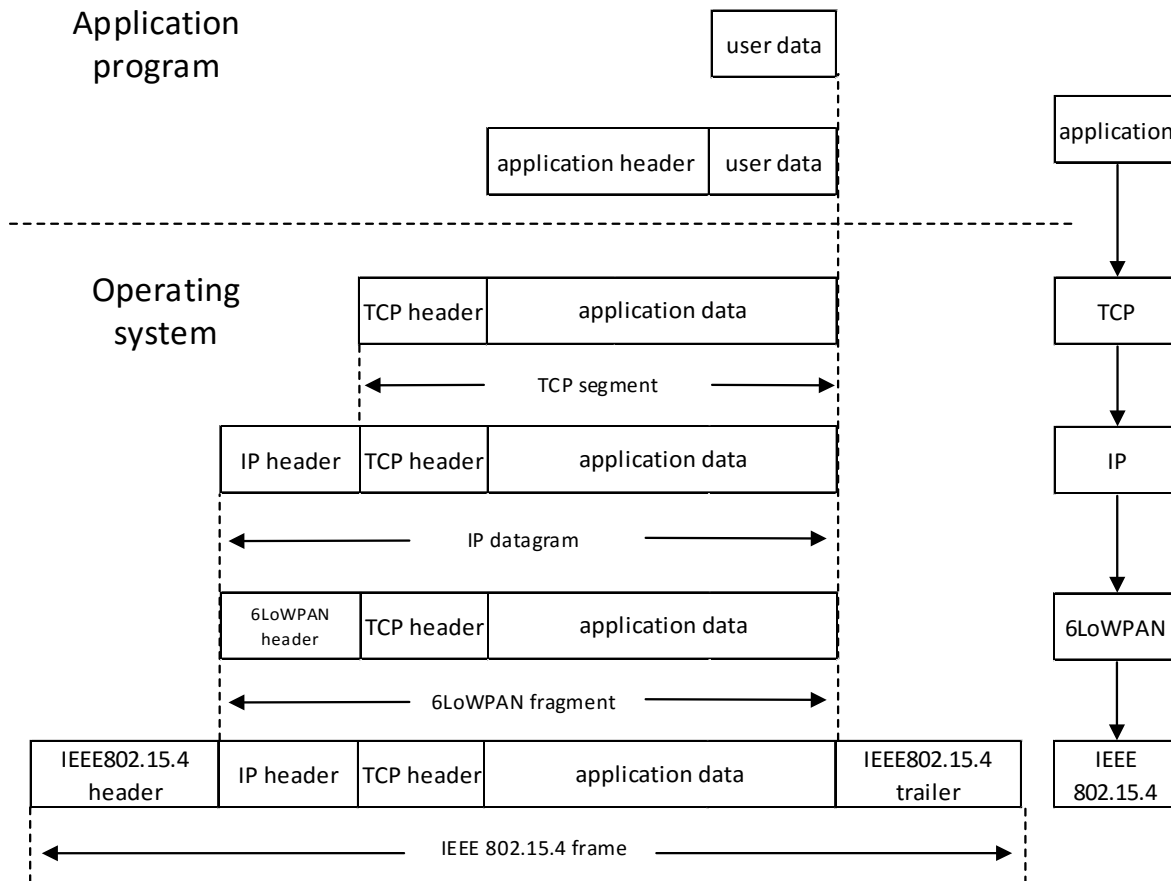


Figure 4.3 Packet encapsulation process.

The TCP server, as shown in Figure 4.4, will listen to a specified TCP port at startup. The uIP process checks the receiver buffer every  $T_{poll}$  seconds. If there is data segment arrived, it first verifies if data segment is for the listened port. After that, it will check to see what type of data

segment it receives. For SYN segment that is requesting a new TCP connection, the server program will respond with SYN ACK for establishing a new connection. Since the resource-constrained sensor motes can support a limited number of TCP connections, the server program needs to check if there is sufficient resource for establishing a new TCP connection before sending SYN ACK back. If the data segment is RESET, which indicates a request from client mote to close the current TCP connection, the server program will then terminate the current TCP connection. If the data segment is new data, the server program checks if the packet size is correct and the packet content is duplicate. If both checks are passed, the packet is successfully transmitted to the server. Otherwise, the packet will be abandoned. The results are printed to serial terminal for display.

It is noted that both flowcharts for the client and server programs of our periodic monitoring application only illustrate essential functions and process without showing all the details. In addition, statistic functionalities are implemented on both client and server programs for getting performance evaluation results, which will be discussed later in section 4.3.

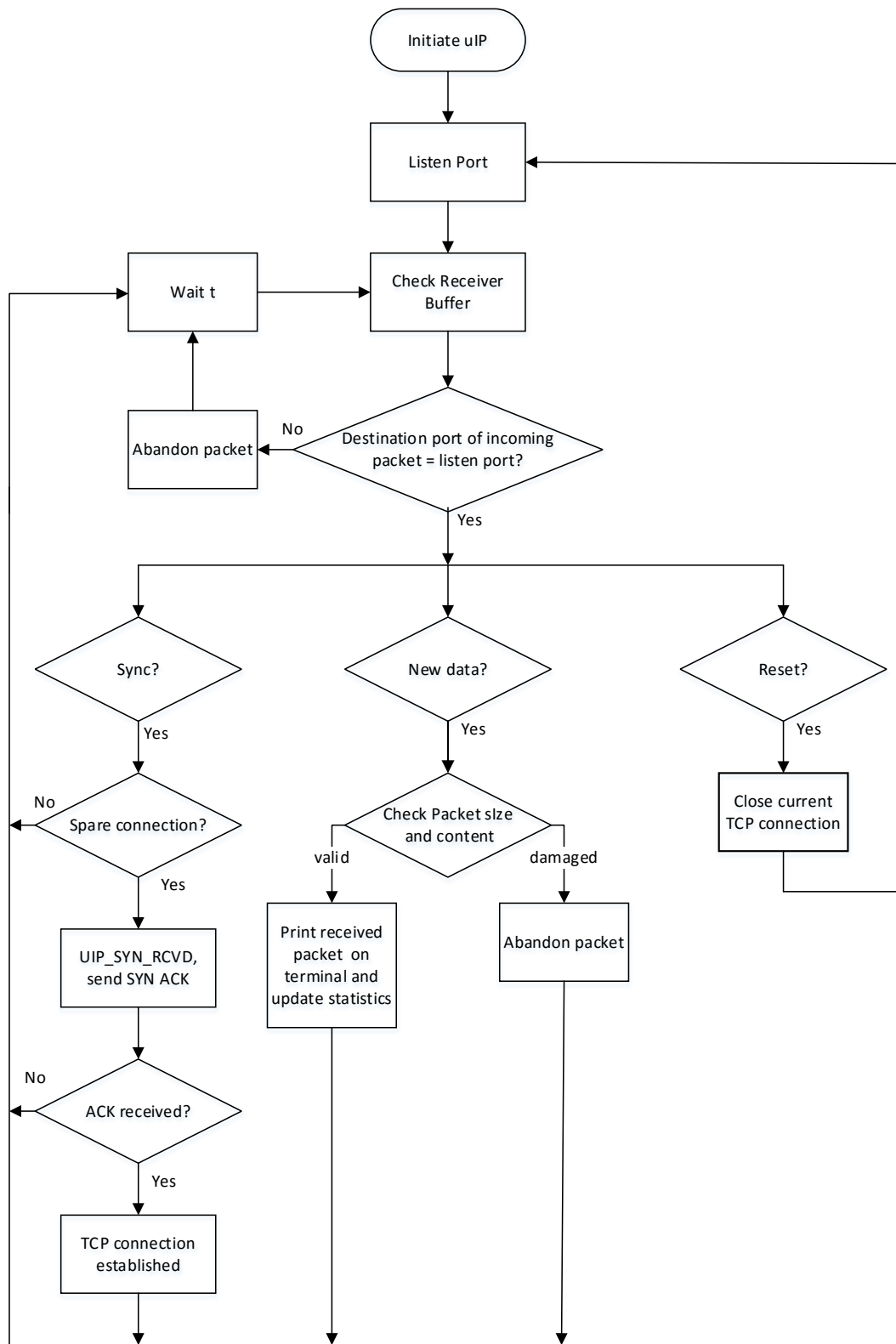


Figure 4.4 Flowchart of TCP server program.

## 4.2 Optimization of the Periodic Monitoring Application using TCP over IEEE

### 802.15.4 WSN

In our experimental performance evaluation of the developed periodic monitoring application using TCP over IEEE 802.15.4 WSN, significant packet losses were observed when the data segment generation rate was relatively high (e.g. 10 segments/second). With a close examination of the uIP implementation in Contiki and analysis of acquired experimental results, it is determined that a large amount of packet loss is due to the buffer overflow caused by the very slow packet transmission.

The uIP in Contiki uses a simple “stop and wait” protocol. After transmitting one packet, the transmitting mote waits for an ACK from the receiving mote before transmitting the next one. If the transmitting mote doesn't receive ACK for previous sent packet after a certain period of time, the transmitting mote times out and retransmits that packet again. As it can be seen from Figure 4.2, the maximum TCP packet transmission rate is limited by the value of a periodic timer of uIP ( $T_{poll}$ ), which controls the interval of checking the buffer and uIP status. The default  $T_{poll}$  value of 0.5s is good for networks that have high data transmission speed and larger packet sizes because the data stored in the buffer can be cleared out very fast when there is a chance for data transmission. And obviously it is also good for network with very low data generation rate. However, it is not suitable for applications with high data generation rate running on IEEE 802.15.4 WSN which has a relatively low data rate of 250kbps and small packets with the maximum MAC frame size of 127 bytes. When  $T_{poll}$  is larger than the data generation interval or the external interference prolongs the data transmission, the data of the monitoring application, which is generated at a constant rate,

could eventually fill up the buffer and overflow, leading to packet losses. Although setting a small value for the timer will put more stress on the processor and increase the power consumption of the nodes, such cost can be offset by the significantly reduced packet loss. Based on this observation, we propose to reduce the value of  $T_{poll}$  to support higher data generation rate of the monitoring application. In Contiki, a clock tick is defined as 1/128 second. As an example in our experiments, we set  $T_{poll}$  to be 5 ticks, i.e., around 40ms, so that the periodic monitoring application can support a fairly high packet generation rate of 20 packets/second.

It is noted that several other timers are assigned initial values as multiples of the above-mentioned periodic timer,  $T_{poll}$ , such as RTO values for connection/reconnection requests or packet transmission/retransmission time out, and so on. Once the value of  $T_{poll}$  is set to be smaller, all pre-set initial timer values should be reconsidered. Each TCP connection has its own countdown timer to keep track of how much time left before a retransmission timeout, which is assigned a value of multiples of  $T_{poll}$ . After each polling cycle, the countdown timer is reduced by 1. At the stage of establishing a new TCP connection, the initial value of the countdown timer that control the timeout of the connection request SYN segment should be set to be long enough to allow the server sufficient time to accept connection request from the client and send back SYN ACK. If the value is too small, the TCP connection request could experience frequent timeouts, resulting in failures of establishing TCP connection. After the connection is established, the initial value of the countdown timer is set to be an RTO value derived from the measured value of RTT, to ensure a timely packet retransmission without waiting for ACK for too long and resulting in more data overflow in the buffer before timeout.

It is observed in our experiments that the considerable amount of time consumed by both TCP retransmissions and timer backoffs also resulted in packet drops due to buffer overflow. For improving performance under high data generation rate, the maximum TCP retransmission retries is set to one and the calculation of timer backoffs is modified. In uIP, backoff time will be doubled each time until it reaches 16 RTO and the RTO has a fixed value of  $3T_{poll}$ , i.e., 1.5s. In our implementation, we reduce the backoff time to be RTO with the latest updated value from RTT measurement. When the timer counts down to zero, retransmission is triggered.

In addition to the timers, the implementation of TCP connection release and reconnection in uIP should also be improved. In our experiments, when the client mote encountered heavy interference that caused packet losses, TCP connection dropped (timeout or aborted) from time to time after the TCP retries reached a pre-set maximum value. For closing the current TCP connection, the client mote sends a RST segment to the server mote. When the server mote receives RST, the current TCP connection will be closed successfully. This process is illustrated in Figure 4.5. In order to maintain data transmission, the periodic monitoring program in the client mote will try to reconnect to the server mote until a new TCP connection is established. The client mote sends a SYN segment to the server mote, requesting a new TCP connection. After receiving the SYN, the server mote will proceed to establish a new connection with the client mote as described before. However, if the RST is lost because of the interference, the current TCP connection will remain active in the server mote. Our experiments showed that these abandoned but still active TCP connections will eventually exhaust the memory resource in the server mote, and then the server will reject all TCP connection requests from the client mote. To solve this problem, we propose to reuse the sending port of the dropped TCP connection in the client mote when initiating a new

TCP connection with the server mote after the current TCP connection being disconnected. When using the same port for requesting a new TCP connection, if the TCP connection is still active in the server mote, the server will find the newly arrived SYN segment matches an existing active TCP connection, then treat the SYN as an out-of-order SYN and respond with a SYN ACK. Thus, there won't be unnecessary establishment of new TCP connections and abandoned active TCP connections. There are more advantages to reuse the sending port when requesting a new TCP connection from the server mote. As shown in Figure 4.6, the three-way handshake process for establishing TCP connection can encounter interruption under interference. When reusing the sending port, even when the ACK from the client mote fails to reach the server mote, the data transmission can still proceed using the existing TCP connection. With such modifications, we observed much less reconnections, packet losses and delay.

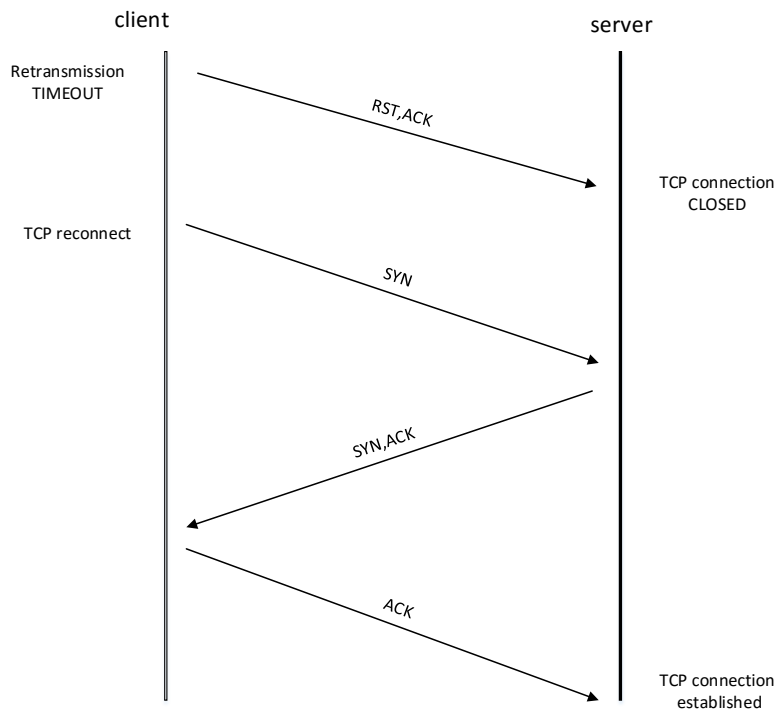


Figure 4.5 Process of termination and reconnection of a TCP connection.

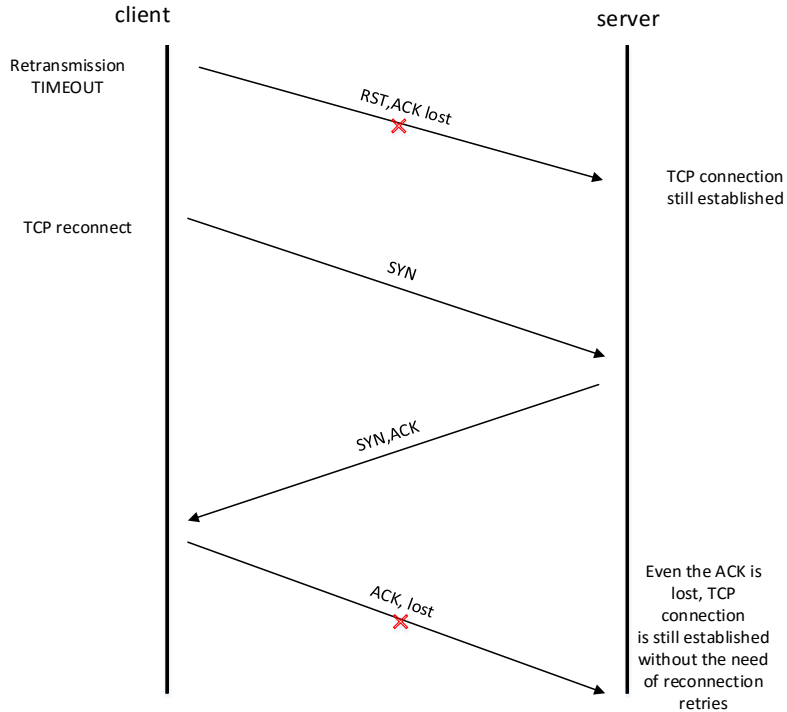


Figure 4.6 Interruption scenarios of termination and reconnection of a TCP connection.

### 4.3 Experimental Results

As described in Sections 4.1 and 4.2, we developed the periodic monitoring program for both client mote and server mote and also optimized its performance for supporting higher data generation rates and being more robust to external interference.

In this section, extensive experiments have been carried out in our testbed to evaluate the performance of the developed periodical monitoring application that uses TCP to transmit monitoring/sensing data from the client mote to the server mote. In the experiments, the generated data segment enters the sender mote buffer, which has a limited size of 300 bytes.

There could be some other factors in our testing environment such as noise and some hidden interference sources that cause packet loss in the experiments. In order to evaluate the influence of background noise and other interference sources on the performance of WSN data transmission in our lab, we performed a set of experiments without our custom defined Wi-Fi interference using the testbed shown in Figure 3.8. In all the experiments, we observed no packet loss, which confirms the performance degradation in the following experiments is mainly due to our custom defined Wi-Fi interference.

To compare and show the improved performance after optimization, we first conducted experiments to evaluate the performance of periodical monitoring application implemented using the stock uIP functions in Contiki without modification as discussed in Section 4.2. It was observed that when the client mote suffered from collocated Wi-Fi interference, WSN packet transmissions could fail from time to time, which sometimes resulted in TCP connection dropped after the TCP packet retransmission reached a pre-set maximum value. Without the proposed method to address the issue of lost RST packets because of interference, the abandoned but still active TCP connections in the server mote quickly exhausted the memory resource. Consequently, the server mote rejected all subsequent TCP connection requests from the client mote and the experiments stopped there and could not go through. Another interesting observation is that even before the experiments came to a stop, the reconnection time was visibly longer than that of the optimized program. There are several reasons behind such performance improvement. The longer  $T_{poll}$  used in the stock uIP functions prolong the packet exchanges during the three-way handshake process, especially when packet retransmissions are involved. The proposed method of reusing previous active TCP connection and ports can avoid unnecessary operations for abandoning active TCP

connections and establishing new ones, and effectively deal with the possible loss of ACKs from the client mote.

Furthermore, to make fair comparison, we implemented the proposed method for dealing with TCP connection drops and re-establishments, and carried out experiments to evaluate the performance of the periodical monitoring program using stock uIP functions. This time the experiments were able to complete without stopping in the middle. After each TCP connection drop, the client mote was able to re-establish TCP connection with the server mote and continued the data transmissions. In the first set of experiments, the D-ITG traffic generator running on the laptop generates interfering UDP traffic with packet payload size of 1000 bytes and packet rate of 1000 packets/second, which is then converted to IEEE 802.11g Wi-Fi interference by WR. When the client mote was sending 30-byte data segment at 50ms interval, the packet loss rate reached over 80%, which obviously is too high for the application to be useful. We then reduced the Wi-Fi interference in the second set of experiments to have payload size of 500 bytes and packet rate of 500 packets/second. When the client mote was using the same data generation rate, i.e., 30-byte data segment at 50ms interval, the packet loss rate was still very high at over 60%. When the packet generation rate of client mote was reduced to 10 packets/second, the packet loss rate was over 45%. It is noted that the Wi-Fi interference is not very heavy in these experiments, but the packet loss rates are too high to make the application useful. After applying all the optimizations presented in Section 4.2, the periodic monitoring application achieved significantly better performance under much heavier Wi-Fi interference. The following performance evaluation experiments were carried out under heavier Wi-Fi interference and the experimental results demonstrated clear performance improvements.

In Figures 4.7 – 4.10, the D-ITG traffic generator running on the laptop generates interfering UDP traffic with packet payload size of 1000 bytes and different packet rates from 1800 to 2200 packets/second. The client mote generates 30-byte data segment at 50ms interval. Under different Wi-Fi interference, WSNs performance was evaluated in terms of PLR, the number of reconnections, the total time for transmitting the generated 10000 data segments, and the throughput.

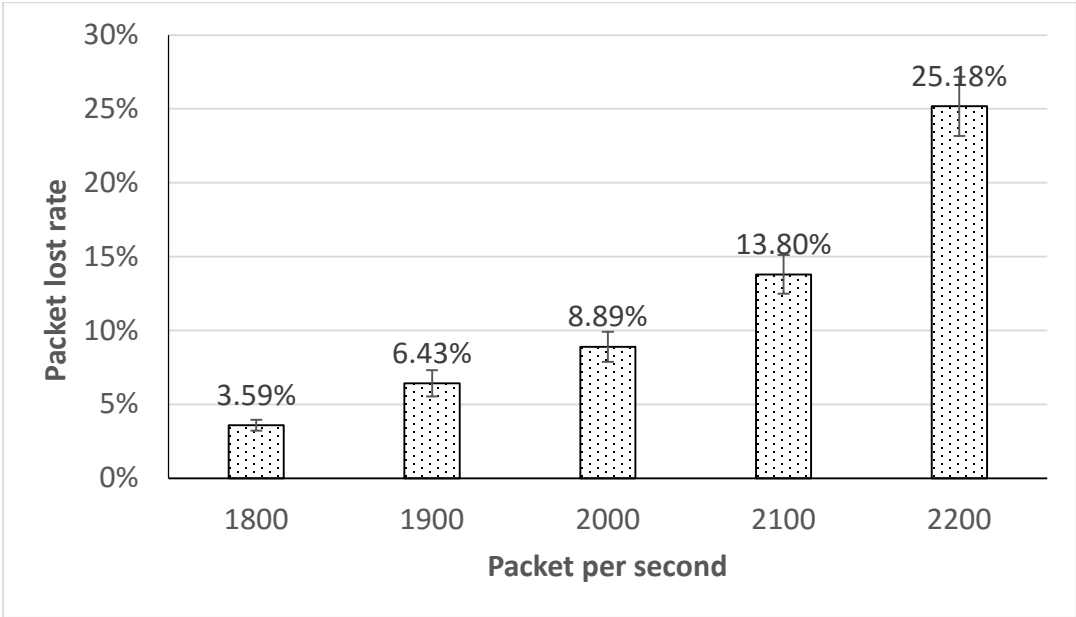


Figure 4.7 WSNs performance in terms of PLR under interfering traffic with different packet rates.

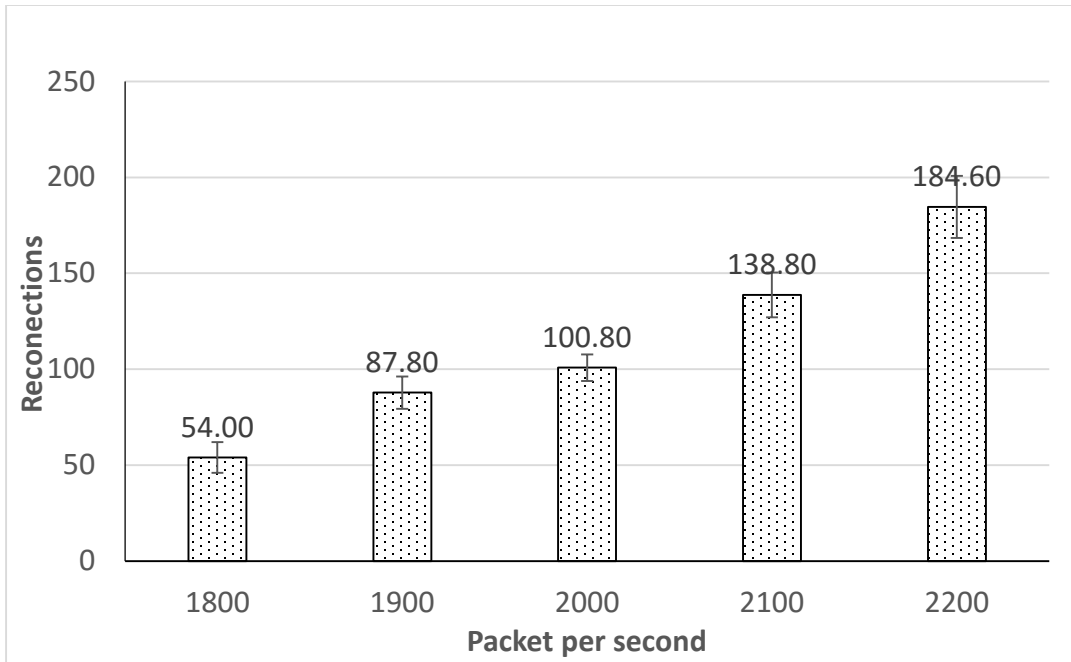


Figure 4.8 WSNs performance in terms of reconnections under interfering traffic with different packet rates.

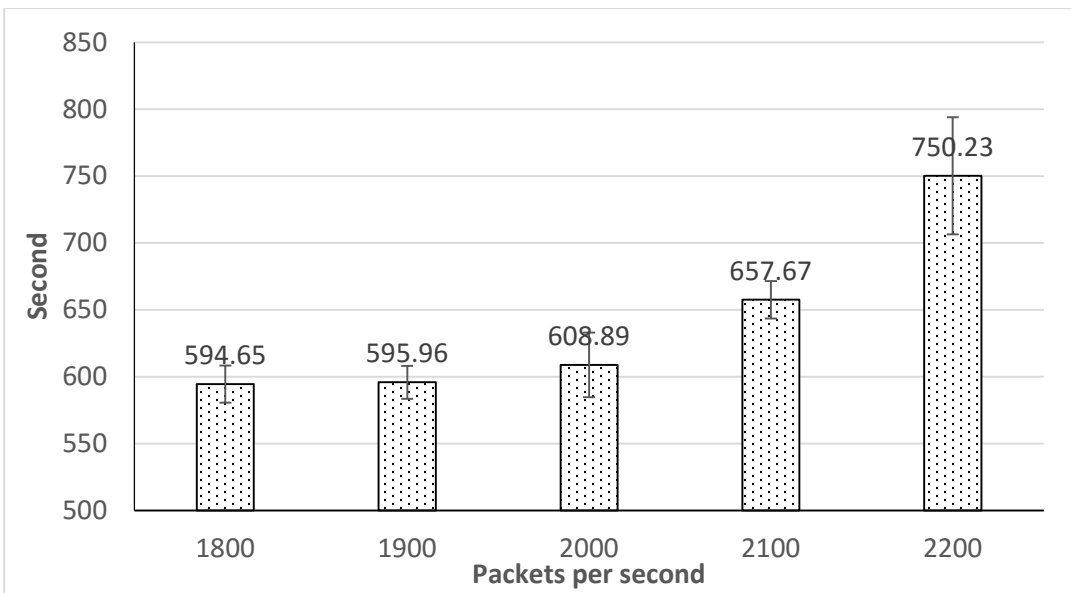


Figure 4.9 WSNs performance in terms of total transmission time under interfering traffic with different packet rates.

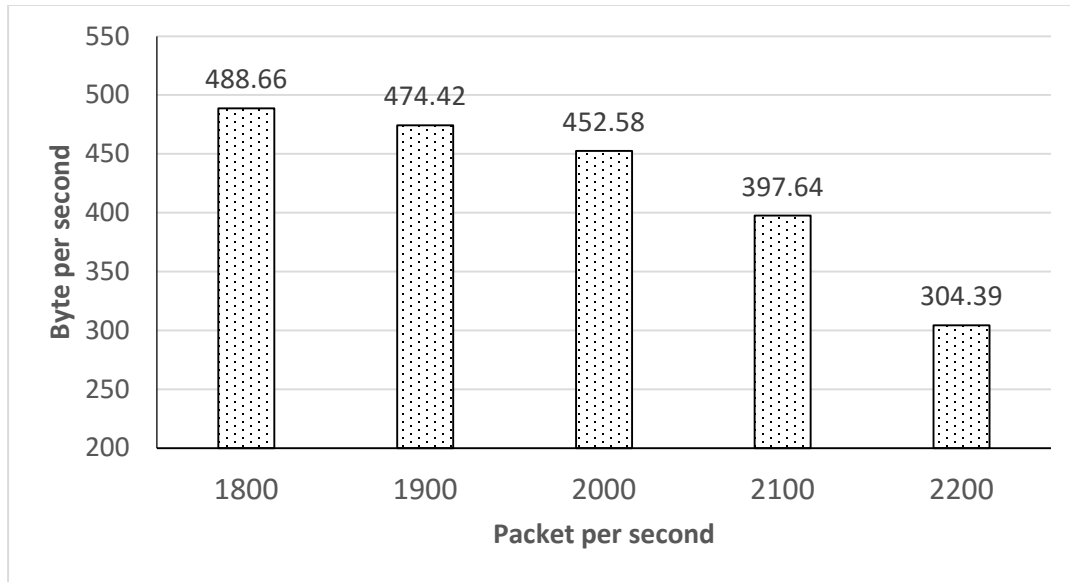


Figure 4.10 WSNs performance in terms of throughput under interfering traffic with different packet rates.

The newly developed periodical monitoring program works as expected when experiencing different Wi-Fi interference. The client mote sends TCP packets to the server mote continuously until the end of the experiments. When the TCP connection drops, the client mote will re-establish the connection. It can be seen in Figure 4.7, the PLR is increasing very fast when the interference is getting heavier. As mentioned in Section 4.2, the TCP connection for the periodic monitoring program will be dropped when the TCP retries reached a pre-set maximum value. Once TCP disconnects, the program will try to re-establish the TCP connection and continue the data transmission. Figure 4.8 shows the number of reconnections under different interference. It is noted that the increasing number of reconnections causes longer transmission delay and larger amount of packet loss. Obviously, the interference will delay data transmission and cause more packet retransmission. Therefore, in Figure 4.8, we observed longer transmission time. Figure 4.9 shows that the interference also has significant impact on system throughput. When the interference gets heavier, the throughput of WSN substantially reduced.

Similar set of experiments have been performed when the interfering traffic has constant packet rate of 2000 packets/s with varying packet sizes ranging from 800 bytes/packet to 1200 bytes/packet. The results are shown in Figures 4.11 – 4.14.

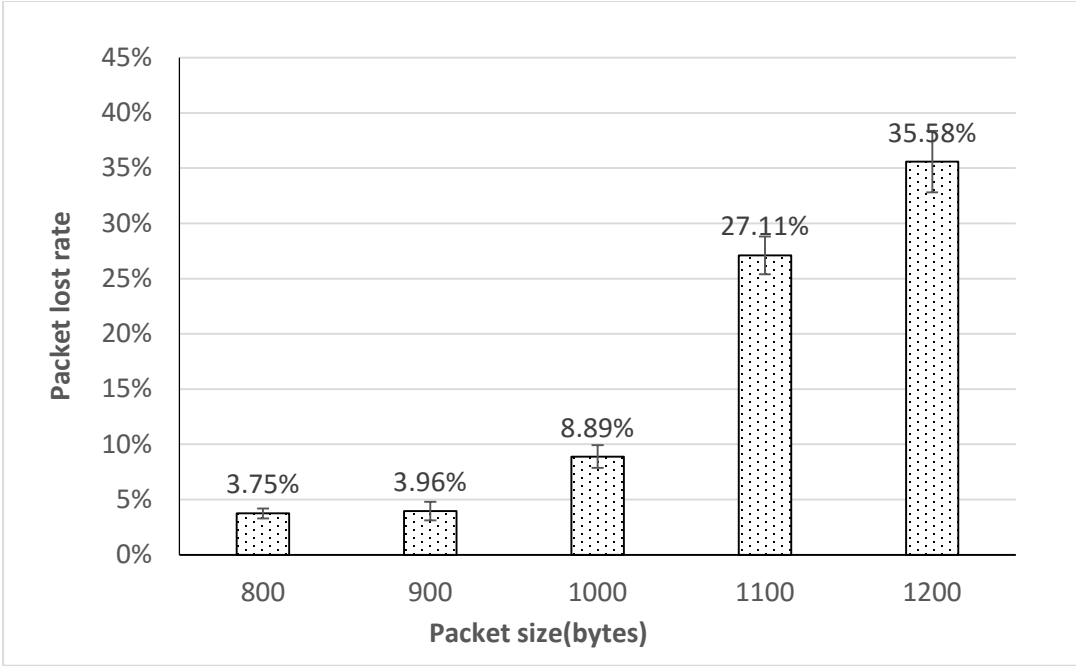


Figure 4.11 WSNs performance in terms of PLR under interfering traffic with different packet payload sizes.

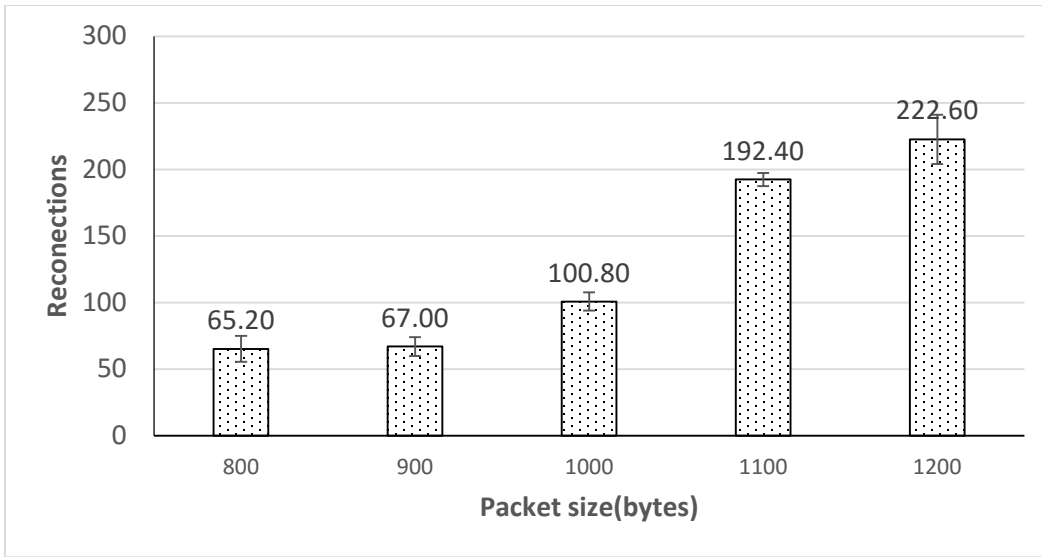


Figure 4.12 WSNs performance in terms of reconnections under interfering traffic with different packet payload sizes.

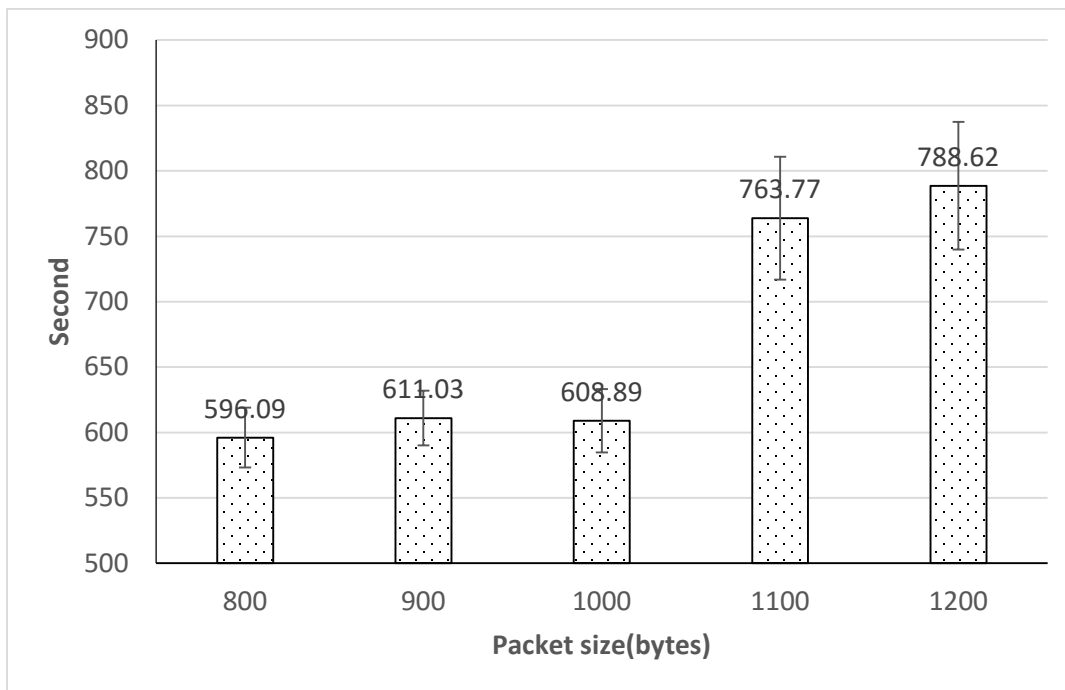


Figure 4.13 WSNs performance in terms of total transmission time under interfering traffic with different packet payload sizes.

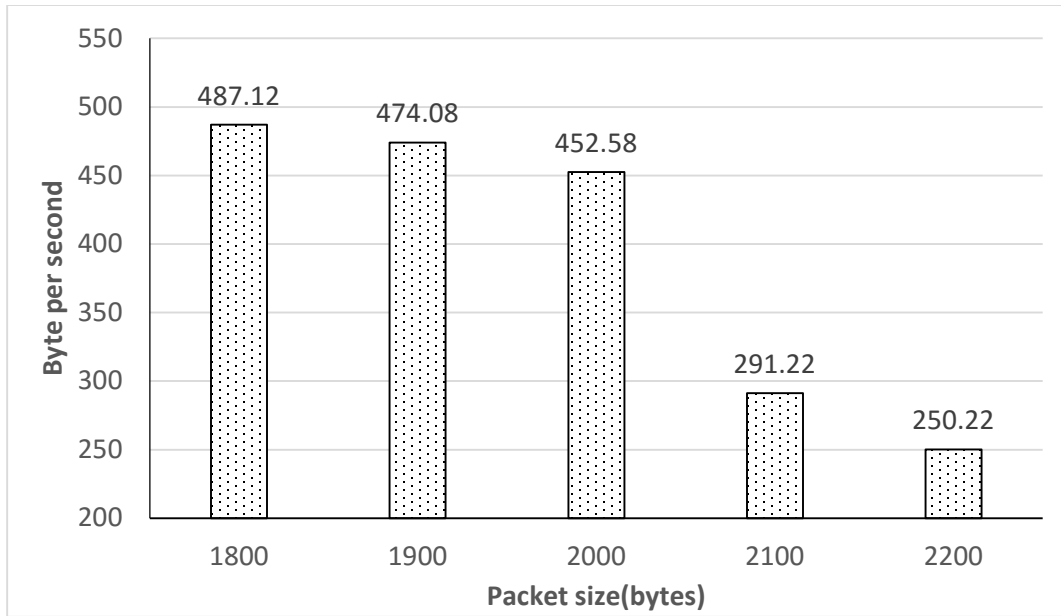


Figure 4.14 WSNs performance in terms of throughput under interfering traffic with different packet payload sizes.

In the following experiments, we randomized the distributions of packet payload sizes or packet rates in order to study the performance of the developed periodic monitoring application program under varying Wi-Fi interference. In Figures 4.15 – 4. 18, the packets generated by D-ITG have the same payload size of 1200 bytes and the IDT following four different random distributions, i.e., Uniform (Case 1), Exponential (Case 2), Normal (Case 3), and Poisson (Case 4) with mean arrival rate of 2000 packets/second. More specifically, Uniform distributed traffic has a packet rate between 1800 to 2200 packets/second and the IDT of the Normal distribution has a standard deviation of 0.1 ms.

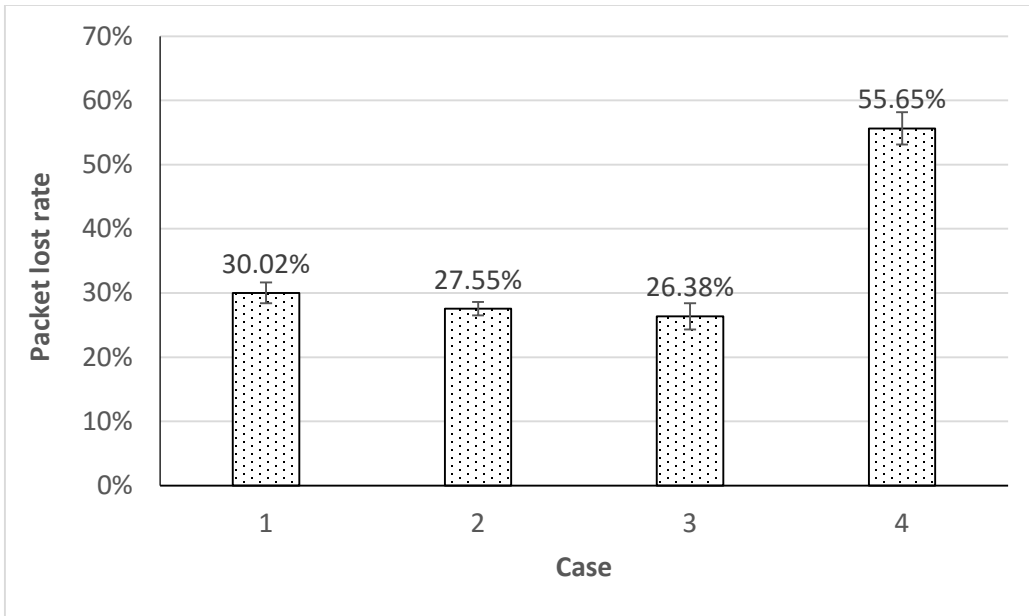


Figure 4.15 WSNs performance in terms of PLR under interfering traffic with packet arrival rates following four different random distributions.

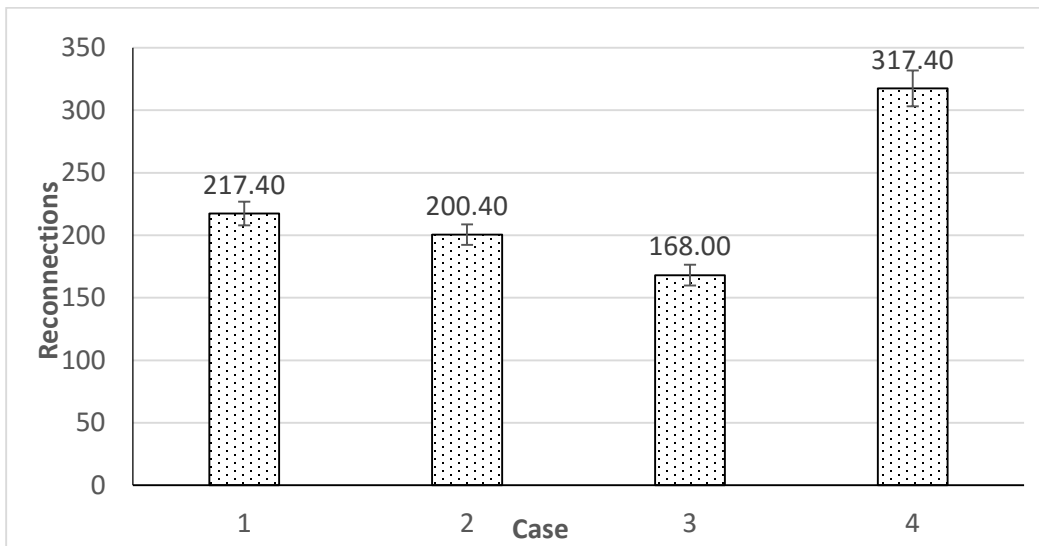


Figure 4.16 WSNs performance in terms of reconnections under interfering traffic with packet arrival rates following four different random distributions

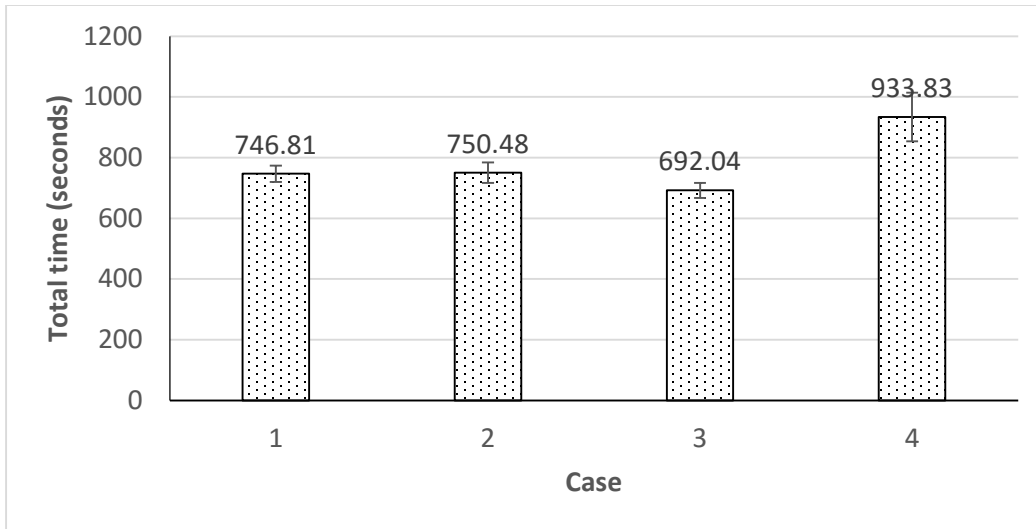


Figure 4.17 WSNs performance in terms of total time under interfering traffic with packet arrival rates following four different random distributions.

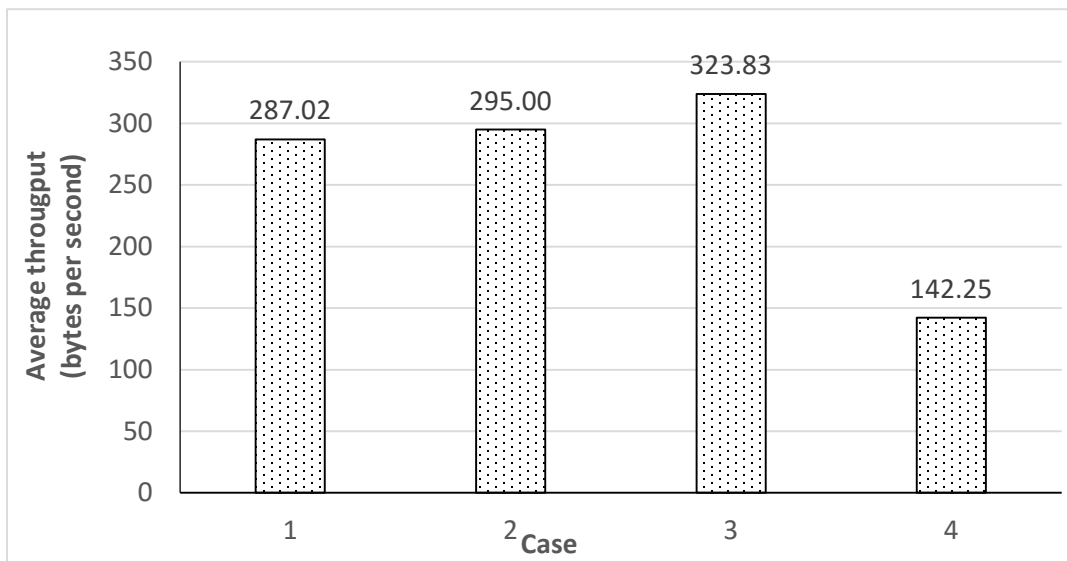


Figure 4.18 WSNs performance in terms of throughput under interfering traffic with packet arrival rates following four different random distributions.

For the tests shown in Figures 4.19 – 4.22, the packets generated by D-ITG have the same payload rate of 2000 packets/s and the UDP packet’s payload size are following four different random distributions, i.e., Uniform (Case 5), Exponential (Case 6), Normal (Case 7), and Poisson (Case

8), with mean payload size of 1200 bytes. For the Uniform distribution, the UDP packet payload size takes values between 1000 and 1400 bytes. Interfering UDP traffic with Normal distribution has an expected payload size of 1200 bytes and standard deviation of 100 bytes.

The developed periodical monitoring program is quite stable and can handle varying Wi-Fi interference.

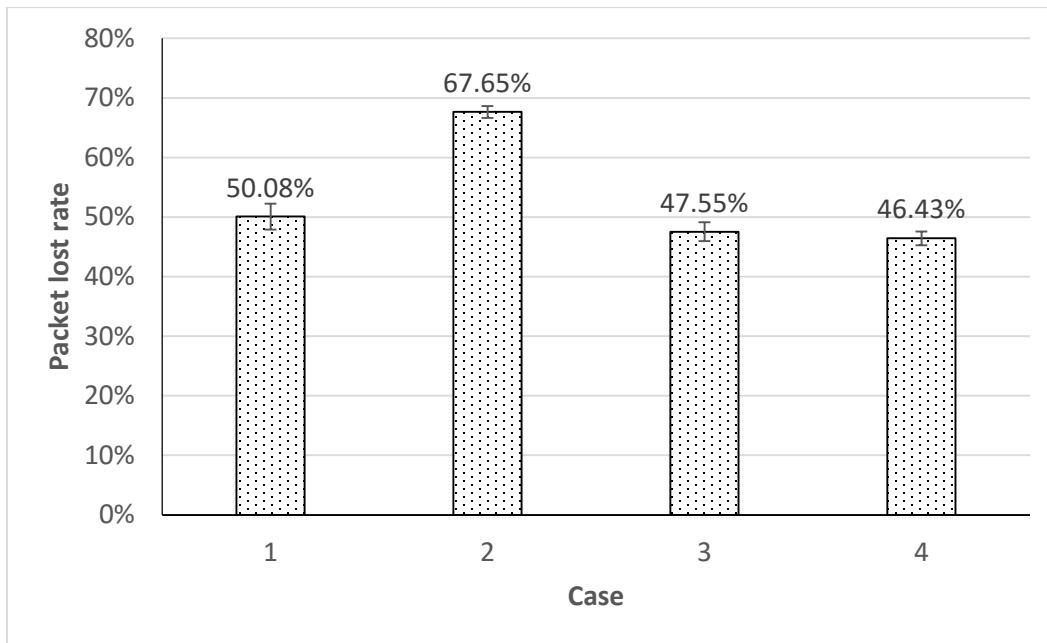


Figure 4.19 WSNs performance in terms of PLR under interfering UDP traffic with payload sizes following four different random distributions.

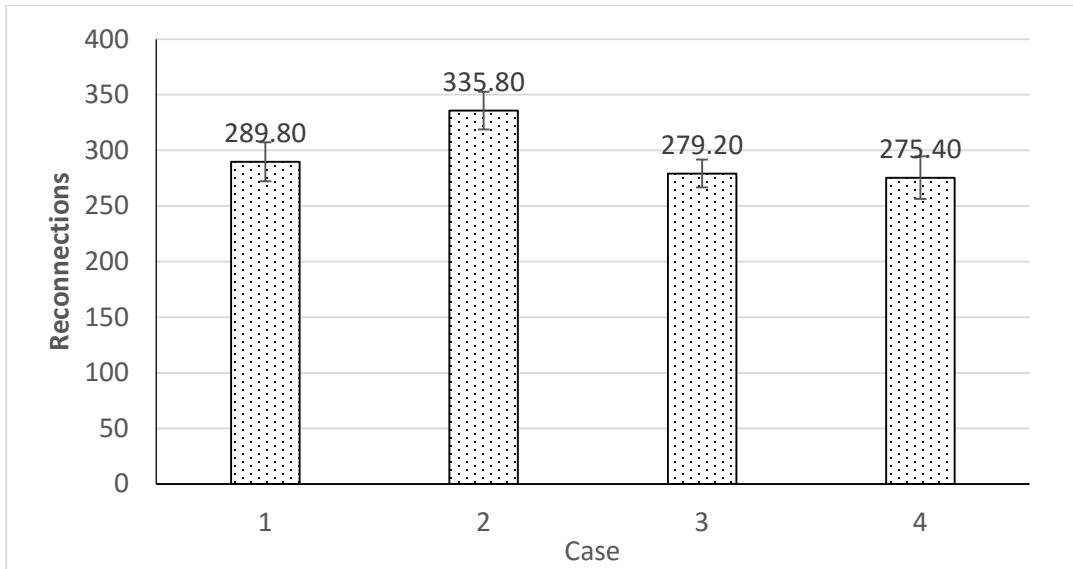


Figure 4.20 WSNs performance in terms of reconnections under interfering UDP traffic with payload sizes following four different random distributions.

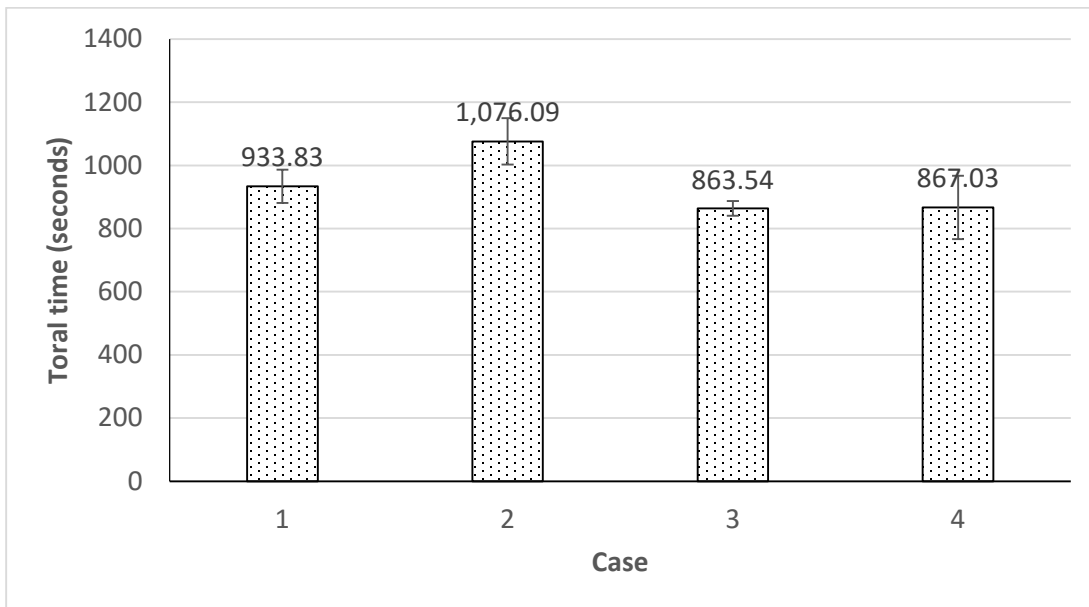


Figure 4.21 WSNs performance in terms of total time under interfering UDP traffic with payload sizes following four different random distributions.

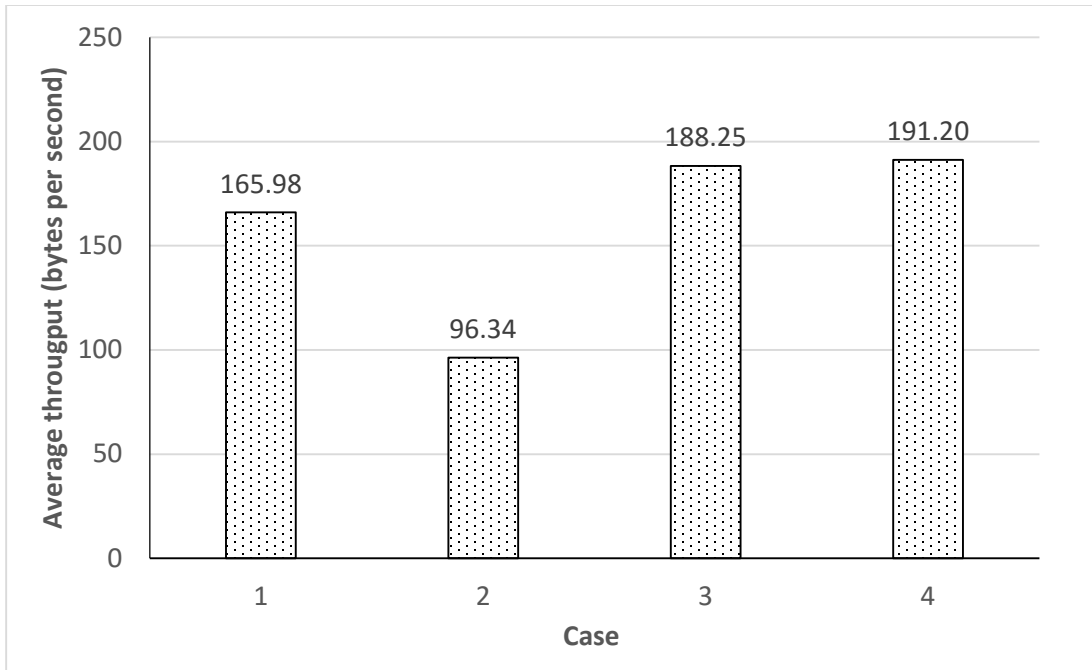


Figure 4.22 WSNs performance in terms of average throughput under interfering UDP traffic with payload sizes following four different random distributions.

## **Chapter 5 Conclusions and Future Work**

In this research, we developed and implemented an experimental testbed to study the performance of TCP/IPv6 over IEEE 802.15.4 WSN when subjected to interference from the collocated IEEE 802.11g WLAN. An application that uses TCP/IPv6 to transmit monitoring/sensing data messages periodically from the client mote to the server mote over IEEE 802.15.4 WSN has been developed. Furthermore, several important parameters and factors that can affect the performance of applications using TCP/IPv6 over WSN have been identified and optimized. The performance of the developed and optimized periodical monitoring/sensing application has been evaluated through extensive experiments in the testbed.

### **5.1 Concluding Remarks**

Throughout this research, we have performed extensive experiments to study the performance of TCP/IPv6 over IEEE 802.15.4 WSN under Wi-Fi interference and developed new performance improvement techniques. The major contributions of the thesis are:

1. We successfully developed and implemented a testbed that is suitable for carrying out research on TCP/IPv6 over WSN in presence of Wi-Fi or other RF interference. The testbed also enables efficient performance evaluation of various newly proposed and developed performance improvement techniques.
2. The developed hardware boards, custom firmware and application provide flexible programming platform and software framework that can be used for future research and development in Wireless Sensor Network techniques or applications using TCP/IPv6 over IEEE 802.15.4 WSN.

3. A Wireless Sensor Network application that sends monitoring or sensing messages periodically using TCP/IPv6 over IEEE 802.15.4 WSN has been developed based on the Contiki operating system. This application consists of TCP client and server programs, running on client mote and server mote, respectively. This periodical monitoring application has features including flexibly adjustable parameters and various statistical functions, which are very useful for research or as prototype for the development and implementation of real world applications.
4. In order to support Wireless Sensor Network applications with higher data generation rate, we identified several weaknesses of the TCP/IPv6 implementation in the low rate and resource limited WSN and proposed to optimize the values of several key parameters. Our experimental results verified the stable operations of the developed application with optimized parameter values and provided performance evaluation.

## **5.2 Future Work**

From the contributions and conclusions of this thesis summarized in Section 5.1, it is clear that development of technology for improving the TCP/IPv6 packet transmission performance in an IEEE 802.15.4 system subjected to severe interference from collocated Wi-Fi systems is a very challenging task. However, the establishment of our testbed and the improved understanding of the interworking between different layers of TCP/IP over WSN protocol stack, provided useful knowledge for us to pursue a number of meaningful topics for future research, a few of which are suggested below.

1. Design and development of a more versatile testbed of larger scale.

The testbed developed in this research, although still primitive, lays a good foundation for us to extend our work and design, develop and deploy a larger scale testbed, supporting multiple topologies and versatile Wireless Sensor Network applications.

2. Study the performance of TCP/IPv6 over IEEE 802.15.4 WSN for applications with different traffic characteristics and quality of service (QoS) requirements.

In this research, we focused on the very common periodical monitoring and sensing application, which generates short data messages at constant time interval and doesn't have strict quality of service requirements for latency and packet loss rate. It is meaningful to study the achievable performance for other applications such as data streaming, safety-critical monitoring, industrial real-time control, file transfer, peer to peer data sharing, etc. Novel techniques suited for different types of applications should be developed to achieve better and satisfying performance.

3. Study the performance of TCP/IPv6 packet transmission in a more complex network environment.

In real world implementation, WSN applications are likely to run in a much more complicated environment. The complexity could come from different topologies of WSN deployments, large variety of WSN applications with different QoS requirements, RF interferences from various sources with time-varying characteristics and on the whole or part of the transmission path, and so on. It is very useful to study the performance of TCP/IPv6 packet transmission in such more complicated network environments. This research could include congestion control, routing, multi-hop data caching, and so on.

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## Appendix A Confidence Interval for the Mean

A confidence interval is a type of interval estimate of a parameter determined by using data obtained from a sample and by using the specific confidence level of the estimate. Three common confidence intervals are used: the 90%, the 95%, and the 99% confidence intervals. [146]

When finding the confidence interval for the mean of a population, there are two different situations that may exist. You will be given information that may have the population standard deviation stated or it may be the sample standard deviation that is given. A different formula needs to be used in each case.

**Case 1:** When the population standard deviation ( $\sigma$ ) is known. The confidence interval of the population mean ( $\mu$ ) is calculated using:

$$\bar{x} \pm Z_{\alpha/2} \frac{\sigma}{\sqrt{n}} \quad (\text{A.1})$$

Where the level of required confidence level is  $(1 - \alpha) \times 100\%$ ,  $\bar{x}$  = sample mean,  $n$  = sample size and  $\sigma$  = population standard deviation.  $Z$  is the normal distribution's critical value for a probability of  $\alpha/2$  in each tail.

**Case 2:** When the population standard deviation ( $\sigma$ ) is unknown. The confidence interval of the population mean ( $\mu$ ) is found using:

$$\bar{x} \pm t_{\frac{\alpha}{2}, n-1} \frac{\sigma}{\sqrt{n}} \quad (\text{A.2})$$

Where the level of required confidence level is  $(1 - \alpha) \times 100\%$ ,  $\bar{x}$  = sample mean,  $n$  = sample size and  $\sigma$  = population standard deviation.  $t$  is the critical value of the  $t$  distribution with  $n-1$  degree of freedom and an area of  $\alpha/2$  in each tail. In our research, the formula of case 2 is used to calculate a 95% confidence interval.

## **Appendix B Existing WSN Embedded Operating Systems**

### ***Contiki Operating System***

Contiki is an open source, multi-tasking network operating system for resource limited hardware [40]. Contiki is designed to run in small amount of memory. A typical system with full IPv6 networking with sleepy routers and RPL routing needs less than 10k RAM and 30k ROM. Contiki processes use lightweight protothreads that provide a linear, thread-like programming style. In addition to protothreads, Contiki also supports per-process optional preemptive multi-threading and interprocess communication using message passing. The Contiki operating system consists of an event-driven kernel, on top of which application programs can be dynamically loaded and unloaded at run time. Contiki provides a full IP network stack, with standard IP protocols such as UDP, TCP, and HTTP, in addition to the new low-power standards like 6LoWPAN, RPL, and CoAP. The Contiki's IPv6 stack, developed and contributed to Contiki by Cisco, is fully certified under the IPv6 Ready Logo program. uIP layer is a simplified TCP/IPv6 layer, but keeps most functions of the standard IP protocol. Hundreds of companies use uIP stack in their embedded system products [136]. SICSLOWPAN is the 6LoWPAN implementation in Contiki. It acts as an adaption layer which encapsulates and compresses IPv6 packets to be suitable to pass over to IEEE 802.15.4 MAC layer.

### ***TinyOS***

TinyOS is an open source operating system designed for Internet of Things (IoT). It can be used in a wide range of low-power wireless devices, such as those used in smart buildings, ubiquitous computing, personal area networks, and smart meters [41]. TinyOS provides useful software

abstractions of the underlying device hardware: for example, TinyOS can present a flash storage chip, which has blocks and sectors with certain erase/write properties, as a simple abstraction of a circular log. Providing these useful abstractions greatly simplifies the job of application and system developers. TinyOS link layers (single-hop communication) support low-power operation, and TinyOS multihop protocols use these features. TinyOS also supports secure networking on some radio chips and RPL. However, TinyOS hasn't been updated by the authors for a long time, which makes it lack of new features and community support.

### ***RIOT***

RIOT is an operating system designed for the particular requirements of IoT scenarios. These requirements comprise a low memory footprint, high energy efficiency, real-time capabilities, a modular and configurable communication stack, and support for a wide range of low-power devices. RIOT provides a microkernel, utilities like cryptographic libraries, data structures (bloom filters, hash tables, priority queues), a shell, different network stacks, and support for various microcontrollers, radio drivers, sensors, and configurations for entire platforms, e.g. TelosB or STM32 Discovery Boards. The microkernel itself comprises thread management, a priority-based scheduler, a powerful API for inter-process communication (IPC), a system timer, and mutexes. RIOT supports common protocols for Internet of Things such as 6LoWPAN, IPv6, RPL, TCP, UDP, CoAP and CBOR [44][134]. However, on most mainstream processors, obtaining a service is inherently more expensive in a microkernel-based system than in a monolithic system [141]. Performance and power consumption are therefore potential issues in RIOT.

## **Appendix C Wireless Sensor Networks Standards**

In this section, some important standards for Wireless Sensor Network are briefly introduced.

### ***IEEE 802.15.4***

The IEEE 802.15.4 category is the most important standard for low-data-rate wireless sensor networks [140]. It was developed for low-data-rate monitoring and control applications and extended-life low-power-consumption uses. The IEEE 802.15.4 standard defines the physical layer (PHY) and media access control (MAC) layer of the Open Systems Interconnection (OSI) model of network operation. The goal of the standard is to provide a base format to which other protocols and features could be added by way of the upper layers (layers 3 through 7). While three frequency assignments: 868 MHz, 915 MHz and 2.4 GHz are available, the 2.4 GHz band is by far the most widely used. Most available chips and modules use this popular ISM band. The standard uses direct sequence spread spectrum (DSSS) modulation. It is highly tolerant of noise and interference, and offers coding gain to improve link reliability. With regard to channel access, IEEE 802.15.4 uses carrier sense multiple access with collision avoidance (CSMA-CA). This multiplexing approach lets multiple users or nodes access the same channel at different times without interference [15-18].

### ***ZigBee***

The ZigBee Alliance is a group of companies that develop and maintain the ZigBee standard. ZigBee is a specification for a suite of high level communication protocols using low-power digital radios based on IEEE 802.15.4. The technology defined by the ZigBee specification is intended to be simpler and less expensive than other consumer WSN standards, such as Bluetooth. ZigBee is

targeted at radio-frequency (RF) applications that require a low data rate, long battery life, and secure networking. The low cost allows the technology to be widely deployed in wireless control and monitoring applications [125].

### ***Bluetooth Low Energy***

Bluetooth Low Energy (BLE) is a low-power variation of the original Bluetooth standard. BLE still operates in the same ISM, license-free, 2.4- to 2.483-GHz frequency band as the standard Bluetooth. However, it uses a different frequency-hopping spread-spectrum (FHSS) scheme. Standard Bluetooth hops at a rate of 1600 hops per second over 79 1-MHz-wide channels. BLE FHSS employs 40 2-MHz-wide channels to ensure greater reliability over longer distances. Standard Bluetooth offers gross data rates of 1, 2, or 3 Mbits/s, while BLE's maximum rate is 1 Mbits/s with a net throughput of 260 kbits/s. BLE also uses Gaussian frequency shift keying (GFSK) modulation [126].

### ***WirelessHART***

WirelessHART is a mesh networking technology operating in the 2.4GHz ISM radio band. It utilizes IEEE 802.15.4 compatible DSSS radios with channel hopping on a packet by packet basis. Communication is performed using Time Division Multiple Access (TDMA) technology to arbitrate and coordinate communications between network devices. The TDMA Data Link Layer establishes links specifying the time slot and frequency to be used for communication between devices [97]. These links are organized into superframe that periodically repeats to support both cyclic and acyclic communication traffic. A link may be dedicated or shared to allow elastic utilization of communications bandwidth to assure processing data with minimal latency [127].

### ***ISA-100***

ISA-100 is the brainchild of the Instrumentation, Systems, and Automation Society (ISA). This standard aims to enable a single, integrated wireless infrastructure platform for plants and delivers a family of standards defining wireless systems for industrial automation and control applications [99]. The ISA-100 standard adheres to a comprehensive coexistence strategy, which provides “the ability of wireless networks to perform their tasks in an environment where there are other wireless networks that may or may not be based on the same standard” [130].

### ***Z-Wave***

The Z-Wave wireless mesh networking technology enables any node to talk to other adjacent nodes directly or indirectly through available relays. A master controller node controls any additional nodes. The nodes communicate directly with one another if they’re within range. If two nodes that want to communicate aren’t within range, they can link with another node that both can access and exchange information. This standard is not open [131].

### ***ANT***

ANT represents another ultra-low-power, short-range wireless technology designed for sensor networks and similar applications. It uses the 2.4-GHz ISM band. So far, its primary application is in the sports and fitness fields to implement wireless personal area networks (WPANs) for performance and health monitoring [128].

Among these standards, ZigBee is the most prominent and comprehensively implemented WSN standard.

## **Appendix D Applications of Wireless Sensor Networks**

A large number of different sensors, including sound, optical, electrical, magnetic, and temperature sensors, can be used in WSN. These sensors, along with wireless communication ability, promise a variety of new application areas. The following three are among the most prominent application areas and there are many more that have already been discussed extensively in previous publications (e.g. [3]-[5]).

### **3.1.1 Health monitoring**

Physiological data are vital for doctors to diagnose or monitor patients' conditions. Traditional monitoring equipment are usually bulky and heavy, thus quite often patients are required to stay in bed for proper monitoring. WSN technology is very suitable for developing wearable telehealth monitoring devices. WSN nodes are usually very small. The very low power consumption allows the use of small batteries while achieving long usage time. Wireless technology enables free movements of patients when wearing the devices, which greatly improves the comfort and life quality of patients[115] [116].

In addition, the growing number of elderlies increases the demands on the public healthcare system, as well as on medical and social services. Recent research [117] has shown that the key is in the exploitation and integration of wireless sensing and consumer electronic technologies into the common practices of healthcare, which would allow people to be constantly monitored in their usual living places. Constant monitoring will enable early detection of emergency conditions and

diseases for at risk patients and also provide wide range of healthcare services for people with various degrees of cognitive and physical disabilities [118].

### 3.1.2 Smart grid

A smart grid is an electrical grid that can automate its behavior based on information collected from various sources. These information can be relationship between suppliers and consumers, grid load, equipment healthiness, and so on. Traditionally, industrial automation/monitoring systems are realized through wired communications. However, the wired systems require expensive communication cables to be installed and regularly maintained, and thus, they are not widely implemented in industrial plants because of their high cost [119][120]. Therefore, there is an urgent need for cost-effective wireless automation systems that enable significant savings and reduce air-pollutant emissions by optimizing the management of power plant and electrical grid [121] [122]. WSN can be used to perform information gathering, fault detection/diagnosis, and even self-healing of the grid to improve reliability, economy, sustainability and efficiency [12] [13].

### 3.1.3 Environment safety alert/monitoring

Environmental monitoring applications can be broadly categorized into indoor and outdoor monitoring [123]. Indoor monitoring applications typically include buildings and offices monitoring. These applications involve sensing temperature, light, humidity, and air quality. Other important indoor applications may include fire and civil structures deformations detection[124]. Outdoor monitoring applications include chemical hazardous detection, habitat monitoring, traffic monitoring, earthquake detection, volcano eruption detection, flooding detection and weather

forecasting. Sensor nodes also have found their applicability in agriculture. Soil moisture and temperature monitoring is one of the most important applications of WSNs in agriculture. For mining industry, temperature of air, wind speed of roadway, gas concentration, and mine drainage are important safety parameters of a coal mine. While traditional optical fiber network has many merits and is effective in most areas of a coal mine, it has serious limitations when dealing with complex terrain such as mine face and goaf. WSN does not rely on cable wiring to communicate. Therefore, the frequent moving and changing of the mine face won't affect the data collecting and transmitting. And sufficient amount of WSN nodes can be easily deployed in important areas like mine face to gather enough safety information [14].